

CALIFORNIA ELECTION CAMPAIGN DISCLOSURE CODES

(As of 23 December 1999)

PURPOSE

This code list is to be used by filers of California Campaign Disclosure forms and associated schedules who submit filings using the American National Standards Institute (ANSI), Accredited Standards Committee (ASC) X12, Electronic Data Interchange (EDI) transaction set 113, Election Campaign and Lobbyist Reporting.

This list is maintained by the California Secretary of State Office and is available at the California Secretary of State – Official Homepage, <http://www.ss.ca.gov/>, under the Political Reform section, Campaign Finance, Lobbyists, forms and reports.

Use of Codes

The code values in this list are used to represent data that is transmitted in the 113 transaction set. The migration from a paper-based method of filing to electronic filing provides opportunities to convert data from human readable to machine readable format using code values. For example, if a California form lists a number of statement/report types and the paper-based filing instructions tell the filer to check the block indicating which type of statement/report is being filed, there has to be a way of transmitting the same information in electronic form.

The way it is done to accommodate electronic filing is to assign a different code value to each statement/report type. Then, the electronic filer merely has to select which code represents the correct type statement/report. When transmitted and received, the code value will be interpreted as the correct type of statement/report. In this way, the assignment and transmission of the code have replaced both the paper form's check block and the human-readable statement/report type.

The code values are primarily used in data element 1271 in the Monetary Amount with Explanation (AWD) and in the Industry Code (LQ) segments of the 113 transaction set. In such usage, LQ01 shall cite code EAF and LQ02 shall cite a code from this code list. In the AWD segment, data element 1271 is at AWD01-09. The implementation conventions that specify the use of the 113 transaction set for transmitting data applicable to a form or schedule contain instructions regarding the use of the codes in this list

Content

The code values in this code list are grouped by usage. Each usage is identified in a section of the code list. A position number starting with 01 identifies the sections. All code values in this code list have the following structure: two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

SECTION/CODE

DEFINITION

01 - Type of Statement/Report

These codes identify the type of statement or report that is being filed.

01-PE	Pre-election Statement
01-SE	Supplemental Pre-election Statement
01-SY	Special Odd Year Report
01-SA	Semi-annual Statement
01-TS	Termination Statement
01-QS	Quarterly Statement

02 - Type of Committee

These codes identify a type of committee

02-C	Officeholder, Candidate Controlled Committee
02-P	Primarily Formed Candidate/Officeholder Committee
02-B	Ballot Measure Committee
02-G	General Purpose Committee
02-BMP	Ballot Measure Committee, Primarily Formed
02-BMC	Ballot Measure Committee, Controlled
02-BMS	Ballot Measure Committee, Sponsored
02-GPS	General Purpose Committee, Sponsored
02-GPB	General Purpose Committee, Broad Based
02-GPC	General Purpose Committee, City
02-GPN	General Purpose Committee, County
02-GPT	General Purpose Committee, State
02-CC	Controlled Committee
02-NC	Not a Controlled Committee
02-SC	Sponsored Committee
02-NSC	Not a Sponsored Committee
02-BBC	Broad Based Committee
02-BBL	Broad Based Committee for Purposes of Special Election Contribution Limits
02-NBC	Not a Broad Based Committee
02-Y82	82013 Committee
02-N82	Not an 82013 Committee
02-NYQ	Not Yet Qualified as a Committee

03 - Office and Sought/Held Indicators

These codes identify the office sought by a candidate or held by an officeholder. The office sought or office held code must be used each time an office is identified.

03-GOV	Governor
03-LTG	Lieutenant Governor
03-SOS	Secretary of State
03-CON	State Controller
03-ATT	Attorney General
03-TRE	State Treasurer

SECTION/CODE

DEFINITION

03 - Office and Sought/Held Indicators

These codes identify the office sought by a candidate or held by an officeholder. The office sought or office held code must be used each time an office is identified.

03-INS	Insurance commissioner
03-SUP	Superintendent of Public Instruction
03-SEN	State Senator
03-ASM	State Assembly Person
03-BOE	Board of Equalization Member
03-ASR	Assessor
03-BED	Board of Education
03-bsu	Board of Supervisors
03-CAT	City Attorney
03-CCB	Community College Board
03-CCM	City Council Member
03-COU	County Counsel
03-CSU	County Supervisor
03-CTR	Local Controller
03-DAT	District Attorney
03-MAY	Mayor
03-PDR	Public Defender
03-PLN	Planning Commissioner
03-SHC	Sheriff - Coroner
03-SCJ	Superior Court Judge
03-TRS	Local Treasurer
03-OTH	Other
03-S	Office Sought
03-H	Office Held

04 - Administrative

These codes are used to represent the verification statements required on various disclosure forms. They also are used as indicators of support or oppose conditions and other check blocks that appear on a disclosure form or schedule.

04-VR1 I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

04-VR2 I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

SECTION/CODE

DEFINITION

04 - Administrative

These codes are used to represent the verification statements required on various disclosure forms. They also are used as indicators of support or oppose conditions and other check blocks that appear on a disclosure form or schedule.

04-VR3

This committee has not received any contributions, cumulative contributions or miscellaneous receipts from a single source totaling \$100 or more which must be itemized, and this committee has not made or received loans, and has no accrued expenses or outstanding enforceable promises received.

I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

04-VR4

I have used all reasonable and to the best of my knowledge the treasurer has used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

04-VR5

I have used all reasonable diligence in preparing this statement. The Slate Mailer Organization has ceased to receive payments and make disbursements in connection with producing "slate mailers," does not anticipate receiving payments or making disbursements in the future, and has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

04-VR6

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

When this verification is provided in conjunction with the termination of a Recipient Committee, it certifies that the following conditions have been met:

- a. This committee has ceased to receive contributions and make expenditures;
- b. This committee does not anticipate receiving contributions or making expenditures in the future;
- c. This committee has eliminated or has no intention or ability to discharge all debts. Loans received, and other obligations;
- d. This committee has no surplus funds; and

SECTION/CODE

DEFINITION

04 - Administrative

These codes are used to represent the verification statements required on various disclosure forms. They also are used as indicators of support or oppose conditions and other check blocks that appear on a disclosure form or schedule.

- e. This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.

04-VR7

I declare under penalty of perjury that to the best of my knowledge, I anticipate that I will receive less than \$1,000 and that I will spend less than \$1,000 during the calendar year and that I have used all reasonable diligence in preparing this statement. I certify under penalty of perjury under the laws of the state of California.

04-SUP
04-OPP
04-NNR

Support
Oppose
Identification Number not Received.

05 - Monetary Amount

These codes are used to further qualify an amount.

05-A1
05-A2
05-A3
05-A4

Amount \$100 or more
Amount under \$100
Attributed Amount
Included Amount

06 – Type of Election

These codes are used to identify a type of election

06-SE
06-SRE

Special Election
Special Runoff Election

07 -Type of Payment

These codes are used to identify the purpose of a payment.

07-CMP
07-CNS
07-CTB
07-VC
07-FND
07-IND
07-LIT
07-MTG
07-OFC
07-PET
07-PHO
07-POS
07-PRO

Campaign paraphernalia/misc.
Campaign consultants
Contribution (explain non-monetary)
Civic donations
Fundraising events
Independent expenditure supporting/opposing others (explain)
Campaign literature and mailings
Meetings and appearances
Office expenses
Petition circulating
Phone banks
Postage, delivery and messenger services
Professional services (legal, accounting)

SECTION/CODE**DEFINITION****07 -Type of Payment**

These codes are used to identify the purpose of a payment.

07-PRT	Print ads
07-RAD	Radio airtime and production costs
07-RFD	Returned contributions
07-SAL	Campaign workers salaries
07-TEL	TV or cable airtime and production costs
07-TRC	Candidate travel, lodging and meals (explain)
07-TRS	Staff/spouse travel, lodging and meals (explain)
07-TSF	Transfer between committees of he same candidate/sponsor
07-VOT	Voter registration
07-WEB	Information technology costs (internet, e-mail)

08 - Jurisdiction

These codes are used to identify the jurisdiction applicable to an office.

08-STW	Statewide
08-SEN	Senate District
08-ASM	Assembly District
08-BOE	Board of Equalization District
08-CIT	City
08-CTY	County
08-LOC	Local
08-OTH	Other

09 – Organizational Level of Activity

These codes are used to identify the voting jurisdictions in which slate mailers support or oppose candidates or measures.

09-CI	City
09-CO	County
09-ST	State