

113 Election Campaign and Lobbyist Reporting

Functional Group ID=CL

Introduction:

This Draft Standard for Trial Use contains the format and establishes the data contents of the Election Campaign and Lobbyist Reporting Transaction Set (113) for use within the context of an Electronic Data Interchange (EDI) environment. This Bi-directional transaction set can be used by candidates, candidate committees, party committees, political action groups, lobbyists and other entities to exchange disclosure data.

Notes:

- 1. Recipient Committees in the state of California can use this transaction set to submit California form 410 (Statement of Organization) dated 8/99.*
- 2. This IC can be used to amend previously submitted data. The amended data is a total replacement of the form.*
- 3. Throughout this implementation convention, reference is made to segments and data elements within this transaction set. Segments are identified in the following manner 1/NM1/0700. The first number identifies the table that contains the segment. The 2 or 3 characters between the slash marks identify the segment (in the example, NM1 identifies the Individual or Organizational Name segment). The last 4 numbers identify the position of the segment within the table. Thus, 1/NM1/0700 identifies the Individual or Organizational Name segment in table 1 at position 0700.*
- 4. A combination of the segment identifier and the position of the data element within the segment, e.g., BGN06 identify data elements within segments. BGN identifies the Beginning Segment and 06 identifies data element 127 which is the sixth data element within the BGN segment.*
- 5. Composite data elements are identified in a manner similar to data elements, e.g., AWD01-04. AWD identifies the Amount with Description segment; 01 identifies composite data element C007, which is the first data element within the segment; and -04 identifies sub data element 935, which is the fourth sub data element within the composite data element C007.*
- 6. This transaction set contains segments and data elements that by the X12 standard are mandatory. A mandatory segment is identified by an "M" in the Requirement Designator field within the transaction set table and by the word "MANDATORY" in the Usage row on the segment page. Data elements that are mandatory by the X12 standard are identified by an "M" in the first column under the ATTRIBUTES on the segment page. Mandatory segments MUST be present in all transmissions of this transaction set. Mandatory data elements MUST be present in all mandatory segments and in those optional segments that are used in the transaction set.*
- 7. This transaction set also contains segments and data elements whose uses are optional by the X12 standard. These segments and data elements are identified in a similar manner as are the mandatory segments and data elements except an "O" is displayed in lieu of an "M" and the word "OPTIONAL" is used in place of "MANDATORY". Optional segments and data elements may be used at the discretion of the originator of the transaction set except when the words "MUST USE" are present. In this instance, the segment or data element MUST be present in the occurrence of the illustrated HL loop in which the annotation appears.*
- 8. This transaction set contains segments and data elements that are optional by the X12 standard but are REQUIRED by this implementation convention under specified conditions. In this instance the word "REQUIRED" will appear in a note associated with the segment or data element and the condition requiring the use of the segment or data element will be specified in this note. Use of the segment, data element or code are REQUIRED whenever the specified condition is present.*
- 9. This transaction set contains a HL loop (which includes all segments 2/HL/0100 through 2/MTX/3500) in table 2. This HL loop is used to transmit data applicable to a form or schedule and to categorize that data.*

10. Within this 113 transaction set there is only one HL loop identified in table 2, however, this loop may be repeated an infinite number of times. For ease of understanding this implementation convention, the data required by each form or schedule is identified in a separate illustrated HL loop. The illustrated HL loops identify the segments, data elements and codes that are to be used to provide the data required by the form or schedule. The illustrated HL loops can be identified by their position numbers within the transaction set. The HL loop within this 113 transaction set starts at position 2/0100 and ends at position 2/3500. Each segment in the HL loop is at a position that is incremented by 100 from the HL segment, e.g., the second segment in the HL loop is at position 2/0200. Each of the segments in the illustrated loops is incremented by 1 from the segments in the basic HL loop, e.g., 2/0101 through 2/3501, 2/0102 through 2/3502, etc. Notes that appear in these illustrative loops will refer to segments by their ACTUAL positions within the X12 transaction set, NOT by their illustrated positions.

11. Each HL loop that starts a form or schedule MUST cite code RP (Report) in HL03. There may be other HL loops within a form or schedule. Each of these loops will cite a code other than RP in HL03. Each code listed in HL03 may be used ONLY one time in each HL loop that transmits data for a form or schedule. All HL loops MUST be numbered consecutively in HL01 throughout the entire transaction set.

12. Codes listed in data elements are the only codes that may be used in that data element.

13. Instructions regarding the use of this transaction set to comply with the reporting requirements of the California Secretary of State's office will appear as italicized, bolded, shaded text. Any other text that appears within this transaction set is part of the X12 standard.

14. Segment syntax and semantic notes are part of the X12 standard and MUST be complied with.

15. This IC accommodates all the instructions for completing a form.

Heading:

Page No.	Pos. No.	Seg. ID	Name	Req. Des.	Max.Use	Loop Repeat	Notes and Comments
5	0100	ST	Transaction Set Header	M	1		
6	0200	BGN	Beginning Segment	M	1		
Not Used	0300	N9	Reference Identification	O	>1		
Not Used	0400	DTM	Date/Time Reference	O	>1		
Not Used	0500	LQ	Industry Code	O	>1		n1
Not Used	0600	MTX	Text	O	>1		
LOOP ID - NM1						>1	
8	0700	NM1	Individual or Organizational Name	O	1		n2
10	0800	N2	Additional Name Information	O	2		
Not Used	0900	N3	Address Information	O	2		
Not Used	1000	N4	Geographic Location	O	1		
Not Used	1100	PER	Administrative Communications Contact	O	>1		
Not Used	1200	N9	Reference Identification	O	>1		
LOOP ID - AWD						>1	
Not Used	1300	AWD	Amount with Description	O	1		n3
Not Used	1400	LQ	Industry Code	O	>1		n4
Not Used	1500	MTX	Text	O	>1		

Detail:

Page No.	Pos. No.	Seg. ID	Name	Req. Des.	Max.Use	Loop Repeat	Notes and Comments
LOOP ID - HL						>1	
11	0100	HL	Hierarchical Level	O	1		n5
LOOP ID - N9						>1	

13	0200	N9	Reference Identification	O	1	
15	0300	DTM	Date/Time Reference	O	>1	
Not Used	0400	NX2	Location ID Component	O	>1	
16	0500	LQ	Industry Code	O	>1	n6
17	0600	MTX	Text	O	>1	
LOOP ID - NM1					>1	
18	0700	NM1	Individual or Organizational Name	O	1	n7
22	0800	N2	Additional Name Information	O	2	
23	0900	N3	Address Information	O	2	
24	1000	N4	Geographic Location	O	1	
25	1100	PER	Administrative Communications Contact	O	>1	
26	1200	N9	Reference Identification	O	>1	
28	1300	DTM	Date/Time Reference	O	>1	
30	1400	NX2	Location ID Component	O	>1	
Not Used	1500	EMS	Employment Position	O	>1	
31	1600	TPB	Business Professional Title	O	>1	
Not Used	1700	PWK	Paperwork	O	>1	
Not Used	1800	PAM	Period Amount	O	>1	
Not Used	1900	G86	Signature	O	1	
32	2000	MTX	Text	O	>1	
LOOP ID - LQ					>1	
33	2100	LQ	Industry Code	O	1	
35	2200	N9	Reference Identification	O	>1	
Not Used	2300	DTM	Date/Time Reference	O	>1	
Not Used	2400	PCT	Percent Amounts	O	>1	
Not Used	2500	QTY	Quantity	O	>1	
37	2600	MTX	Text	O	>1	
LOOP ID - AWD					>1	
Not Used	2700	AWD	Amount with Description	O	1	
Not Used	2800	DTM	Date/Time Reference	O	>1	
Not Used	2900	PCT	Percent Amounts	O	>1	
Not Used	3000	QTY	Quantity	O	>1	
Not Used	3100	N9	Reference Identification	O	>1	
Not Used	3200	PDL	Payment Details	O	>1	
Not Used	3300	LQ	Industry Code	O	>1	
Not Used	3400	N1	Name	O	>1	
Not Used	3500	MTX	Text	O	>1	
38	3600	SE	Transaction Set Trailer	M	1	

Transaction Set Notes

- 1/0500 The LQ segment provides coded information related to a filing, such as, a notice of a late filing.
- 1/0700 The NM1 segment provides name and address information regarding the sender and receiver of a filing.
- 1/1300 The AWD loop provides monetary amounts covering fees and related information associated with a late filing.
- 1/1400 The LQ segment provides coded information related to the monetary amounts carried in the AWD loop.
- 2/0100 The HL loop divides a filing into sections such as basic report information, loans, contributions, receipts, expenditures, related parties and other information contained in a filing.
- 2/0500 The LQ segment provides coded information related to an individual report identified in the N9 segment.
- 2/0700 The NM1 segment provides name, address and related information for reportable entities, such as filers, committees, committee officers, and contributors.

- 2/2100 The LQ loop provides coded information, such as, type of committee, last office held by a candidate, and other information about the entities identified in the NM1 segment.
- 2/3300 The LQ segment provides coded information related to the monetary amount carried in the AWD segment as reported for the entity identified in the NM1 loop.
- 2/3400 The N1 segment provides the name of an entity who benefited from an expenditure made by a party identified in the NM1 segment.

Segment: **ST** Transaction Set Header
Position: 0100
Loop:
Level: Heading
Usage: Mandatory
Max Use: 1
Purpose: To indicate the start of a transaction set and to assign a control number
Syntax Notes:
Semantic Notes:

- 1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).
- 2 The implementation convention reference (ST03) is used by the translation routines of the interchange partners to select the appropriate implementation convention to match the transaction set definition.

Comments:

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	ST01	143	Transaction Set Identifier Code Code uniquely identifying a Transaction Set 113 Election Campaign and Lobbyist Reporting	M ID 3/3
M	ST02	329	Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set <i>A unique number assigned by the submitter of the transaction set or the application program. This same number is cited in SE02 of the 2/SE/3600 segment.</i>	M AN 4/9
	ST03	1705	Implementation Convention Reference Reference assigned to identify Implementation Convention <i>Cite 113CA410</i>	O AN 1/35

Segment: **BGN** Beginning Segment
Position: 0200
Loop:
Level: Heading
Usage: Mandatory
Max Use: 1
Purpose: To indicate the beginning of a transaction set
Syntax Notes: 1 If BGN05 is present, then BGN04 is required.
Semantic Notes: 1 BGN02 is the transaction set reference number.
2 BGN03 is the transaction set date.
3 BGN04 is the transaction set time.
4 BGN05 is the transaction set time qualifier.
5 BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction.

Comments:

Notes: *This segment is used to provide basic information regarding the data contained within this transaction set.*

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	BGN01	353	Transaction Set Purpose Code Code identifying purpose of transaction set <i>Use this data element to identify the purpose of the data contained within this transaction set. Only one of the listed codes may be used.</i> 00 Original <i>Use this code to indicate an original submission of the data contained within this transaction set. When this code is used, BGN07 is REQUIRED and MUST cite code EC.</i> CO Corrected <i>1. Use this code to indicate that the data contained within this transaction set has been amended from a previous submission. The amended data is a total replacement of the data that was previously submitted (i.e., form 410).</i> <i>2. When this code is used, BGN06 is REQUIRED and MUST contain the unique number of the previously transmitted transaction set.</i> <i>3. The unique number of the previously transmitted transaction set was carried in BGN02 of that transmission.</i>	M ID 2/2
M	BGN02	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier <i>Use this data element to provide a unique number that can be used to identify this transmission in future communications between the submitter and receiver of this transaction set. This number is different than the number that is contained in ST02.</i>	M AN 1/50
M	BGN03	373	Date Date expressed as CCYYMMDD <i>Use this data element to identify the transmission date of this transaction set.</i>	M DT 8/8
	BGN04	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds	X TM 4/8

are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)

This data element may be used to identify the time of the transmission. Identify the time as local time in HHMM format.

Not Used	BGN05	623	Time Code	O ID 2/2
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	
	BGN06	127	Reference Identification	O AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
			<i>Use this data element to identify the unique reference number of a previously transmitted 113 transaction set. The unique reference number of the previously transmitted 113 transaction set was cited in BGN02 of that transmission. This data element is REQUIRED when BGN01 cites code CO.</i>	
	BGN07	640	Transaction Type Code	O ID 2/2
			Code specifying the type of transaction	
			<i>Use this data element is REQUIRED when BGN01 is code 00 to identify the nature of the data contained within this transaction set.</i>	
			EC	Campaign Filing
Not Used	BGN08	306	Action Code	O ID 1/2
			Code indicating type of action	
Not Used	BGN09	786	Security Level Code	O ID 2/2
			Code indicating the level of confidentiality assigned by the sender to the information following	

Segment:	NM1 Individual or Organizational Name
Position:	0700
Loop:	NM1 Optional
Level:	Heading
Usage:	Optional
Max Use:	1
Purpose:	To supply the full name of an individual or organizational entity
Syntax Notes:	1 If either NM108 or NM109 is present, then the other is required. 2 If NM111 is present, then NM110 is required.
Semantic Notes:	1 NM102 qualifies NM103.
Comments:	1 NM110 and NM111 further define the type of entity in NM101.
Notes:	<i>Use this segment to identify the "from" and "to" entities of this submission.</i>

Data Element Summary					
	Ref.	Data	Name	Attributes	
	Des.	Element			
M	NM101	98	Entity Identifier Code	M ID 2/3	
Code identifying an organizational entity, a physical location, property or an individual					
<i>Use this segment to qualify the entity identified in the following data elements.</i>					
			FR	Message From	
<i>Use this code to indicate the entity submitting the data.</i>					
			TO	Message To	
<i>Use this code to indicate all of the entities that are to receive the data.</i>					
M	NM102	1065	Entity Type Qualifier	M ID 1/1	
Code qualifying the type of entity					
<i>Use this data element to identify the entity qualified in NM101 as a person, a committee, or a government entity.</i>					
			1	Person	
<i>Use this code to indicate an individual.</i>					
			6	Organization	
<i>Use this code to indicate a committee.</i>					
			G	Government	
Must Use	NM103	1035	Name Last or Organization Name	O AN 1/60	
Individual last name or organizational name					
<i>1. Use this data element to provide the name of an entity.</i>					
<i>2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.</i>					
<i>3. When NM102 is code 6 or G, and the name of the committee or government entity is greater than 60 characters, use the 1/N2/0800 segment to provide the balance of the name.</i>					
	NM104	1036	Name First	O AN 1/35	
Individual first name					
	NM105	1037	Name Middle	O AN 1/25	
Individual middle name or initial					
	NM106	1038	Name Prefix	O AN 1/10	
Prefix to individual name					
	NM107	1039	Name Suffix	O AN 1/10	
Suffix to individual name					
Not Used	NM108	66	Identification Code Qualifier	X ID 1/2	
Code designating the system/method of code structure used for Identification Code (67)					

Not Used	NM109	67	Identification Code Code identifying a party or other code	X	AN 2/80
Not Used	NM110	706	Entity Relationship Code Code describing entity relationship	X	ID 2/2
Not Used	NM111	98	Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual	O	ID 2/3

Segment:	N2	Additional Name Information
Position:	0800	
Loop:	NM1	Optional
Level:	Heading	
Usage:	Optional	
Max Use:	2	
Purpose:	To specify additional names	
Syntax Notes:		
Semantic Notes:		
Comments:		
Notes:	<p><i>1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.</i></p> <p><i>2. When NM102 in the 1/NM1/0700 segment is code 6 or G, use this segment to provide the balance of a committee or government organization name that is greater than 60 characters.</i></p>	

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name Free-form name	M AN 1/60
			<p><i>1. When providing a moniker, insert a space after the moniker and cite the numeric 1, 2, or 3.</i></p> <p><i>1 = moniker is before the first name</i> <i>2 = moniker is between the first and middle name</i> <i>3 = moniker is between the middle and last name</i></p>	
	N202	93	Name Free-form name	O AN 1/60

Segment: **HL** Hierarchical Level
Position: 0100
Loop: HL Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To identify dependencies among and the content of hierarchically related groups of data segments

Syntax Notes:

Semantic Notes:

- Comments:**
- 1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.
The HL segment defines a top-down/left-right ordered structure.
 - 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
 - 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
 - 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
 - 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

Notes: *This HL loop illustrates the submission of data required by California Form 410, Statement of Organization (Recipient Committee).*

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	HL01	628	Hierarchical ID Number A unique number assigned by the sender to identify a particular data segment in a hierarchical structure <i>Use this data element to provide a unique number that can identify each HL loop. It is recommended that the first HL loop be identified by the number 1 in this data element and that each successive HL loop be incremented by 1.</i>	M AN 1/12
Not Used	HL02	734	Hierarchical Parent ID Number Identification number of the next higher hierarchical data segment that the data segment being described is subordinate to	O AN 1/12
M	HL03	735	Hierarchical Level Code Code defining the characteristic of a level in a hierarchical structure <i>1. This data element is used to identify the type of data that is being transmitted within the current HL loop.</i> <i>2. Each of the listed codes MUST be used only one time.</i>	M ID 1/2
		EB	Filer <i>1. Use this code to indicate that the data which follows in this HL loop is applicable to the entity that is submitting the data contained in this form 410.</i> <i>2. When this code is used, the 2/NM1/0700 loop MUST be used to identify the filer and all associated entities.</i>	
		RP	Report <i>1. Use this code to indicate that the data which follows in this HL loop is information applicable to the</i>	

form being submitted (i.e., form number and statement type).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used	HL04	736	Hierarchical Child Code	O ID 1/1
Code indicating if there are hierarchical child data segments subordinate to the level being described				

Segment:	N9	Reference Identification
Position:	0200	
Loop:	N9	Optional (Must Use)
Level:	Detail	
Usage:	Optional (Must Use)	
Max Use:	1	
Purpose:	To transmit identifying information as specified by the Reference Identification Qualifier	
Syntax Notes:	1	At least one of N902 or N903 is required.
	2	If N906 is present, then N905 is required.
	3	If either C04003 or C04004 is present, then the other is required.
	4	If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1	N906 reflects the time zone which the time reflects.
	2	N907 contains data relating to the value cited in N902.
Comments:		
Notes:	<p>1. When HL03 in the 2/HL/0100 segment is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number.</p> <p>2. When LQ02 in the 2/LQ/0500 segment is code 01-TS, use this segment to reference a previously submitted form 450 or form 460.</p>	

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
M	N901	128	Reference Identification Qualifier
			M ID 2/3
		Code qualifying the Reference Identification	
		ECF	Related Form Number
			LQ02 in the 2/LQ/0500 segment is code 01-TS, use this code to indicate a previously filed form 450 or form 460. Cite either F450 or F460 in N902 and provide the date of the filing in N904.
		FQ	Form Number
			Use this code to indicate the number of the form that is being transmitted, i.e., F410.
		O8	Original Filing
			When BGN01 in the 1/BGN/0200 segment cites code 00, use of this code is REQUIRED to identify the original filing number. When this code is used, always cite 000 in N902. If the required number is not provided, the Secretary of State's office will reject the filing of the form 410.
		OJ	Amendment Filing
			When BGN01 in the 1/BGN/0200 segment is code CO, use of this code is REQUIRED to indicate the filing amendment number. When this code is used, the first amended filing should cite 001. Subsequent amended filings should increment the amended filing number by 1, e.g., 002, 003, etc. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 410.
	N902	127	Reference Identification
			X AN 1/50
		Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	N903	369	Free-form Description
			X AN 1/45
		Free-form descriptive text	
	N904	373	Date
			O DT 8/8
		Date expressed as CCYYMMDD	
		When N901 is code ECF, provide the filing date of the form 450 or form 460.	

Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)		
Not Used	N906	623	Time Code	O	ID 2/2
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow		
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		

Segment: **DTM** Date/Time Reference
Position: 0300
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:

Comments:

Notes:

When LQ02 in the 2/LQ/0500 segment cites code 01-TS, use this segment to provide the date of termination.

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time <i>Use this data element to cite a code that qualifies the date identified in DTM02.</i> 396 Termination	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD <i>Use this data element to identify the date applicable to the qualifier code cited in DTM01.</i>	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: LQ Industry Code
Position: 0500
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes:

1. Use this segment to identify a Termination Statement is being filed by form 410.
2. The Type of Statement (Termination) is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
LQ01	1270	Code List Qualifier Code	O ID 1/3
		Code identifying a specific industry code list	
		Use this data element to identify the code list that contains the code specified in LQ02.	
		EAF	California Campaign Disclosure Report Codes
LQ02	1271	Industry Code	X AN 1/30
		Code indicating a code from a specific industry code list	
		When this data element is used, cite code 01-TS, from section 01 of the California Campaign Disclosure Code List, to indicate a Termination Statement is being filed.	

Segment:	MTX Text
Position:	0600
Loop:	N9 Optional (Must Use)
Level:	Detail
Usage:	Optional
Max Use:	>1
Purpose:	To specify textual data
Syntax Notes:	<ol style="list-style-type: none"> 1 If MTX01 is present, then MTX02 is required. 2 If MTX03 is present, then MTX02 is required. 3 If MTX05 is present, then MTX04 is required.
Semantic Notes:	1 MTX05 is the number of lines to advance before printing.
Comments:	1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.
Notes:	<ol style="list-style-type: none"> 1. Use this segment to provide additional text information related to the report being filed. 2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set. 3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

Data Element Summary

Ref.	Des.	Data Element	Name	Attributes
MTX01		363	Note Reference Code	O ID 3/3
			Code identifying the functional area or purpose for which the note applies	
			REP Report	
	MTX02	1551	Message Text	X AN 1/4096
			To transmit large volumes of message text	
Not Used	MTX03	1551	Message Text	O AN 1/4096
			To transmit large volumes of message text	
Not Used	MTX04	934	Printer Carriage Control Code	X ID 2/2
			A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470	Number	O N0 1/9
			A generic number	
Not Used	MTX06	819	Language Code	O ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment:	NM1 Individual or Organizational Name
Position:	0700
Loop:	NM1 Optional (Must Use)
Level:	Detail
Usage:	Optional (Must Use)
Max Use:	1
Purpose:	To supply the full name of an individual or organizational entity
Syntax Notes:	1 If either NM108 or NM109 is present, then the other is required. 2 If NM111 is present, then NM110 is required.
Semantic Notes:	1 NM102 qualifies NM103.
Comments:	1 NM110 and NM111 further define the type of entity in NM101.
Notes:	<i>When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the filing committee, treasurer, assistant treasurer, principal officers, financial institutions, sponsors, candidates, proponents, political parties, affiliated committees, and measures, as applicable.</i>

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
M	NM101	98 Entity Identifier Code	M ID 2/3
		Code identifying an organizational entity, a physical location, property or an individual	
		<i>Use this segment to qualify the entity identified in the following data elements.</i>	
	31	Postal Mailing Address	
		1. When NM101 is code FN, use this code to indicate the mailing address of the filer if different than the address of the committee.	
		2. When this code is used, NM102 should cite code 2.	
	EAA	Assistant	
		1. When NM101 is code EBN, use this code to indicate an assistant treasurer, if applicable.	
		2. When this code is used, NM102 should cite code 1, and the following segments MUST be used, if the assistant treasure is signing the form 410, to provide a PIN number that represents the entity's signature, a date of the signature, and a verification statement: 2/N9/1200, 2/DTM/1300, and 2/LQ/2100.	
	EBD	Political Party	
		1. When NM101 is code VB, use this code to indicate the political party of the of candidate or officeholder, if applicable. If the candidate or officeholder has no party affiliation, cite "non-partisan" in NM103.	
		2. When this code is used, only NM101, NM102 and NM103 should be used and NM102 should cite code 2.	
	EBH	Affiliated Committee	
		1. When NM101 is code FN and LQ02 in the 2/LQ/2100 segment is code 02-CC, use this code to indicate a controlled committee that acts jointly with the filer.	
		2. When this code is used, only NM101, NM102, NM103, NM108 and NM109 should be used and NM102 should cite code 2.	
	EBJ	Sponsor	
		1. When HL03 in the 2/HL/0100 segment is code EB,	

	<p><i>use this code to indicate a sponsor of a committee, if applicable.</i></p> <p><i>2. When this code is used, NM102 should cite code 1 or 2 and another iteration of this segment is necessary with NM101 citing code H7 to identify the industry group or affiliation of the sponsor.</i></p>
EBN	<p>Treasurer</p> <p><i>1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the treasure of the filing committee.</i></p> <p><i>2. When this code is used, NM102 should cite code 1. The following segments MUST be used if the treasurer is signing the form 410: 2/N9/1200 to provide a PIN number that represents the entity's signature, 2/DTM/1300 to provide a date of the signature, and 2/LQ/2100 to provide a verification statement.</i></p>
FN	<p>Filer Name</p> <p><i>1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the name of the committee that is filing this statement.</i></p> <p><i>2. When this code is used, NM102 should cite code 3, the 2/N9/1200 segment MUST be used to provide an electronic filer ID number, the 2/DTM/1300 segment should be used to provide the date the entity was qualified as a committee, if applicable, and the 2/LQ/2100 segment should be used to identify the type of committee and to identify that the filer is not yet qualified as a committee, if applicable.</i></p> <p><i>3. When BGN01 in the 1/BGN/0200 segment is code CO, or when LQ02 in the 2/LQ/0500 segment is code 01-TS, NM108/09 should be used to provide the state assigned identification number.</i></p>
H7	<p>Group Affiliate</p> <p><i>1. When NM101 is code EBJ, use this code to indicate the industry group or affiliation of the sponsor.</i></p> <p><i>2. When this code is used, only NM101, NM102 and NM103 should be used and NM102 should cite code 2.</i></p>
MJ	<p>Financial Institution</p> <p><i>1. Use this code to indicate the filer's financial institution.</i></p> <p><i>2. When this code is used, NM102 should cite code 2 and the following segments should be used: the 2/N9/1200 segment to identify the bank account number, the 2/DTM/1300 segment to identify the date the account was opened, and, if applicable, the 2/MTX/2000 segment to specify the disposition of surplus funds.</i></p>
OL	<p>Officer</p> <p><i>1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate a principal officer of the committee, other than the treasurer or assistant treasurer, if applicable.</i></p> <p><i>2. When this code is used, NM102 should cite code 1.</i></p>

			VB	Candidate	
				<p>1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the candidate, officeholder, or proponent that controls the committee, or the candidate/officeholder that is supported by the committee, as applicable.</p> <p>2. When this code is used, only NM101, NM102 and NM103 should be used and NM102 should cite code 1. Additionally, the following segments should be used to identify the district of the office sought or held, the year of the election, the office sought or held and, if applicable, whether the candidate/officeholder was supported or opposed: 2/N9/1200, 2/DTM/1300, and 2/LQ/2100.</p> <p>3. Additionally, when this code is used and LQ02 in the 2/LQ/2100 segment is code 02-P, the following segments MUST be used if the controlling candidate, officeholder, or proponent is signing the form 410: 2/N9/1200 to provide a PIN number that represents the entity's signature, 2/DTM/1300 to provide a date of the signature, and 2/LQ/2100 to provide a verification statement.</p> <p>4. In specific instances, another iteration of the NM1 segment may be necessary, with NM101 citing code EBD, to identify the party affiliation of the candidate or officeholder.</p>	
			ZZ	Mutually Defined	
				<p>1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the name of the ballot measure, if applicable.</p> <p>2. When this code is used, only NM101, NM102 and NM103 should be used and NM102 should cite code 2. Additionally, the 2/N9/1200 segment should be used to identify the ballot measure number/letter and the district of the measure, and the 2/LQ/2100 segment should be used to identify the jurisdiction in which the ballot measure will be voted upon.</p>	
M	NM102	1065	Entity Type Qualifier	M	ID 1/1
			Code qualifying the type of entity		
			Use this data element to identify the entity qualified in NM101 as an individual, a committee or an entity other than a person or a committee.		
			1	Person	Use this code to indicate an individual.
			2	Non-Person Entity	Use this code to indicate an entity other than an individual or a committee.
			6	Organization	Use this code to indicate a committee.
Must Use	NM103	1035	Name Last or Organization Name	O	AN 1/60
			Individual last name or organizational name		
			1. Use of this data element is REQUIRED to provide the name of an entity.		
			2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.		

			3. When NM102 is code 2 and the name of the entity is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.	
	NM104	1036	Name First Individual first name	O AN 1/35
	NM105	1037	Name Middle Individual middle name or initial	O AN 1/25
	NM106	1038	Name Prefix Prefix to individual name	O AN 1/10
	NM107	1039	Name Suffix Suffix to individual name	O AN 1/10
	NM108	66	Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67)	X ID 1/2
			1. When NM101 is code EBH or when NM101 is code FN and BGN01 in the 1/BGN/0200 segment is code CO or LQ02 in the 2/LQ/2100 segment is code 01-TS, use this data element to qualify the identification number in NM109. 2. When applicable, use of this data element is REQUIRED to provide the state assigned committee identification number. Failure to provide the identification number will be cause for the Secretary of State's office to reject the filing of the form 410.	
			75	State or Province Assigned Number
			Use this code to indicate the state assigned committee identification number.	
	NM109	67	Identification Code Code identifying a party or other code	X AN 2/80
			Use this data element to provide the state assigned committee identification number.	
Not Used	NM110	706	Entity Relationship Code Code describing entity relationship	X ID 2/2
Not Used	NM111	98	Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual	O ID 2/3

Segment: N2 Additional Name Information
Position: 0800
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify additional names
Syntax Notes:
Semantic Notes:
Comments:
Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.
2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
M	N201	93 Name	M AN 1/60
		Free-form name	
		1. When providing a moniker, insert a space after the moniker and cite the numeric 1, 2, or 3.	
		1 = moniker is before the first name 2 = moniker is between the first and middle name 3 = moniker is between the middle and last name	
	N202	93 Name	O AN 1/60
		Free-form name	

Segment: N3 Address Information
Position: 0900
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify the location of the named party
Syntax Notes:
Semantic Notes:
Comments:
Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity identified in the 2/NM1/0700 segment.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	N301	166	Address Information Address information	M AN 1/55
	N302	166	Address Information Address information	O AN 1/55

Segment:	N4 Geographic Location
Position:	1000
Loop:	NM1 Optional (Must Use)
Level:	Detail
Usage:	Optional
Max Use:	1
Purpose:	To specify the geographic place of the named party
Syntax Notes:	1 Only one of N402 or N407 may be present. 2 If N406 is present, then N405 is required. 3 If N407 is present, then N404 is required.
Semantic Notes:	
Comments:	1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location. 2 N402 is required only if city name (N401) is in the U.S. or Canada.
Notes:	1. Use this segment to provide the city, state, and zip code portion of the address applicable to the entity identified in the 2/NM1/0700 segment. 2. Use also to provide the filer's county of domicile.

Data Element Summary				
Ref.	Data			
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>	
N401	19	City Name	O	AN 2/30
		Free-form text for city name		
N402	156	State or Province Code	X	ID 2/2
		Code (Standard State/Province) as defined by appropriate government agency		
Must Use	N403	116	Postal Code	O ID 3/15
		Code defining international postal zone code excluding punctuation and blanks (zip code for United States)		
		<i>This data element MUST be used to provide a ZIP code.</i>		
Not Used	N404	26	Country Code	X ID 2/3
		Code identifying the country		
	N405	309	Location Qualifier	X ID 1/2
		Code identifying type of location		
		<i>When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide a qualifier code for county.</i>		
		CY	County/Parish	
		<i>When NM101 in the 2/NM1/0700 segment is code FN, use this code to indicate the county of domicile of the committee.</i>		
	N406	310	Location Identifier	O AN 1/30
		Code which identifies a specific location		
		<i>Use this data element to identify the county of the committee.</i>		
Not Used	N407	1715	Country Subdivision Code	X ID 1/3
		Code identifying the country subdivision		

Segment:	PER Administrative Communications Contact
Position:	1100
Loop:	NM1 Optional (Must Use)
Level:	Detail
Usage:	Optional
Max Use:	>1
Purpose:	To identify a person or office to whom administrative communications should be directed
Syntax Notes:	1 If either PER03 or PER04 is present, then the other is required. 2 If either PER05 or PER06 is present, then the other is required. 3 If either PER07 or PER08 is present, then the other is required.
Semantic Notes:	
Comments:	
Notes:	<i>Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment.</i>

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	PER01	366	Contact Function Code Code identifying the major duty or responsibility of the person or group named <i>Use this data element to qualify the party associated with the communication numbers provided in the following data elements.</i> IC Information Contact	M ID 2/2
Not Used	PER02	93	Name Free-form name	O AN 1/60
	PER03	365	Communication Number Qualifier Code identifying the type of communication number TE Telephone	X ID 2/2
	PER04	364	Communication Number Complete communications number including country or area code when applicable <i>The telephone number shall be transmitted as a continuous number. Include the area code and extension, if applicable. Concatenate the letter X plus the extension at the end of the number, e.g., 7035551212X123.</i>	X AN 1/256
	PER05	365	Communication Number Qualifier Code identifying the type of communication number EM Electronic Mail <i>Use to indicate an e-mail address.</i>	X ID 2/2
	PER06	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
	PER07	365	Communication Number Qualifier Code identifying the type of communication number FX Facsimile	X ID 2/2
	PER08	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
Not Used	PER09	443	Contact Inquiry Reference Additional reference number or description to clarify a contact number	O AN 1/20

Segment:	N9	Reference Identification
Position:	1200	
Loop:	NM1	Optional (Must Use)
Level:	Detail	
Usage:	Optional	
Max Use:	>1	
Purpose:	To transmit identifying information as specified by the Reference Identification Qualifier	
Syntax Notes:	1 At least one of N902 or N903 is required. 2 If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required.	
Semantic Notes:	1 N906 reflects the time zone which the time reflects. 2 N907 contains data relating to the value cited in N902.	
Comments:		
Notes:	1. When NM101 is code FN, also use this segment to provide a Secretary of State assigned electronic filer identification number. 2. When NM101 is code MJ, use this segment to identify the bank account number. 3. When NM101 is code ZZ, use this segment to identify the ballot measure number/letter. 4. When NM101 in the 2/NM1/0700 segment is code EAA, EBN, or VB, also use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 410.	

Data Element Summary				
	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification <i>Use this data element to qualify the number cited in N902.</i> 11 Account Number <i>Use this code when NM101 is code MJ.</i> 1W Member Identification Number <i>Use this code to indicate the Secretary of State assigned electronic filer identification number.</i> 4A Personal Identification Number (PIN) ECB Ballot Identifier	M ID 2/3
	N902	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369	Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373	Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	N907	C040	Reference Identifier	O
113CA410 (004021)			26	October 29, 1999

Not Used	C04001	128	To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	M	ID 2/3
			Reference Identification Qualifier		
Not Used	C04002	127	Code qualifying the Reference Identification	M	AN 1/50
			Reference Identification		
Not Used	C04003	128	Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	ID 2/3
			Reference Identification Qualifier		
Not Used	C04004	127	Code qualifying the Reference Identification	X	AN 1/50
			Reference Identification		
Not Used	C04005	128	Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	ID 2/3
			Reference Identification Qualifier		
Not Used	C04006	127	Code qualifying the Reference Identification	X	AN 1/50
			Reference Identification		
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		

Segment:	DTM Date/Time Reference
Position:	1300
Loop:	NM1 Optional (Must Use)
Level:	Detail
Usage:	Optional
Max Use:	>1
Purpose:	To specify pertinent dates and times
Syntax Notes:	1 At least one of DTM02 DTM03 or DTM05 is required. 2 If DTM04 is present, then DTM03 is required. 3 If either DTM05 or DTM06 is present, then the other is required.
Semantic Notes:	
Comments:	
Notes:	1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to identify the date the organization was qualified as a committee, if applicable. 2. When NM101 is code VB, use this segment to identify the year of the election, if applicable. 3. When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date the form 410 was signed. 4. When NM101 is code MJ, use this segment to date the bank account was opened.

Data Element Summary				
	Ref.	Data		Attributes
	Des.	Element	Name	
M	DTM01	374	Date/Time Qualifier	M ID 3/3
			Code specifying type of date or time, or both date and time	
			145	Opening Date
			When NM101 is code MJ, use this code to indicate the date the bank account was opened.	
			840	Election
			1. When NM101 is code VB, use this code to indicate the year of the election, if applicable.	
			2. When this code is used, DTM05/06 should be used to provide the year.	
			EDE	Executed
			When NM101 is code EAA, EBN, or VB, use this code to indicate the date the form 410 was signed, if applicable.	
			EDL	Qualified
			When NM101 is code FN, use this code to indicate the date the organization was qualified as a committee, if applicable.	
	DTM02	373	Date	X DT 8/8
			Date expressed as CCYYMMDD	
Not Used	DTM03	337	Time	X TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	
Not Used	DTM04	623	Time Code	O ID 2/2
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	
	DTM05	1250	Date Time Period Format Qualifier	X ID 2/3
113CA410 (004021)		28		October 29, 1999

Code indicating the date format, time format, or date and time format

Use this data element when DTM01 is code 840.

CY Year Expressed in Format CCYY

DTM06 1251 Date Time Period X AN 1/35

Expression of a date, a time, or range of dates, times or dates and times

Use this data element to provide the year of the election.

Segment: **NX2** Location ID Component
Position: 1400
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To define types and values of a geographic location
Syntax Notes:
Semantic Notes:
Comments:
Notes:

When NM101 in the 2/NM1/0700 segment is code FN and the committee is active in a county other than the county of domicile, use this segment to identify that county.

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	NX201	1106	Address Component Qualifier Code qualifying the type of address component 20 County	M ID 2/2
M	NX202	166	Address Information Address information	M AN 1/55
Not Used	NX203	1096	County Designator Code identifying the county within a state	O ID 5/5

Segment:	TPB Business Professional Title
Position:	1600
Loop:	NM1 Optional (Must Use)
Level:	Detail
Usage:	Optional
Max Use:	>1
Purpose:	To identify title of an individual within a company
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of TPB01 or TPB02 is required. 2 If TPB03 is present, then TPB01 is required. 3 If TPB04 is present, then TPB03 is required.
Semantic Notes:	<ol style="list-style-type: none"> 1 TPB02 is free-form description of the title.
Comments:	
Notes:	<i>When NM101 in the 2/NM1/0700 segment is code OL, use this segment to identify the title of the officer.</i>

Data Element Summary			
Ref.	Data	Name	Attributes
Des.	Element		
TPB01	1634	Business Professional Title Code	X ID 1/3
		Code identifying title of an individual within a business	
		<ol style="list-style-type: none"> 1. When NM101 is code OL, use one of the listed codes to specify the title of the principal officer. 2. When code 1O is selected, identify the title in text in TPB02. 	
		6 Assistant Treasurer	
		1O Other Title	
		1S President	
		1Y Secretary	
		2A Secretary-Treasurer	
		F Chairman	
TPB02	933	Free-Form Message Text	X AN 1/264
		Free-form message text	
		<i>When TPB01 is code 1O, use this data element to identify the title.</i>	
Not Used	TPB03	Agency Qualifier Code	X ID 2/2
		Code identifying the agency assigning the code values	
Not Used	TPB04	Source Subqualifier	O AN 1/15
		A reference that indicates the table or text maintained by the Source Qualifier	

Segment:	MTX Text
Position:	2000
Loop:	NM1 Optional (Must Use)
Level:	Detail
Usage:	Optional
Max Use:	>1
Purpose:	To specify textual data
Syntax Notes:	<ol style="list-style-type: none"> 1 If MTX01 is present, then MTX02 is required. 2 If MTX03 is present, then MTX02 is required. 3 If MTX05 is present, then MTX04 is required.
Semantic Notes:	1 MTX05 is the number of lines to advance before printing.
Comments:	1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.
Notes:	<p>1. When NM101 in the 2/NM1/0700 segment is code FN and LQ02 in the 2/LQ/2100 segment is code 02-GPC, 02-GPN, or 02-GPT, use this segment to describe the activity of the General Purpose Committee.</p> <p>2. When NM101 is code MJ, use this segment to specify the disposition of surplus funds, if applicable.</p> <p>3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.</p> <p>4. This implementation convention limits the use of this segment to one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.</p>

Data Element Summary

Ref.	Des.	Data Element	Name	Attributes
MTX01		363	Note Reference Code	O ID 3/3
			Code identifying the functional area or purpose for which the note applies	
			EAF Committee Activity	
			EAT Asset Disposition	
				Use this code when NM101 is code MJ, if applicable.
MTX02		1551	Message Text	X AN 1/4096
			To transmit large volumes of message text	
				This data element is limited to 90 characters. Exceeding this limit runs the risk of the Secretary of State's office not receiving the data.
Not Used	MTX03	1551	Message Text	O AN 1/4096
			To transmit large volumes of message text	
Not Used	MTX04	934	Printer Carriage Control Code	X ID 2/2
			A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470	Number	O N0 1/9
			A generic number	
Not Used	MTX06	819	Language Code	O ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment:	LQ Industry Code
Position:	2100
Loop:	LQ Optional
Level:	Detail
Usage:	Optional
Max Use:	1
Purpose:	Code to transmit standard industry codes
Syntax Notes:	1 If LQ01 is present, then LQ02 is required.
Semantic Notes:	
Comments:	
Notes:	<p>1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to identify the type of committee and, if applicable, that the filer is not yet qualified as a committee.</p> <p>2. When NM101 is code EAA, EBN, or VB, use this segment to provide a verification statement.</p> <p>3. When NM101 is code VB, use this segment to identify the office sought or held, and whether the candidate was supported or opposed, as applicable.</p> <p>4. When NM101 is code ZZ, use this segment to identify the jurisdiction of the ballot measure and whether it was supported or opposed.</p> <p>3. The type of committee, office, sought/held indicators, jurisdiction, committee qualification code and verification statement, are identified by a code contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.</p> <p>4. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.</p>

Data Element Summary			
Ref.	Data		
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
LQ01	1270	Code List Qualifier Code	O ID 1/3
		Code identifying a specific industry code list	
		<i>Use this data element to identify the code list that contains the code specified in LQ02.</i>	
		EAF	California Campaign Disclosure Report Codes
LQ02	1271	Industry Code	X AN 1/30
		Code indicating a code from a specific industry code list	
		<p>1. When NM101 is code FN enter a code(s) from section 02 of the California Campaign Disclosure Code List.</p> <p>2. When NM101 is code EAA or EBN, enter a code from section 04 of the California Campaign Disclosure Code List, if applicable.</p> <p>3. When NM101 is code VB, enter codes from section 03 and 04 of the California Campaign Disclosure Code List.</p> <p>4. When NM101 is code ZZ, enter codes from section 04 and 08 of the California Campaign Disclosure Code List.</p> <p>5. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.</p> <p>6. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the city, county, local or</p>	

other jurisdiction, in text.

7. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/2200 segment to identify the district.

Segment:	N9 Reference Identification
Position:	2200
Loop:	LQ Optional
Level:	Detail
Usage:	Optional
Max Use:	>1
Purpose:	To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of N902 or N903 is required. 2 If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	<ol style="list-style-type: none"> 1 N906 reflects the time zone which the time reflects. 2 N907 contains data relating to the value cited in N902.
Comments:	
Notes:	<p><i>When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.</i></p>

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification <i>Use this data element to qualify the code cited in N902.</i> DIS District Number <i>Use this code to indicate the district of the office sought/held or the ballot measure.</i>	M ID 2/3
	N902	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369	Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373	Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	N907	C040	Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O
Not Used	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
Not Used	C04002	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M AN 1/50
Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X ID 2/3
Not Used	C04004	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50

Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50

Segment:	MTX Text
Position:	2600
Loop:	LQ Optional
Level:	Detail
Usage:	Optional
Max Use:	>1
Purpose:	To specify textual data
Syntax Notes:	<ol style="list-style-type: none"> 1 If MTX01 is present, then MTX02 is required. 2 If MTX03 is present, then MTX02 is required. 3 If MTX05 is present, then MTX04 is required.
Semantic Notes:	1 MTX05 is the number of lines to advance before printing.
Comments:	1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.
Notes:	<ol style="list-style-type: none"> 1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought. 2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city, county, local or other jurisdiction. 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set. 4. This implementation convention limits the use of this segment to one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
	MTX01	363	Note Reference Code	O ID 3/3
			Code identifying the functional area or purpose for which the note applies	
			EAM Jurisdiction	
			EAQ Description of Position	
	MTX02	1551	Message Text	X AN 1/4096
			To transmit large volumes of message text	
			<i>This data element is limited to 40 characters. Exceeding this limit runs the risk of the Secretary of State's office not receiving the data.</i>	
Not Used	MTX03	1551	Message Text	O AN 1/4096
			To transmit large volumes of message text	
Not Used	MTX04	934	Printer Carriage Control Code	X ID 2/2
			A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470	Number	O N0 1/9
			A generic number	
Not Used	MTX06	819	Language Code	O ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment: **SE** Transaction Set Trailer
Position: 3600
Loop:
Level: Detail
Usage: Mandatory
Max Use: 1
Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)
Syntax Notes:
Semantic Notes:
Comments: 1 SE is the last segment of each transaction set.

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	SE01	96	Number of Included Segments	M N0 1/10
			Total number of segments included in a transaction set including ST and SE segments	
			<i>This data element identifies the total number of segments included in the transaction set being transmitted. This number includes the ST (header) and SE (trailer) segments</i>	
M	SE02	329	Transaction Set Control Number	M AN 4/9
			Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	
			<i>This data element MUST cite the same number as is cited in ST02 of the 1/ST/0100 segment.</i>	