

113 Election Campaign and Lobbyist Reporting

Functional Group ID=CL

Introduction:

This Draft Standard for Trial Use contains the format and establishes the data contents of the Election Campaign and Lobbyist Reporting Transaction Set (113) for use within the context of an Electronic Data Interchange (EDI) environment. This Bi-directional transaction set can be used by candidates, candidate committees, party committees, political action groups, lobbyists and other entities to exchange disclosure data.

Notes:

1. Recipient Committees, including Candidates, Officeholders, and their Controlled Committees and Major Donors in the state of California can use this transaction set to submit California 1994 form 450 (Recipient Committee Campaign Statement - Short Form); California form 461 (Major Donor and Independent Expenditure Committee Campaign Statement) dated 8/99; California 1994 form 465 (Supplemental Independent Expenditure Report); California 1994 form 495 (Supplemental Pre-election Campaign Statement); and California form 496 (Late Independent Expenditure Report) dated 8/99. If this IC is used to transmit a form 450, table 1 and illustrated HL loop 2/HL/0100 Must be transmitted (see note 10 below for an explanation of an illustrated HL loop). If this IC is used to submit a form 461, table 1 and the illustrated HL loop 2/HL/0101 MUST be transmitted. If this IC is used to submit a form 465, table 1 and illustrated HL loop 2/HL/0102 Must be transmitted. If this IC is used to submit a form 495, table 1 and illustrated HL loop 2/HL/0103 Must be transmitted. If this IC is used to submit a form 496, table 1 and illustrated HL loop 2/HL/0104 Must be transmitted.

2. This IC can be used to amend previously submitted data. The amended data is a total replacement of the form.

3. Throughout this implementation convention, reference is made to segments and data elements within this transaction set. Segments are identified in the following manner 1/NM1/0700. The first number identifies the table that contains the segment. The 2 or 3 characters between the slash marks identify the segment (in the example, NM1 identifies the Individual or Organizational Name segment). The last 4 numbers identify the position of the segment within the table. Thus, 1/NM1/0700 identifies the Individual or Organizational Name segment in table 1 at position 0700.

4. A combination of the segment identifier and the position of the data element within the segment, e.g., BGN06 identify data elements within segments. BGN identifies the Beginning Segment and 06 identifies data element 127 which is the sixth data element within the BGN segment.

5. Composite data elements are identified in a manner similar to data elements, e.g., AWD01-04. AWD identifies the Amount with Description segment; 01 identifies composite data element C007, which is the first data element within the segment; and -04 identifies sub data element 935, which is the fourth sub data element within the composite data element C007.

6. This transaction set contains segments and data elements that by the X12 standard are mandatory. A mandatory segment is identified by an "M" in the Requirement Designator field within the transaction set table and by the word "MANDATORY" in the Usage row on the segment page. Data elements that are mandatory by the X12 standard are identified by an "M" in the first column under the ATTRIBUTES on the segment page. Mandatory segments MUST be present in all transmissions of this transaction set. Mandatory data elements MUST be present in all mandatory segments and in those optional segments that are used in the transaction set.

7. This transaction set also contains segments and data elements whose uses are optional by the X12 standard. These segments and data elements are identified in a similar manner as are the mandatory segments and data elements except an "O" is displayed in lieu of an "M" and the word "OPTIONAL" is used in place of "MANDATORY". Optional segments and data elements may be used at the discretion of the originator of the transaction set except when the words "MUST USE" are present. In this instance, the segment or data element

MUST be present in the occurrence of the illustrated HL loop in which the annotation appears.

8. This transaction set contains segments and data elements that are optional by the X12 standard but are **REQUIRED** by this implementation convention under specified conditions. In this instance the word "REQUIRED" will appear in a note associated with the segment or data element and the condition requiring the use of the segment or data element will be specified in this note. Use of the segment, data element or code are **REQUIRED** whenever the specified condition is present.

9. This transaction set contains a HL loop (which includes all segments 2/HL/0100 through 2/MTX/3500) in table 2. This HL loop is used to transmit data applicable to a form or schedule and to categorize that data.

10. Within this 113 transaction set there is only one HL loop identified in table 2, however, this loop may be repeated an infinite number of times. For ease of understanding this implementation convention, the data required by each form or schedule is identified in a separate illustrated HL loop. The illustrated HL loops identify the segments, data elements and codes that are to be used to provide the data required by the form or schedule. The illustrated HL loops can be identified by their position numbers within the transaction set. The HL loop within this 113 transaction set starts at position 2/0100 and ends at position 2/3500. Each segment in the HL loop is at a position that is incremented by 100 from the HL segment, e.g., the second segment in the HL loop is at position 2/0200. Each of the segments in the illustrated loops is incremented by 1 from the segments in the basic HL loop, e.g., 2/0101 through 2/3501, 2/0102 through 2/3502, etc. Notes that appear in these illustrative loops will refer to segments by their ACTUAL positions within the X12 transaction set, NOT by their illustrated positions.

11. Each HL loop that starts a form or schedule **MUST** cite code RP (Report) in HL03. There may be other HL loops within a form or schedule. Each of these loops will cite a code other than RP in HL03. Each code listed in HL03 may be used **ONLY** one time in each HL loop that transmits data for a form or schedule. All HL loops **MUST** be numbered consecutively in HL01 throughout the entire transaction set.

12. Codes listed in data elements are the only codes that may be used in that data element.

13. Instructions regarding the use of this transaction set to comply with the reporting requirements of the California Secretary of State's office will appear as italicized, bolded, shaded text. Any other text that appears within this transaction set is part of the X12 standard.

14. Segment syntax and semantic notes are part of the X12 standard and **MUST** be complied with.

15. This IC accommodates all the instructions for completing a form.

Heading:

Page No.	Pos. No.	Seg. ID	Name	Req. Des.	Max.Use	Loop Repeat	Notes and Comments
8	0100	ST	Transaction Set Header	M	1		
9	0200	BGN	Beginning Segment	M	1		
Not Used	0300	N9	Reference Identification	O	>1		
Not Used	0400	DTM	Date/Time Reference	O	>1		
Not Used	0500	LQ	Industry Code	O	>1		n1
Not Used	0600	MTX	Text	O	>1		
LOOP ID - NM1						>1	
11	0700	NM1	Individual or Organizational Name	O	1		n2
13	0800	N2	Additional Name Information	O	2		
Not Used	0900	N3	Address Information	O	2		
Not Used	1000	N4	Geographic Location	O	1		
Not Used	1100	PER	Administrative Communications Contact	O	>1		
Not Used	1200	N9	Reference Identification	O	>1		
LOOP ID - AWD						>1	
Not Used	1300	AWD	Amount with Description	O	1		n3
Not Used	1400	LQ	Industry Code	O	>1		n4

Not Used	1500	MTX	Text	O	>1
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Detail:

<u>Page No.</u>	<u>Pos. No.</u>	<u>Seg. ID</u>	<u>Name</u>	<u>Req. Des.</u>	<u>Max.Use</u>	<u>Loop Repeat</u>	<u>Notes and Comments</u>
			LOOP ID - HL	>1			
14	0100	HL	Hierarchical Level	O	1		n5
			LOOP ID - N9	>1			
16	0200	N9	Reference Identification	O	1		
18	0300	DTM	Date/Time Reference	O	>1		
Not Used	0400	NX2	Location ID Component	O	>1		
19	0500	LQ	Industry Code	O	>1		n6
20	0600	MTX	Text	O	>1		
			LOOP ID - NM1	>1			
21	0700	NM1	Individual or Organizational Name	O	1		n7
25	0800	N2	Additional Name Information	O	2		
26	0900	N3	Address Information	O	2		
27	1000	N4	Geographic Location	O	1		
28	1100	PER	Administrative Communications Contact	O	>1		
29	1200	N9	Reference Identification	O	>1		
31	1300	DTM	Date/Time Reference	O	>1		
32	1400	NX2	Location ID Component	O	>1		
Not Used	1500	EMS	Employment Position	O	>1		
Not Used	1600	TPB	Business Professional Title	O	>1		
Not Used	1700	PWK	Paperwork	O	>1		
Not Used	1800	PAM	Period Amount	O	>1		
Not Used	1900	G86	Signature	O	1		
33	2000	MTX	Text	O	>1		
			LOOP ID - LQ	>1			
34	2100	LQ	Industry Code	O	1		
Not Used	2200	N9	Reference Identification	O	>1		
Not Used	2300	DTM	Date/Time Reference	O	>1		
Not Used	2400	PCT	Percent Amounts	O	>1		
Not Used	2500	QTY	Quantity	O	>1		
Not Used	2600	MTX	Text	O	>1		
			LOOP ID - AWD	>1			
35	2700	AWD	Amount with Description	O	1		
38	2800	DTM	Date/Time Reference	O	>1		
Not Used	2900	PCT	Percent Amounts	O	>1		
Not Used	3000	QTY	Quantity	O	>1		
39	3100	N9	Reference Identification	O	>1		
Not Used	3200	PDL	Payment Details	O	>1		
41	3300	LQ	Industry Code	O	>1		
42	3400	N1	Name	O	>1		
43	3500	MTX	Text	O	>1		
			LOOP ID - HL	>1			
45	0101	HL	Hierarchical Level	O	1		n8
			LOOP ID - N9	>1			
47	0201	N9	Reference Identification	O	1		
49	0301	DTM	Date/Time Reference	O	>1		

Not Used	0401	NX2	Location ID Component	O	>1	
Not Used	0501	LQ	Industry Code	O	>1	n9
50	0601	MTX	Text	O	>1	
LOOP ID - NM1						>1
51	0701	NM1	Individual or Organizational Name	O	1	n10
56	0801	N2	Additional Name Information	O	2	
57	0901	N3	Address Information	O	2	
58	1001	N4	Geographic Location	O	1	
59	1101	PER	Administrative Communications Contact	O	>1	
60	1201	N9	Reference Identification	O	>1	
62	1301	DTM	Date/Time Reference	O	>1	
Not Used	1401	NX2	Location ID Component	O	>1	
Not Used	1501	EMS	Employment Position	O	>1	
Not Used	1601	TPB	Business Professional Title	O	>1	
Not Used	1701	PWK	Paperwork	O	>1	
Not Used	1801	PAM	Period Amount	O	>1	
Not Used	1901	G86	Signature	O	1	
63	2001	MTX	Text	O	>1	
LOOP ID - LQ						>1
64	2101	LQ	Industry Code	O	1	
65	2201	N9	Reference Identification	O	>1	
Not Used	2301	DTM	Date/Time Reference	O	>1	
Not Used	2401	PCT	Percent Amounts	O	>1	
Not Used	2501	QTY	Quantity	O	>1	
67	2601	MTX	Text	O	>1	
LOOP ID - AWD						>1
68	2701	AWD	Amount with Description	O	1	
71	2801	DTM	Date/Time Reference	O	>1	
Not Used	2901	PCT	Percent Amounts	O	>1	
Not Used	3001	QTY	Quantity	O	>1	
72	3101	N9	Reference Identification	O	>1	
Not Used	3201	PDL	Payment Details	O	>1	
Not Used	3301	LQ	Industry Code	O	>1	
Not Used	3401	N1	Name	O	>1	
74	3501	MTX	Text	O	>1	
LOOP ID - HL						>1
75	0102	HL	Hierarchical Level	O	1	
LOOP ID - N9						>1
77	0202	N9	Reference Identification	O	1	
79	0302	DTM	Date/Time Reference	O	>1	
Not Used	0402	NX2	Location ID Component	O	>1	
Not Used	0502	LQ	Industry Code	O	>1	
80	0602	MTX	Text	O	>1	
LOOP ID - NM1						>1
81	0702	NM1	Individual or Organizational Name	O	1	
85	0802	N2	Additional Name Information	O	2	
86	0902	N3	Address Information	O	2	
87	1002	N4	Geographic Location	O	1	
88	1102	PER	Administrative Communications Contact	O	>1	
89	1202	N9	Reference Identification	O	>1	

91	1302	DTM	Date/Time Reference	O	>1
92	1402	NX2	Location ID Component	O	>1
Not Used	1502	EMS	Employment Position	O	>1
Not Used	1602	TPB	Business Professional Title	O	>1
Not Used	1702	PWK	Paperwork	O	>1
Not Used	1802	PAM	Period Amount	O	>1
Not Used	1902	G86	Signature	O	1
Not Used	2002	MTX	Text	O	>1
LOOP ID - LQ					>1
93	2102	LQ	Industry Code	O	1
95	2202	N9	Reference Identification	O	>1
Not Used	2302	DTM	Date/Time Reference	O	>1
Not Used	2402	PCT	Percent Amounts	O	>1
Not Used	2502	QTY	Quantity	O	>1
97	2602	MTX	Text	O	>1
LOOP ID - AWD					>1
98	2702	AWD	Amount with Description	O	1
100	2802	DTM	Date/Time Reference	O	>1
Not Used	2902	PCT	Percent Amounts	O	>1
Not Used	3002	QTY	Quantity	O	>1
101	3102	N9	Reference Identification	O	>1
Not Used	3202	PDL	Payment Details	O	>1
Not Used	3302	LQ	Industry Code	O	>1
Not Used	3402	N1	Name	O	>1
103	3502	MTX	Text	O	>1
LOOP ID - HL					>1
104	0103	HL	Hierarchical Level	O	1
LOOP ID - N9					>1
106	0203	N9	Reference Identification	O	1
108	0303	DTM	Date/Time Reference	O	>1
Not Used	0403	NX2	Location ID Component	O	>1
109	0503	LQ	Industry Code	O	>1
110	0603	MTX	Text	O	>1
LOOP ID - NM1					>1
111	0703	NM1	Individual or Organizational Name	O	1
113	0803	N2	Additional Name Information	O	2
114	0903	N3	Address Information	O	2
115	1003	N4	Geographic Location	O	1
116	1103	PER	Administrative Communications Contact	O	>1
117	1203	N9	Reference Identification	O	>1
119	1303	DTM	Date/Time Reference	O	>1
120	1403	NX2	Location ID Component	O	>1
Not Used	1503	EMS	Employment Position	O	>1
Not Used	1603	TPB	Business Professional Title	O	>1
Not Used	1703	PWK	Paperwork	O	>1
Not Used	1803	PAM	Period Amount	O	>1
Not Used	1903	G86	Signature	O	1
Not Used	2003	MTX	Text	O	>1
LOOP ID - LQ					>1
121	2103	LQ	Industry Code	O	1

Not Used	2203	N9	Reference Identification	O	>1
Not Used	2303	DTM	Date/Time Reference	O	>1
Not Used	2403	PCT	Percent Amounts	O	>1
Not Used	2503	QTY	Quantity	O	>1
Not Used	2603	MTX	Text	O	>1
LOOP ID - AWD					>1
122	2703	AWD	Amount with Description	O	1
Not Used	2803	DTM	Date/Time Reference	O	>1
Not Used	2903	PCT	Percent Amounts	O	>1
Not Used	3003	QTY	Quantity	O	>1
Not Used	3103	N9	Reference Identification	O	>1
Not Used	3203	PDL	Payment Details	O	>1
Not Used	3303	LQ	Industry Code	O	>1
Not Used	3403	N1	Name	O	>1
123	3503	MTX	Text	O	>1
LOOP ID - HL					>1
124	0104	HL	Hierarchical Level	O	1
LOOP ID - N9					>1
126	0204	N9	Reference Identification	O	1
128	0304	DTM	Date/Time Reference	O	>1
Not Used	0404	NX2	Location ID Component	O	>1
Not Used	0504	LQ	Industry Code	O	>1
129	0604	MTX	Text	O	>1
LOOP ID - NM1					>1
130	0704	NM1	Individual or Organizational Name	O	1
132	0804	N2	Additional Name Information	O	2
Not Used	0904	N3	Address Information	O	2
Not Used	1004	N4	Geographic Location	O	1
Not Used	1104	PER	Administrative Communications Contact	O	>1
133	1204	N9	Reference Identification	O	>1
Not Used	1304	DTM	Date/Time Reference	O	>1
Not Used	1404	NX2	Location ID Component	O	>1
Not Used	1504	EMS	Employment Position	O	>1
Not Used	1604	TPB	Business Professional Title	O	>1
Not Used	1704	PWK	Paperwork	O	>1
Not Used	1804	PAM	Period Amount	O	>1
Not Used	1904	G86	Signature	O	1
Not Used	2004	MTX	Text	O	>1
LOOP ID - LQ					>1
135	2104	LQ	Industry Code	O	1
136	2204	N9	Reference Identification	O	>1
Not Used	2304	DTM	Date/Time Reference	O	>1
Not Used	2404	PCT	Percent Amounts	O	>1
Not Used	2504	QTY	Quantity	O	>1
138	2604	MTX	Text	O	>1
LOOP ID - AWD					>1
139	2704	AWD	Amount with Description	O	1
141	2804	DTM	Date/Time Reference	O	>1
Not Used	2904	PCT	Percent Amounts	O	>1
Not Used	3004	QTY	Quantity	O	>1

142	3104	N9	Reference Identification	O	>1
Not Used	3204	PDL	Payment Details	O	>1
Not Used	3304	LQ	Industry Code	O	>1
Not Used	3404	N1	Name	O	>1
144	3504	MTX	Text	O	>1
145	3600	SE	Transaction Set Trailer	M	1

Transaction Set Notes

1. The LQ segment provides coded information related to a filing, such as, a notice of a late filing.
2. The NM1 segment provides name and address information regarding the sender and receiver of a filing.
3. The AWD loop provides monetary amounts covering fees and related information associated with a late filing.
4. The LQ segment provides coded information related to the monetary amounts carried in the AWD loop.
5. The HL loop divides a filing into sections such as basic report information, loans, contributions, receipts, expenditures, related parties and other information contained in a filing.
6. The LQ segment provides coded information related to an individual report identified in the N9 segment.
7. The NM1 segment provides name, address and related information for reportable entities, such as filers, committees, committee officers, and contributors.
8. The HL loop divides a filing into sections such as basic report information, loans, contributions, receipts, expenditures, related parties and other information contained in a filing.
9. The LQ segment provides coded information related to an individual report identified in the N9 segment.
10. The NM1 segment provides name, address and related information for reportable entities, such as filers, committees, committee officers, and contributors.

Segment: **ST** Transaction Set Header
Position: 0100
Loop:
Level: Heading
Usage: Mandatory
Max Use: 1
Purpose: To indicate the start of a transaction set and to assign a control number
Syntax Notes:
Semantic Notes:

- 1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).
- 2 The implementation convention reference (ST03) is used by the translation routines of the interchange partners to select the appropriate implementation convention to match the transaction set definition.

Comments:

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	ST01	143	Transaction Set Identifier Code Code uniquely identifying a Transaction Set 113 Election Campaign and Lobbyist Reporting	M ID 3/3
M	ST02	329	Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set <i>A unique number assigned by the submitter of the transaction set or the application program. This same number is cited in SE02 of the 2/SE/3600 segment.</i>	M AN 4/9
	ST03	1705	Implementation Convention Reference Reference assigned to identify Implementation Convention <i>Cite 113CA450</i>	O AN 1/35

Segment: **BGN** Beginning Segment
Position: 0200
Loop:
Level: Heading
Usage: Mandatory
Max Use: 1
Purpose: To indicate the beginning of a transaction set
Syntax Notes: 1 If BGN05 is present, then BGN04 is required.
Semantic Notes: 1 BGN02 is the transaction set reference number.
2 BGN03 is the transaction set date.
3 BGN04 is the transaction set time.
4 BGN05 is the transaction set time qualifier.
5 BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction.

Comments:

Notes: *This segment is used to provide basic information regarding the data contained within this transaction set.*

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	BGN01	353	Transaction Set Purpose Code Code identifying purpose of transaction set <i>Use this data element to identify the purpose of the data contained within this transaction set. Only one of the listed codes may be used.</i>	M ID 2/2
		00	Original <i>Use this code to indicate an original submission of the data contained within this transaction set. When this code is used, BGN07 is REQUIRED and MUST cite code EC.</i>	
		CO	Corrected <i>1. Use this code to indicate that the data contained within this transaction set has been amended from a previous submission. The amended data is a total replacement of the data that was previously submitted (i.e., form 450, or form 461, or form 465, or form 495, or form 496). 2. When this code is used, BGN06 is REQUIRED and MUST contain the unique number of the previously transmitted transaction set. 3. The unique number of the previously transmitted transaction set was carried in BGN02 of that transmission.</i>	
M	BGN02	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier <i>Use this data element to provide a unique number that can be used to identify this transmission in future communications between the submitter and receiver of this transaction set. This number is different than the number that is contained in ST02.</i>	M AN 1/50
M	BGN03	373	Date Date expressed as CCYYMMDD <i>Use this data element to identify the transmission date of this transaction set.</i>	M DT 8/8
	BGN04	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-	X TM 4/8

59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)

This data element may be used to identify the time of the transmission. Identify the time as local time in HHMM format.

Not Used	BGN05	623	Time Code	O ID 2/2
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	
	BGN06	127	Reference Identification	O AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
			<i>Use this data element to identify the unique reference number of a previously transmitted 113 transaction set. The unique reference number of the previously transmitted 113 transaction set was cited in BGN02 of that transmission. This data element is REQUIRED when BGN01 cites code CO.</i>	
	BGN07	640	Transaction Type Code	O ID 2/2
			Code specifying the type of transaction	
			<i>Use this data element is REQUIRED when BGN01 is code 00 to identify the nature of the data contained within this transaction set.</i>	
			EC	Campaign Filing
Not Used	BGN08	306	Action Code	O ID 1/2
			Code indicating type of action	
Not Used	BGN09	786	Security Level Code	O ID 2/2
			Code indicating the level of confidentiality assigned by the sender to the information following	

Segment: **NM1** Individual or Organizational Name
Position: 0700
Loop: NM1 Optional
Level: Heading
Usage: Optional
Max Use: 1
Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.
2 If NM111 is present, then NM110 is required.
Semantic Notes: 1 NM102 qualifies NM103.
Comments: 1 NM110 and NM111 further define the type of entity in NM101.
Notes: *Use this segment to identify the "from" and "to" entities of this submission.*

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	NM101	98	Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual <i>Use this segment to qualify the entity identified in the following data elements.</i> FR Message From <i>Use this code to indicate the entity submitting the data.</i> TO Message To <i>Use this code to indicate the entity that is to receive the data.</i>	M ID 2/3
M	NM102	1065	Entity Type Qualifier Code qualifying the type of entity <i>Use this data element to identify the entity qualified in NM101 as a person, a committee, or a government entity.</i> 1 Person <i>Use this code to indicate an individual.</i> 6 Organization <i>Use this code to indicate a committee.</i> G Government	M ID 1/1
Must Use	NM103	1035	Name Last or Organization Name Individual last name or organizational name <i>1. Use this data element to provide the name of an entity.</i> <i>2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.</i> <i>3. When NM102 is code 6 or G, and the name of the committee or government entity is greater than 60 characters, use the 1/N2/0800 segment to provide the balance of the name.</i>	O AN 1/60
	NM104	1036	Name First Individual first name	O AN 1/35
	NM105	1037	Name Middle Individual middle name or initial	O AN 1/25
	NM106	1038	Name Prefix Prefix to individual name	O AN 1/10
	NM107	1039	Name Suffix Suffix to individual name	O AN 1/10
Not Used	NM108	66	Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67)	X ID 1/2

Not Used	NM109	67	Identification Code Code identifying a party or other code	X	AN 2/80
Not Used	NM110	706	Entity Relationship Code Code describing entity relationship	X	ID 2/2
Not Used	NM111	98	Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual	O	ID 2/3

Segment: N2 Additional Name Information
Position: 0800
Loop: NM1 Optional
Level: Heading
Usage: Optional
Max Use: 2
Purpose: To specify additional names
Syntax Notes:
Semantic Notes:
Comments:
Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.
2. When NM102 in the 1/NM1/0700 segment is code 6 or G, use this segment to provide the balance of a committee or government organization name that is greater than 60 characters.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N201	93 Name Free-form name	M AN 1/60
		<i>1. When providing a moniker, insert a space after the moniker and cite the numeric 1, 2, or 3.</i> <i>1 = moniker is before the first name</i> <i>2 = moniker is between the first and middle name</i> <i>3 = moniker is between the middle and last name</i>	
	N202	93 Name Free-form name	O AN 1/60

Segment: **HL** Hierarchical Level
Position: 0100
Loop: HL Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To identify dependencies among and the content of hierarchically related groups of data segments

Syntax Notes:
Semantic Notes:
Comments:

- 1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.
The HL segment defines a top-down/left-right ordered structure.
- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

Notes: *This HL loop illustrates the submission of data required by California Form 450, Recipient Committee Campaign Statement - Short Form.*

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	HL01	628	Hierarchical ID Number A unique number assigned by the sender to identify a particular data segment in a hierarchical structure <i>Use this data element to provide a unique number that can identify each HL loop. It is recommended that the first HL loop be identified by the number 1 in this data element and that each successive HL loop be incremented by 1.</i>	M AN 1/12
Not Used	HL02	734	Hierarchical Parent ID Number Identification number of the next higher hierarchical data segment that the data segment being described is subordinate to	O AN 1/12
M	HL03	735	Hierarchical Level Code Code defining the characteristic of a level in a hierarchical structure <i>1. This data element is used to identify the type of data that is being transmitted within the current HL loop.</i> <i>2. Each of the listed codes MUST be used only one time.</i>	M ID 1/2
		EB	Filer <i>1. Use this code to indicate that the data which follows in this HL loop is applicable to the entity that is submitting the data contained in this form 450SF.</i> <i>2. When this code is used, the 2/NM1/0700 loop MUST be used to identify the filer and all associated entities, and the 2/AWD/2700 loop MUST be used to provide summary amounts.</i>	
		EF	Expenditures <i>1. Use this code to indicate data related to</i>	

expenditures and contributions made is being provided.

2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all of the payees and all associated entities, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

RP

Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted (e.g., form number, type of recipient committee, type of statement, period covered, and election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used

HL04

736

Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification
Position: 0200
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

When HL03 in the 2/HL/0100 segment is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	128 Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
		FQ Form Number <i>Use this code to indicate the number of the form that is being transmitted, i.e., F450SF.</i>	
		O8 Original Filing <i>When BGN01 in the 1/BGN/0200 segment cites code 00, use of this code is REQUIRED to identify the original filing number. When this code is used, always cite 000 in N902. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 450.</i>	
		OJ Amendment Filing <i>When BGN01 in the 1/BGN/0200 segment is code CO, use of this code is REQUIRED to indicate the filing amendment number. When this code is used, the first amended filing should cite 001. Subsequent amended filings should increment the amended filing number by 1, e.g., 002, 003, etc. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 450.</i>	
	N902	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369 Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373 Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337 Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623 Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a	O ID 2/2

			restricted character, + and - are substituted by P and M in the codes that follow	
Not Used	N907	C040	Reference Identifier	O
			To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	
Not Used	C04001	128	Reference Identification Qualifier	M ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04002	127	Reference Identification	M AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	C04003	128	Reference Identification Qualifier	X ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04004	127	Reference Identification	X AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	C04005	128	Reference Identification Qualifier	X ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04006	127	Reference Identification	X AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	

Segment: **DTM** Date/Time Reference
Position: 0300
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is **REQUIRED** to identify the reporting period for the data contained in form 450. If the required dates are not provided, the Secretary of State's office will reject the filing of this form 450.
2. When LQ02 in the 2/LQ/0500 segment cites code 01-PE or 01-SE, also use this segment to provide the date of the election.

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time <i>Use this data element to cite a code that qualifies the date identified in DTM02.</i>	M ID 3/3
		193	Period Start <i>Use this code to indicate the "from" date for a reporting period.</i>	
		194	Period End <i>Use this code to indicate the "through" date for a reporting period.</i>	
		840	Election <i>Use this code to indicate the date of an election, if applicable.</i>	
	DTM02	373	Date Date expressed as CCYYMMDD <i>Use this data element to identify the date applicable to the qualifier code cited in DTM01.</i>	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: LQ Industry Code
Position: 0500
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes:

1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is **REQUIRED** to identify the Type of Statement being filed by form 450. If a Type of Statement code is not provided, the Secretary of State's office will reject the filing of this form 450.
2. The Type of Statement is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data Element Summary

<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
LQ01	1270	Code List Qualifier Code Code identifying a specific industry code list	O ID 1/3
<i>Use this data element to identify the code list that contains the code specified in LQ02.</i>			
		EAF California Campaign Disclosure Report Codes	
LQ02	1271	Industry Code Code indicating a code from a specific industry code list	X AN 1/30
<i>Use this data element to specify a Type of Statement code. Enter a code from section 01 of the California Campaign Disclosure Code List.</i>			

Segment: **MTX** Text
Position: 0600
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. *Use this segment to provide additional text information related to the report being filed.*
2. *The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.*
3. *This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.*

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
<u>Des.</u>	<u>Element</u>		
MTX01	363	Note Reference Code Code identifying the functional area or purpose for which the note applies REP Report	O ID 3/3
	MTX02	Message Text To transmit large volumes of message text	X AN 1/4096
Not Used	MTX03	Message Text To transmit large volumes of message text	O AN 1/4096
Not Used	MTX04	Printer Carriage Control Code A field to be used for the control of the line feed of the receiving printer	X ID 2/2
Not Used	MTX05	Number A generic number	O N0 1/9
Not Used	MTX06	Language Code Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	O ID 2/3

Segment: **NM1** Individual or Organizational Name
Position: 0700
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.
 2 If NM111 is present, then NM110 is required.
Semantic Notes: 1 NM102 qualifies NM103.
Comments: 1 NM110 and NM111 further define the type of entity in NM101.
Notes: 1. When HL03 in the 2/HL/0100 segment is code EB, use this segment as any times as necessary to identify the entity filing form 450 and all entities associated with the filer (treasurer or signer).
 2. When HL03 is code EF, use this segment as many times as necessary to identify all payees and associated entities (treasurer of a committee, vendor, or party holding interest).
 3. Each entity identified in NM101 that is associated with another entity (e.g., a treasurer of a committee), MUST be assigned a cross reference number in the 2/N9/1200 segment. The cross reference number will link entities, therefore MUST be unique and the same for each group of related entities. Cite code 6O in N901 and provide the cross reference number in N902.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	NM101	Entity Identifier Code	M ID 2/3
		Code identifying an organizational entity, a physical location, property or an individual	
		<i>Use this segment to qualify the entity identified in the following data elements.</i>	
	EAX	Party Holding Interest	
		<p>1. When HL03 in the 2/HL/0100 segment is code EF and NM101 is code PE and the payee is a business entity in which a candidate or person controlling the committee; or an officer or employee of the committee; or a spouse of any of the above; has a 50% ownership; or is an officer partner, consultant or employee of the business; use this code to indicate that party.</p> <p>2. When this code is used, use NM110 to identify the ownership interest or position with the payee, and NM111 to identify the relationship to the filer (ballot measure committee). Additionally, the 2/N9/1200 segment MUST be used to provide a cross reference number.</p> <p>3. Use of this code is applicable only when the filer is a Ballot Measure Committee</p>	
	EBN	Treasurer	
		<p>1. When HL03 in the 2/HL/0100 segment is code EB and NM101 is code FN, use of this code is REQUIRED to indicate the treasure of the filing committee.</p> <p>2. When HL03 in the 2/HL/0100 segment is code EF and NM101 is code PE, use of this code MAY be required if the payee is a committee and a state</p>	

identification number has not yet been assigned.

3. When this code is used, NM102 should cite code 1, and the 2/N9/1200 segment MUST be used to provide a cross reference number applicable to the entity. The following segments should be used if the identified treasurer is signing the form 450: 2/N9/1200 to provide a PIN number that represents the entity's signature, 2/DTM/1300 to provide a date of the signature, and 2/LQ/2100 to provide a verification statement.

FN

Filer Name

1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the entity submitting the data.

2. When this code is used, NM102 should cite code 6 and the following MUST be used: NM108/109 to provide the state assigned committee identification number, the 2/N9/1200 segment to provide a cross reference number applicable to the entity and to provide a Secretary of State assigned electronic filer identification number, the 2/LQ/2100 segment to identify the type of committee, and the 2/AWD/2700 loop to provide summary amounts.

PE

Payee

1. When HL03 in the 2/HL/0100 segment is code EF, use of this code is REQUIRED to indicate the payee of an expenditure or a contribution.

2. If the payee is different than the vendor who provided the goods or services, another iteration of this NM1 loop is necessary citing code VN in NM101, and the 2/N9/1200 segment MUST be used to provide a cross reference number.

3. When this code is used and NM102 is code 6, provide the committee's state assigned identification number in NM109 and cite code 75 in NM108. If a state identification number has not been assigned, another iteration of this segment is necessary citing code EBN in NM101 and the 2/N9/1200 segment MUST be used to provide a cross reference number.

4. When this code is used, NM102 may cite code 1, 2, or 6, and the 2/AWD/2700 loop MUST be used to provide the expenditure or contribution amount.

UZ

Signer

1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the additional officeholders/candidates/proponents, who control the committee and must sign the form 450, or a responsible officer who is signing the form 450.

2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location where the document was signed, and a verification statement: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.

VN Vendor

1. When HL03 in the 2/HL/0100 segment is code EF and NM101 is code PE, use this code to indicate the vendor who provided the goods or service if different than the payee.

2. When this code is used, NM102 may be code 1, 2, or 6, and the 2/N9/1200 segment MUST be used to provide a cross reference number.

M NM102 1065 Entity Type Qualifier M ID 1/1
Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

1 Person

Use this code to indicate an individual.

2 Non-Person Entity

Use this code to indicate an entity other than an individual or committee.

6 Organization

Use this code to indicate a committee.

Must Use NM103 1035 Name Last or Organization Name O AN 1/60
Individual last name or organizational name

1. Use of this data element is REQUIRED to provide the name of an entity.

2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.

3. When NM102 is code 2 or 6 and the name of the entity or committee is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.

NM104 1036 Name First O AN 1/35
Individual first name

NM105 1037 Name Middle O AN 1/25
Individual middle name or initial

NM106 1038 Name Prefix O AN 1/10
Prefix to individual name

NM107 1039 Name Suffix O AN 1/10
Suffix to individual name

NM108 66 Identification Code Qualifier X ID 1/2
Code designating the system/method of code structure used for Identification Code (67)

1. When NM101 is code FN or PE, and NM102 is code 6, use this data element to qualify the identification number in NM109.

2. When NM101 is code FN, use of this data element is REQUIRED to provide the state assigned committee identification number, if one has been assigned. Failure to provide the identification number will be cause for the Secretary of State's office to reject the filing of the form 450. If an identification number has not yet been received, use the 2/LQ/2100 segment to identify that condition.

75 State or Province Assigned Number

Use this code to indicate the state assigned committee identification number.

NM109 67 Identification Code X AN 2/80
Code identifying a party or other code

Use this data element to provide the state assigned committee identification number.

NM110

706

Entity Relationship Code

X ID 2/2

Code describing entity relationship

1. When NM101 is code EAX, use this data element to identify the relationship (ownership interest or position within the payee organization) of the entity to the payee.

2. If no listed code adequately specifies the relationship, cite code 65 and use the 2/MTX/2000 segment to describe the relationship in text.

- 11 Partner
- 38 Owner
- 45 Business Associate

Use this code to indicate a relationship to the payee, e.g., a supplier, a consultant, a lender, etc. When this code is used, the 2/MTX/2000 segment may be used to further describe the relationship.

- 48 Employee
- 65 Other

1. Use this code when no other code can adequately specify a relationship to the payee.

2. When this code is used, use the 2/MTX/2000 segment to describe the relationship in text.

- 78 Stockholder
- 91 Officer
- 99 Director

NM111

98

Entity Identifier Code

O ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

1. When NM101 is code EAX, use this data element to identify the relationship of the entity to the filer (ballot measure committee).

2. If no listed code adequately specifies the relationship, cite code IAV and use the 2/MTX/2000 segment to describe the relationship in text.

- AAP Employee
- IAG Director

Use this code to indicate a person controlling a committee.

- IAV Other Related Party

1. Use this code when no other code can adequately specify a relationship to the payee.

2. When this code is used, use the 2/MTX/2000 segment to describe the relationship in text.

- OL Officer
- VB Candidate
- X4 Spouse

Use this code to indicate the spouse of an entity who has a relationship to the filer (ballot measure committee).

Segment: N2 Additional Name Information
Position: 0800
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify additional names
Syntax Notes:
Semantic Notes:
Comments:
Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.
2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	
M	N201	93 Name Free-form name	M AN 1/60
		<i>1. When providing a moniker, insert a space after the moniker and cite the numeric 1, 2, or 3.</i> <i>1 = moniker is before the first name</i> <i>2 = moniker is between the first and middle name</i> <i>3 = moniker is between the middle and last name</i>	
	N202	93 Name Free-form name	O AN 1/60

Segment: N3 Address Information
Position: 0900
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify the location of the named party
Syntax Notes:
Semantic Notes:
Comments:
Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity identified in the 2/NM1/0700 segment.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	N301	166	Address Information Address information	M AN 1/55
	N302	166	Address Information Address information	O AN 1/55

Segment: **N4 Geographic Location**
Position: 1000
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify the geographic place of the named party
Syntax Notes:

- 1 Only one of N402 or N407 may be present.
- 2 If N406 is present, then N405 is required.
- 3 If N407 is present, then N404 is required.

Semantic Notes:
Comments:

- 1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.
- 2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: *Use this segment to provide the city, state, and zip code portion of the address applicable to the entity identified in the 2/NM1/0700 segment.*

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
	N401	19	City Name Free-form text for city name	O AN 2/30
	N402	156	State or Province Code Code (Standard State/Province) as defined by appropriate government agency	X ID 2/2
Must Use	N403	116	Postal Code Code defining international postal zone code excluding punctuation and blanks (zip code for United States) <i>This data element MUST be used to provide a ZIP code.</i>	O ID 3/15
Not Used	N404	26	Country Code Code identifying the country	X ID 2/3
Not Used	N405	309	Location Qualifier Code identifying type of location	X ID 1/2
Not Used	N406	310	Location Identifier Code which identifies a specific location	O AN 1/30
Not Used	N407	1715	Country Subdivision Code Code identifying the country subdivision	X ID 1/3

Segment: **PER Administrative Communications Contact**
Position: 1100
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To identify a person or office to whom administrative communications should be directed
Syntax Notes:

- 1 If either PER03 or PER04 is present, then the other is required.
- 2 If either PER05 or PER06 is present, then the other is required.
- 3 If either PER07 or PER08 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	PER01	366	Contact Function Code Code identifying the major duty or responsibility of the person or group named <i>Use this data element to qualify the party associated with the communication numbers provided in the following data elements.</i> IC Information Contact	M ID 2/2
Not Used	PER02	93	Name Free-form name	O AN 1/60
	PER03	365	Communication Number Qualifier Code identifying the type of communication number TE Telephone	X ID 2/2
	PER04	364	Communication Number Complete communications number including country or area code when applicable <i>The telephone number shall be transmitted as a continuous number. Include the area code and extension, if applicable. Concatenate the letter X plus the extension at the end of the number, e.g., 7035551212X123.</i>	X AN 1/256
	PER05	365	Communication Number Qualifier Code identifying the type of communication number EM Electronic Mail <i>Use to indicate an e-mail address.</i>	X ID 2/2
	PER06	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
	PER07	365	Communication Number Qualifier Code identifying the type of communication number FX Facsimile	X ID 2/2
	PER08	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
Not Used	PER09	443	Contact Inquiry Reference Additional reference number or description to clarify a contact number	O AN 1/20

Segment: N9 Reference Identification
Position: 1200
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code EAX, EBN, FN, PE, or VN, use of this segment is **REQUIRED** to provide a cross reference number that associates one entity to another. The cross reference number **MUST** be unique and the same for each group of related entities.

2. When NM101 is code FN, also use this segment to provide a Secretary of State assigned electronic filer identification number.

3. When NM101 in the 2/NM1/0700 segment is code EBN (the treasurer of the reporting committee), or UZ, also use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 450.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification <i>Use this data element to qualify the number cited in N902.</i>	M ID 2/3
			1W Member Identification Number <i>Use this code to indicate the Secretary of State assigned electronic filer identification number.</i>	
			4A Personal Identification Number (PIN)	
			6O Cross Reference Number <i>Use this code to indicate a cross reference number that is used to associate entities identified in the 2/NM1/0700 segment.</i>	
	N902	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369	Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373	Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2

Not Used	N907	C040	Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O
Not Used	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
Not Used	C04002	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M AN 1/50
Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X ID 2/3
Not Used	C04004	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50

Segment: **DTM** Date/Time Reference
Position: 1300
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:

Comments:

Notes:

When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date the form 450 was signed.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time EDE Executed <i>Use this code to indicate the date the form 450 was signed.</i>	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: **NX2** Location ID Component
Position: 1400
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To define types and values of a geographic location
Syntax Notes:
Semantic Notes:
Comments:
Notes:

When N901 in the 2/N9/1200 segment cites code 4A, use this segment to identify the location where the form 450 was signed.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	NX201	1106	Address Component Qualifier Code qualifying the type of address component 17 Unstructured City and State	M ID 2/2
M	NX202	166	Address Information Address information <i>Use this segment to identify the city and state where the form 450 was signed. The full name of the city, followed by a comma, followed by the 2 position state code is preferred.</i>	M AN 1/55
Not Used	NX203	1096	County Designator Code identifying the county within a state	O ID 5/5

Segment: **MTX** Text

Position: 2000

Loop: NM1 Optional (Must Use)

Level: Detail

Usage: Optional

Max Use: >1

Purpose: To specify textual data

Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. *When NM110 in the 2/NM1/0700 segment is code 45 or 65, use this segment to identify the entity's relationship to the payee.*
2. *When NM111 in the 2/NM1/0700 segment is code IAV, use this segment to identify the entity's relationship to the filer (ballot measure committee).*
3. *The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.*
4. *This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per NM1 loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.*

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
MTX01	363	Note Reference Code	O ID 3/3
		Code identifying the functional area or purpose for which the note applies	
		CAC Relationship Information	
		<i>When NM111 in the 2/NM1/0700 segment is code IAV, use this code to indicate the relationship to the filer (ballot measure committee).</i>	
		EBL Other Type of Relationship	
		<i>When NM110 is code 45 or 65, use this code to indicate the relationship to the payee.</i>	
MTX02	1551	Message Text	X AN 1/4096
		To transmit large volumes of message text	
		<i>This data element is limited to 90 characters. Exceeding this limit runs the risk of the Secretary of State's office not receiving the data.</i>	
Not Used	MTX03	1551 Message Text	O AN 1/4096
		To transmit large volumes of message text	
Not Used	MTX04	934 Printer Carriage Control Code	X ID 2/2
		A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470 Number	O N0 1/9
		A generic number	
Not Used	MTX06	819 Language Code	O ID 2/3
		Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment: LQ Industry Code
Position: 2100
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to identify the type of committee applicable to the filer, and to identify that a state assigned committee identification number has not been received, if applicable.
2. When NM101 is code EBN (and the treasurer is signing the form 450), or code UZ, use this segment to provide a verification statement.
3. The type of committee code and verification statement, are identified by a code contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
4. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
LQ01	1270	Code List Qualifier Code Code identifying a specific industry code list <i>Use this data element to identify the code list that contains the code specified in LQ02.</i>	O ID 1/3
		EAF California Campaign Disclosure Report Codes	
LQ02	1271	Industry Code Code indicating a code from a specific industry code list <i>1. When NM101 is code FN enter a code from section 02 of the California Campaign Disclosure Code List.</i> <i>2. When NM101 is code EBN or UZ, enter a code from section 04 of the California Campaign Disclosure Code List.</i>	X AN 1/30

Segment: **AWD** Amount with Description
Position: 2700
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify a code and/or description with an associated amount
Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.
 2 At least one of AWD02 or AWD03 is required.
Semantic Notes: 1 AWD03 is used when information source provides non-numeric values.
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts.
2. When NM101 in the 2/NM1/0700 segment is code PE, use this segment to provide expenditure amounts. When NM101 is code PE, each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 09) to qualify the following amounts:

SUMMARY PAGE AMOUNTS (amounts applicable when NM101 is code FN)

450 summary Line 1:
 AWD01-01 code C0
 AWD01-09 code 05-A1

450 summary Line 2:
 AWD01-01 code C0
 AWD01-09 code 05-A2

450 summary Line 3:
 AWD01-01 code C0
 AWD01-03 code Z

450 summary Line 4:
 AWD01-01 code C0
 AWD01-02 code 7T
 AWD01-03 code 8

450 summary Line 5:
 AWD01-01 code C0
 AWD01-03 code 8

450 summary Line 6:
 AWD01-01 code 93
 AWD01-02 code 4L

450 summary Line 7:
 AWD01-01 code EBG

450 summary Line 8:
 AWD01-01 code 93
 AWD01-02 code 7T
 AWD01-03 code 8

450 summary Line 9:
 AWD01-01 code 93
 AWD01-03 code 8

450 summary Line 10:
 AWD01-01 code J9

450 summary Line 11:
 AWD01-01 code BAT

450 summary Line 12:
 AWD01-01 code ZR

450 summary Line 13:
 AWD01-01 code C0

450 summary Line 14:
 AWD01-01 code J8

SECTION IV AMOUNT (amounts applicable when NM101 is code PE)

Amount this Period:

AWD01-01 code 93, EBB, or EBG (depending on type of amount)

AWD01 02 code 4L (only when AWD01-01 is code 93)

When AWD01-01 is code EBG, a second iteration of the AWD segment may be necessary to provide the fair market value of the goods or services if different from the amount paid. In that instance, the second iteration should be as follows:

Fair Market Value:

AWD01-01 code FM

Cumulative Calendar Year to Date:

AWD01-01 code 93, EBB, or EBG (depending on type of amount)

AWD01 02 code 4L (only when AWD01-01 is code 93)

AWD01-03 code 2

AWD01-05 code EA

Cumulative to Date Other:

AWD01-01 code 93, EBB, or EBG (depending on type of amount)

AWD01 02 code 4L (only when AWD01-01 is code 93)

AWD01-03 code 2

AWD01-05 code EE

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
M	AWD01	C007 Amount Qualifying Description To qualify an amount <i>Use this composite data element to provide multiple qualifies that identify the amount cited in AWD02.</i>	M
M	C00701	522 Amount Qualifier Code Code to qualify amount 93 Contribution <i>Use this code in conjunction with AWD01-02 code 4L to indicate a cash contribution or in conjunction with AWD01-03 code 8 to indicate total contributions.</i> BAT Gross Receipts <i>Use this code to indicate cash receipts.</i> C0 Current Expenditures EBB Expenditure Amount <i>Use this code to indicate an independent expenditure.</i> EBG In-Kind Contribution <i>Use this code to indicate non-monetary contributions.</i> FM Fair Market Value <i>When a previous iteration of the AWD segment cites code EBG in AWD01-01, use this code to identify the fair market value of the goods or services if different from the amount paid and identified by use of code EBG.</i> J8 Ending Balance <i>Use this code to indicate ending cash balance.</i> J9 Beginning Balance <i>Use this code to indicate beginning cash balance.</i> ZR Increase <i>Use this code to indicate miscellaneous increases to cash.</i>	M ID 1/3

	C00702	522	Amount Qualifier Code Code to qualify amount 4L Cash 7T Prior Results Carried Forward	O ID 1/3
	C00703	1638	Value Detail Code Code identifying extent of an amount or quantity 2 Cumulative 8 Total Z Mutually Defined <i>Use this code to indicate a subtotal.</i>	O ID 1/1
Not Used	C00704	935	Measurement Significance Code Code used to benchmark, qualify or further define a measurement value	O ID 2/2
	C00705	344	Unit of Time Period or Interval Code indicating the time period or interval EA Calendar Year-to-Date EE To Date	O ID 2/2
Not Used	C00706	1637	Net/Gross Code Code indicating whether monetary values are net or gross	O ID 1/1
Not Used	C00707	935	Measurement Significance Code Code used to benchmark, qualify or further define a measurement value	O ID 2/2
Not Used	C00708	352	Description A free-form description to clarify the related data elements and their content	O AN 1/80
	C00709	1271	Industry Code Code indicating a code from a specific industry code list <i>1. Use this data element to cite a code from the California Campaign Disclosure Code List Section 05.</i> <i>2. Cite either code 05-A1 or 05-A2 only.</i>	O AN 1/30
	AWD02	782	Monetary Amount Monetary amount <i>Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.</i>	X R 1/18
Not Used	AWD03	61	Free-Form Message Free-form information	X AN 1/30
Not Used	AWD04	100	Currency Code Code (Standard ISO) for country in whose currency the charges are specified	O ID 3/3

Segment: **DTM** Date/Time Reference
Position: 2800
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

1. Use this segment to identify the date of an expenditure.
2. When the amount provided in the 2/AWD/2700 segment identifies payments or reimbursements for travel expenses or accommodations of a candidate, his representative or member of his household, this segment is also used to identify the date or dates of the travel. The destination of the travel should be identified in the 2/N1/3400 segment citing code EBQ in N101.

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier	M ID 3/3
			Code specifying type of date or time, or both date and time	
			193 Period Start	
			<i>Use this code to indicate the travel start date.</i>	
			194 Period End	
			<i>Use this code to indicate the travel end date.</i>	
			666 Date Paid	
			AB5 Travel	
			<i>Use this code to indicate a discrete travel date.</i>	
	DTM02	373	Date	X DT 8/8
			Date expressed as CCYYMMDD	
			<i>Use this data element to identify the date of the contribution.</i>	
Not Used	DTM03	337	Time	X TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	
Not Used	DTM04	623	Time Code	O ID 2/2
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	
Not Used	DTM05	1250	Date Time Period Format Qualifier	X ID 2/3
			Code indicating the date format, time format, or date and time format	
Not Used	DTM06	1251	Date Time Period	X AN 1/35
			Expression of a date, a time, or range of dates, times or dates and times	

Segment: N9 Reference Identification
Position: 3100
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code PE, use of this segment is **REQUIRED** to provide a **PERMANENT** and **UNIQUE** monetary transaction identifier applicable to amounts associated with a payee. This monetary transaction identifier **MUST** be the same for all amounts within the same monetary transaction associated with a payee. This same monetary transaction identifier **MUST** be used in all schedules where the monetary transaction is provided and in amendments to this data.
2. The Secretary of State's office will reject the filing of the form 450 if this transaction identifier is not a provided.
3. When NM101 is code PE and the expenditure or contribution was made for a ballot measure, use this segment to identify the ballot measure number or letter and its name.
4. When LQ02 in the 2/LQ/3300 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	128 Reference Identification Qualifier	M ID 2/3
		Code qualifying the Reference Identification	
		DIS District Number	
		ECB Ballot Identifier	
		TN Transaction Reference Number	
		<i>Use this code to indicate the ballot measure number or letter. Specify the ballot measure name in N903.</i>	
		<i>Use this code to indicate a monetary transaction identifier applicable to an amount. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with an entity. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments.</i>	
	N902	127 Reference Identification	X AN 1/50
		Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
	N903	369 Free-form Description	X AN 1/45
		Free-form descriptive text	
		<i>When N901 is code ECB, use this data element to identify the name of the ballot measure. Abbreviate if necessary.</i>	
Not Used	N904	373 Date	O DT 8/8
		Date expressed as CCYYMMDD	

Not Used	N905	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X	TM 4/8
Not Used	N906	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O	ID 2/2
Not Used	N907	C040	Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O	
Not Used	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification	M	ID 2/3
Not Used	C04002	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M	AN 1/50
Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04004	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50
Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50

Segment: LQ Industry Code
Position: 3300
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code PE, and the expenditure or contribution was made for a ballot measure, this segment is used to identify the jurisdiction in which the ballot measure will be voted upon and whether it is supported or opposed.
2. When NM101 is code PE, and the expenditure or contribution was made for a candidate, this segment is used to identify the office sought/held, whether the candidate is supported or opposed, and the jurisdiction of the candidate.
3. The office sought/held, jurisdiction and support or oppose indicators are identified by codes contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
4. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
LQ01	1270	Code List Qualifier Code	O ID 1/3
		Code identifying a specific industry code list	
		EAF California Campaign Disclosure Report Codes	
LQ02	1271	Industry Code	X AN 1/30
		Code indicating a code from a specific industry code list	

1. When NM101 in the 2/NM1/0700 segment is code PE and the expenditure or contribution was made for a ballot measure, use this data element to cite a code from section 04 and 08 of the California Campaign Disclosure Code List.
2. When NM101 is code PE and the expenditure or contribution was made for a candidate, enter codes from sections 03 and/or 08 of the California Campaign Disclosure Code List.
3. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.
4. When 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/3500 segment to identify the city, county, local, or other jurisdiction, in text.
5. When code 08-SEN, 08-ASM, 08-BOE is cited in this data element, use the 2/N9/3100 segment to identify the district.

Segment: **N1** Name
Position: 3400
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To identify a party by type of organization, name, and code
Syntax Notes: 1 At least one of N102 or N103 is required.
2 If either N103 or N104 is present, then the other is required.
Semantic Notes:
Comments: 1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
2 N105 and N106 further define the type of entity in N101.
Notes: 1. *When NMI01 in the 2/NM1/0700 segment is code PE and the expenditure or contribution was made for a candidate, use this data element to identify the candidate.*
2. *When DTM01 in the 2/DTM/2800 segment is code 193, 194 or AB5, use this segment to identify the destination of the travel.*

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N101	98	Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual EBQ Lodging Location <i>Use this code to indicate the destination of the travel.</i> VB Candidate	M ID 2/3
Must Use	N102	93	Name Free-form name <i>1. Use this data element to provide the full name of the entity or location.</i> <i>2. If the entity is an individual, use the following format: Prefix, last name, first name, middle initial, suffix (if applicable).</i>	X AN 1/60
Not Used	N103	66	Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67)	X ID 1/2
Not Used	N104	67	Identification Code Code identifying a party or other code	X AN 2/80
Not Used	N105	706	Entity Relationship Code Code describing entity relationship	O ID 2/2
Not Used	N106	98	Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual	O ID 2/3

Segment: **MTX** Text
Position: 3500
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

- Notes:**
1. Use this segment also to provide additional text information related to the amount cited in the 2/AWD/2700 segment (e.g. a description of the expenditure, a description of the goods or services, or other information).
 2. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.
 3. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city, county, local or other jurisdiction.
 4. Use this segment also to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
 5. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
 6. This implementation convention limits the use of this segment to one time for each code listed in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
MTX01	363	Note Reference Code	O ID 3/3
		Code identifying the functional area or purpose for which the note applies	
		ADD Additional Information	
		EAM Jurisdiction	
		EAQ Description of Position	
		ECA In-Kind Contribution	
		PMT Payment	
MTX02	1551	Message Text	X AN 1/4096
		To transmit large volumes of message text	
		1. When MTX01 is code ADD, the full 4096 characters may be used in this data element.	
		2. When MTX01 is code ECA, or PMT, this data element is limited to 90 characters. Exceeding this limit runs the risk of the data not being received by the Secretary of State's office.	
		3. When MTX01 is code EAM or EAQ, data element is limited to 40 characters. Exceeding this limit runs the risk of the data not being received by the Secretary of State's office.	
Not Used	MTX03	1551 Message Text	O AN 1/4096
		To transmit large volumes of message text	
Not Used	MTX04	934 Printer Carriage Control Code	X ID 2/2

Not Used	MTX05	1470	Number	O N0 1/9
			A field to be used for the control of the line feed of the receiving printer	
			A generic number	
Not Used	MTX06	819	Language Code	O ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment: **HL** Hierarchical Level
Position: 0101
Loop: HL Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To identify dependencies among and the content of hierarchically related groups of data segments

Syntax Notes:
Semantic Notes:
Comments:

- 1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.
The HL segment defines a top-down/left-right ordered structure.
- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

Notes: *This HL loop illustrates the submission of data required by California Form 461, Major Donor and Independent Expenditure Committee Campaign Statement.*

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	HL01	628	Hierarchical ID Number A unique number assigned by the sender to identify a particular data segment in a hierarchical structure <i>Use this data element to provide a unique number that can identify each HL loop. It is recommended that the first HL loop be identified by the number 1 in this data element and that each successive HL loop be incremented by 1.</i>	M AN 1/12
Not Used	HL02	734	Hierarchical Parent ID Number Identification number of the next higher hierarchical data segment that the data segment being described is subordinate to	O AN 1/12
M	HL03	735	Hierarchical Level Code Code defining the characteristic of a level in a hierarchical structure <i>1. This data element is used to identify the type of data that is being transmitted within the current HL loop.</i> <i>2. Each of the listed codes MUST be used only one time.</i>	M ID 1/2
		EB	Filer <i>1. Use this code to indicate that the data which follows in this HL loop is applicable to the entity that is submitting the data contained in this form 461.</i> <i>2. When this code is used, the 2/NM1/0700 loop MUST be used to identify the filer and all associated entities, and the 2/AWD/2700 loop MUST be used to provide summary amounts.</i>	
		EF	Expenditures <i>1. Use this code to indicate data related to</i>	

expenditures and contributions made is being provided.

2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all of the payees and all associated entities, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

RP

Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted (e.g., form number, type of recipient committee, type of statement, period covered, and election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used

HL04

736

Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification
Position: 0201
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

When HL03 in the 2/HL/0100 segment is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	128 Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
		FQ Form Number <i>Use this code to indicate the number of the form that is being transmitted, i.e., F461.</i>	
		O8 Original Filing <i>When BGN01 in the 1/BGN/0200 segment cites code 00, use of this code is REQUIRED to identify the original filing number. When this code is used, always cite 000 in N902. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 461.</i>	
		OJ Amendment Filing <i>When BGN01 in the 1/BGN/0200 segment is code CO, use of this code is REQUIRED to indicate the filing amendment number. When this code is used, the first amended filing should cite 001. Subsequent amended filings should increment the amended filing number by 1, e.g., 002, 003, etc. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 461.</i>	
	N902	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369 Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373 Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337 Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623 Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a	O ID 2/2

			restricted character, + and - are substituted by P and M in the codes that follow	
Not Used	N907	C040	Reference Identifier	O
			To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	
Not Used	C04001	128	Reference Identification Qualifier	M ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04002	127	Reference Identification	M AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	C04003	128	Reference Identification Qualifier	X ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04004	127	Reference Identification	X AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	C04005	128	Reference Identification Qualifier	X ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04006	127	Reference Identification	X AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	

Segment: **DTM** Date/Time Reference
Position: 0301
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is **REQUIRED** to identify the reporting period for the data contained in form 461. If the required dates are not provided, the Secretary of State's office will reject the filing of this form 461.
2. This segment is also used to provide the date of an election, if applicable.

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time <i>Use this data element to cite a code that qualifies the date identified in DTM02.</i> 193 Period Start <i>Use this code to indicate the "from" date for a reporting period.</i> 194 Period End <i>Use this code to indicate the "through" date for a reporting period.</i> 840 Election <i>Use this code to indicate the date of an election, if applicable.</i>	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD <i>Use this data element to identify the date applicable to the qualifier code cited in DTM01.</i>	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: **MTX** Text
Position: 0601
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. *Use this segment to provide additional text information related to the report being filed.*
2. *The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.*
3. *This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.*

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
MTX01	363		Note Reference Code Code identifying the functional area or purpose for which the note applies REP Report	O ID 3/3
MTX02	1551		Message Text To transmit large volumes of message text	X AN 1/4096
Not Used	MTX03	1551	Message Text To transmit large volumes of message text	O AN 1/4096
Not Used	MTX04	934	Printer Carriage Control Code A field to be used for the control of the line feed of the receiving printer	X ID 2/2
Not Used	MTX05	1470	Number A generic number	O N0 1/9
Not Used	MTX06	819	Language Code Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	O ID 2/3

Segment:	NM1 Individual or Organizational Name
Position:	0701
Loop:	NM1 Optional (Must Use)
Level:	Detail
Usage:	Optional (Must Use)
Max Use:	1
Purpose:	To supply the full name of an individual or organizational entity
Syntax Notes:	<ol style="list-style-type: none"> 1 If either NM108 or NM109 is present, then the other is required. 2 If NM111 is present, then NM110 is required.
Semantic Notes:	1 NM102 qualifies NM103.
Comments:	1 NM110 and NM111 further define the type of entity in NM101.
Notes:	<p><i>1. When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the entity filing form 461 (NM101 is code FN) and all entities associated with the filer (NM101 is code QD).</i></p> <p><i>2. When HL03 is code EF, use this segment as many times as necessary to identify all payees (NM101 is code PE) and associated entities (NM101 is code 36, BUS, EAF, EBN, VB or ZZ) or agents (NM101 is code AG) and associated payees (NM101 is code PE).</i></p> <p><i>3. Each entity identified in NM101 that is associated with another entity (e.g., an agent and a payee) MUST be assigned a cross reference number in the 2/N9/1200 segment. The cross reference number will link entities therefore MUST be unique and the same for each group of related entities. Cite code 6O in N901 and provide the cross reference number in N902.</i></p>

Data Element Summary

Ref. Des.	Data Element	Name	Attributes
M	NM101	98 Entity Identifier Code	M ID 2/3
		Code identifying an organizational entity, a physical location, property or an individual	
		<i>Use this segment to qualify the entity identified in the following data elements.</i>	
		36 Employer	<ol style="list-style-type: none"> <i>1. When NM101 is code FN and NM102 is code 1, use this code to indicate the employer of the filer.</i> <i>2. When this code is used, NM102 should cite code 2, and the 2/N9/1200 segment MUST be used to provide a cross reference number and the 2/MTX/2000 segment should be used to describe the business interest of the employer.</i>
		AG Agent/Agency	<ol style="list-style-type: none"> <i>1. Use this code to indicate an agent or independent contractor who received a payment from the filer and made payments on behalf of the filer.</i> <i>2. When this code is used, NM102 may cite code 1, 2, or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the agent with the payee and the 2/AWD/2700 loop MUST be used to identify the amount paid to the agent.</i> <i>3. When this code is used, additional iterations of this segment with NM101 citing code PE MUST be used to identify each payee to whom the agent distributed a payment and the AWD loop MUST be used to identify the distributed amount.</i>

BUS	Business
	<p><i>1. When NM101 is code FN and NM102 is code 1, use this code to indicate the business name of a self employed filer.</i></p> <p><i>2. When this code is used, NM102 should cite code 2, the 2/N9/1200 segment MUST be used to provide a cross reference number and the 2/MTX/2000 segment should be used to describe the nature of the business.</i></p>
EAF	Committee
	<p><i>1. When HL03 in the 2/HL/0100 segment is code EF and NM101 is code PE, use this code to indicate the committee that was supported or opposed by the expenditures.</i></p> <p><i>2. When this code is used, NM102 should cite code 6, the 2/N9/1200 segment should be used to provide a cross reference number, and the 2/AWD/2700 segment MUST be used to provide cumulative amount relative to the committee.</i></p>
EBN	Treasurer
	<p><i>1. When HL03 in the 2/HL/0100 segment is code EF and NM101 is code PE, use of this code MAY be required if the payee is a committee and a state identification number has not yet been assigned.</i></p> <p><i>2. When this code is used, NM102 should cite code 1, and the 2/N9/1200 segment MUST be used to provide a cross reference number applicable to the entity.</i></p>
FN	Filer Name
	<p><i>1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED as many times as needed to indicate the entity or entities submitting the data.</i></p> <p><i>2. When this code is used, NM102 may cite code 1, 2, or 6.</i></p> <p><i>3. When this code is used and NM102 is code 1, the following segments MUST be used: 2/N9/1200 segment to provide a cross reference number and to provide a Secretary of State assigned electronic filer identification number; and the 2/AWD/2700 loop must be used to provide summary amounts. If there is more than one entity filing this form 461, the 2/AWD/2700 segment should be associated ONLY with the first entity identified by code FN. If the individual is signing the form 461, the 2/N9/1200 segment should also be to provide a PIN number that represents a signature; the 2/DTM/1300 should be used to provide the date the form was signed; and the 2/LQ/2100 segment should be used to provide a verification statement.</i></p> <p><i>4. When NM102 is code 2 or 6, the following MUST be used: the 2/N9/1200 segment to provide a cross reference number and to provide a Secretary of State assigned electronic filer identification number; the 2/MTX/200 segment to describe the business activity, description of interest, or the economic interest, as</i></p>

applicable; and the 2/AWD/2700 loop must be used to provide summary amounts. If there is more than one entity filing this form 461, the 2/AWD/2700 segment should be associated ONLY with the first entity identified by code FN.

PE

Payee

1. When HL03 in the 2/HL/0100 segment is code EF, use of this code is REQUIRED to indicate the payee of an expenditure or a contribution.

2. When this code is used and NM102 is code 6, provide the committee's state assigned identification number in NM109 and cite code 75 in NM108. If a state identification number has not been assigned, another iteration of this segment is necessary citing code EBN in NM101 and the 2/N9/1200 segment MUST be used to provide a cross reference number.

3. When this code is used, NM102 may cite code 1, 2, or 6, and the 2/AWD/2700 segment MUST be used to provide the expenditure amount.

QD

Responsible Party

1. When NM101 is code FN and NM102 is code 2 or 6, use this code to indicate a responsible officer.

2. When this code is used, NM102 should cite code 1, and the 2/N9/1200 segment MUST be used to provide a cross reference number. If the individual is signing the form 461, the 2/N9/1200 segment should also be to provide a PIN number that represents a signature; the 2/DTM/1300 should be used to provide the date the form was signed; and the 2/LQ/2100 segment should be used to provide a verification statement.

VB

Candidate

1. When HL03 in the 2/HL/0100 segment is code EF and NM101 is code PE, use this code to indicate the candidate that was supported or opposed by the expenditures.

2. When this code is used, NM102 should cite code 1, the 2/N9/1200 segment should be used to provide a cross reference number, the 2/LQ/2100 segment MUST be used to identify the office sought by the candidate and to indicate whether the candidate was supported or opposed, and the 2/AWD/2700 segment MUST be used to provide cumulative amount relative to the candidate.

3. If more than one candidate is supported or opposed by a single expenditure or contribution to a payee, use multiple iterations of the NM1 segment to identify each candidate and use the 2/AWD/2700 segment in the same NM1 loop to identify the amount of the expenditure or contribution attributed to each candidate.

ZZ

Mutually Defined

1. When HL03 in the 2/HL/0100 segment is code EF and NM101 is code PE, use this code to indicate the ballot measure that was supported or opposed by the expenditure, if applicable.

2. When this code is used, NM102 should cite code 2, the 2/N9/1200 segment Must be used to identify the ballot measure number or letter and to provide a cross reference number, the 2/LQ/2100 segment MUST be used to identify the jurisdiction in which the ballot measure will be voted upon and to indicate whether the ballot measure was opposed or supported, and the 2/AWD/2700 segment MUST be used to provide cumulative amount relative to the measure.

3. If more than one measure is supported or opposed by a single expenditure or contribution to a payee, use multiple iterations of the NM1 segment to identify each measure and use the 2/AWD/2700 segment in the same NM1 loop to identify the amount of the expenditure or contribution attributed to each measure.

M	NM102	1065	Entity Type Qualifier Code qualifying the type of entity	M	ID 1/1
<i>Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.</i>					
		1	Person		
<i>Use this code to indicate an individual.</i>					
		2	Non-Person Entity		
<i>Use this code to indicate an entity other than an individual or committee.</i>					
		6	Organization		
<i>Use this code to indicate a committee.</i>					
Must Use	NM103	1035	Name Last or Organization Name Individual last name or organizational name	O	AN 1/60
<i>1. Use of this data element is REQUIRED to provide the name of an entity.</i>					
<i>2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.</i>					
<i>3. When NM102 is code 2 or 6 and the name of the entity or committee is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.</i>					
	NM104	1036	Name First Individual first name	O	AN 1/35
	NM105	1037	Name Middle Individual middle name or initial	O	AN 1/25
	NM106	1038	Name Prefix Prefix to individual name	O	AN 1/10
	NM107	1039	Name Suffix Suffix to individual name	O	AN 1/10
	NM108	66	Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67)	X	ID 1/2
<i>When NM101 is code PE and NM102 is code 6, use this data element to qualify the identification number in NM109.</i>					
		75	State or Province Assigned Number		
<i>Use this code to indicate the state assigned committee identification number.</i>					
	NM109	67	Identification Code Code identifying a party or other code	X	AN 2/80

Use this data element to provide the state assigned committee identification number.

Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location, property or an individual		

Segment: N2 Additional Name Information
Position: 0801
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify additional names
Syntax Notes:
Semantic Notes:
Comments:
Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.
2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	
M	N201	93 Name Free-form name	M AN 1/60
		<i>1. When providing a moniker, insert a space after the moniker and cite the numeric 1, 2, or 3.</i> <i>1 = moniker is before the first name</i> <i>2 = moniker is between the first and middle name</i> <i>3 = moniker is between the middle and last name</i>	
	N202	93 Name Free-form name	O AN 1/60

Segment: N3 Address Information
Position: 0901
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify the location of the named party
Syntax Notes:
Semantic Notes:
Comments:
Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity identified in the 2/NM1/0700 segment.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	N301	166	Address Information Address information	M AN 1/55
	N302	166	Address Information Address information	O AN 1/55

Segment: **N4 Geographic Location**
Position: 1001
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify the geographic place of the named party
Syntax Notes:

- 1 Only one of N402 or N407 may be present.
- 2 If N406 is present, then N405 is required.
- 3 If N407 is present, then N404 is required.

Semantic Notes:
Comments:

- 1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.
- 2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: *Use this segment to provide the city, state, and zip code portion of the address applicable to the entity identified in the 2/NM1/0700 segment.*

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
N401	19	City Name Free-form text for city name	O AN 2/30
N402	156	State or Province Code Code (Standard State/Province) as defined by appropriate government agency	X ID 2/2
Must Use	N403	116 Postal Code Code defining international postal zone code excluding punctuation and blanks (zip code for United States) <i>This data element MUST be used to provide a ZIP code.</i>	O ID 3/15
Not Used	N404	26 Country Code Code identifying the country	X ID 2/3
Not Used	N405	309 Location Qualifier Code identifying type of location	X ID 1/2
Not Used	N406	310 Location Identifier Code which identifies a specific location	O AN 1/30
Not Used	N407	1715 Country Subdivision Code Code identifying the country subdivision	X ID 1/3

Segment: **PER Administrative Communications Contact**
Position: 1101
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To identify a person or office to whom administrative communications should be directed
Syntax Notes:

- 1 If either PER03 or PER04 is present, then the other is required.
- 2 If either PER05 or PER06 is present, then the other is required.
- 3 If either PER07 or PER08 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	PER01	366	Contact Function Code Code identifying the major duty or responsibility of the person or group named <i>Use this data element to qualify the party associated with the communication numbers provided in the following data elements.</i> IC Information Contact	M ID 2/2
Not Used	PER02	93	Name Free-form name	O AN 1/60
	PER03	365	Communication Number Qualifier Code identifying the type of communication number TE Telephone	X ID 2/2
	PER04	364	Communication Number Complete communications number including country or area code when applicable <i>The telephone number shall be transmitted as a continuous number. Include the area code and extension, if applicable. Concatenate the letter X plus the extension at the end of the number, e.g., 7035551212X123.</i>	X AN 1/256
	PER05	365	Communication Number Qualifier Code identifying the type of communication number EM Electronic Mail <i>Use to indicate an e-mail address.</i>	X ID 2/2
	PER06	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
	PER07	365	Communication Number Qualifier Code identifying the type of communication number FX Facsimile	X ID 2/2
	PER08	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
Not Used	PER09	443	Contact Inquiry Reference Additional reference number or description to clarify a contact number	O AN 1/20

Segment: N9 Reference Identification
Position: 1201
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code 36, AG, BUS, EAF, EBN, FN, PE, QD, VB, or ZZ, use of this segment is **REQUIRED** to provide a cross reference number that associates one entity to another. The cross reference number **MUST** be unique and the same for each group of related entities.
2. When NM101 is code FN, also use this segment to provide a Secretary of State assigned electronic filer identification number.
3. When NM101 in the 2/NM1/0700 segment is code FN or QD, also use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 461.
4. When NM101 is code ZZ, also use this segment to provide the ballot measure number or letter.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	128 Reference Identification Qualifier Code qualifying the Reference Identification <i>Use this data element to qualify the number cited in N902.</i>	M ID 2/3
		1W Member Identification Number <i>Use this code to indicate the Secretary of State assigned electronic filer identification number.</i>	
		4A Personal Identification Number (PIN)	
		6O Cross Reference Number <i>Use this code to indicate a cross reference number that is used to associate entities identified in the 2/NM1/0700 segment.</i>	
		ECB Ballot Identifier	
	N902	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369 Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373 Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337 Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623 Time Code	O ID 2/2

Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow

Not Used	N907	C040	Reference Identifier	O
			To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	
Not Used	C04001	128	Reference Identification Qualifier	M ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04002	127	Reference Identification	M AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	C04003	128	Reference Identification Qualifier	X ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04004	127	Reference Identification	X AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	C04005	128	Reference Identification Qualifier	X ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04006	127	Reference Identification	X AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	

Segment: **DTM** Date/Time Reference
Position: 1301
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:

Notes: *When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date the form 461 was signed.*

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time EDE Executed <i>Use this code to indicate the date the form 461 was signed.</i>	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: **MTX** Text

Position: 2001

Loop: NM1 Optional (Must Use)

Level: Detail

Usage: Optional

Max Use: >1

Purpose: To specify textual data

Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. *When NM101 in the 2/NM1/0700 segment is code 36, BUS, or FN (and NM102 is code 2), use this segment to describe the business activity, association interest, or economic interest of the entity.*
2. *The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.*
3. *This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per NM1 loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.*

Data Element Summary

Ref. Des.	Data Element	Name	Attributes
MTX01	363	Note Reference Code	O ID 3/3
		Code identifying the functional area or purpose for which the note applies	
		BFD Business Financing Details	
		<i>Use this code to indicate common economic interests of a group or entity.</i>	
		CAI General Business Description	
		<i>When NM101 in the 2/NM1/0700 segment is code 36, use this code to indicate the business interest of the employer.</i>	
		CAJ Type of Business	
		<i>When NM101 in the 2/NM1/0700 segment is code BUS, use this code to indicate the business activity of the filer.</i>	
		EAV Committee Interest	
		<i>Use this code to indicate the interest of an association.</i>	
MTX02	1551	Message Text	X AN 1/4096
		To transmit large volumes of message text	
		<i>This data element is limited to 90 characters. Exceeding this limit runs the risk of the Secretary of State's office not receiving the data.</i>	
Not Used	MTX03	1551 Message Text	O AN 1/4096
		To transmit large volumes of message text	
Not Used	MTX04	934 Printer Carriage Control Code	X ID 2/2
		A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470 Number	O N0 1/9
		A generic number	
Not Used	MTX06	819 Language Code	O ID 2/3
		Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment: LQ Industry Code
Position: 2101
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code QD or FN (and NM102 is code 1), use this segment to provide a verification statement.
2. When NM101 is code VB, four iterations of this segment are necessary. One to identify the office, a second one to identify whether it is sought or held, a third to identify the jurisdiction of the candidate, and a fourth to identify whether the payment was made to support or oppose the entity.
3. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the jurisdiction of the ballot measure and to identify whether the payment was made to support or oppose the ballot measure.
4. The verification statement, support or oppose indicators, the office and sought/held indicators, and the identification of the jurisdiction, are identified by codes contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
5. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
LQ01	1270	Code List Qualifier Code Code identifying a specific industry code list <i>Use this data element to identify the code list that contains the code specified in LQ02.</i>	O ID 1/3
		EAF California Campaign Disclosure Report Codes	
LQ02	1271	Industry Code Code indicating a code from a specific industry code list <i>1. When NM101 is code QD or FN, enter a code from section 04 of the California Campaign Disclosure Code List.</i> <i>2. When NM101 is code VB, enter codes from sections 03, 04, and 08 of the California Campaign Disclosure Code List.</i> <i>3. When NM101 is code ZZ, enter a code from section 04 and 08 of the California Campaign Disclosure Code List.</i> <i>4. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.</i> <i>5. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the city, county, local or other jurisdiction, in text.</i> <i>6. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/2200 segment to identify the district.</i>	X AN 1/30

Segment: N9 Reference Identification
Position: 2201
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	128 Reference Identification Qualifier Code qualifying the Reference Identification <i>Use this data element to qualify the code cited in N902.</i> DIS District Number <i>Use this code to indicate the district of the office sought or the ballot measure.</i>	M ID 2/3
	N902	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369 Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373 Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337 Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623 Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	N907	C040 Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O
Not Used	C04001	128 Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
Not Used	C04002	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M AN 1/50
Not Used	C04003	128 Reference Identification Qualifier Code qualifying the Reference Identification	X ID 2/3
Not Used	C04004	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50

Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50

Segment: **MTX** Text
Position: 2601
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.
2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city, county, local or other jurisdiction.
3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
MTX01	363	Note Reference Code Code identifying the functional area or purpose for which the note applies EAM Jurisdiction EAQ Description of Position	O ID 3/3
MTX02	1551	Message Text To transmit large volumes of message text <i>This data element is limited to a maximum of 40 characters. Exceeding this limit runs the risk of the data not being received by the Secretary of State's office.</i>	X AN 1/4096
Not Used	MTX03	1551 Message Text To transmit large volumes of message text	O AN 1/4096
Not Used	MTX04	934 Printer Carriage Control Code A field to be used for the control of the line feed of the receiving printer	X ID 2/2
Not Used	MTX05	1470 Number A generic number	O N0 1/9
Not Used	MTX06	819 Language Code Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	O ID 2/3

Segment: **AWD** Amount with Description
Position: 2701
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify a code and/or description with an associated amount
Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.
 2 At least one of AWD02 or AWD03 is required.
Semantic Notes: 1 AWD03 is used when information source provides non-numeric values.
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts. If there are multiple iterations of the 2/NM1/0700 segment with code FN, associate the summary amounts with the first code FN. DO NOT repeat the summary amounts.

2. When NM101 in the 2/NM1/0700 segment is code AG or PE, use this segment to provide expenditure amounts.

3. When NM101 in the 2/NM1/0700 segment is code VB or ZZ, use this segment to provide attributed expenditure amounts and cumulative amounts.

4. When NM101 in the 2/NM1/0700 segment is code EAF, use this segment to provide cumulative amounts.

5. When NM101 is code AG, EAF, PE, VB, or ZZ, each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

5. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 09) to qualify the following amounts:

SUMMARY PAGE AMOUNTS (amounts applicable when NM101 is code FN)

461 summary Line 1:
 AWD01-01 code C0
 AWD01-09 code 05-A1

461 summary Line 2:
 AWD01-01 code C0
 AWD01-09 code 05-A2

461 summary Line 3:
 AWD01-01 code C0
 AWD01-03 code Z

461 summary Line 4:
 AWD01-01 code C0
 AWD01-02 code 7T
 AWD01-03 code 8

461 summary Line 5:
 AWD01-01 code C0
 AWD01-03 code 8

SECTION 5 AMOUNT

The following is applicable when NM101 is code AG or PE:

Amount:
 AWD01-01 code 93, EAC, EAD, EBB, EBG, or R0 (depending on type of payment)
 AWD01 02 code 4L (only when AWD01-01 is code 93)

When AWD01-01 is code EBG, a second iteration of the AWD segment may be necessary to provide the fair market value of the goods or services if different from the amount paid. In that instance, the second iteration should be as follows:

Fair Market Value:
AWD01-01 code FM

The following is applicable when NM101 is code PE and the payee is a committee:

Cumulative Calendar Year to Date:
AWD01-01 code 93, EAC, EAD, EBB, EBG, or R0 (depending on type of payment)
AWD01 02 code 4L (only when AWD01-01 is code 93)
AWD01-03 code 2
AWD01-05 code EA

Cumulative to Date Other:
AWD01-01 code 93, EAC, EAD, EBB, EBG, or R0 (depending on type of payment)
AWD01 02 code 4L (only when AWD01-01 is code 93)
AWD01-03 code 2
AWD01-05 code EE

The following is applicable when NM101 is code VB or ZZ:

Attributed Amount:
AWD01-01 code 93, EAC, EAD, EBB, EBG, or R0 (depending on type of payment)
AWD01 02 code 4L (only when AWD01-01 is code 93)
AWD01-09 code 05-A3

Cumulative Calendar Year to Date:
AWD01-01 code 93, EAC, EAD, EBB, EBG, or R0 (depending on type of payment)
AWD01 02 code 4L (only when AWD01-01 is code 93)
AWD01-03 code 2
AWD01-05 code EA

Cumulative to Date Other:
AWD01-01 code 93, EAC, EAD, EBB, EBG, or R0 (depending on type of payment)
AWD01 02 code 4L (only when AWD01-01 is code 93)
AWD01-03 code 2
AWD01-05 code EE

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	AWD01	C007	Amount Qualifying Description To qualify an amount	M
<i>Use this composite data element to provide multiple qualifiers that identify the amount cited in AWD02.</i>				
M	C00701	522	Amount Qualifier Code Code to qualify amount	M ID 1/3
		93	Contribution	
<i>Use this code in conjunction with AWD01-02 code 4L to indicate a cash contribution or in conjunction with AWD01-03 code 8 to indicate total contributions.</i>				
		C0	Current Expenditures	
		EAC	Amount Forgiven	
		EAD	Amount Guaranteed	
		EBB	Expenditure Amount	
<i>Use this code to indicate an independent expenditure.</i>				
		EBG	In-Kind Contribution	

			FM	<i>Use this code to indicate non-monetary contributions.</i> Fair Market Value		
			R0	Loans to Participants		
	C00702	522	Amount Qualifier Code		O	ID 1/3
				Code to qualify amount		
			4L	Cash		
			7T	Prior Results Carried Forward		
	C00703	1638	Value Detail Code		O	ID 1/1
				Code identifying extent of an amount or quantity		
			2	Cumulative		
			8	Total		
			Z	Mutually Defined		
				<i>Use this code to indicate a subtotal.</i>		
Not Used	C00704	935	Measurement Significance Code		O	ID 2/2
				Code used to benchmark, qualify or further define a measurement value		
	C00705	344	Unit of Time Period or Interval		O	ID 2/2
				Code indicating the time period or interval		
			EA	Calendar Year-to-Date		
			EE	To Date		
Not Used	C00706	1637	Net/Gross Code		O	ID 1/1
				Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code		O	ID 2/2
				Code used to benchmark, qualify or further define a measurement value		
Not Used	C00708	352	Description		O	AN 1/80
				A free-form description to clarify the related data elements and their content		
	C00709	1271	Industry Code		O	AN 1/30
				Code indicating a code from a specific industry code list		
				<i>1. Use this data element to cite a code from the California Campaign Disclosure Code List Section 05.</i>		
				<i>2. Cite either code 05-A1, 05-A2, or 05-A3.</i>		
	AWD02	782	Monetary Amount		X	R 1/18
				Monetary amount		
				<i>Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.</i>		
Not Used	AWD03	61	Free-Form Message		X	AN 1/30
				Free-form information		
Not Used	AWD04	100	Currency Code		O	ID 3/3
				Code (Standard ISO) for country in whose currency the charges are specified		

Segment: **DTM** Date/Time Reference
Position: 2801
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:

Comments:

Notes:

Use this segment to identify the date of an expenditure or contribution was paid or the possession date of an in-kind contribution.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time 529 Date of Possession <i>Use this code to indicate the date possession or control of the goods or services was obtained.</i> 666 Date Paid	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD <i>Use this data element to identify the date of the contribution.</i>	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: N9 Reference Identification
Position: 3101
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

1. When NMI01 in the 2/NM1/0700 segment is code AG, PE, VB or ZZ, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with the identified entity. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with an entity. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 461 if this transaction identifier is not a provided.

3. When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., agent payments distributed to a payee, expenditure amounts attributed to a candidate or ballot measure, use this segment to provide a cross reference number that will associate the amounts.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	128 Reference Identification Qualifier Code qualifying the Reference Identification 6O Cross Reference Number <i>Use this code to indicate a cross reference number that associates an amount with the amount in which it is included. When this code is used, cite the unique monetary transaction number of the amount in which the amount identified in the AWD segment is included.</i> TN Transaction Reference Number <i>Use this code to indicate a monetary transaction identifier applicable to an amount. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with an entity. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments.</i>	M ID 2/3
	N902	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369 Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373 Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337 Time	X TM 4/8

Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)

Not Used	N906	623	Time Code	O	ID 2/2
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow		
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		

Segment: **MTX** Text
Position: 3501
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. Use this segment also to provide additional text information related to the amount cited in the 2/AWD/2700 segment (e.g. a description of the expenditure, a description of the goods or services, or other information).
2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
3. This implementation convention limits the use of this segment to one time for each code listed in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
MTX01	363	Note Reference Code	O ID 3/3
		Code identifying the functional area or purpose for which the note applies	
		ADD Additional Information	
		ECA In-Kind Contribution	
		PMT Payment	
MTX02	1551	Message Text	X AN 1/4096
		To transmit large volumes of message text	
		1. When MTX01 is code ADD, the full 4096 characters may be used in this data element.	
		2. When MTX01 is code ECA or PMT, this data element is limited to 90 characters. Exceeding this limit runs the risk of the data not being received by the Secretary of State's office.	
Not Used	MTX03	1551 Message Text	O AN 1/4096
		To transmit large volumes of message text	
Not Used	MTX04	934 Printer Carriage Control Code	X ID 2/2
		A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470 Number	O N0 1/9
		A generic number	
Not Used	MTX06	819 Language Code	O ID 2/3
		Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment: **HL** Hierarchical Level
Position: 0102
Loop: HL Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To identify dependencies among and the content of hierarchically related groups of data segments

Syntax Notes:

Semantic Notes:

Comments:

- 1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.
The HL segment defines a top-down/left-right ordered structure.
- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

Notes:

This HL loop illustrates the submission of data required by California form 465, Supplemental Independent Expenditure Report.

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u> <u>Name</u>	
M	HL01	628 Hierarchical ID Number	M AN 1/12
		A unique number assigned by the sender to identify a particular data segment in a hierarchical structure	
		<i>Use this data element to provide a unique number that can identify each HL loop. It is recommended that the first HL loop be identified by the number 1 in this data element and that each successive HL loop be incremented by 1.</i>	
Not Used	HL02	734 Hierarchical Parent ID Number	O AN 1/12
		Identification number of the next higher hierarchical data segment that the data segment being described is subordinate to	
M	HL03	735 Hierarchical Level Code	M ID 1/2
		Code defining the characteristic of a level in a hierarchical structure	
		<i>1. This data element is used to identify the type of data that is being transmitted within the current HL loop.</i>	
		<i>2. Each of the listed codes MUST be used only one time.</i>	
		EB Filer	
			<i>1. Use this code to indicate that the data which follows in this HL loop is applicable to the entity that is submitting the data contained in this form 465.</i>
			<i>2. When this code is used, the 2/NM1/0700 loop MUST be used to identify the filer and all associated entities and the 2/AWD/2700 loop also MUST be used to identify summary amounts for form 465.</i>
		EF Expenditures	
			<i>1. Use this code to indicate Independent</i>

Expenditures.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the payees; and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP

Report

1. Use of this code is REQUIRED to indicate that the data which follows in this HL loop is administrative information (e.g., form number, period covered, election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used

HL04

736

Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification
Position: 0202
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes: *When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number.*

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification <i>Use this data element to cite a code that qualifies the data carried in N902.</i>	M ID 2/3
			FQ Form Number <i>Use this code to indicate the number of the form being transmitted, i.e., F465.</i>	
			O8 Original Filing <i>When BGN01 in the 1/BGN/0200 segment cites code 00, use of this code is REQUIRED to identify the original filing number. When this code is used, always cite 000 in N902. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 465.</i>	
			OJ Amendment Filing <i>When BGN01 in the 1/BGN/0200 segment is code CO, use of this code is REQUIRED to indicate the filing amendment number. When this code is used, the first amended filing should cite 001. Subsequent amended filings should increment the amended filing number by 1, e.g., 002, 003, etc. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 465.</i>	
	N902	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369	Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373	Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a	O ID 2/2

			restricted character, + and - are substituted by P and M in the codes that follow	
Not Used	N907	C040	Reference Identifier	O
			To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	
Not Used	C04001	128	Reference Identification Qualifier	M ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04002	127	Reference Identification	M AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	C04003	128	Reference Identification Qualifier	X ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04004	127	Reference Identification	X AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	C04005	128	Reference Identification Qualifier	X ID 2/3
			Code qualifying the Reference Identification	
Not Used	C04006	127	Reference Identification	X AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	

Segment: **DTM** Date/Time Reference
Position: 0302
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

When HL03 cites code RP, use this segment is REQUIRED to identify the reporting period for the data contained in form 465 and the date of the election (if applicable).

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time <i>Use this data element to cite a code that qualifies the date identified in DTM02</i>	M ID 3/3
		193	Period Start <i>Use this code to indicate the "from" date for a reporting period.</i>	
		194	Period End <i>Use this code to indicate the "through" date for a reporting period.</i>	
		840	Election <i>Use this code to indicate the date of an election, if applicable.</i>	
	DTM02	373	Date Date expressed as CCYYMMDD <i>Use this data element to identify the date applicable to the qualifier code cited in DTM01.</i>	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: **MTX** Text
Position: 0602
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. *Use this segment to provide additional text information related to the report being filed.*
2. *The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.*
3. *This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.*

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
MTX01	363		Note Reference Code Code identifying the functional area or purpose for which the note applies REP Report	O ID 3/3
MTX02	1551		Message Text To transmit large volumes of message text	X AN 1/4096
Not Used	MTX03	1551	Message Text To transmit large volumes of message text	O AN 1/4096
Not Used	MTX04	934	Printer Carriage Control Code A field to be used for the control of the line feed of the receiving printer	X ID 2/2
Not Used	MTX05	1470	Number A generic number	O N0 1/9
Not Used	MTX06	819	Language Code Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	O ID 2/3

Segment: **NM1** Individual or Organizational Name
Position: 0702
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.
 2 If NM111 is present, then NM110 is required.
Semantic Notes: 1 NM102 qualifies NM103.
Comments: 1 NM110 and NM111 further define the type of entity in NM101.
Notes: 1. When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the entity filing form 465 and all entities associated with the filer.
 2. When HL03 in the 2/HL/0100 segment is code EF, use this segment as many times as necessary to identify all payees of independent expenditures.
 3. Each identified payee (NM101 is code PE) and associated entity (NM101 is code VN - Vendor) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 6O in N901 and provide the cross reference number in N902. The cross reference number of the payee and associated entity MUST be the same.

Data Element Summary

Ref.	Data	Name	Attributes
M	<u>Des.</u> NM101	<u>Element</u> 98 Entity Identifier Code	M ID 2/3
		Code identifying an organizational entity, a physical location, property or an individual	
		<i>Use this segment to qualify the entity identified in the following data elements.</i>	
		7F	Filing Address 1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate a filing officer with whom the most recent campaign statements have been filed. 2. When this code is used, NM102 should cite code 1.
		EBN	Treasurer 1. When NM101 is code FN and NM102 is code 6, use of this code is REQUIRED to indicate the treasure of a committee. 2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.
		FN	Filer Name 1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the entity submitting the data. 2. When this code is used, NM102 may cite code 1 or 6, the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer identification number, and the 2/AWD/2700 segment MUST be used to provide summary amounts.

	<p>3. When this code is used and NM102 is code 1, the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification, 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.</p> <p>4. When this code is used and NM102 is code 6, NM108 MUST be used if a committee identification number has been assigned. If an identification number has not been assigned, the 2/LQ/2100 segment MUST be used.</p>
PE	<p>Payee</p> <p>1. When HL03 in the 2/HL/0100 segment is code EF, use of this code is REQUIRED to indicate the payee of an independent expenditure.</p> <p>2. If the payee is different than the vendor who provided the goods or services, another iteration of this NM1 loop is necessary citing code VN in NM101, and the 2/N9/1200 segment MUST be used to provide a cross reference number.</p> <p>3. When this code is used, NM102 may cite code 1, 2, or 6, and the 2/AWD/2700 segment MUST be used to provide the expenditure amount.</p>
UZ	<p>Signer</p> <p>1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the additional officeholders/candidates who control the committee and must sign the form 465.</p> <p>2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.</p>
VB	<p>Candidate</p> <p>1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the candidate that was supported or opposed by the independent expenditures.</p> <p>2. When this code is used, NM102 should cite code 1, and the 2/LQ/2100 segment MUST be used to identify the office sought by the candidate and to indicate whether the candidate was supported or opposed.</p>
VN	<p>Vendor</p> <p>1. When NM101 is code PE, use this code to indicate the vendor who provided the goods or service if different than the payee.</p> <p>2. When this code is used, NM102 may be code 1, 2, or 6, and the 2/N9/1200 segment MUST be used to provide a cross reference number.</p>
ZZ	<p>Mutually Defined</p> <p>1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the ballot measure that was supported or opposed by the independent expenditure.</p>

2. When this code is used, NM102 should cite code 2, and the 2/N9/1200 segment Must be used to identify the ballot measure number or letter, and the 2/LQ/2100 segment MUST be used to identify the jurisdiction in which the ballot measure will be voted upon and to indicate whether the ballot measure was opposed or supported.

M	NM102	1065	Entity Type Qualifier	M	ID 1/1
Code qualifying the type of entity					
<i>Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.</i>					
1 Person					
<i>Use this code to indicate an individual.</i>					
2 Non-Person Entity					
<i>Use this code to indicate an entity other than an individual or a committee.</i>					
6 Organization					
<i>Use this code to indicate a committee.</i>					
Must Use	NM103	1035	Name Last or Organization Name	O	AN 1/60
Individual last name or organizational name					
<i>1. Use of this data element is REQUIRED to provide the last name of a person, or the name of an organization.</i>					
<i>2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.</i>					
<i>3. When NM102 is code 2 or 6 and the name of the organization is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name organization's name.</i>					
	NM104	1036	Name First	O	AN 1/35
Individual first name					
	NM105	1037	Name Middle	O	AN 1/25
Individual middle name or initial					
	NM106	1038	Name Prefix	O	AN 1/10
Prefix to individual name					
	NM107	1039	Name Suffix	O	AN 1/10
Suffix to individual name					
	NM108	66	Identification Code Qualifier	X	ID 1/2
Code designating the system/method of code structure used for Identification Code (67)					
<i>1. When NM101 is code FN and NM102 is code 6, use of this data element is REQUIRED if a state assigned identification number has been received.</i>					
<i>2. If a state identification number has been assigned and not provided in this data element, the Secretary of State's office will reject the filing of this form 465.</i>					
75 State or Province Assigned Number					
<i>Use to indicate the state assigned committee identification number.</i>					
	NM109	67	Identification Code	X	AN 2/80
Code identifying a party or other code					
<i>Use this data element to provide the state assigned committee identification number, if one has been assigned.</i>					
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
Code describing entity relationship					
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

Segment: N2 Additional Name Information
Position: 0802
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify additional names
Syntax Notes:
Semantic Notes:
Comments:
Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.
2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	
M	N201	93 Name Free-form name	M AN 1/60
		<i>1. When providing a moniker, insert a space after the moniker and cite the numeric 1, 2, or 3.</i> <i>1 = moniker is before the first name</i> <i>2 = moniker is between the first and middle name</i> <i>3 = moniker is between the middle and last name</i>	
	N202	93 Name Free-form name	O AN 1/60

Segment: N3 Address Information
Position: 0902
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify the location of the named party
Syntax Notes:
Semantic Notes:
Comments:
Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity identified in the 2/NM1/0700 segment.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	N301	166	Address Information Address information	M AN 1/55
	N302	166	Address Information Address information	O AN 1/55

Segment: **N4 Geographic Location**
Position: 1002
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify the geographic place of the named party
Syntax Notes:

- 1 Only one of N402 or N407 may be present.
- 2 If N406 is present, then N405 is required.
- 3 If N407 is present, then N404 is required.

Semantic Notes:
Comments:

- 1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.
- 2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: *Use this segment to provide the city, state, and zip code portion of the address applicable to the entity identified in the 2/NM1/0700 segment.*

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
N401	19	City Name Free-form text for city name	O AN 2/30
	N402	156 State or Province Code Code (Standard State/Province) as defined by appropriate government agency	X ID 2/2
Must Use	N403	116 Postal Code Code defining international postal zone code excluding punctuation and blanks (zip code for United States) <i>This data element MUST be used to provide a ZIP code.</i>	O ID 3/15
Not Used	N404	26 Country Code Code identifying the country	X ID 2/3
Not Used	N405	309 Location Qualifier Code identifying type of location	X ID 1/2
Not Used	N406	310 Location Identifier Code which identifies a specific location	O AN 1/30
Not Used	N407	1715 Country Subdivision Code Code identifying the country subdivision	X ID 1/3

Segment: **PER Administrative Communications Contact**
Position: 1102
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To identify a person or office to whom administrative communications should be directed
Syntax Notes:

- 1 If either PER03 or PER04 is present, then the other is required.
- 2 If either PER05 or PER06 is present, then the other is required.
- 3 If either PER07 or PER08 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	PER01	366	Contact Function Code Code identifying the major duty or responsibility of the person or group named <i>Use this data element to qualify the party associated with the communication numbers provided in the following data elements. Use only the listed code.</i> IC Information Contact	M ID 2/2
Not Used	PER02	93	Name Free-form name	O AN 1/60
	PER03	365	Communication Number Qualifier Code identifying the type of communication number TE Telephone	X ID 2/2
	PER04	364	Communication Number Complete communications number including country or area code when applicable <i>The telephone number shall be transmitted as a continuous number. Include the area code and extension, if applicable. Concatenate the letter X plus the extension at the end of the number, e.g., 7035551212X123.</i>	X AN 1/256
	PER05	365	Communication Number Qualifier Code identifying the type of communication number EM Electronic Mail <i>Use to indicate an e-mail address.</i>	X ID 2/2
	PER06	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
	PER07	365	Communication Number Qualifier Code identifying the type of communication number FX Facsimile	X ID 2/2
	PER08	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
Not Used	PER09	443	Contact Inquiry Reference Additional reference number or description to clarify a contact number	O AN 1/20

Segment: N9 Reference Identification
Position: 1202
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code EBN (the treasurer of the reporting committee), UZ, or FN (and NM102 is code 1), use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 465.
2. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.
3. When NM101 is code PE or VN, use this segment to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.
4. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the ballot measure number or letter.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	128 Reference Identification Qualifier	M ID 2/3
		Code qualifying the Reference Identification	
		<i>Use this data element to qualify the number cited in N902.</i>	
		1W Member Identification Number	
		<i>Use this code to indicate the Secretary of State assigned electronic filer identification number.</i>	
		4A Personal Identification Number (PIN)	
		6O Cross Reference Number	
		<i>Use this code to indicate a cross reference number that is used to associate entities identified in the 2/NM1/0700 segment.</i>	
		DIS District Number	
		ECB Ballot Identifier	
	N902	127 Reference Identification	X AN 1/50
		Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
Not Used	N903	369 Free-form Description	X AN 1/45
		Free-form descriptive text	
Not Used	N904	373 Date	O DT 8/8
		Date expressed as CCYYMMDD	
Not Used	N905	337 Time	X TM 4/8
		Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-	

			59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)
Not Used	N906	623	Time Code O ID 2/2 Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow
Not Used	N907	C040	Reference Identifier O To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier
Not Used	C04001	128	Reference Identification Qualifier M ID 2/3 Code qualifying the Reference Identification
Not Used	C04002	127	Reference Identification M AN 1/50 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier
Not Used	C04003	128	Reference Identification Qualifier X ID 2/3 Code qualifying the Reference Identification
Not Used	C04004	127	Reference Identification X AN 1/50 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier
Not Used	C04005	128	Reference Identification Qualifier X ID 2/3 Code qualifying the Reference Identification
Not Used	C04006	127	Reference Identification X AN 1/50 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Segment: **DTM** Date/Time Reference
Position: 1302
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:

Comments:

Notes:

When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date the form 465 was signed.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time EDE Executed <i>Use this code to indicate the date the form 465 was signed.</i>	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: **NX2** Location ID Component
Position: 1402
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To define types and values of a geographic location
Syntax Notes:
Semantic Notes:
Comments:
Notes:

When N901 in the 2/N9/1200 segment cites code 4A, use this segment to identify the location where the form 465 was signed.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	NX201	1106	Address Component Qualifier Code qualifying the type of address component 17 Unstructured City and State <i>Use this code when N9 in the 2/N9/1200 segment is code 4A.</i>	M ID 2/2
M	NX202	166	Address Information Address information <i>When NX201 is code 17, use this segment to identify the city and state where the form 465 was signed. The full name of the city, followed by a comma, followed by the 2 position state code is preferred.</i>	M AN 1/55
Not Used	NX203	1096	County Designator Code identifying the county within a state	O ID 5/5

Segment: LQ Industry Code
Position: 2102
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code is code FN and NM102 is code 6, use this segment to identify the type of committee and if a state assigned identification number HAS NOT been received.
2. When NM101 is code VB, three iterations of this segment are necessary. One to identify the office, a second one to identify whether it is sought or held, and a third one to identify whether the contribution or independent expenditure was made to support or oppose the entity.
3. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the jurisdiction where the ballot measure will be voted upon and whether the contribution or independent expenditure was made to support or oppose the ballot measure.
4. When NM101 is code EBN, UZ, or FN (and NM102 is code 1), use this segment to provide a verification statement regarding the content of the data submitted in the form 465.
5. The type of committee, office held, verification statement, and notation that a state assigned identification number has not been received, are identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
6. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
LQ01	1270	Code List Qualifier Code Code identifying a specific industry code list	O ID 1/3
		<i>Use this data element to identify the code list that contains the code specified in LQ02.</i>	
		EAF California Campaign Disclosure Report Codes	
LQ02	1271	Industry Code Code indicating a code from a specific industry code list	X AN 1/30
		<ol style="list-style-type: none"> 1. When NM101 is code FN and NM102 is code 6, use this data element to specify a Committee Type Code from section 02 of the California Campaign Disclosure Code List. And also, if applicable, to indicate that a state assigned identification number HAS NOT been received by means of a code from section 04 of the California Campaign Disclosure Code List. 2. When NM101 is code VB, enter codes from sections 03 and 04 of the California Campaign Disclosure Code List. 3. When NM101 is code ZZ, enter a code from section 08 of the California Campaign Disclosure Code List. 	

4. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/0600 segment to identify the city, county, local or other jurisdiction, in text.

4. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/0200 segment to identify the district.

6. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office in text.

7. When NM101 is code EBN or UZ, use this data element to provide a verification statement regarding the content of the data submitted in the form 465. Enter a code from section 04 of the California Campaign Disclosure Code List.

Segment: N9 Reference Identification
Position: 2202
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	128 Reference Identification Qualifier Code qualifying the Reference Identification <i>Use this data element to qualify the code cited in N902.</i> DIS District Number <i>Use this code to indicate the district of the office sought or the ballot measure.</i>	M ID 2/3
	N902	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369 Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373 Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337 Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623 Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	N907	C040 Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O
Not Used	C04001	128 Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
Not Used	C04002	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M AN 1/50
Not Used	C04003	128 Reference Identification Qualifier Code qualifying the Reference Identification	X ID 2/3
Not Used	C04004	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50

Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50

Segment: **MTX** Text
Position: 2602
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.
2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city, county, local or other jurisdiction.
3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
MTX01	363	Note Reference Code		O ID 3/3
		Code identifying the functional area or purpose for which the note applies		
		<i>Use this data element to qualify the text carried in MTX02.</i>		
		EAM	Jurisdiction	
		EAO	Description of Office	
MTX02	1551	Message Text		X AN 1/4096
		To transmit large volumes of message text		
		<i>This data element is limited to a maximum of 40 characters. Exceeding this limit runs the risk of the data not being received by the Secretary of State's office.</i>		
Not Used	MTX03	1551	Message Text	O AN 1/4096
		To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X ID 2/2
		A field to be used for the control of the line feed of the receiving printer		
Not Used	MTX05	1470	Number	O N0 1/9
		A generic number		
Not Used	MTX06	819	Language Code	O ID 2/3
		Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: **AWD** Amount with Description
Position: 2702
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify a code and/or description with an associated amount
Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.
 2 At least one of AWD02 or AWD03 is required.
Semantic Notes: 1 AWD03 is used when information source provides non-numeric values.
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to identify summary amounts for the form 465.

2. When NM101 in the 2/NM1/0700 segment is code PE, use this segment to identify the expenditure amount and the cumulative calendar year to date amount for each payee. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 09) to qualify the following amounts:

Independent Expenditure Amount:

AWD01-01 code EBB

Cumulative Calendar Year to Date:

AWD01-01 code EBB

AWD01-03 code 2

Summary - Line 1

AWD01-01 code EBB

AWD01-09 code 05-A1

Summary - Line 2

AWD01-01 code EBB

AWD01-09 code 05-A2

Summary - Line 3

AWD01-01 code EBB

AWD01-03 code 8

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>		
M	AWD01	C007	Amount Qualifying Description To qualify an amount	M
M	C00701	522	Amount Qualifier Code Code to qualify amount EBB Expenditure Amount	M ID 1/3
Not Used	C00702	522	Amount Qualifier Code Code to qualify amount	O ID 1/3
	C00703	1638	Value Detail Code	O ID 1/1

			Code identifying extent of an amount or quantity	
		2	Cumulative	
		8	Total	
Not Used	C00704	935	Measurement Significance Code	O ID 2/2
			Code used to benchmark, qualify or further define a measurement value	
Not Used	C00705	344	Unit of Time Period or Interval	O ID 2/2
			Code indicating the time period or interval	
Not Used	C00706	1637	Net/Gross Code	O ID 1/1
			Code indicating whether monetary values are net or gross	
Not Used	C00707	935	Measurement Significance Code	O ID 2/2
			Code used to benchmark, qualify or further define a measurement value	
Not Used	C00708	352	Description	O AN 1/80
			A free-form description to clarify the related data elements and their content	
	C00709	1271	Industry Code	O AN 1/30
			Code indicating a code from a specific industry code list	
			<i>1. Use this data element to cite a code from the California Campaign Disclosure Code List Section 05.</i>	
			<i>2. Cite either code 05-A1 or 05-A2 only.</i>	
	AWD02	782	Monetary Amount	X R 1/18
			Monetary amount	
			<i>Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.</i>	
Not Used	AWD03	61	Free-Form Message	X AN 1/30
			Free-form information	
Not Used	AWD04	100	Currency Code	O ID 3/3
			Code (Standard ISO) for country in whose currency the charges are specified	

Segment: **DTM** Date/Time Reference
Position: 2802
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

Use this segment to identify the expenditure date.

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>		
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time 666 Date Paid	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: N9 Reference Identification
Position: 3102
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

1. When NMI01 in the 2/NM1/0700 segment is code PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a payee. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the payee. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 465 if this transaction identifier is not a provided.

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
M	N901	128 Reference Identification Qualifier Code qualifying the Reference Identification TN Transaction Reference Number	M ID 2/3
		<i>Use this code to indicate a monetary transaction identifier applicable to an amount. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with an entity. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments.</i>	
	N902	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369 Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373 Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337 Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623 Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	N907	C040 Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O

Not Used	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification	M	ID 2/3
Not Used	C04002	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M	AN 1/50
Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04004	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50
Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50

Segment: **MTX** Text

Position: 3502

Loop: AWD Optional

Level: Detail

Usage: Optional

Max Use: >1

Purpose: To specify textual data

Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. *Use this segment also to provide additional text information related to the amount cited in the 2/AWD/2700 segment (e.g. a description of the expenditure, or other information).*
2. *The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.*
3. *This implementation convention limits the use of this segment to one time with each listed MTX01 code per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.*

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
MTX01	363	Note Reference Code	O ID 3/3
		Code identifying the functional area or purpose for which the note applies	
		ADD Additional Information	
		PMT Payment	
		<i>Use this code to indicate an expenditure description.</i>	
MTX02	1551	Message Text	X AN 1/4096
		To transmit large volumes of message text	
		<i>1. When MTX01 is code ADD, the full 4096 characters may be used in this data element.</i>	
		<i>2. When MTX01 is code PMT, this data element is limited to 90 characters. Exceeding this limit runs the risk of the Secretary of State's office not receiving the data.</i>	
Not Used	MTX03	1551 Message Text	O AN 1/4096
		To transmit large volumes of message text	
Not Used	MTX04	934 Printer Carriage Control Code	X ID 2/2
		A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470 Number	O N0 1/9
		A generic number	
Not Used	MTX06	819 Language Code	O ID 2/3
		Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment: **HL** Hierarchical Level
Position: 0103
Loop: HL Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To identify dependencies among and the content of hierarchically related groups of data segments

Syntax Notes:
Semantic Notes:
Comments:

- 1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.
The HL segment defines a top-down/left-right ordered structure.
- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

Notes: *This HL loop illustrates the submission of data required by California form 495, Supplemental Pre-election Campaign Statement.*

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	HL01	628	Hierarchical ID Number A unique number assigned by the sender to identify a particular data segment in a hierarchical structure <i>Use this data element to provide a unique number that can identify each HL loop. It is recommended that the first HL loop be identified by the number 1 in this data element and that each successive HL loop be incremented by 1.</i>	M AN 1/12
Not Used	HL02	734	Hierarchical Parent ID Number Identification number of the next higher hierarchical data segment that the data segment being described is subordinate to	O AN 1/12
M	HL03	735	Hierarchical Level Code Code defining the characteristic of a level in a hierarchical structure <i>1. This data element is used to identify the type of data that is being transmitted within the current HL loop.</i> <i>2. Each of the listed codes MUST be used only one time.</i>	M ID 1/2
		EB	Filer <i>1. Use this code to indicate that the data which follows in this HL loop is applicable to the entity that is submitting the data contained in this form 495.</i> <i>2. When this code is used, the 2/NM1/0700 loop MUST be used to identify the filer and all associated entities and the 2/AWD/2700 loop also MUST be used to identify the contributions amount for form 495.</i>	
		RP	Report <i>1. Use of this code is REQUIRED to indicate that the</i>	

data which follows in this HL loop is information applicable to the form being submitted (e.g., form number, associated form number, applicable jurisdiction, election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used	HL04	736	Hierarchical Child Code	O ID 1/1
			Code indicating if there are hierarchical child data segments subordinate to the level being described	

Segment: N9 Reference Identification
Position: 0203
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes: 1 At least one of N902 or N903 is required.
2 If N906 is present, then N905 is required.
3 If either C04003 or C04004 is present, then the other is required.
4 If either C04005 or C04006 is present, then the other is required.
Semantic Notes: 1 N906 reflects the time zone which the time reflects.
2 N907 contains data relating to the value cited in N902.
Comments:
Notes:

1. When HL03 is code RP, use of this segment is **REQUIRED** to identify the number of the form that is being submitted; the original or amended filing identification number; and the form to which this form 495 applies.
2. When LQ02 in the 2/LQ/0500 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
<i>Use this data element to cite a code that qualifies the data carried in N902.</i>			
		DIS District Number <i>Use this code to indicate the district in which an election is held.</i>	
		ECF Related Form Number <i>Use this code to indicate the form with which this form 495 is associated, e.g., 450.</i>	
		FQ Form Number <i>Use this code to indicate the number of the form being transmitted, i.e., F495.</i>	
		O8 Original Filing <i>When BGN01 in the 1/BGN/0200 segment cites code 00, use of this code is REQUIRED to identify the original filing number. When this code is used, always cite 000 in N902. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 495.</i>	
		OJ Amendment Filing <i>When BGN01 in the 1/BGN/0200 segment is code CO, use of this code is REQUIRED to indicate the filing amendment number. When this code is used, the first amended filing should cite 001. Subsequent amended filings should increment the amended filing number by 1, e.g., 002, 003, etc. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 495.</i>	
	N902	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
<i>1. When N901 is code FQ, use this data element to cite the form number, e.g., F495.</i>			

2. When N901 is code DIS, use this data element to identify the district.

3. When N901 is code EFC, use this data element to identify the form with which this form 495 is associated.

4. When N(01 is code O8 or OJ, use this data element to provide the original or the amendment filing number.

Not Used	N903	369	Free-form Description Free-form descriptive text	X	AN 1/45
Not Used	N904	373	Date Date expressed as CCYYMMDD	O	DT 8/8
Not Used	N905	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X	TM 4/8
Not Used	N906	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O	ID 2/2
Not Used	N907	C040	Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O	
Not Used	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification	M	ID 2/3
Not Used	C04002	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M	AN 1/50
Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04004	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50
Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50

Segment: **DTM** Date/Time Reference
Position: 0303
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:

Notes: *When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED to identify the date of the election.*

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time <i>Use this data element to cite a code that qualifies the date identified in DTM02</i> 840 Election <i>Use this code to indicate the date of an election.</i>	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD <i>When DTM01 is code 840, use this data element to identify the election date.</i>	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: LQ Industry Code
Position: 0503
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes:

1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is **REQUIRED** to identify the jurisdiction of the election or the Secretary of State's office will reject this filing of the form 495.
2. The jurisdiction is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
LQ01	1270	Code List Qualifier Code	O ID 1/3
		Code identifying a specific industry code list	
		<i>Use this data element to identify the code list that contains the code specified in LQ02.</i>	
		EAF	California Campaign Disclosure Report Codes
LQ02	1271	Industry Code	X AN 1/30
		Code indicating a code from a specific industry code list	
		<i>1. When N901 is code FQ, enter a code from section 08 of the California Campaign Disclosure Code List.</i>	
		<i>2. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/0600 segment to identify the city, county, local or other jurisdiction, in text.</i>	
		<i>3. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/0200 segment to identify the district.</i>	

Segment: **MTX** Text

Position: 0603

Loop: N9 Optional (Must Use)

Level: Detail

Usage: Optional

Max Use: >1

Purpose: To specify textual data

Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. *When LQ02 in the 2/LQ/0500 segment cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city, county, local or other jurisdiction.*
2. *Use this segment to provide additional text information related to the report being filed.*
3. *The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.*
4. *This implementation convention limits the use of this segment to one time for each code listed in MTX01 per N9 loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.*

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
MTX01	363	Note Reference Code	O ID 3/3
		Code identifying the functional area or purpose for which the note applies	
		EAM Jurisdiction	
		REP Report	
MTX02	1551	Message Text	X AN 1/4096
		To transmit large volumes of message text	
		<i>1. When MTX01 is code REP, the full 4096 characters may be used in this data element.</i>	
		<i>2. When MTX01 is code EAM, this data element is limited to 40 characters. Exceeding this limit runs the risk of the Secretary of State's office not receiving the data.</i>	
Not Used	MTX03	1551 Message Text	O AN 1/4096
		To transmit large volumes of message text	
Not Used	MTX04	934 Printer Carriage Control Code	X ID 2/2
		A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470 Number	O N0 1/9
		A generic number	
Not Used	MTX06	819 Language Code	O ID 2/3
		Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment: **NM1** Individual or Organizational Name
Position: 0703
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.
 2 If NM111 is present, then NM110 is required.
Semantic Notes: 1 NM102 qualifies NM103.
Comments: 1 NM110 and NM111 further define the type of entity in NM101.
Notes: *When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the entity filing form 495 and all entities associated with the filer.*

Data Element Summary

Ref.	Data Element	Name	Attributes
M	NM101	Entity Identifier Code	M ID 2/3
		Code identifying an organizational entity, a physical location, property or an individual	
		<i>Use this segment to qualify the entity identified in the following data elements.</i>	
		EBN	Treasurer
			<ol style="list-style-type: none"> When NM101 is code FN and NM102 is code 6, use of this code is REQUIRED to indicate the treasure of a committee. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.
		FN	Filer Name
			<ol style="list-style-type: none"> When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the entity submitting the data. When this code is used, NM102 may cite code 1 or 6, the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer identification number, and the 2/AWD/2700 segment MUST be used to provide the contribution amount. When this code is used and NM102 is code 1, the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification, 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned committee identification number has been received.
		UZ	Signer
			<ol style="list-style-type: none"> When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the additional officeholders/candidates who control the committee and must sign the form 495.

2. When this code is used, NM102 should cite code 1, and the following segments **MUST** be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.

M	NM102	1065	Entity Type Qualifier	M	ID 1/1
Code qualifying the type of entity					
<i>Use this data element to identify the entity qualified in NM101 as a person or a committee.</i>					
		1	Person		
<i>Use this code to indicate an individual.</i>					
		6	Organization		
<i>Use this code to indicate a committee.</i>					
Must Use	NM103	1035	Name Last or Organization Name	O	AN 1/60
Individual last name or organizational name					
<i>1. Use of this data element is REQUIRED to provide the last name of a person, or the name of an organization.</i>					
<i>2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.</i>					
<i>3. When NM102 is code 6 and the name of the organization is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name organization's name.</i>					
	NM104	1036	Name First	O	AN 1/35
Individual first name					
	NM105	1037	Name Middle	O	AN 1/25
Individual middle name or initial					
	NM106	1038	Name Prefix	O	AN 1/10
Prefix to individual name					
	NM107	1039	Name Suffix	O	AN 1/10
Suffix to individual name					
	NM108	66	Identification Code Qualifier	X	ID 1/2
Code designating the system/method of code structure used for Identification Code (67)					
<i>When NM101 is code FN and NM102 is code 6, use this data element to qualify the identification number in NM109.</i>					
		75	State or Province Assigned Number		
<i>When NM101 is code FN and NM102 is code 6, use this code to indicate the state assigned committee identification number.</i>					
	NM109	67	Identification Code	X	AN 2/80
Code identifying a party or other code					
<i>Use this data element to provide the state assigned committee identification number, if one has been assigned.</i>					
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
Code describing entity relationship					
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
Code identifying an organizational entity, a physical location, property or an individual					

Segment: N2 Additional Name Information
Position: 0803
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify additional names
Syntax Notes:
Semantic Notes:
Comments:
Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.
2. When NM102 is code 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N201	93 Name Free-form name	M AN 1/60
		<i>1. When providing a moniker, insert a space after the moniker and cite the numeric 1, 2, or 3.</i> <i>1 = moniker is before the first name</i> <i>2 = moniker is between the first and middle name</i> <i>3 = moniker is between the middle and last name</i>	
	N202	93 Name Free-form name	O AN 1/60

Segment: N3 Address Information
Position: 0903
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify the location of the named party
Syntax Notes:
Semantic Notes:
Comments:
Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity identified in the 2/NM1/0700 segment.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	N301	166	Address Information Address information	M AN 1/55
	N302	166	Address Information Address information	O AN 1/55

Segment: **N4 Geographic Location**
Position: 1003
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify the geographic place of the named party
Syntax Notes:

- 1 Only one of N402 or N407 may be present.
- 2 If N406 is present, then N405 is required.
- 3 If N407 is present, then N404 is required.

Semantic Notes:
Comments:

- 1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.
- 2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: *Use this segment to provide the city, state, and zip code portion of the address applicable to the entity identified in the 2/NM1/0700 segment.*

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
N401	19	City Name Free-form text for city name	O AN 2/30
N402	156	State or Province Code Code (Standard State/Province) as defined by appropriate government agency	X ID 2/2
Must Use	N403	116 Postal Code Code defining international postal zone code excluding punctuation and blanks (zip code for United States) <i>This data element MUST be used to provide a ZIP code.</i>	O ID 3/15
Not Used	N404	26 Country Code Code identifying the country	X ID 2/3
Not Used	N405	309 Location Qualifier Code identifying type of location	X ID 1/2
Not Used	N406	310 Location Identifier Code which identifies a specific location	O AN 1/30
Not Used	N407	1715 Country Subdivision Code Code identifying the country subdivision	X ID 1/3

Segment: **PER Administrative Communications Contact**
Position: 1103
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To identify a person or office to whom administrative communications should be directed
Syntax Notes:

- 1 If either PER03 or PER04 is present, then the other is required.
- 2 If either PER05 or PER06 is present, then the other is required.
- 3 If either PER07 or PER08 is present, then the other is required.

Semantic Notes:

Comments:

Notes:

Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	PER01	366	Contact Function Code Code identifying the major duty or responsibility of the person or group named <i>Use this data element to qualify the party associated with the communication numbers provided in the following data elements. Use only the listed code.</i> IC Information Contact	M ID 2/2
Not Used	PER02	93	Name Free-form name	O AN 1/60
	PER03	365	Communication Number Qualifier Code identifying the type of communication number TE Telephone	X ID 2/2
	PER04	364	Communication Number Complete communications number including country or area code when applicable <i>The telephone number shall be transmitted as a continuous number. Include the area code and extension, if applicable. Concatenate the letter X plus the extension at the end of the number, e.g., 7035551212X123.</i>	X AN 1/256
	PER05	365	Communication Number Qualifier Code identifying the type of communication number EM Electronic Mail <i>Use to indicate an e-mail address.</i>	X ID 2/2
	PER06	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
	PER07	365	Communication Number Qualifier Code identifying the type of communication number FX Facsimile	X ID 2/2
	PER08	364	Communication Number Complete communications number including country or area code when applicable	X AN 1/256
Not Used	PER09	443	Contact Inquiry Reference Additional reference number or description to clarify a contact number	O AN 1/20

Segment: N9 Reference Identification
Position: 1203
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code EBN (the treasurer of the reporting committee), UZ, or FN (and NM102 is code 1), use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 495.
2. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.

Data Element Summary

Ref.	Data			Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>		
M	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification <i>Use this data element to qualify the number cited in N902.</i>	M ID 2/3
		1W	Member Identification Number <i>Use this code to indicate the Secretary of State assigned electronic filer identification number.</i>	
		4A	Personal Identification Number (PIN)	
	N902	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369	Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373	Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	N907	C040	Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O
Not Used	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
Not Used	C04002	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M AN 1/50

Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04004	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50
Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50

Segment: **DTM** Date/Time Reference
Position: 1303
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:

Comments:

Notes:

When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date the form 495 was signed.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time EDE Executed <i>Use this code to indicate the date the form 495 was signed.</i>	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: **NX2** Location ID Component
Position: 1403
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To define types and values of a geographic location
Syntax Notes:
Semantic Notes:
Comments:
Notes:

When N901 in the 2/N9/1200 segment cites code 4A, use this segment to identify the location where the form 495 was signed.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	NX201	1106	Address Component Qualifier Code qualifying the type of address component 17 Unstructured City and State	M ID 2/2
M	NX202	166	Address Information Address information <i>Use this segment to identify the city and state where the form 495 was signed. The full name of the city, followed by a comma, followed by the 2 position state code is preferred.</i>	M AN 1/55
Not Used	NX203	1096	County Designator Code identifying the county within a state	O ID 5/5

Segment: LQ Industry Code
Position: 2103
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code EBN, UZ, or FN (and NM102 is code 1), use this segment to provide a verification statement regarding the content of the data submitted in the form 495.
2. The verification statement is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data Element Summary

<u>Ref.</u>	<u>Data</u>			<u>Attributes</u>
<u>Des.</u>	<u>Element</u>	<u>Name</u>		
LQ01	1270	Code List Qualifier Code		O ID 1/3
		Code identifying a specific industry code list		
		<i>Use this data element to identify the code list that contains the code specified in LQ02.</i>		
		EAF	California Campaign Disclosure Report Codes	
LQ02	1271	Industry Code		X AN 1/30
		Code indicating a code from a specific industry code list		
		<i>When NM101 is code EBN, UZ, or FN (and NM102 is code 6), use this data element to provide a verification statement regarding the content of the data submitted in the form 495. Enter a code from section 04 of the California Campaign Disclosure Code List.</i>		

Segment: **AWD** Amount with Description
Position: 2703
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify a code and/or description with an associated amount
Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.
 2 At least one of AWD02 or AWD03 is required.
Semantic Notes: 1 AWD03 is used when information source provides non-numeric values.
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code FN, use of this segment is **REQUIRED** to identify the total contributions made to or on behalf of candidates and/or measures being voted upon in the election. If the contribution amount is not provided, the Secretary of State's office will reject the filing of this form 495.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of a code cited in the composite data element AWD01. The following should be used in AWD01 to qualify the contribution amount:

Contributions Made:
 AWD01-01 code 93

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	AWD01	C007	Amount Qualifying Description To qualify an amount	M
M	C00701	522	Amount Qualifier Code Code to qualify amount 93 Contribution	M ID 1/3
Not Used	C00702	522	Amount Qualifier Code Code to qualify amount	O ID 1/3
Not Used	C00703	1638	Value Detail Code Code identifying extent of an amount or quantity	O ID 1/1
Not Used	C00704	935	Measurement Significance Code Code used to benchmark, qualify or further define a measurement value	O ID 2/2
Not Used	C00705	344	Unit of Time Period or Interval Code indicating the time period or interval	O ID 2/2
Not Used	C00706	1637	Net/Gross Code Code indicating whether monetary values are net or gross	O ID 1/1
Not Used	C00707	935	Measurement Significance Code Code used to benchmark, qualify or further define a measurement value	O ID 2/2
Not Used	C00708	352	Description A free-form description to clarify the related data elements and their content	O AN 1/80
Not Used	C00709	1271	Industry Code Code indicating a code from a specific industry code list	O AN 1/30
	AWD02	782	Monetary Amount Monetary amount	X R 1/18
			<i>Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.</i>	
Not Used	AWD03	61	Free-Form Message Free-form information	X AN 1/30
Not Used	AWD04	100	Currency Code Code (Standard ISO) for country in whose currency the charges are specified	O ID 3/3

Segment: **MTX** Text
Position: 3503
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
MTX01	363		Note Reference Code	O ID 3/3
			Code identifying the functional area or purpose for which the note applies	
			ADD Additional Information	
MTX02	1551		Message Text	X AN 1/4096
			To transmit large volumes of message text	
Not Used	MTX03	1551	Message Text	O AN 1/4096
			To transmit large volumes of message text	
Not Used	MTX04	934	Printer Carriage Control Code	X ID 2/2
			A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470	Number	O N0 1/9
			A generic number	
Not Used	MTX06	819	Language Code	O ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment: **HL** Hierarchical Level
Position: 0104
Loop: HL Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To identify dependencies among and the content of hierarchically related groups of data segments

Syntax Notes:
Semantic Notes:
Comments:

- 1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.
The HL segment defines a top-down/left-right ordered structure.
- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

Notes: *This HL loop illustrates the submission of data required by California form 496, Late Expenditure Report.*

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	HL01	628	Hierarchical ID Number A unique number assigned by the sender to identify a particular data segment in a hierarchical structure <i>Use this data element to provide a unique number that can identify each HL loop. It is recommended that the first HL loop be identified by the number 1 in this data element and that each successive HL loop be incremented by 1.</i>	M AN 1/12
Not Used	HL02	734	Hierarchical Parent ID Number Identification number of the next higher hierarchical data segment that the data segment being described is subordinate to	O AN 1/12
M	HL03	735	Hierarchical Level Code Code defining the characteristic of a level in a hierarchical structure <i>1. This data element is used to identify the type of data that is being transmitted within the current HL loop.</i> <i>2. Each of the listed codes MUST be used only one time.</i>	M ID 1/2
		EB	Filer <i>1. Use this code to indicate the submitter of the data on form 496.</i> <i>2. When this code is used, the 2/NM1/0700 segment MUST be used to identify the filer.</i>	
		EF	Expenditures <i>1. Use this code to indicate contributions made.</i> <i>2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all of the candidates or</i>	

ballot measures and the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

RP

Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number, the report or amendment number and previous report number, as applicable; and the 2/DTM/300 segment MUST be used to identify the filing date.

Not Used

HL04

736

Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification
Position: 0204
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes: *When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number, and a unique report or amendment number.*

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	Reference Identification Qualifier	M ID 2/3
		Code qualifying the Reference Identification	
		<i>Use this data element to cite a code that qualifies the data carried in N902.</i>	
	92	Change Document Number	<ol style="list-style-type: none"> 1. When BGN01 in the 1/BGN/0200 segment is code C0, and HL03 in the 2/HL/0100 segment cites code RP, use of this code is REQUIRED to provide a unique identifying number for each form 496 that is being amended. 2. Although any number format may be used, it is recommended that the assigned number be related to the original unique report number by using the original unique report number and adding -1 for the first amendment, -2 for the second amendment, etc. 3. When this code is used, N901 MUST be used with N907-01 citing code PJC to identify the unique number of the report being amended. If this is the first amendment, the number cited in N907-02 should be the original unique report number. If this is the second amendment, the number cited in N907-02 should be the number assigned to the first amendment.
	FQ	Form Number	<i>Use this code to indicate the number of the form being transmitted, i.e., F496.</i>
	O8	Original Filing	<i>When BGN01 in the 1/BGN/0200 segment cites code 00, use of this code is REQUIRED to identify the original filing number. When this code is used, always cite 000 in N902. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 496.</i>
	OJ	Amendment Filing	<i>When BGN01 in the 1/BGN/0200 segment is code CO, use of this code is REQUIRED to indicate the filing amendment number. When this code is used, the first amended filing should cite 001. Subsequent amended</i>

filings should increment the amended filing number by 1, e.g., 002, 003, etc. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 496.

RPT

Report Number

1. When BGN01 in the 1/BGN/0200 segment is code 00, and HL03 in the 2/HL/0100 segment cites code RP, use of this code is REQUIRED to provide a unique identifying number for each form 496 that is filed.

2. Any numbering scheme may be used (e.g., 1, 2, 3, PR-1, PR-2, PR-3, etc.) and the report numbers do not have to be sequential.

	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	DT 8/8
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)		
Not Used	N906	623	Time Code	O	ID 2/2
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow		
	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier		
M	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			PJC Previous Report Number		
			<i>When N901 is code 92, use this code to indicate the unique number of the report that is being amended.</i>		
M	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		

Segment: **DTM** Date/Time Reference
Position: 0304
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

1. When HL03 in the 2/HL/0100 segment is code RP, use this segment to identify the filing date. If the required date is not provided, the Secretary of State's office will reject the filing of this form 496.

2. If the filer's filing officer is the Secretary of State and a late contribution was made to a city or county committee, use this segment to identify the date of the election.

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time 270 Date Filed 840 Election	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: **MTX** Text
Position: 0604
Loop: N9 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. *Use this segment to provide additional text information related to the report being filed.*
2. *The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.*
3. *This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.*

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
MTX01	363		Note Reference Code Code identifying the functional area or purpose for which the note applies REP Report	O ID 3/3
	MTX02	1551	Message Text To transmit large volumes of message text	X AN 1/4096
Not Used	MTX03	1551	Message Text To transmit large volumes of message text	O AN 1/4096
Not Used	MTX04	934	Printer Carriage Control Code A field to be used for the control of the line feed of the receiving printer	X ID 2/2
Not Used	MTX05	1470	Number A generic number	O N0 1/9
Not Used	MTX06	819	Language Code Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	O ID 2/3

Segment: **NM1** Individual or Organizational Name
Position: 0704
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.
2 If NM111 is present, then NM110 is required.
Semantic Notes: 1 NM102 qualifies NM103.
Comments: 1 NM110 and NM111 further define the type of entity in NM101.
Notes: 1. When HL03 cites code EB, use this loop to identify the filer of the form 496. There can only be 1 iteration of this segment when HL03 cites code EB.
2. When HL03 is code EF, use this loop to identify either the candidate or ballot measure for whom the expenditures were made. There can only be 1 iteration of this segment when HL03 cites code EF.

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
M	98	Entity Identifier Code	M ID 2/3
		Code identifying an organizational entity, a physical location, property or an individual	
		FN	Filer Name
			<p>1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data.</p> <p>2. When this code is used, NM102 may cite code 1 or 6.</p> <p>3. When this code is used and NM102 is code 6, NM108/09 MUST be used to provide the state assigned committee identification number and the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer identification number.</p>
		VB	Candidate
			<p>1. When HL03 in the 2/HL/0100 segment is code EF, use this code to indicate the candidate that was supported or opposed by the independent expenditures.</p> <p>2. When this code is used, NM102 should cite code 1, and the 2/LQ/2100 segment MUST be used to identify the office sought by the candidate and to indicate whether the candidate was supported or opposed, and the 2/AWD/2700 segment MUST be used to identify the expenditure amounts.</p> <p>3. Either this code or code ZZ may be used but not both. If used, this code can be used only ONE TIME.</p>
		ZZ	Mutually Defined
			<p>1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the ballot measure that was supported or opposed by the independent expenditure.</p> <p>2. When this code is used, NM102 should cite code 2, and the 2/N9/1200 segment Must be used to identify the ballot measure number or letter, the 2/LQ/2100 segment MUST be used to identify the jurisdiction in</p>

which the ballot measure will be voted upon and to indicate whether the ballot measure was opposed or supported, and the 2/AWD/2700 segment MUST be used to identify the expenditure amounts.

3. Either this code or code VB may be used but not both. If used, this code can be used only ONE TIME.

M	NM102	1065	Entity Type Qualifier	M	ID 1/1
			Code qualifying the type of entity		
			<i>Use this data element to identify the entity qualified in NM101 as an individual, or a ballot measure.</i>		
		1	Person		
			<i>Use this code to indicate an individual.</i>		
		2	Non-Person Entity		
			<i>Use this code to indicate a ballot measure.</i>		
Must Use	NM103	1035	Name Last or Organization Name	O	AN 1/60
			Individual last name or organizational name		
			<i>1. Use of this data element is REQUIRED to provide the name of an entity.</i>		
			<i>2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.</i>		
			<i>3. When NM102 is code 2 or 6 and the name of the entity or committee is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.</i>		
	NM104	1036	Name First	O	AN 1/35
			Individual first name		
	NM105	1037	Name Middle	O	AN 1/25
			Individual middle name or initial		
	NM106	1038	Name Prefix	O	AN 1/10
			Prefix to individual name		
	NM107	1039	Name Suffix	O	AN 1/10
			Suffix to individual name		
Not Used	NM108	66	Identification Code Qualifier	X	ID 1/2
			Code designating the system/method of code structure used for Identification Code (67)		
Not Used	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location, property or an individual		

Segment: N2 Additional Name Information
Position: 0804
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To specify additional names
Syntax Notes:
Semantic Notes:
Comments:
Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.
2. When NM102 is code 2, use this segment to provide the balance of a ballot measure name that is greater than 60 characters.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N201	93 Name Free-form name	M AN 1/60
		<i>1. When providing a moniker, insert a space after the moniker and cite the numeric 1, 2, or 3.</i> <i>1 = moniker is before the first name</i> <i>2 = moniker is between the first and middle name</i> <i>3 = moniker is between the middle and last name</i>	
	N202	93 Name Free-form name	O AN 1/60

Segment: **N9 Reference Identification**
Position: 1204
Loop: NM1 Optional (Must Use)
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

1. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.
2. When NM101 is code ZZ, also use this segment to provide the ballot measure number or letter.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification 1W Member Identification Number <i>Use this code to indicate the Secretary of State assigned electronic filer identification number.</i> ECB Ballot Identifier	M ID 2/3
	N902	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369	Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373	Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	N907	C040	Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O
Not Used	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
Not Used	C04002	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M AN 1/50
Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X ID 2/3
Not Used	C04004	127	Reference Identification	X AN 1/50

			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		

Segment: LQ Industry Code
Position: 2104
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes:

1. When NM101 in the 2/NM1/0700 segment is code VB, four iterations of this segment are necessary. One to identify the office, a second one to identify whether it is sought or held, a third to identify the jurisdiction of the candidate, and a fourth to identify whether the payment was made to support or oppose the entity.
2. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the jurisdiction of the ballot measure and to identify whether the payment was made to support or oppose the ballot measure.
3. The support or oppose indicators, the office and sought/held indicators, and the identification of the jurisdiction, are identified by codes contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
4. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data Element Summary

<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
LQ01	1270	Code List Qualifier Code Code identifying a specific industry code list <i>Use this data element to identify the code list that contains the code specified in LQ02.</i>	O ID 1/3
		EAJ California Campaign Disclosure Report Codes	
LQ02	1271	Industry Code Code indicating a code from a specific industry code list <i>1. When NM101 is code VB, enter codes from sections 03, 04, and 08 of the California Campaign Disclosure Code List.</i> <i>2. When NM101 is code ZZ, enter a code from section 04 and 08 of the California Campaign Disclosure Code List.</i> <i>3. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.</i> <i>4. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the city, county, local or other jurisdiction, in text.</i> <i>5. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/2200 segment to identify the district.</i>	X AN 1/30

Segment: N9 Reference Identification
Position: 2204
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N901	128 Reference Identification Qualifier Code qualifying the Reference Identification <i>Use this data element to qualify the code cited in N902.</i> DIS District Number <i>Use this code to indicate the district of the office sought or the ballot measure.</i>	M ID 2/3
	N902	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369 Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373 Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337 Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623 Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	N907	C040 Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O
Not Used	C04001	128 Reference Identification Qualifier Code qualifying the Reference Identification	M ID 2/3
Not Used	C04002	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M AN 1/50
Not Used	C04003	128 Reference Identification Qualifier Code qualifying the Reference Identification	X ID 2/3
Not Used	C04004	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50

Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50

Segment: **MTX** Text
Position: 2604
Loop: LQ Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.
2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city, county, local or other jurisdiction.
3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
	MTX01	363	Note Reference Code Code identifying the functional area or purpose for which the note applies EAM Jurisdiction EAQ Description of Position	O ID 3/3
	MTX02	1551	Message Text To transmit large volumes of message text <i>This data element is limited to a maximum of 40 characters. Exceeding this limit runs the risk of the data not being received by the Secretary of State's office.</i>	X AN 1/4096
Not Used	MTX03	1551	Message Text To transmit large volumes of message text	O AN 1/4096
Not Used	MTX04	934	Printer Carriage Control Code A field to be used for the control of the line feed of the receiving printer	X ID 2/2
Not Used	MTX05	1470	Number A generic number	O N0 1/9
Not Used	MTX06	819	Language Code Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	O ID 2/3

Segment: **AWD** Amount with Description
Position: 2704
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify a code and/or description with an associated amount
Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.
 2 At least one of AWD02 or AWD03 is required.
Semantic Notes: 1 AWD03 is used when information source provides non-numeric values.
Comments:
Notes:

When NM101 is code VB or ZZ, use this segment to provide expenditure amounts. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of a code cited in the composite data element AWD01. The following should be used in AWD01 to qualify the amount:

Amount:
 AWD01-01 code EBB

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	AWD01	C007	Amount Qualifying Description To qualify an amount <i>Use this composite data element to provide multiple qualifies that identify the amount cited in AWD02.</i>	M
M	C00701	522	Amount Qualifier Code Code to qualify amount EBB Expenditure Amount <i>Use this code to indicate an independent expenditure.</i>	M ID 1/3
Not Used	C00702	522	Amount Qualifier Code Code to qualify amount	O ID 1/3
Not Used	C00703	1638	Value Detail Code Code identifying extent of an amount or quantity	O ID 1/1
Not Used	C00704	935	Measurement Significance Code Code used to benchmark, qualify or further define a measurement value	O ID 2/2
Not Used	C00705	344	Unit of Time Period or Interval Code indicating the time period or interval	O ID 2/2
Not Used	C00706	1637	Net/Gross Code Code indicating whether monetary values are net or gross	O ID 1/1
Not Used	C00707	935	Measurement Significance Code Code used to benchmark, qualify or further define a measurement value	O ID 2/2
Not Used	C00708	352	Description A free-form description to clarify the related data elements and their content	O AN 1/80
Not Used	C00709	1271	Industry Code Code indicating a code from a specific industry code list	O AN 1/30
	AWD02	782	Monetary Amount Monetary amount <i>Use this segment to identify the monetary amount associated with the</i>	X R 1/18

			<i>qualifier codes cited in the AWD01 composite data element.</i>	
Not Used	AWD03	61	Free-Form Message	X AN 1/30
			Free-form information	
Not Used	AWD04	100	Currency Code	O ID 3/3
			Code (Standard ISO) for country in whose currency the charges are specified	

Segment: **DTM** Date/Time Reference
Position: 2804
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:
Notes:

Use this segment to identify the receipt date or payment date of the contribution.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time 050 Received <i>Use this code to indicate the receipt date of a contribution.</i> 666 Date Paid <i>Use this code to indicate the date the contribution was made.</i>	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD <i>Use this data element to identify the date of the contribution.</i>	X DT 8/8
Not Used	DTM03	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	DTM04	623	Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	DTM05	1250	Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format	X ID 2/3
Not Used	DTM06	1251	Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment: N9 Reference Identification
Position: 3104
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes:

- 1 At least one of N902 or N903 is required.
- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 N906 reflects the time zone which the time reflects.
- 2 N907 contains data relating to the value cited in N902.

Comments:
Notes:

1. When NMI01 in the 2/NM1/0700 segment is code VB or ZZ, use of this segment is **REQUIRED** to provide a **PERMANENT** and **UNIQUE** monetary transaction identifier applicable to amounts associated with a candidate or ballot measure. This monetary transaction identifier **MUST** be the same for all amounts within the same monetary transaction associated with the candidate or ballot measure. This same monetary transaction identifier **MUST** be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 497 if this transaction identifier is not a provided.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N901	128 Reference Identification Qualifier Code qualifying the Reference Identification TN Transaction Reference Number	M ID 2/3
	N902	127 Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/50
Not Used	N903	369 Free-form Description Free-form descriptive text	X AN 1/45
Not Used	N904	373 Date Date expressed as CCYYMMDD	O DT 8/8
Not Used	N905	337 Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
Not Used	N906	623 Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow	O ID 2/2
Not Used	N907	C040 Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O

Use this code to indicate a monetary transaction identifier applicable to an amount. The same monetary transaction identifier MUST be assigned to ALL amounts associated with an entity. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments.

Not Used	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification	M	ID 2/3
Not Used	C04002	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M	AN 1/50
Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04004	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50
Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN 1/50

Segment: **MTX** Text
Position: 3504
Loop: AWD Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify textual data
Syntax Notes:

- 1 If MTX01 is present, then MTX02 is required.
- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes:

- 1 MTX05 is the number of lines to advance before printing.

Comments:

- 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05 is required.

Notes:

1. Use this segment also to provide additional text information related to the amount cited in the 2/AWD/2700 segment (e.g. a description of the expenditure, or other information).
2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
3. This implementation convention limits the use of this segment to one time for each code listed in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
MTX01	363	Note Reference Code	O ID 3/3
		Code identifying the functional area or purpose for which the note applies	
		ADD Additional Information	
		PMT Payment	
MTX02	1551	Message Text	X AN 1/4096
		To transmit large volumes of message text	
		1. When MTX01 is code ADD, the full 4096 characters may be used in this data element.	
		2. When MTX01 is code PMT, this data element is limited to 90 characters. Exceeding this limit runs the risk of the Secretary of State's office not receiving the data.	
Not Used	MTX03	1551 Message Text	O AN 1/4096
		To transmit large volumes of message text	
Not Used	MTX04	934 Printer Carriage Control Code	X ID 2/2
		A field to be used for the control of the line feed of the receiving printer	
Not Used	MTX05	1470 Number	O N0 1/9
		A generic number	
Not Used	MTX06	819 Language Code	O ID 2/3
		Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	

Segment: **SE** Transaction Set Trailer
Position: 3600
Loop:
Level: Detail
Usage: Mandatory
Max Use: 1
Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes:

Semantic Notes:

Comments: 1 SE is the last segment of each transaction set.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	SE01	96	Number of Included Segments	M N0 1/10
			Total number of segments included in a transaction set including ST and SE segments	
			<i>This data element identifies the total number of segments included in the transaction set being transmitted. This number includes the ST (header) and SE (trailer) segments</i>	
M	SE02	329	Transaction Set Control Number	M AN 4/9
			Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	
			<i>This data element MUST cite the same number as is cited in ST02 of the 1/ST/0100 segment.</i>	