# 113 Election Campaign and Lobbyist Reporting

Functional Group ID= ${CL}$ 

#### **Introduction:**

This Draft Standard for Trial Use contains the format and establishes the data contents of the Election Campaign and Lobbyist Reporting Transaction Set (113) for use within the context of an Electronic Data Interchange (EDI) environment. This Bi-directional transaction set can be used by candidates, candidate committees, party committees, political action groups, lobbyists and other entities to exchange disclosure data.

#### **Notes:**

- 1. Slate Mailer Organizations in the state of California can use this transaction set to submit California 1992 form 401(Slate mailer Organization Campaign Statement) and schedules. It also can be used to submit California form 498 (Slate Mailer Late Payment Report) dated 8/99. If this IC is used to transmit a form 401, table 1 and the applicable illustrated HL loops, 2/HL/0100 through 2/HL/0105, MUST be transmitted (see note 10 below for an explanation of an illustrated HL loop). If this IC is used to submit a form 498, table 1 and illustrated HL loop 2/HL/0106 Must be transmitted.
- 2. This IC can be used to amend previously submitted data. The amended data is a total replacement of the form and ALL associated schedules.
- 3. Throughout this implementation convention, reference is made to segments and data elements within this transaction set. Segments are identified in the following manner 1/NM1/0700. The first number identifies the table that contains the segment. The 2 or 3 characters between the slash marks identify the segment (in the example, NM1 identifies the Individual or Organizational Name segment). The last 4 numbers identify the position of the segment within the table. Thus, 1/NM1/0700 identifies the Individual or Organizational Name segment in table 1 at position 0700.
- 4. A combination of the segment identifier and the position of the data element within the segment, e.g., BGN06 identify data elements within segments. BGN identifies the Beginning Segment and 06 identifies data element 127 which is the sixth data element within the BGN segment.
- 5. Composite data elements are identified in a manner similar to data elements, e.g., AWD01-04. AWD identifies the Amount with Description segment; 01 identifies composite data element C007, which is the first data element within the segment; and -04 identifies sub data element 935, which is the fourth sub data element within the composite data element C007.
- 6. This transaction set contains segments and data elements that by the X12 standard are mandatory. A mandatory segment is identified by an ''M'' in the Requirement Designator field within the transaction set table and by the word ''MANDATORY'' in the Usage row on the segment page. Data elements that are mandatory by the X12 standard are identified by an ''M'' in the first column under the ATTRIBUTES on the segment page. Mandatory segments MUST be present in all transmissions of this transaction set. Mandatory data elements MUST be present in all mandatory segments and in those optional segments that are used in the transaction set.
- 7. This transaction set also contains segments and data elements whose uses are optional by the X12 standard. These segments and data elements are identified in a similar manner as are the mandatory segments and data elements except an "O" is displayed in lieu of an "M" and the word "OPTIONAL" is used in place of "MANDATORY". Optional segments and data elements may be used at the discretion of the originator of the transaction set except when the words "MUST USE" are present. In this instance, the segment or data element MUST be present in the occurrence of the illustrated HL loop in which the annotation appears.
- 8. This transaction set contains segments and data elements that are optional by the X12 standard but are REQUIRED by this implementation convention under specified conditions. In this instance the word "REQUIRED" will appear in a note associated with the segment or data element and the condition requiring the use of the segment or data element will be specified in this note. Use of the segment, data element or code are

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#### REQUIRED whenever the specified condition is present.

- 9. This transaction set contains a HL loop (which includes all segments 2/HL/0100 through 2/MTX/3500) in table 2. This HL loop is used to transmit data applicable to a form or schedule and to categorize that data.
- 10. Within this 113 transaction set there is only one HL loop identified in table 2, however, this loop may be repeated an infinite number of times. For ease of understanding this implementation convention, the data required by each form or schedule is identified in a separate illustrated HL loop. The illustrated HL loops identify the segments, data elements and codes that are to be used to provide the data required by the form or schedule. The illustrated HL loops can be identified by their position numbers within the transaction set. The HL loop within this 113 transaction set starts at position 2/0100 and ends at position 2/3500. Each segment in the HL loop is at a position that is incremented by 100 from the HL segment, e.g., the second segment in the HL loop is at position 2/0200. Each of the segments in the illustrated loops is incremented by 1 from the segments in the basic HL loop, e.g., 2/0101 through 2/3501, 2/0102 through 2/3502, etc. Notes that appear in these illustrative loops will refer to segments by their ACTUAL positions within the X12 transaction set, NOT by their illustrated positions.
- 11. Each HL loop that starts a form or schedule MUST cite code RP (Report) in HL03. There may be other HL loops within a form or schedule. Each of these loops will cite a code other than RP in HL03. Each code listed in HL03 may be used ONLY one time in each HL loop that transmits data for a form or schedule. All HL loops MUST be numbered consecutively in HL01 throughout the entire transaction set.
- 12. Codes listed in data elements are the only codes that may be used in that data element.
- 13. Instructions regarding the use of this transaction set to comply with the reporting requirements of the California Secretary of State's office will appear as italicized, bolded, shaded text. Any other text that appears within this transaction set is part of the X12 standard.
- 14. Segment syntax and semantic notes are part of the X12 standard and MUST be complied with.
- 15. This IC accommodates all the instructions for completing a form or schedule.
- 16. This implementation convention uses the term "form" to also refer to schedules.

# **Heading:**

<b>Page</b> <u><b>No.</b></u> 9	Pos. <u>No.</u> 0100	Seg. <u>ID</u> ST	Name Transaction Set Header	Req. Des. M	Max.Use	Loop <u>Repeat</u>	Notes and Comments
10	0200	BGN	Beginning Segment	M	1		
Not Used	0300	N9	Reference Identification	О	>1		
Not Used	0400	DTM	Date/Time Reference	О	>1		
Not Used	0500	LQ	Industry Code	O	>1		n1
Not Used	0600	MTX	Text	O	>1		
			LOOP ID - NM1			>1	
12	0700	NM1	Individual or Organizational Name	О	1		n2
14	0800	N2	Additional Name Information	O	2		
Not Used	0900	N3	Address Information	O	2		
Not Used	1000	N4	Geographic Location	O	1		
Not Used	1100	PER	Administrative Communications Contact	O	>1		
Not Used	1200	N9	Reference Identification	O	>1		
			LOOP ID - AWD			>1	
Not Used	1300	AWD	Amount with Description	0	1		n3
Not Used	1400	LQ	Industry Code	О	>1		n4
Not Used	1500	MTX	Text	O	>1		

#### **Detail:**

Page <u>No.</u>	Pos. No.	Seg. <u>ID</u>	<u>Name</u>	Req. <u>Des.</u>	Max.Use	Loop <u>Repeat</u>	Notes and Comments
			LOOP ID - HL			>1	
15	0100	HL	Hierarchical Level	О	1		n5
			LOOP ID - N9			>1	
17	0200	N9	Reference Identification	О	1		
19	0300	DTM	Date/Time Reference	О	>1		
Not Used	0400	NX2	Location ID Component	О	>1		
Not Used	0500	LQ	Industry Code	О	>1		n6
20	0600	MTX	Text	О	>1		
			LOOP ID - NM1			>1	
21	0700	NM1	Individual or Organizational Name	О	1		n7
24	0800	N2	Additional Name Information	O	2		
25	0900	N3	Address Information	O	2		
26	1000	N4	Geographic Location	O	1		
27	1100	PER	Administrative Communications Contact	O	>1		
28	1200	N9	Reference Identification	O	>1		
30	1300	DTM	Date/Time Reference	O	>1		
31	1400	NX2	Location ID Component	O	>1		
Not Used	1500	EMS	Employment Position	O	>1		
32	1600	TPB	Business Professional Title	O	>1		
Not Used	1700	PWK	Paperwork	O	>1		
Not Used	1800	PAM	Period Amount	O	>1		
Not Used	1900	G86	Signature	O	1		
Not Used	2000	MTX	Text	O	>1		
			LOOP ID - LQ			>1	
33	2100	LQ	Industry Code	О	1		n8
Not Used	2200	N9	Reference Identification	O	>1		
Not Used	2300	DTM	Date/Time Reference	O	>1		
Not Used	2400	PCT	Percent Amounts	O	>1		
Not Used	2500	QTY	Quantity	O	>1		
Not Used	2600	MTX	Text	O	>1		
			LOOP ID - AWD			>1	
34	2700	AWD	Amount with Description	O	1		
Not Used	2800	DTM	Date/Time Reference	O	>1		
Not Used	2900	PCT	Percent Amounts	O	>1		
Not Used	3000	QTY	Quantity	O	>1		
Not Used	3100	N9	Reference Identification	O	>1		
Not Used	3200	PDL	Payment Details	O	>1		
Not Used	3300	LQ	Industry Code	O	>1		n9
Not Used	3400	N1	Name	O	>1		n10
36	3500	MTX	Text	O	>1		
						. 1	
27	0101		LOOP ID - HL	0	1	>1	
37	0101	HL	Hierarchical Level	0	1		
20	0201	NO	LOOP ID - N9			>1	
39	0201	N9	Reference Identification	0	1		
Not Used	0301	DTM	Date/Time Reference	0	>1		
Not Used	0401	NX2	Location ID Component	0	>1		
Not Used	0501	LQ	Industry Code	0	>1		
41	0601	MTX	Text	О	>1		

			LOOP ID - NM1			>1
42	0701	NM1	Individual or Organizational Name	0	1	
45	0801	N2	Additional Name Information	0	2	
46	0901	N3	Address Information	0	2	
47	1001	N4	Geographic Location	0	1	
Not Used	1101	PER	Administrative Communications Contact	0	>1	
48	1201	N9	Reference Identification	0	>1	
Not Used	1301	DTM	Date/Time Reference	0	>1	
Not Used	1401	NX2	Location ID Component	0	>1	
50	1501	EMS	Employment Position	0	>1	
Not Used	1601	TPB	Business Professional Title	0	>1	
Not Used	1701	PWK	Paperwork	0	>1	
Not Used	1801	PAM	Period Amount	0	>1	
Not Used	1901	G86	Signature	0	1	
Not Used	2001	MTX	Text	0	>1	
			LOOP ID - LQ	-		>1
51	2101	LQ	Industry Code	0	1	
52	2201	N9	Reference Identification	0	>1	
Not Used	2301	DTM	Date/Time Reference	0	>1	
Not Used	2401	PCT	Percent Amounts	0	>1	
Not Used	2501	QTY	Quantity	0	>1	
54	2601	MTX	Text	0	>1	
	2001					. 1
	2501		LOOP ID - AWD			>1
55	2701	AWD	Amount with Description	0	1	
57	2801	DTM	Date/Time Reference	0	>1	
Not Used	2901	PCT	Percent Amounts	0	>1	
Not Used	3001	QTY	Quantity	0	>1	
58	3101	N9	Reference Identification	0	>1	
Not Used	3201	PDL	Payment Details	0	>1	
60	3301	LQ	Industry Code	0	>1	
61	3401	N1	Name	0	>1	
62	3501	MTX	Text	0	>1	
			LOOP ID - HL			>1
63	0102	HL	Hierarchical Level	0	1	
			LOOP ID - N9			>1
65	0202	N9	Reference Identification	О	1	
Not Used	0302	DTM	Date/Time Reference	О	>1	
Not Used	0402	NX2	Location ID Component	О	>1	
Not Used	0502	LQ	Industry Code	О	>1	
67	0602	MTX	Text	0	>1	
			LOOP ID - NM1			>1
68	0702	NM1	Individual or Organizational Name	0	1	
70	0802	N2	Additional Name Information	O	2	
71	0902	N3	Address Information	O	2	
72	1002	N4	Geographic Location	O	1	
Not Used	1102	PER	Administrative Communications Contact	O	>1	
73	1202	N9	Reference Identification	О	>1	
Not Used	1302	DTM	Date/Time Reference	O	>1	
Not Used	1402	NX2	Location ID Component	O	>1	
Not Used	1502	EMS	Employment Position	O	>1	

Not Used	1602	TPB	Business Professional Title	O	>1	
Not Used	1702	PWK	Paperwork	0	>1	
Not Used	1802	PAM	Period Amount	О	>1	
Not Used	1902	G86	Signature	О	1	
Not Used	2002	MTX	Text	O	>1	
			LOOP ID - LQ			>1
Not Used	2102	LQ	Industry Code	0	1	
Not Used	2202	N9	Reference Identification	0	>1	
Not Used	2302	DTM	Date/Time Reference	0	>1	
Not Used	2402	PCT	Percent Amounts	0	>1	
Not Used	2502	QTY	Quantity	0	>1	
Not Used	2602	MTX	Text	0	>1	
1100 0000	2002					
			LOOP ID - AWD			>1
75	2702	AWD	Amount with Description	О	1	
Not Used	2802	DTM	Date/Time Reference	0	>1	
Not Used	2902	PCT	Percent Amounts	0	>1	
Not Used	3002	QTY	Quantity	O	>1	
77	3102	N9	Reference Identification	O	>1	
Not Used	3202	PDL	Payment Details	0	>1	
Not Used	3302	LQ	Industry Code	O	>1	
Not Used	3402	N1	Name	O	>1	
79	3502	MTX	Text	O	>1	
			LOOP ID - HL			>1
80	0103	HL	Hierarchical Level	0	1	
			LOOP ID - N9		-	>1
82	0203	N9	Reference Identification	0	1	, <b>.</b>
Not Used	0303	DTM	Date/Time Reference	0	>1	
Not Used	0403	NX2	Location ID Component	0	>1	
Not Used	0503	LQ	Industry Code	0	>1	
84	0603	MTX	Text	0	>1	
04	0003	WIIX			<i>&gt;</i> 1	
			LOOP ID - NM1			>1
85	0703	NM1	Individual or Organizational Name	0	1	
87	0803	N2	Additional Name Information	0	2	
88	0903	N3	Address Information	0	2	
89	1003	N4	Geographic Location	О	1	
Not Used	1103	PER	Administrative Communications Contact	О	>1	
90	1203	N9	Reference Identification	O	>1	
Not Used	1303	DTM	Date/Time Reference	O	>1	
Not Used	1403	NX2	Location ID Component	O	>1	
Not Used	1503	EMS	Employment Position	O	>1	
Not Used						
	1603	TPB	Business Professional Title	0	>1	
Not Used	1603 1703	TPB PWK	Business Professional Title Paperwork		>1 >1	
Not Used Not Used				O		
	1703	PWK	Paperwork	O O	>1	
Not Used	1703 1803	PWK PAM	Paperwork Period Amount	0 0 0	>1 >1	
Not Used Not Used	1703 1803 1903	PWK PAM G86	Paperwork Period Amount Signature	0 0 0	>1 >1 1	>1
Not Used Not Used	1703 1803 1903	PWK PAM G86	Paperwork Period Amount Signature Text	0 0 0	>1 >1 1	>1
Not Used Not Used Not Used	1703 1803 1903 2003	PWK PAM G86 MTX	Paperwork Period Amount Signature Text LOOP ID - LQ	0 0 0 0 0	>1 >1 1 >1	>1
Not Used Not Used Not Used Not Used Not Used	1703 1803 1903 2003 2103 2203	PWK PAM G86 MTX LQ N9	Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code	0 0 0 0 0	>1 >1 1 >1 >1	>1
Not Used Not Used Not Used	1703 1803 1903 2003	PWK PAM G86 MTX	Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification	0 0 0 0 0	>1 >1 1 >1	>1

Not Used	2503	QTY	Quantity	O	>1	
Not Used	2603	MTX	Text	О	>1	
			LOOP ID - AWD			>1
92	2703	AWD	Amount with Description	0	1	
Not Used	2803	DTM	Date/Time Reference	0	>1	
Not Used	2903	PCT	Percent Amounts	0	>1	
Not Used	3003	QTY	Quantity	0	>1	
94	3103	N9	Reference Identification	0	>1	
Not Used	3203	PDL	Payment Details	0	>1	
Not Used	3303	LQ	Industry Code	0	>1	
Not Used	3403	N1	Name	0	>1	
96	3503	MTX	Text	0	>1	
0.7	0104		LOOP ID - HL			>1
97	0104	HL	Hierarchical Level	0	1	
0.0	0001		LOOP ID - N9			>1
99	0204	N9	Reference Identification	0	1	
Not Used	0304	DTM	Date/Time Reference	0	>1	
Not Used	0404	NX2	Location ID Component	0	>1	
Not Used	0504	LQ	Industry Code	О	>1	
101	0604	MTX	Text	О	>1	
			LOOP ID - NM1			>1
102	0704	NM1	Individual or Organizational Name	0	1	
104	0804	N2	Additional Name Information	O	2	
105	0904	N3	Address Information	O	2	
106	1004	N4	Geographic Location	О	1	
Not Used	1104	PER	Administrative Communications Contact	О	>1	
Not Used	1204	N9	Reference Identification	О	>1	
Not Used	1304	DTM	Date/Time Reference	О	>1	
Not Used	1404	NX2	Location ID Component	О	>1	
Not Used	1504	EMS	Employment Position	О	>1	
Not Used	1604	TPB	Business Professional Title	О	>1	
Not Used	1704	PWK	Paperwork	О	>1	
Not Used	1804	PAM	Period Amount	О	>1	
Not Used	1904	G86	Signature	О	1	
Not Used	2004	MTX	Text	O	>1	
			LOOP ID - LQ			>1
Not Used	2104	LQ	Industry Code	0	1	
Not Used	2204	N9	Reference Identification	O	>1	
Not Used	2304	DTM	Date/Time Reference	0	>1	
Not Used	2404	PCT	Percent Amounts	O	>1	
Not Used	2504	QTY	Quantity	0	>1	
Not Used	2604	MTX	Text	0	>1	
			LOOP ID - AWD			>1
107	2704	AWD		0	1	>1
107 Not Used	2704 2804	DTM	Amount with Description Date/Time Reference	0		
	2904			0	>1	
Not Used		PCT	Percent Amounts	0	>1	
Not Used	3004	QTY	Quantity  Reference Identification	0	>1	
109	3104	N9	Reference Identification	0	>1	
Not Used	3204	PDL	Payment Details	0	>1	
Not Used	3304	LQ	Industry Code	0	>1	

Not Used	3404	N1	Name	0	>1		1
111	3504	MTX	Text	0	>1		
	3304	WIIX			>1		
112	0105		LOOP ID - HL			>1	11
112	0105	HL	Hierarchical Level	0	1		n11
			LOOP ID - N9			>1	
114	0205	N9	Reference Identification	О	1		
Not Used	0305	DTM	Date/Time Reference	О	>1		
Not Used	0405	NX2	Location ID Component	О	>1		
Not Used	0505	LQ	Industry Code	О	>1		n12
16	0605	MTX	Text	О	>1		
			LOOP ID - NM1			>1	
17	0705	NM1	Individual or Organizational Name	О	1		n13
19	0805	N2	Additional Name Information	О	2		
20	0905	N3	Address Information	О	2		
21	1005	N4	Geographic Location	О	1		
lot Used	1105	PER	Administrative Communications Contact	O	>1		
22	1205	N9	Reference Identification	O	>1		
lot Used	1305	DTM	Date/Time Reference	O	>1		
lot Used	1405	NX2	Location ID Component	O	>1		
lot Used	1505	EMS	Employment Position	O	>1		
lot Used	1605	TPB	Business Professional Title	O	>1		
lot Used	1705	PWK	Paperwork	O	>1		
lot Used	1805	PAM	Period Amount	O	>1		
lot Used	1905	G86	Signature	О	1		
lot Used	2005	MTX	Text	O	>1		
			LOOP ID - LQ			>1	
24	2105	LQ	Industry Code	0	1		
25	2205	N9	Reference Identification	O	>1		
lot Used	2305	DTM	Date/Time Reference	O	>1		
lot Used	2405	PCT	Percent Amounts	О	>1		
Not Used	2505	QTY	Quantity	O	>1		
27	2605	MTX	Text	O	>1		
			LOOP ID - AWD			>1	
lot Used	2705	AWD	Amount with Description	0	1	/1	
lot Used	2805	DTM	Date/Time Reference	0	>1		
ot Used	2905	PCT	Percent Amounts	0	>1		
ot Used	3005	QTY	Quantity	0	>1		
ot Used	3105	N9	Reference Identification	0	>1		
lot Used	3205	PDL	Payment Details	0	>1		
ot Used	3305	LQ	Industry Code	0	>1		n14
lot Used	3405	N1	Name	0	>1		n14 n15
ot Used	3505	MTX	Text	0			1113
oi oseu	2203	IVIIA			>1		
			LOOP ID - HL			>1	
28	0106	HL	Hierarchical Level	0	1		
			LOOP ID - N9			>1	
30	0206	N9	Reference Identification	О	1		
lot Used	0306	DTM	Date/Time Reference	О	>1		
Not Used	0406	NX2	Location ID Component	О	>1		
Not Used	0506	LQ	Industry Code	O	>1		

132	0606	MTX	Text	О	>1	
			LOOP ID - NM1			>1
133	0706	NM1	Individual or Organizational Name	О	1	
136	0806	N2	Additional Name Information	O	2	
137	0906	N3	Address Information	O	2	
138	1006	N4	Geographic Location	O	1	
139	1106	PER	Administrative Communications Contact	O	>1	
140	1206	N9	Reference Identification	O	>1	
Not Used	1306	DTM	Date/Time Reference	O	>1	
Not Used	1406	NX2	Location ID Component	О	>1	
142	1506	EMS	Employment Position	О	>1	
Not Used	1606	TPB	Business Professional Title	О	>1	
Not Used	1706	PWK	Paperwork	O	>1	
Not Used	1806	PAM	Period Amount	O	>1	
Not Used	1906	G86	Signature	O	1	
Not Used	2006	MTX	Text	O	>1	
			LOOP ID - LQ			>1
143	2106	LQ	Industry Code	0	1	
145	2206	N9	Reference Identification	O	>1	
Not Used	2306	DTM	Date/Time Reference	O	>1	
Not Used	2406	PCT	Percent Amounts	O	>1	
Not Used	2506	QTY	Quantity	O	>1	
147	2606	MTX	Text	O	>1	
			LOOP ID - AWD			>1
148	2706	AWD	Amount with Description	О	1	
150	2806	DTM	Date/Time Reference	O	>1	
Not Used	2906	PCT	Percent Amounts	O	>1	
Not Used	3006	QTY	Quantity	O	>1	
151	3106	N9	Reference Identification	O	>1	
Not Used	3206	PDL	Payment Details	O	>1	
Not Used	3306	LQ	Industry Code	O	>1	
Not Used	3406	N1	Name	O	>1	
153	3506	MTX	Text	О	>1	
154	3600	SE	Transaction Set Trailer	M	1	

# **Transaction Set Notes**

- 1. The LQ segment provides coded information related to a filing, such as, a notice of a late filing.
- 2. The NM1 segment provides name and address information regarding the sender and receiver of a filing.
- **3.** The AWD loop provides monetary amounts covering fees and related information associated with a late filing.
- 4. The LQ segment provides coded information related to the monetary amounts carried in the AWD loop.
- 5. The HL loop divides a filing into sections such as basic report information, loans, contributions, receipts, expenditures, related parties and other information contained in a filing.
- **6.** The LQ segment provides coded information related to an individual report identified in the N9 segment.
- 7. The NM1 segment provides name, address and related information for reportable entities, such as filers, committees, committee officers, and contributors.
- **8.** The LQ loop provides coded information, such as, type of committee, last office held by a candidate, and other information about the entities identified in the NM1 segment.
- **9.** The LQ segment provides coded information related to the monetary amount carried in the AWD segment as reported for the entity identified in the NM1 loop.
- **10.** The N1 segment provides the name of an entity who benefited from an expenditure made by a party identified in the NM1 segment.

Segment: ST Transaction Set Header

**Position:** 0100

Loop:

Level: Heading Usage: Mandatory

Max Use:

Purpose:

To indicate the start of a transaction set and to assign a control number

Syntax Notes: Semantic Notes:

1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).

2 The implementation convention reference (ST03) is used by the translation routines of the interchange partners to select the appropriate implementation convention to match the transaction set definition.

#### **Comments:**

M	Ref. Des. ST01	Data Element 143	Name Transaction Set Identifier Code	Attr M	ributes ID 3/3
			Code uniquely identifying a Transaction Set		
			113 Election Campaign and Lobbyist Repor	ting	
M	ST02	329	Transaction Set Control Number	M	AN 4/9
			Identifying control number that must be unique within the tra- functional group assigned by the originator for a transaction s		ion set
		A unique number assigned by the submitter of the transaction application program. This same number is cited in SE02 segment.			
	ST03	1705	Implementation Convention Reference	O	AN 1/35
			Reference assigned to identify Implementation Convention		
			Cite 113CA401		

**BGN** Beginning Segment **Segment: Position:** 0200 Loop: Level: Heading Usage: Mandatory Max Use: **Purpose:** To indicate the beginning of a transaction set **Syntax Notes:** If BGN05 is present, then BGN04 is required. **Semantic Notes:** BGN02 is the transaction set reference number. BGN03 is the transaction set date. 3 BGN04 is the transaction set time. 4 BGN05 is the transaction set time qualifier. BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction. **Comments: Notes:** This segment is used to provide basic information regarding the data contained within this transaction set. **Data Element Summary** Ref. Data Element Des. Name Attributes BGN01 353 **Transaction Set Purpose Code** M ID 2/2 Code identifying purpose of transaction set Use this data element to identify the purpose of the data contained within this transaction set. Only one of the listed codes may be used. 00 Original Use this code to indicate an original submission of the data contained within this transaction set. When this code is used, BGN07 is REQUIRED and MUST cite code EC. CO Corrected 1. Use this code to indicate that the data contained within this transaction set has been amended from a previous submission. The amended data is a total replacement of the data that was previously submitted (i.e., form 401 and all previously transmitted schedules, or form 498). 2. When this code is used, BGN06 is REQUIRED and MUST contain the unique number of the previously transmitted transaction set. 3. The unique number of the previously transmitted transaction set was carried in BGN02 of that transmission. BGN02 127 **Reference Identification** M AN 1/50

 $\mathbf{M}$ 

 $\mathbf{M}$ Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Use this data element to provide a unique number that can be used to identify this transmission in future communications between the submitter and receiver of this transaction set. This number is different than the number that is contained in ST02. M BGN03 373 Date M DT 8/8 Date expressed as CCYYMMDD Use this data element to identify the transmission date of this transaction set. 337 BGN04 Time TM 4/8 Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-

			59), $S = \text{integer seconds}$ (00-59) and $DD = \text{decimal seconds}$ ; decimal seconds					
			are expressed as follows: $D = tenths (0-9)$ and $DD = hundredths (00-99)$					
			This data element may be used to identify the time of the transmission.					
	_ ~		Identify the time as local time in HHMM format.					
Not Used	BGN05	623	Time Code	O	ID 2/2			
			Code identifying the time. In accordance with International organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the	- and ne; sin	an indication ace + is a			
	BGN06	127	Reference Identification	O	AN 1/50			
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier					
			When BGN01 is code CO, use of this data element is REQUIRED to identify					
			the unique reference number of a previously transmitted 113 transaction set.					
			The unique reference number of the previously transmitted set was cited in BGN02 of that transmission.	1113	iransaction			
	BGN07	640	Transaction Type Code	О	ID 2/2			
			Code specifying the type of transaction					
			Use this data element is REQUIRED when BGN01 is code nature of the data contained within this transaction set.	00 to	identify the			
			EC Campaign Filing					
Not Used	BGN08	306	Action Code	O	ID 1/2			
			Code indicating type of action					
Not Used	BGN09	<b>786</b>	Security Level Code	O	ID 2/2			
			Code indicating the level of confidentiality assigned by the sinformation following	ender	to the			

Segment: NM1 Individual or Organizational Name

Position: 0700

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**Loop:** NM1 Optional

Level: Heading Usage: Optional Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

2 If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

Notes: Use this segment to identify the "from" and "to" entities of this submission.

#### **Data Element Summary**

	Ref.	Data	Data ER	ement Summary					
	Des.	Data Element	Name		A tt	ributes			
M	NM101	98	Entity Identifier	r Code		ID 2/3			
112	1111202	, 0		Code identifying an organizational entity, a physical location, property or an					
			individual	8	-, F,				
			Use this segment to qualify the entity identified in the following data elements.						
			FR	Message From					
				Use this code to indicate the entity sub	mittir	ng the data.			
			TO	Message To					
				Use this code to indicate the entity that data.	t is to	receive the			
M	NM102	1065	<b>Entity Type Qua</b>	alifier	M	<b>ID</b> 1/1			
			Code qualifying	the type of entity					
				Use this data element to identify the entity qualified in NM101 as an					
				nmittee, or a government entity.  Person					
			1	Use this code to indicate an individual					
			2	•					
			2	Non-Person Entity  Use this code to indicate an entity other	or tha	n an			
				individual or a committee.	ther man an				
			6	Organization					
				Use this code to indicate a committee.					
			G	Government					
Must Use	NM103	1035	Name Last or O	organization Name	O	AN 1/60			
			Individual last na	ame or organizational name					
			1. Use this data	element to provide the name of an entity.					
				2 is code 1 and the person has a moniker (n	iickn	ame), use the			
			2/N2/0800 segmo	ent to provide the moniker.					
			3. When NM102	2 is code2, 6 or G, and the name of the com	ımitte	e or			
				ty is greater than 60 characters, use the 1/1					
		100		lance of the name.					
	NM104	1036	Name First		O	AN 1/35			
	NT 5405	402=	Individual first n	ame					
	NM105	1037	Name Middle		O	AN 1/25			
	NIN#107	1020	Individual middle	e name or initial	•	A NI 1/10			
	NM106	1038	Name Prefix	1	O	AN 1/10			
	NIN#10#	1020	Prefix to individu	iai name	•	A NI 1/10			
	NM107	1039	Name Suffix	ual nama	0	AN 1/10			
			Suffix to individu	uai name					

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Not Used	NM108	66	Identification Code Qualifier	X	ID 1/2
			Code designating the system/method of code structure used f Code (67)	or Id	entification
Not Used	NM109	67	<b>Identification Code</b>	$\mathbf{X}$	AN 2/80
			Code identifying a party or other code		
Not Used	NM110	706	Entity Relationship Code	$\mathbf{X}$	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	, proj	perty or an

Segment: N2 Additional Name Information

Position: 0800

**Loop:** NM1 Optional

Level: Heading Usage: Optional

Max Use: 2

**Notes:** 

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 in the 1/NM1/0700 segment is code 2, 6 or G, use this segment to provide the balance of a committee or government organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			1. When providing a moniker, insert a space after the numeric 1, 2, or 3.	e moniker and cite the
			<ul> <li>1 = moniker is before the first name</li> <li>2 = moniker is between the first and middle name</li> <li>3 = moniker is between the middle and last name</li> </ul>	
	N202	93	Name Free-form name	O AN 1/60

**HL** Hierarchical Level **Segment:** 

0100 **Position:** 

> Loop: HLOptional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California Form 401, Slate Mailer Organization Campaign Statement.

			Data Elem	ent Summary			
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		<u>Attributes</u>		
M	$\overline{\text{HL0}}$ 1	628	Hierarchical ID N	umber	M AN 1/12		
			A unique number as	ssigned by the sender to identify a particular	lar data segment		
			in a hierarchical stru	ucture	•		
			Use this data eleme	ent to provide a unique number that can i	identify each HL		
			loop. It is recomme	ended that the first HL loop be identified	by the number 1		
				t and that each successive HL loop be in			
Not Used	HL02	734	Hierarchical Parei	nt ID Number	O AN 1/12		
				er of the next higher hierarchical data seg	ment that the data		
				ribed is subordinate to			
M	HL03	735	Hierarchical Level	Code	M ID 1/2		
			Code defining the c	haracteristic of a level in a hierarchical str	ructure		
			1. This data elemen	nt is used to identify the type of data that	is being		
			transmitted within	the current HL loop.			
				d codes MUST be used only one time.			
			EB	Filer			
				1. Use this code to indicate that the day			
				follows in this HL loop is applicable to			
			is submitting the data contained in this form 401.				
				2. When this code is used, the 2/NM1/0 MUST be used to identify the filer and	_		

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RP

*401*.

Report

to identify the summary payment amounts for form

- 1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted (e.g., form number and period covered).
- 2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification

Position: 0200

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.
4 If either C04005 or C04006 is present, then the other is required.

1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Semantic Notes:** 

Notes: When HL03 in the 2/HL/0100 segment is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number; and the most recent campaign statement (form CA450,

CA460, or CA461) that is applicable to a form 401.

	- a	<b>-</b>	Data Elem	nent Summary		
M	Ref. <u>Des.</u> N901	Data Element 128	<u>Name</u> Reference Identifi	ication Qualifier		ributes ID 2/3
			Code qualifying the	e Reference Identification		
			ECF	Related Form Number		
				1. Use this code to identify the most restatement (form CA450, CA460, or CA applicable to a form 401.		
				2. When this code is used, N907 may no N907-01 citing code RPT, to identify to number assigned to the form 450, 460,	he un	ique
			FQ	Form Number		
			O8	Use this code to indicate the number of is being transmitted, i.e., F401.	f the	form that
			OJ	Original Filing When BGN01 in the 1/BGN/0200 segn 00, use of this code is REQUIRED to a original filing number. When this cod always cite 000 in N902. If the require provided, the Secretary of State's offic filing of the amended form 401. Amendment Filing	identi le is u ed nu	fy the sed, mber is not
				When BGN01 in the 1/BGN/0200 segruse of this code is REQUIRED to indiamendment number. When this code amended filing MUST cite 001. Subsefilings MUST increment the amended by 1, e.g., 002, 003, etc. If the required provided, the Secretary of State's offic filing of the amended form 401.	cate to is use equen filing d nun	he filing d, the first t amended number aber is not
	N902	127	Reference Identifi	v	X	AN 1/50
				tion as defined for a particular Transaction eference Identification Qualifier	n Set	or as
Not Used	N903	369	Free-form Descrip		X	AN 1/45
			Free-form descript	ive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>

Date expressed as CCYYMMDD

Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred Time Code	I = min; decin	nutes (00- nal seconds
			Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the	- and a	an indication ce + is a
	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numbe	ers as
			When N901 is code ECF, use of this composite data element	nt is R	EQUIRED
			to identify the previous report number.		
M	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			RPT Report Number		
			When N901 is code ECF, use this cod number assigned to the most recent for CA460 or CA461. This number was cof the form 450, 460, or 461.	orm CA	A <i>450</i> ,
$\mathbf{M}$	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set o	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set o	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio	n Set o	or as
			specified by the Reference Identification Qualifier		

Segment: DTM Date/Time Reference

**Position:** 0300

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED

to identify the reporting period for the data contained in form 401. If the required dates are not provided, the Secretary of State's office will reject the filing of this form

401.

M	Ref. <u>Des.</u> DTM01	Data Element 374	Name Date/Time Qualifi	er	Attr M	ributes ID 3/3	
			Code specifying typ	pe of date or time, or both date and time			
			Use this data eleme DTM02.	ent to cite a code that qualifies the date id	lentif	ïed in	
			193	Period Start			
				Use this code to indicate the ''from'' do reporting period.	ite foi	r a	
			194	Period End			
				Use this code to indicate the 'through' reporting period.	' date	e for a	
	DTM02	373	Date		X	<b>DT</b> 8/8	
			Date expressed as C				
			Use this data eleme cited in DTM01.	ent to identify the date applicable to the q	ualifi	ier code	
Not Used	DTM03	337	Time		X	TM 4/8	
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-59)$ , $S = integer seconds (00-59)$ and $DD = decimal seconds$ ; decimal seconds are expressed as follows: $D = tenths (0-9)$ and $DD = hundredths (00-99)$				
Not Used	DTM04	623	Time Code		O	ID 2/2	
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow				
Not Used	DTM05	1250	<b>Date Time Period</b>	_	X	ID 2/3	
			Č	e date format, time format, or date and time			
Not Used	DTM06	1251	Date Time Period		X	AN 1/35	
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes	

MTX Text **Segment:** 

**Position:** 0600

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	$\mathbf{o}$	ID 3/3
			Code identifying the functional area or purpose for which the	note	applies
			REP Report		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

Position: 0700

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

2 If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

Notes: When HL03 in the 2/HL/0100 segment is code EB, use this segment to identify the entity filing form 401, the treasurer of the Slate Mailer Organization and the entity

signing the form, if different than the filer or treasurer.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	NM101	98	Entity Identifier Code	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

Use this segment to qualify the entity identified in the following data elements.

EBN

Treasurer

- 1. Use this code to indicate the treasure of a Slate Mailer Organization.
- 2. When this code is used, NM102 should cite code 1.
- 3. If the treasurer is the entity that is signing form 401, do not use code UZ. In this instance, the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification statement: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.

FN

Filer Name

- 1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the entity submitting the data, the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer identification number, and the 2/AWD/2700 loop MUST be used to identify summary payment amounts.
- When this code is used, NM102 may cite code 1 or2.
- 3. When this code is used and NM102 is code 1, the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification statement: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.
- 4. When this code is used and NM102 is code 2, NM108/09 MUST be used to provide the Slate Mailer Organization identification number. If the Slate Mailer Organization is also a "general purpose committee", the 2/N9/1200 segment MUST be used to

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provide a committee identification number.

5. When this code is used and NM102 is code 2, provide the Slate Mailer Organization identification number in NM109 and cite code 75 in NM108. If the Slate Mailer Organization is also a General Purpose Committee, use the 2/N9/1200 segment to provide the committee identification number.

UZ Signer

- 1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the responsible officer who signed the form 401 if different than the filer or the treasurer.
- 2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, the title of the signing entity, and a verification statement: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, 2/TPD/1600, and 2/LQ/2100.

$\mathbf{M}$	NM102	1065	<b>Entity Type Qualifier</b>
--------------	-------	------	------------------------------

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as a person or an entity other than an individual or a committee.

Person 1

Use this code to indicate an individual.

2 Non-Person Entity

Use this code to indicate an entity other than an

individual or a committee.

**Must Use** NM103 1035 Name Last or Organization Name AN 1/60

Individual last name or organizational name

- 1. Use of this data element is REQUIRED to provide the name of an entity.
- 2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.
- 3. When NM102 is code 2 and the name of the entity is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.

**NM104** 1036 Name First 0 AN 1/35

Individual first name

NM105 1037 Name Middle AN 1/25

Individual middle name or initial

1038 Name Prefix NM106

AN 1/10

Prefix to individual name

NM107 1039 Name Suffix AN 1/10

Suffix to individual name

**NM108 Identification Code Qualifier** 66

X ID 1/2

Code designating the system/method of code structure used for Identification Code (67)

When NM101 is code FN and NM102 is code 2, use this data element to qualify the identification number in NM109.

75 State or Province Assigned Number

> Use this code to indicate the Slate Mailer Organization identification number, if one has been assigned. Failure to provide the identification number will be cause for the Secretary of State's office to reject the filing of this form 401. If an identification number

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			has not yet been received, use the 2/LQ to identify that condition.	)/2100	0 segment
	NM109	67	Identification Code	$\mathbf{X}$	AN 2/80
			Code identifying a party or other code		
			Use this data element to provide the Slate Mailer Organization number.	tion ic	lentification
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	ı, proj	perty or an

Segment: N2 Additional Name Information

**Position:** 0800

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2

**Notes:** 

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref. <u>Des.</u>	Data <u>Element</u>	Name	Attributes
M	$\overline{N201}$	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the numeric 1, 2, or 3.	noniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0900

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1000

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref. <u>Des.</u> N401	Data Element 19	Name City Name	Attr O	ributes AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate gov	ernn	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punct (zip code for United States)	uatio	n and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3
			Code identifying the country subdivision		

 ${f PER}$  Administrative Communications Contact **Segment: Position:** 1100 NM1 Loop: Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 To identify a person or office to whom administrative communications should be directed **Purpose: Syntax Notes:** If either PER03 or PER04 is present, then the other is required. If either PER05 or PER06 is present, then the other is required. If either PER07 or PER08 is present, then the other is required. **Semantic Notes: Comments: Notes:** Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment. **Data Element Summary** 

			Data Element Summary		
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	PER01	366	Contact Function Code	M	ID 2/2
			Code identifying the major duty or responsibility of the per	son or	group named
			Use this data element to qualify the party associated with a	he con	nmunication
			numbers provided in the following data elements.		
			IC Information Contact		
Not Used	PER02	93	Name	0	AN 1/60
			Free-form name		
	PER03	365	Communication Number Qualifier	X	ID 2/2
			Code identifying the type of communication number		
			TE Telephone		
	PER04	364	Communication Number	X	AN 1/256
			Complete communications number including country or are applicable	a code	when
			The telephone number shall be transmitted as a continuous the area code and extension, if applicable. Concatenate the extension at the end of the number, e.g., 7035551212X123	he lette	
	PER05	365	Communication Number Qualifier	X	ID 2/2
			Code identifying the type of communication number		
			EM Electronic Mail		
			Use to indicate an e-mail address.		
	PER06	364	Communication Number	X	AN 1/256
			Complete communications number including country or are applicable	a code	when
	PER07	365	Communication Number Qualifier	X	ID 2/2
			Code identifying the type of communication number		
			FX Facsimile		
	PER08	364	Communication Number	X	AN 1/256
	- ====0		Complete communications number including country or are		
			applicable		
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20
			Additional reference number or description to clarify a cont	act nu	mber

Segment: N9 Reference Identification

Position: 1200

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Not Used

N906

623

**Time Code** 

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code UZ, FN (and NM102 is code 1), or EBN (and the treasurer is signing the form 401), use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 401.
- 2. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.
- 3. If NM101 is code FN and NM102 is code 2, use this segment, if applicable, to provide a committee identification number.

			Data Elem	ent Summary				
M	Ref. <u>Des.</u> N901	Data Element 128	Name Reference Identific Code qualifying the		ributes ID 2/3			
			1 , 0	ent to qualify the number cited in N902.				
			1W	Member Identification Number				
			Use this code to indicate the Secretary of State assigned electronic filer identification number.					
			4A Personal Identification Number (PIN)  Use this code when NM101 in the 2/NM1/0700 segment is code UZ, FN (and NM102 is code 1)  EBN (and the treasurer is signing the form 401					
			AEQ	Secretary of State Assigned Identification	on Nu	ımber		
			When NM101 is code FN and NM102 is code 2, use this code to indicate a committee identification number, if the Slate mailer Organization is also a general purpose committee.					
	N902	127	Reference Identifie		X	AN 1/50		
				Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier				
Not Used	N903	369	Free-form Descrip	-	$\mathbf{X}$	AN 1/45		
			Free-form descriptive	ve text				
Not Used	N904	373	Date		O	<b>DT 8/8</b>		
			Date expressed as C	CCYYMMDD				
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8		
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, where H = hours (00-23), M = minutes (00-					

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59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)

Organization standard 8601, time can be specified by a + or - and an indication

Code identifying the time. In accordance with International Standards

O ID 2/2

		in hours in relation to Universal Time Coordinate (UTC) tin restricted character, + and - are substituted by P and M in the			
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as

Segment: DTM Date/Time Reference

Position: 1300

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date

the form 401 was signed.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	$\overline{DTM01}$	374	Date/Time Qualif	ier	M	ID 3/3
			Code specifying ty	pe of date or time, or both date and time		
			EDE	Executed		
				Use this code to indicate the date the for signed.	rm 4	01 was
	<b>DTM02</b>	373	Date		$\mathbf{X}$	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
Not Used	DTM04	623	HHMMSSD, or HI 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= mi decin	nutes (00- nal seconds
Not Ugod	DTM05	1250	Organization stand in hours in relation restricted character	to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the	and e; sin	an indication ce + is a
Not Used	במואו וע	1250	Date Time Period	<u> </u>		
			•	e date format, time format, or date and tim	e fori	
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a date, a time, or range of dates, times or dates and times			

Segment: NX2 Location ID Component

**Position:** 1400

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To define types and values of a geographic location

Syntax Notes: Semantic Notes: Comments:

Notes: When N901 in the 2/N9/1200 segment cites code 4A, use this segment to identify the

location where the form 401 was signed.

	Ref.	Data	·					
	Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ibutes</u>			
M	NX201	1106	Address Component Qualifier	M	ID 2/2			
			Code qualifying the type of address component					
			17 Unstructured City and State					
M	NX202	166	Address Information	$\mathbf{M}$	AN 1/55			
			Address information					
			Use this segment to identify the city and state where the form 401 was signed.					
			The full name of the city, followed by a comma, followed by	the 2	2 position			
			state code is preferred.					
Not Used	NX203	1096	County Designator	O	ID 5/5			
			Code identifying the county within a state					

**Position:** 1600 NM1 Loop: Optional (Must Use) Level: Detail Usage: Optional Max Use: >1**Purpose:** To identify title of an individual within a company At least one of TPB01 or TPB02 is required. **Syntax Notes:** If TPB03 is present, then TPB01 is required. If TPB04 is present, then TPB03 is required. 3 **Semantic Notes:** TPB02 is free-form description of the title. **Comments: Notes:** When NM101 in the 2/NM1/0700 segment is code UZ, use this segment to provide the title of the entity signing the form 401. **Data Element Summary** Ref. Data Des. **Element Name** Attributes **Business Professional Title Code** ID 1/3 TPB01 1634 Code identifying title of an individual within a business 1. Use one of the listed codes to specify the title of the entity signing the form 401. 2. When code 10 is selected, identify the title as text in TPB02. Assistant Treasurer 10 Other Title When this code is used, TPB02 MUST be used to identify the title. 1Y Secretary Secretary-Treasurer 2A 2E Treasurer 30 **Principal Officer** Use this code to indicate an officer of a committee or organization. F Chairman **TPB02** 933 X AN 1/264 **Free-Form Message Text** Free-form message text When TPB01 is code 10, use this data element to identify the title. Not Used TPB03 559 **Agency Qualifier Code** ID 2/2 Code identifying the agency assigning the code values **Not Used TPB04** 822 Source Subqualifier AN 1/15  $\mathbf{o}$ 

TPB Business Professional Title

**Segment:** 

A reference that indicates the table or text maintained by the Source Qualifier

Segment: LQ Industry Code

Position: 2100

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use:

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code UZ, FN (and NM102 is code 1), or EBN (and the treasurer is signing the form 401), use this segment to provide a verification statement regarding the content of the data submitted in the form 401.
- 2. When NM101 is code FN, if applicable, this segment should be used to identify that a state assigned identification number has not been received.
- 3. The verification statement and indication that a state assigned identification number has not been received are identified by codes contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 4. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref. Des.	Data <u>Element</u>	Name	Attı	ributes		
$\overline{\text{LQ0}}$ 1	1270	Code List Qualifier Code	O	ID 1/3		
		Code identifying a specific industry code list				
		Use this data element to identify the code list that contains in LQ02.	the co	ode specified		
		EAF California Campaign Disclosure Report	t Code	es		
LQ02	1271	Industry Code	X	AN 1/30		
		Code indicating a code from a specific industry code list				
		When NM101 is code UZ, FN (and NM102 is code 1), or EBN (and the treasurer is signing the form 401), use this data element to provide a verification statement regarding the content of the data submitted in the form 401 and, if applicable, an indication that a state assigned identification number has not been received. Enter a code or codes from section 04 of the California Campaign Disclosure Code List.				

Segment: AWD Amount with Description

**Position:** 2700

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

Notes:

1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary payment amounts for the form 401.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 03) to qualify the following amounts:

Total Payments Received this Period:

AWD01-01 code EBZ AWD01-02 code EAI AWD01-03 code 8

Total Payments Received Cumulative to Date:

AWD01-01 code EBZ AWD01-02 code EAI AWD01-03 code 2

Total Payments Made this Period:

AWD01-01 code TP

Total Payments Made Cumulative to Date:

AWD01-01 code TP AWD01-03 code 2

	Ref.	Data		·		
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	AWD01	C007	Amount Qua	alifying Description	M	
			To qualify an	amount		
			Use this compamount cited	posite data element to provide multiple quo in AWD02.	ılifies tha	t identify the
M	C00701	522	Amount Qua	alifier Code	M	ID 1/3
			Code to quali	fy amount		
			EBZ	Payment		
			TP	Total payment amount		
				Use this code to indicate Total Pay	ments M	ade.
	C00702	522	Amount Qua	alifier Code	0	ID 1/3
			Code to quali	fy amount		
			EAI	Amount Received		
	C00703	1638	Value Detail	Code	O	<b>ID 1/1</b>
			Code identify	ing extent of an amount or quantity		
			2	Cumulative		
			8	Total		
Not Used	C00704	935	Measuremen	nt Significance Code	O	ID 2/2

			Code used to benchmark, qualify or further define a measurement value					
Not Used	C00705	344	Unit of Time Period or Interval		<b>ID</b> 2/2			
			Code indicating the time period or interval					
Not Used	C00706	1637	Net/Gross Code	O	ID 1/1			
			Code indicating whether monetary values are net or gross					
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2			
			Code used to benchmark, qualify or further define a measure	ment	value			
Not Used C00708 352 Descr		352	Description	O	AN 1/80			
			A free-form description to clarify the related data elements at	nd the	eir content			
Not Used	C00709	1271	Industry Code	O	AN 1/30			
			Code indicating a code from a specific industry code list					
	AWD02	782	Monetary Amount	$\mathbf{X}$	R 1/18			
			Monetary amount					
			Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.					
Not Used	AWD03	61	Free-Form Message	$\mathbf{X}$	AN 1/30			
			Free-form information					
Not Used	AWD04	100	Currency Code	O	<b>ID</b> 3/3			
			Code (Standard ISO) for country in whose currency the charge	ges ar	e specified			

Segment: MTX Text

Position: 3500

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	N	<b>A</b> 44.		
	Des.	Element	Name		<u>Attributes</u>	
	MTX01	363	Note Reference Code	O	ID $3/3$	
			Code identifying the functional area or purpose for which the	e note	applies	
			ADD Additional Information			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the recei	ving p	orinter	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

Segment: HL Hierarchical Level

**Position:** 0101

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 401, Schedule A, Payments Received.

## **Data Element Summary**

			Data Elelli	ent Summary	
	Ref.	Data			
	Des.	<b>Element</b>	Name		<b>Attributes</b>
M	HL01	628	Hierarchical ID Nu	ımber	M AN 1/12
			A unique number as	signed by the sender to identify a particu	lar data segment
			in a hierarchical stru	icture	•
			Use this data elemen	nt to provide a unique number that can	identify each HL
				nded that the first HL loop be identified	
				and that each successive HL loop be in	•
Not Used	HL02	734	Hierarchical Paren	t ID Number	O AN 1/12
				er of the next higher hierarchical data seg ribed is subordinate to	gment that the data
M	HL03	735	Hierarchical Level		M ID 1/2
			Code defining the cl	naracteristic of a level in a hierarchical st	ructure
				nt is used to identify the type of data that	
			transmitted within t		is oung
			2. Each of the lister	d codes MUST be used only one time.	
			EB	Filer	
				1. Use this code to indicate the submit	ter of the data
				on form 401, Schedule A.	J
				2. When this code is used, the 2/NM1/	0700 segment
				MUST be used to identify the filer and	
				2/AWD/2700 loop also MUST be used	
				summary amounts for form 401, Sched	dule A.
			EC	Receipts	
				1. Use this code to indicate payments i	received.

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2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all of the contributors and the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

N9 Reference Identification **Segment: Position:** 0201 N9 Loop:

Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:** 

At least one of N902 or N903 is required. **Syntax Notes:** 

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref.	Data	None	<b>A</b> 44.		
M	<u>Des.</u> N901	Element 128	Name Reference Identification Qualifier	Atti M	ributes ID 2/3	
141	11701	120	Code qualifying the Reference Identification	141	10 2/3	
			Use this data element to cite a code that qualifies the data of	carrie	d in N902.	
			FQ Form Number			
			Use this code to indicate the number of	f the	form being	
	N902	127	transmitted.  Reference Identification	X	AN 1/50	
	11702	127	Reference information as defined for a particular Transaction			
			specified by the Reference Identification Qualifier	1 bet	or us	
			Use this data element to cite the form number, e.g., F401A.	,		
Not Used	N903	369	Free-form Description	X	AN 1/45	
			Free-form descriptive text			
Not Used	N904	373	Date	O	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD			
Not Used	N905	337	Time	X	TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2			
Not Used	N907	C040	Code identifying the time. In accordance with International Stream Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a	
			To identify one or more reference numbers or identification	numb	ers as	
NI 4 TI 1	C0 4001	120	specified by the Reference Qualifier	3.6	ID 2/2	
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3	
Not Used	C04002	127	Code qualifying the Reference Identification  Reference Identification	M	AN 1/50	
Not Used	C04002	147	Reference information as defined for a particular Transaction			
			specified by the Reference Identification Qualifier	1 361	or as	
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification		-	
Not Used	C04004	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction	n Set		
			1			

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

**Position:** 0601

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0701

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1

NM102 qualifies NM103.

**Comments:** 

Notes:

NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 401A. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 401.
- 3. When HL03 is code EC, use this loop as many times as necessary to identify all payers and associated entities.
- 4. Each identified payer, (NM101 is code RM) and associated entities (NM101 is code 36 - employer, or BUS - business) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the payer and associated entity MUST be unique and the same for each group of related entities.

### **Data Element Summary**

			Data Licii	icht Summar y	
M	Ref. <u>Des.</u> NM101	Data Element 98	<u>Name</u> Entity Identifier (	Code	Attributes M ID 2/3
			Code identifying ar individual 36	n organizational entity, a physical location Employer	n, property or an
				1. When NM101 is code RM, NM102 the payer is an individual other than a officeholder, use this code to indicate the payer.	a candidate or
			DUG	2. When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number.	
			BUS	Business  1. When NM101 is code RM, NM102 the payer is an individual other than a	

- the payer is an individual other than a candidate or officeholder, use this code to indicate the business name of a self employed payer.
- 2. When this code is used, NM102 should cite code 2. and the 2/N9/1200 segment MUST be used to provide a cross reference number.

FN Filer Name

> 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the subtotal and summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application. The filer information was provided in the form 401 loop.

2. When this code is used, NM102 may cite code 1 or 2, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

RM Party that remits payment

- 1. When HL03 is code EC, use of this code is REQUIRED to indicate a candidate, committee, or other entity who has remitted a payment.
- 2. When this code is used, NM102 may cite code 1, 2, or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the payer's occupation, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used, and NM101 is code 1, and the payer is a candidate or officeholder, identify the office sought/held and the jurisdiction of the candidate/officeholder in the 2/LQ/2100 segment. If the payer is other than the candidate/officeholder supported or opposed in the slate mailer, provide the office sought/held, jurisdiction of the candidate and an indicator of whether the payment was made in support or opposition of the candidate in the 2/AWD/2700 loop.
- 4. When this code is used, and NM102 is code 6, NM108/109 MUST be used to provide the committee identification number. The 2/N9/1200 segment may be used to identify a ballot number or letter, and the 2/LQ/2100 segment should be used to identify the jurisdiction of the ballot measure. If the committee is other than one primarily formed to support or oppose a ballot measure, identify the ballot number or letter, the jurisdiction in which the ballot measure will be voted upon, and an indicator of whether the payment was made in support or opposition of the ballot measure in the 2/AWD/2700 loop.

M NM102 1065 Entity Type Qualifier

M ID 1/1

Use this data element to identify the entity qualified in NM101 as an

individual, a committee, or an entity other than a person or committee.

1 Person

Use this code to indicate an individual.

2 Non-Person Entity

Use this code to indicate an entity other than an

*individual or committee.*Organization

6 Organization

Code qualifying the type of entity

Use this code to indicate a committee.

Must Use NM103 1035 Name Last or Organization Name

O AN 1/60

Individual last name or organizational name

- 1. Use of this data element is REQUIRED to provide the name of an entity.
- 2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.
- 3. When NM102 is code 2 or 6 and the name of the entity or committee is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.

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	NM104	1036	Name First	0	AN 1/35
	NM105	1037	Individual first name Name Middle	o	AN 1/25
			Individual middle name or initial		
	NM106	1038	Name Prefix	O	AN 1/10
			Prefix to individual name		
	NM107	1039	Name Suffix	O	AN 1/10
			Suffix to individual name		
	NM108	66	Identification Code Qualifier	$\mathbf{X}$	<b>ID 1/2</b>
			Code designating the system/method of code structure used Code (67)	for Id	entification
			When NM101 is code RM and NM102 is code 6, use this d	lata el	ement to
			qualify the identification number in NM109.		
			75 State or Province Assigned Number		
			When NM101 is code RM and NM10 this code to indicate the state assigned		
	277.5100		identification number.		
	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		
			Use this data element to provide the state assigned commit number.	tee ide	entification
Not Used	NM110	706	Entity Relationship Code	X	<b>ID</b> 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	n, pro	perty or an

Segment:	N2 Additional Name Information
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Position: 0801

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2 Purpose: 7

e: To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes: 1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of a name that

is greater than 60 characters.

			· · · · · · · · · · · · · · · · · · ·	
	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the n numeric 1, 2, or 3.	noniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

N3 Address Information **Segment:** 

**Position:** 0901

NM1 Loop: Optional (Must Use)

Level: Detail Usage: Optional Max Use:

**Purpose:** 

**Syntax Notes: Semantic Notes:**  To specify the location of the named party

**Comments: Notes:** 

Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1001

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Nome	A 44.	wihartaa
	<u>Des.</u> N401	Element 19	Name City Name	O	ributes AN 2/30
	11101	17	Free-form text for city name	O	111 2/00
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernn	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punc (zip code for United States)	tuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3
			Code identifying the country subdivision		

Segment: N9 Reference Identification

Position: 1201

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 in the 2/NM1/0700 segment is code 36, BUS, or RM, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data	Data Element Summary		
	Des.	Element	Name	A 441	ributes
M	N901	128	Reference Identification Qualifier	M	ID 2/3
171	11,701	120	Code qualifying the Reference Identification	111	10 2/3
			6O Cross Reference Number		
			Use this code to indicate a cross refere	ence n	umber that
			is used to associate entities identified in 2/NM1/0700 segment.		
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	0	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred Time Code	I = mi decir	nutes (00- nal seconds
N	N00 <b>7</b>	G0.40	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) times restricted character, + and - are substituted by P and M in the Coordinate (UTC) times and the coordinate of the coordinate (UTC) times are substituted by P and M in the Coordinate (UTC).	- and ne; sin e code	an indication ce + is a
Not Used	N907	C040	Reference Identifier	0	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
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			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

EMS Employment Position **Segment: Position:** 1501 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position **Syntax Notes:** If either EMS05 or EMS06 is present, then the other is required. If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. EMS03 defines the occupation category. EMS04 defines the status of employment. EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code RM and NM102 is code 1, this **Notes:** segment may be used to identify the occupation of the payer.

	Ref.	Data	Data Element Summary				
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>		
	EMS01	352	<b>Description</b> O		AN 1/80		
			A free-form description to clarify the related data elements ar	A free-form description to clarify the related data elements and their content			
			1. Use this data element to provide a text description of the payer.	осси	pation of the		
			2. This data element is limited to 60 characters. Exceeding	this	limit runs		
			the risk of the Secretary of State's office not receiving all of	_			
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3		
			Code indicating category of employee				
Not Used	EMS03	1149	Occupation Code	O	ID 4/6		
			Code identifying the occupation of an individual				
Not Used	EMS04	584	<b>Employment Status Code</b>	O	ID 2/2		
			Code showing the general employment status of an employee	:/clair	mant		
Not Used	EMS05	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	EMS06	127	Reference Identification	$\mathbf{X}$	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as		
Not Used	<b>EMS07</b>	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as		
Not Used	EMS08	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				

Segment: LQ Industry Code

Position: 2101

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use:
Purpose:

Code to transmit standard industry codes

1 If LQ01 is present, then LQ02 is required.

Syntax Notes: Semantic Notes: Comments:

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code RM and the party remitting payment is a candidate/officeholder supported or opposed in a slate mailer, or a committee formed primarily to support or oppose a ballot measure in a slate mailer, use this segment to identify the office sought/held and the jurisdiction of the candidate/officeholder or ballot measure.
- 2. The office, sought/held indicators, and the identification of the jurisdiction are identified by codes contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 5. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

## **Data Element Summary**

Ref.	Data		•		
Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
$\overline{LQ0}1$	1270	Code List Qualifi	ier Code	0	ID 1/3
		Code identifying a	a specific industry code list		
		Use this data elem	nent to identify the code list that contains	the co	de specified
		in LQ02.			
		EAF	California Campaign Disclosure Repor	t Code	es
LQ02	1271	<b>Industry Code</b>		X	AN 1/30
		a	1.0		

Code indicating a code from a specific industry code list

- 1. When NM101 is code RM and the entity is a candidate supported or opposed in the slate mailer or a committee primarily formed to support or oppose a ballot measure, enter a code from section 03 or 08 of the California Campaign Disclosure Code List.
- 2. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.
- 3. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the city. county, local or other jurisdiction, in text.
- 4. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/2200 segment to identify the district.

Segment: N9 Reference Identification

Position: 2201

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
  3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.
- **Semantic Notes:** 1 N906 reflects the time zone which the time reflects.
  - 2 N907 contains data relating to the value cited in N902.

## **Comments:**

**Notes:** 

- 1. When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.
- 2. When NM101 in the 2/NM1/0700 segment is code RM and the payer is a committee formed primarily to support or oppose a ballot measure in a slate mailer, also use this segment to identify the ballot measurename, number or letter.

	Ref.	Data		•		
	Des.	Element	Name		Attı M	ributes ID 2/3
M	N901	128		Reference Identification Qualifier		
				e Reference Identification		
				ent to qualify the code cited in N902.		
			DIS	District Number		
				Use this code to indicate the district of sought or the ballot measure.	the o	ffice
			ECB	Ballot Identifier		
	N902	127	Reference Identif		X	AN 1/50
				tion as defined for a particular Transaction	Set o	or as
	N903	369	specified by the Re Free-form Descri	eference Identification Qualifier	X	AN 1/45
	11703	307	Free-form descript	•	А	AN 1/43
			_	e ECB, use this data element to specify th	o nai	ne of the
				bbreviate if necessary.	e nai	ne oj ine
Not Used	N904	373	Date	<b>,</b>	О	DT 8/8
			Date expressed as	CCYYMMDD		
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8
Not Used	N906	623	HHMMSSD, or H. 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= mindecing ths (0	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow <b>Reference Identifier</b>			
	- 15 4 -			more reference numbers or identification r	umb	ers as
			specified by the Re			
Not Used	C04001	128	Reference Identif	ication Qualifier	M	ID 2/3
				e Reference Identification		
Not Used	C04002	127	Reference Identif	ication	M	AN 1/50
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			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

MTX Text **Segment:** 

**Position:** 2601

> Loop: LQ Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

2. When LOO2 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.

3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
	MTX01	363	Note Reference	Code	O	ID 3/3
			Code identifying	g the functional area or purpose for which the	e note	applies
			EAM	Jurisdiction		
			EAQ	Description of Position		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit larg	e volumes of message text		
				nt is limited to a maximum of 40 characters sk of the data not being received by the Sect		_
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit larg	e volumes of message text		
Not Used	MTX04	934	Printer Carriag	ge Control Code	$\mathbf{X}$	ID 2/2
			A field to be use	ed for the control of the line feed of the received	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic numb	er		
Not Used	MTX06	819	Language Code	•	O	ID 2/3
			_	g the language used in text, from a standard one International Standards Organization (ISC)		

Segment: AWD Amount with Description

**Position:** 2701

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 is code RM, use this segment to provide amounts applicable to a payment received. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 is code FN, use this segment to provide summary amounts for the form 401, Schedule A.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount Received this Period:

AWD01-01 code EBZ AWD01-02 code EAI

Cumulative Amount Since January 1:

AWD01-01 code EBZ AWD01-02 code EAI AWD01-03 code 2

Summary - Line 1: AWD01-01 code EBZ AWD01-02 code EAI AWD01-09 code 05-A1

Summary - Line 2: AWD01-01 code EBZ AWD01-02 code EAI AWD01-09 code 05-A2

Summary - Line 3: AWD01-01 code EBZ AWD01-02 code EAI AWD01-03 code 8

Data

Ref.

	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	$\overline{\text{AWD01}}$	C007	Amount Qualifying Description	M
			To qualify an amount	
			Use this composite data element to provide multiple	le qualifies that identify the
			amount cited in AWD02.	
$\mathbf{M}$	C00701	522	Amount Qualifier Code	M ID 1/3

			Code to qual	ify amount			
			EBZ	Payment			
	C00702	522	Amount Qu	alifier Code	0	ID 1/3	
			Code to qual	ify amount			
			EAI	Amount Received			
	C00703	1638	Value Detai	l Code	0	<b>ID 1/1</b>	
			Code identif	ying extent of an amount or quantity			
			2	Cumulative			
			8	Total			
Not Used	C00704	935	Measureme	nt Significance Code	0	ID 2/2	
			Code used to	benchmark, qualify or further define a me	easurement	value	
Not Used	C00705	344	<b>Unit of Tim</b>	e Period or Interval	0	ID 2/2	
			Code indicat	ing the time period or interval			
Not Used	C00706	1637	Net/Gross C	Code	0	<b>ID 1/1</b>	
			Code indicat	ing whether monetary values are net or gro	OSS		
Not Used	C00707	935	Measureme	Measurement Significance Code			
			Code used to	benchmark, qualify or further define a me	easurement	value	
Not Used	C00708	352	Description		0	AN 1/80	
			A free-form	description to clarify the related data element	ents and the	eir content	
	C00709	1271	Industry Co	ode	0	AN 1/30	
			Code indicat	ing a code from a specific industry code li	st		
			Disclosure (	lata element to cite a code from the Califo Code List section 05. er code 05-A1 or 05-A2 only.	ornia Cam <sub>l</sub>	oaign	
	AWD02	782	Monetary A		X	R 1/18	
			Monetary an				
			Use this segr	ment to identify the monetary amount asso les cited in the AWD01 composite data ele	ement.		
Not Used	AWD03	61	Free-Form	_	X	AN 1/30	
			Free-form in				
Not Used	AWD04	100	Currency C		О	ID 3/3	
			Code (Stand	ard ISO) for country in whose currency the	e charges ai	e specified	

Segment: DTM Date/Time Reference

**Position:** 2801

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the receipt date of the payment.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ributes</u>
M	DTM01	374	Date/Time Qualifier	$\mathbf{M}$	ID 3/3
			Code specifying type of date or time, or both date and time		
			050 Received		
			Use this code to indicate the receipt date payment.	te of t	the
	DTM02	373	Date	$\mathbf{X}$	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
			Use this data element to identify the date of the payment.		
Not Used	DTM03	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred	= mindecing ths (0	nutes (00- nal seconds 00-99)
Not Used	DTM04	623	Time Code	O	ID 2/2
			Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	and a	an indication ce + is a
Not Used	<b>DTM05</b>	1250	Date Time Period Format Qualifier	$\mathbf{X}$	ID 2/3
			Code indicating the date format, time format, or date and tim	e forr	nat
Not Used	<b>DTM06</b>	1251	Date Time Period	$\mathbf{X}$	AN 1/35
			Expression of a date, a time, or range of dates, times or dates	and t	imes

**Reference Identification Segment:** 

**Position:** 3101

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

At least one of N902 or N903 is required. **Syntax Notes:** 

- If N906 is present, then N905 is required.
- If either C04003 or C04004 is present, then the other is required. 3
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code RM, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a party remitting a payment. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the party remitting a payment. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.
- 3. When NM101 is code RM and the payment was received in support or opposition of a ballot measure, use this segment to identify the ballot measure number or letter and its name.
- 4. When LQ02 in the 2/LQ/3300 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

			Data Elem	ent Summary		
M	Ref. <u>Des.</u> N901	Data Element 128	Name Reference Identific Code qualifying the DIS ECB	cation Qualifier  Reference Identification  District Number  Ballot Identifier  Use this code to indicate the ballot me	M	ributes ID 2/3
			TN	letter. Specify the ballot measure nan Transaction Reference Number		
				Use this code to indicate a monetary tridentifier applicable to an amount. The transaction identifier MUST be the sa amounts within the same monetary transaction identifier MUST be used it where the monetary transaction is proamendments.	is moi me foi ansact noneta in all s	netary r all ion ury chedules
	N902	127	Reference Identifie	cation	X	AN 1/50
	N903	369			n Set o	or as AN 1/45
				ECB, use this data element to identify	the na	me of the

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ballot measure. Abbreviate if necessary.

Not Used	N904	373	Date	o	DT 8/8
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred	= mi decir dths (0	nutes (00- nal seconds 00-99)
Not Used	N906	623	Time Code	0	ID 2/2
N. 4 Vi J	N/007	C040	Code identifying the time. In accordance with International Stream organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Proposed Library of the coordinate of the coordi	and e; sin e code	an indication ce + is a
Not Used	N907	C040	Reference Identifier	0	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as

Segment: LQ Industry Code

**Position:** 3301

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

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1. When NM101 in the 2/NM1/0700 segment is code RM, and the payment was received in support or opposition of a ballot measure, this segment is used to identify the jurisdiction in which the ballot measure will be voted upon and whether it was supported or opposed.

- 2. When NM101 is code RM, and the payment was received in support or opposition of a candidate, this segment is used to identify the office sought/held, whether the candidate is supported or opposed, and the jurisdiction of the candidate.
- 3. The jurisdiction and support or oppose indicators are identified by codes contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 4. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Des.	Element	<u>Name</u>		Attı	<u>ributes</u>
LQ01	1270	Code List Qual	ifier Code	O	ID 1/3
		Code identifying	g a specific industry code list		
		EAF	California Campaign Disclosure Repor	t Code	es
LQ02	1271	<b>Industry Code</b>		X	AN 1/30
		Code indicating	a code from a specific industry code list		
		1 W/L MM101	1 in 41 a 2/NM1/0700 a a mu and in a a 1 a DM a	41.	

- 1. When NM101 in the 2/NM1/0700 segment is code RM and the payment was received in support or opposition of a ballot measure, use this data element to git a code from section 0.4 and 0.8 of the California Campaign.
- element to cite a code from section 04 and 08 of the California Campaign Disclosure Code List.
- 2. When NM101 is code RM and the payment was received in support or opposition of a candidate, enter codes from sections 03 and/or 08 of the California Campaign Disclosure Code List.
- 3. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.
- 4. When 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/3500 segment to identify the city, county, local, or other jurisdiction, in text.
- 5. When code 08-SEN, 08-ASM, 08-BOE is cited in this data element, use the 2/N9/3100 segment to identify the district.

Segment: N1 Name

**Position:** 3401

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** 1 At least one of N102 or N103 is required.

If either N103 or N104 is present, then the other is required.

**Semantic Notes:** Comments:

1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

N105 and N106 further define the type of entity in N101.

Notes: When NM101 in the 2/NM1/0700 segment is code RM and the payment was received

in support or opposition of a candidate, use this data element to identify the candidate.

	Ref.	Data	Data Element Summary		
	Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ibutes</u>
M	N101	98	<b>Entity Identifier Code</b>	M	ID 2/3
			Code identifying an organizational entity, a physical location individual	, prop	perty or an
			VB Candidate		
Must Use	N102	93	Name	X	AN 1/60
			Free-form name		
Not Used	N103	66	Identification Code Qualifier	$\mathbf{X}$	ID 1/2
			Code designating the system/method of code structure used for Code (67)	or Ide	entification
Not Used	N104	67	<b>Identification Code</b>	$\mathbf{X}$	AN 2/80
			Code identifying a party or other code		
Not Used	N105	706	Entity Relationship Code	O	ID 2/2
			Code describing entity relationship		
Not Used	N106	98	<b>Entity Identifier Code</b>	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	, prop	perty or an

Segment: MTX Text

Position: 3501

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

- 2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.
- 3. Use this segment also to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
- 4. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 5. This implementation convention limits the use of this segment to one time for each code listed in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	Duta Elei	Ment Summary		
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference (	Code	O	ID 3/3
			Code identifying t	he functional area or purpose for which th	e note	applies
			ADD	Additional Information		
			EAM	Jurisdiction		
			EAQ	Description of Position		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large	volumes of message text		
				is code EAM or EAQ, this data element i ding this limit runs the risk of the data no f State's office.		ng received
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large	volumes of message text		
Not Used	MTX04	934	Printer Carriage		X	ID 2/2
			A field to be used	for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				the language used in text, from a standard International Standards Organization (ISC		

Segment: HL Hierarchical Level

Position: 0102

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 401, Schedule B, Payments Made.

## **Data Element Summary**

			Data Eleme	ent Summary	
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>		<b>Attributes</b>
M	$\overline{\text{HL0}}$ 1	628	Hierarchical ID Nu	ımber	M AN 1/12
			A unique number as	signed by the sender to identify a particu	lar data segment
			in a hierarchical stru		· ·
			Use this data elemen	nt to provide a unique number that can	identify each HL
				nded that the first HL loop be identified	
				and that each successive HL loop be in	cremented by 1.
Not Used	HL02	734	Hierarchical Paren	t ID Number	O AN 1/12
				er of the next higher hierarchical data seg	gment that the data
				ribed is subordinate to	
M	HL03	735	Hierarchical Level	Code	M ID 1/2
			Code defining the characteristic of a level in a hierarchical structure		
			1. This data element is used to identify the type of data that is being		
			transmitted within t	he current HL loop.	
			•	d codes MUST be used only one time.	
			EB	Filer	
				1. Use this code to indicate the submit	ter of the data
				on form 401, Schedule B.	
					10 <b>=</b> 00
				2. When this code is used, the 2/NM1/	
				MUST be used to identify the filer and	
				2/AWD/2700 loop also MUST be used summary amounts for form 401, Sched	
			EF	Expenditures	iute D.
			Li		1 .
				1. Use this code to indicate payments in	naae.

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2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all agents and payees, and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

## Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification
Position: 0202
Loop: N9 Optional (Must Use)
Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.
4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes: 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref.	Data Element	Name		ributes
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		1: N002
			Use this data element to cite a code that qualifies the data of FO Form Number	arrie	a in N902.
				£ 410 0	form boing
			Use this code to indicate the number of transmitted.	j ine	jorm veing
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
			specified by the Reference Identification Qualifier		
			Use this data element to cite the form number, e.g., F401B		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	l = mi decir	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a
			To identify one or more reference numbers or identification	numb	ers as
NT 4 TT 1	G0 4004	100	specified by the Reference Qualifier	3.5	ID 2/2
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
NI 4 TI 1	C0 4003	105	Code qualifying the Reference Identification	3.4	A D. 1 /FO
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
	- · · · <del>-</del>	-	Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	
			<u> </u>		

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

Position: 0602

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

Syntax Notes: 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- **3** If MTX05 is present, then MTX04 is required.

Semantic Notes: Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

Position: 0702

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

Semantic Notes: 1 NM102

1 NM102 qualifies NM103.

**Comments:** 

1 NM110 and NM111 further define the type of entity in NM101.

Notes:

1. When HL03 cites code EB, use this loop to identify the filer of the form 401B. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 401.
- 3. When HL03 is code EF, use this loop as many times as necessary to identify all payees and agents.
- 4. Each identified agent (NM101 is code AG) and payee receiving distributed payments from an agent (NM101 is code PE) MUST be assigned a cross reference number in the 2/N9/1200 segment. Payees receiving distributed payments from an agent MUST have the same cross reference number as the agent which MUST be unique for each group of related agent and payees. Cite code 60 in N901 and provide the cross reference number in N902.

## **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
$\mathbf{M}$	NM101	98	Entity Identifier Code	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

AG

Agent/Agency

- 1. Use this code to indicate an agent or independent contractor who received a payment from the filer and made payments on behalf of the filer.
- 2. When this code is used, NM102 may cite code 1, 2, or 6, the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used, additional iterations of this segment with NM101 citing code PE MAY be used to identify each payee to whom the agent distributed a payment. When that situation exists, the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the agent with the payee.
- 4. The distributed payments made by an agent MAY be identified on form 401, Schedule B-1.
  Filer Name

FN

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the subtotal and summary amounts in the 2/AWD/2700 loop. The filer information was provided in the form 401 loop.
- 2. When this code is used, NM102 may cite code 1, 2,

				1. When HL03 in the 2/HL/0100 use of this code is REQUIRED t		
				2. When this code is used, NM1	02 mav cite	code 1, 2,
				or 6, the 2/AWD/2700 loop MUS		
				applicable amounts. If the payer		
				agent, the 2/N9/1200 segment M		
				provide a cross reference numbe	er to associa	te the
M	NM102	1065	Entity Type Qu	payee with the agent.	M	ID 1/1
1,1	11111102	1000		the type of entity	112	12 1,1
				ement to identify the entity qualified in	n NM101 as	s an
				mmittee, or an entity other than a per		
			1	Person		
				Use this code to indicate an indi	vidual.	
			2	Non-Person Entity		
				Use this code to indicate an entit	ty other thai	n an
			_	individual or a committee.		
			6	Organization		
				Use this code to indicate a comm		
Must Use	NM103	1035		Organization Name	0	AN 1/60
			Individual last n	name or organizational name		
			1. Use of this de	ata element is REQUIRED to provide	the name o	of an entity.
				_		
			2. When NM10	2 is code 1 and the person has a mon		
			2. When NM10	_		
			<ol> <li>When NM10</li> <li>N2/0800 segm</li> <li>When NM10</li> </ol>	22 is code 1 and the person has a monnent to provide the moniker.  22 is code 2 or 6 and the name of the e	iker (nickno entity or con	ame), use the
			2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmen	iker (nickno entity or con	ame), use the
	NIM104	1036	<ol> <li>When NM10</li> <li>NN2/0800 segm</li> <li>When NM10</li> <li>greater than 60</li> <li>balance of the n</li> </ol>	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmen	iker (nickno entity or con nt to provide	ame), use the nmittee is e the
	NM104	1036	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the m	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.	iker (nickno entity or con	ame), use the
			2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the n Name First Individual first n	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.	iker (nickne entity or con nt to provide O	nmittee is e the
	NM104 NM105	1036 1037	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the n Name First Individual first n Name Middle	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.	iker (nickno entity or con nt to provide	ame), use the nmittee is e the
	NM105	1037	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the n Name First Individual first n Name Middle Individual midd	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.	iker (nickno entity or con nt to provide O	nmittee is e the AN 1/35 AN 1/25
			2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the m Name First Individual first to Name Middle Individual midd Name Prefix	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  The name or initial	iker (nickne entity or con nt to provide O	nmittee is e the
	NM105 NM106	1037 1038	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the n Name First Individual first n Name Middle Individual midd Name Prefix Prefix to individual	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  The name or initial	iker (nickno entity or con nt to provide O O	nmittee is e the  AN 1/35  AN 1/25  AN 1/10
	NM105	1037	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the n Name First Individual first t Name Middle Individual midd Name Prefix Prefix to individ Name Suffix	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  In the name of initial dual name	iker (nickno entity or con nt to provide O	nmittee is e the AN 1/35 AN 1/25
N. A. H	NM105 NM106 NM107	1037 1038 1039	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the m Name First Individual first m Name Middle Individual midd Name Prefix Prefix to individual Name Suffix Suffix to individual	22 is code 1 and the person has a monnent to provide the moniker.  22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  It name  It name or initial  It name	iker (nickne entity or con nt to provide O O	ame), use the  nmittee is e the  AN 1/35  AN 1/25  AN 1/10  AN 1/10
Not Used	NM105 NM106	1037 1038	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the m Name First Individual first m Name Middle Individual midd Name Prefix Prefix to individual Name Suffix Suffix to individual Identification (	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  In the name or initial dual name  Code Qualifier	iker (nickne entity or con nt to provide O O O X	ame), use the  mmittee is e the  AN 1/35  AN 1/25  AN 1/10  AN 1/10  ID 1/2
	NM105 NM106 NM107 NM108	1037 1038 1039 66	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the n Name First Individual first n Name Middle Individual midd Name Prefix Prefix to individ Name Suffix Suffix to individ Identification ( Code designatin Code (67)	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  In the name or initial dual name code Qualifier ag the system/method of code structure	entity or com ont to provide O O O X used for Ide	ame), use the  mmittee is the  AN 1/35  AN 1/25  AN 1/10  ID 1/2 entification
Not Used	NM105 NM106 NM107	1037 1038 1039	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the m Name First Individual first m Name Middle Individual midd Name Prefix Prefix to individual Name Suffix Suffix to individual Identification (Code designation Code (67) Identification (Code (67)	22 is code 1 and the person has a monnent to provide the moniker.  22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  It name  It name or initial  It name  Code Qualifier  In the system/method of code structure  Code	iker (nickne entity or con nt to provide O O O X	ame), use the  mmittee is e the  AN 1/35  AN 1/25  AN 1/10  AN 1/10  ID 1/2
Not Used	NM105 NM106 NM107 NM108	1037 1038 1039 66	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the m Name First Individual first m Name Middle Individual midd Name Prefix Prefix to individ Name Suffix Suffix to individ Identification (Code designatin Code (67) Identification (Code identifying	22 is code 1 and the person has a monnent to provide the moniker. 22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  In the name or initial dual name  Code Qualifier  In the system/method of code structure  Code  g a party or other code	entity or connt to provide  O  O  X  used for Ide  X	ame), use the  mmittee is the  AN 1/35  AN 1/25  AN 1/10  AN 1/10  ID 1/2 entification  AN 2/80
	NM105 NM106 NM107 NM108	1037 1038 1039 66	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the n Name First Individual first n Name Middle Individual midd Name Prefix Prefix to individ Name Suffix Suffix to individ Identification (Code designatin Code (67) Identification (Code identifying Entity Relation	22 is code 1 and the person has a monnent to provide the moniker.  22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  In the name or initial dual name code Qualifier ag the system/method of code structure code aship Code	entity or com ont to provide O O O X used for Ide	ame), use the  mmittee is the  AN 1/35  AN 1/25  AN 1/10  ID 1/2 entification
Not Used	NM105 NM106 NM107 NM108 NM109 NM110	1037 1038 1039 66 67 706	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the m Name First Individual first m Name Middle Individual midd Name Prefix Prefix to individual Name Suffix Suffix to individual Identification (Code designation Code (67) Identification (Code identifying Entity Relation Code describing	22 is code 1 and the person has a monnent to provide the moniker.  22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  23 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  24 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  25 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  26 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  27 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  28 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.	entity or connt to provide  O  O  X  used for Ide  X	ame), use the  mmittee is the  AN 1/35  AN 1/25  AN 1/10  ID 1/2 entification  AN 2/80  ID 2/2
Not Used	NM105 NM106 NM107 NM108	1037 1038 1039 66	2. When NM10 2/N2/0800 segm 3. When NM10 greater than 60 balance of the m Name First Individual first m Name Middle Individual midd Name Prefix Prefix to individual Name Suffix Suffix to individual Identification (Code designation) Code identifying Entity Relation Code describing Entity Identifie	22 is code 1 and the person has a monnent to provide the moniker.  22 is code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  23 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  24 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  25 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  26 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  27 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.  28 in a code 2 or 6 and the name of the echaracters, use the 2/N2/0800 segmentame.	iker (nickner) entity or con nt to provide  O  O  O  X  used for Ide  X	ame), use the  mmittee is the  AN 1/35  AN 1/25  AN 1/10  AN 1/10  ID 1/2 entification  AN 2/80  ID 2/2  ID 2/3

Payee

PE

or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

Segment: N2 Additional Name Information

**Position:** 0802

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2

**Notes:** 

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of a name that is greater than 60 characters.

			· · · · · · · · · · · · · · · · · · ·	
	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the n numeric 1, 2, or 3.	noniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0902

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes:

Comments: Notes:

Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data Element 166	Name Address Information	Attributes M AN 1/55
141	14301	100	Address information	W AN 1/33
	N302	166	Address Information	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1002

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

Comments: 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Nome	A 44.	wihartaa
	<u>Des.</u> N401	Element 19	Name City Name	O	ributes AN 2/30
	11101	17	Free-form text for city name	O	111 2/00
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernn	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punc (zip code for United States)	tuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3
			Code identifying the country subdivision		

Segment: N9 Reference Identification

Position: 1202

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 in the 2/NM1/0700 segment is code AG or PE, use of this segment may be required to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data	Data Element Summary		
	Des.	Element	Name	A tt	ributes
M	N901	128	Reference Identification Qualifier		ID 2/3
	11,502	120	Code qualifying the Reference Identification	M	12 2/0
			6O Cross Reference Number		
			Use this code to indicate a cross refere	ence n	umber that
			is used to associate entities identified in 2/NM1/0700 segment.	n the	
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set o	or as
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred Time Code	I = mi ; decin	nutes (00- nal seconds
			Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the	- and ne; sin e code	an indication ce + is a
Not Used	N907	C040	Reference Identifier	О	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set o	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
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			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

AWD Amount with Description **Segment:** 

**Position:** 2702

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use:

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** Only one of AWD02 or AWD03 may be present.

At least one of AWD02 or AWD03 is required.

**Semantic Notes: Comments:**  AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code AG or PE, use this segment to provide amounts applicable to a payment. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts for the form 401, Schedule B.
- 3. When this segment is used to identify the payment amount, the 2/MTX/3500 segment MUST be used to describe the payment.
- 4. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 09) to qualify the following amounts:

Amount Paid:

AWD01-01 code EBZ

2/MTX/3500 segment, MTX01 code PMT

Summary - Line 1: AWD01-01 code EBZ AWD01-09 code 05-A1

Summary - Line 2: AWD01-01 code EBZ AWD01-09 code 05-A2

Summary - Line 3: AWD01-01 code EBZ AWD01-03 code 8

M	Ref. <u>Des.</u> AWD01	Data Element C007	Name Amount Qu	Attributes M	
			To qualify a	n amount	
				posite data element to provide mu l in AWD02.	ltiple qualifies that identify the
M	C00701	522	Amount Qu	alifier Code	M ID 1/3
			Code to qual	ify amount	
			EBZ	Payment	
Not Used	C00702	522	Amount Qu	alifier Code	O ID 1/3
			Code to qual	ify amount	
	0.4004)				

	C00703	1638	Value Detail Code		ID 1/1		
			Code identifying extent of an amount or quantity				
			8 Total				
Not Used	C00704	935	Measurement Significance Code	O	<b>ID 2/2</b>		
			Code used to benchmark, qualify or further define a measure	ment	value		
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2		
			Code indicating the time period or interval				
Not Used	C00706	1637	Net/Gross Code	O	<b>ID 1/1</b>		
			Code indicating whether monetary values are net or gross				
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2		
			Code used to benchmark, qualify or further define a measure	ment	value		
Not Used	C00708	352	Description		AN 1/80		
			A free-form description to clarify the related data elements and their content				
	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
			1. Use this data element to cite a code from the California Campaign Disclosure code list section 05.				
	AWD02	782	2. Cite either code 05-A1 or 05-A2 only.  Monetary Amount	X	R 1/18		
	AWD02	702	Monetary amount	А	K 1/10		
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element		h the		
Not Used	AWD03	61	Free-Form Message	$\mathbf{X}$	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
			Code (Standard ISO) for country in whose currency the charges are specified				

Segment: N9 Reference Identification

Position: 3102

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.
2 If N906 is present, then N905 is required.

- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code AG or PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with an agent or a payee. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the agent or the payee. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 401 if this transaction identifier is not a provided.

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		Attr	ributes ID 2/3	
M	N901	128		Reference Identification Qualifier			
			Code qualifying the Ro	Code qualifying the Reference Identification			
			TN T	TN Transaction Reference Number			
			id ti a a ti w	Ise this code to indicate a monetary translentifier applicable to an amount. This ansaction identifier MUST be the same monetary transaction within the same monetary transaction identifier MUST be used in the the monetary transaction is proven the ments.	s moi ne foi nsact ioneta i all s	netary r all ion ury chedules	
	N902	127	Reference Identificat	ion	$\mathbf{X}$	AN 1/50	
				as defined for a particular Transaction ence Identification Qualifier	Set o	or as	
Not Used	N903	369	Free-form Descriptio		X	AN 1/45	
			Free-form descriptive	text			
Not Used	N904	373	Date		O	<b>DT 8/8</b>	
			Date expressed as CC'	YYMMDD			
Not Used	N905	337	Time		X	TM 4/8	
			HHMMSSD, or HHM 59), S = integer second are expressed as follow	nour clock time as follows: HHMM, or MSSDD, where H = hours (00-23), M ls (00-59) and DD = decimal seconds; vs: D = tenths (0-9) and DD = hundred	= mindecin	nutes (00- nal seconds 00-99)	
Not Used	N906	623	Time Code		O	ID 2/2	
Not Used	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indica in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that fol <b>Reference Identifier</b>				
			To identify one or more specified by the Reference	re reference numbers or identification rence Qualifier	numb	ers as	

Not Used	C04001	128	Reference Identification Qualifier M		ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ion Set	or as

Segment: MTX Text

Position: 3502

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. When the 2/AWD/2700 segment is used to identify a payment, use this segment to describe the payment.

2. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

3. Use of text is discouraged if the information can be provided in a codified manner elsewhere within the transaction set.

4. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>
	MTX01	363	Note Reference (	Code	O	ID 3/3
			Code identifying t	he functional area or purpose for which the	note	applies
			ADD	Additional Information		
			PMT	Payment		
				Use this code to indicate a description	of a p	payment.
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large	volumes of message text		
			<ul><li>data element.</li><li>2. When MTX01</li></ul>	is code ADD, the full 4096 characters magistic code PMT, this data element is limited the first code risk of the data not being rece's office.	to 90	characters. by the
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large	volumes of message text		
Not Used	MTX04	934	<b>Printer Carriage</b>	Control Code	X	ID 2/2
			A field to be used	for the control of the line feed of the receiv	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				the language used in text, from a standard of International Standards Organization (ISO		

**HL** Hierarchical Level **Segment:** 

0103 **Position:** 

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

- 1. This HL loop illustrates the submission of data required by California form 401, Schedule B-1, Payments Made by an Agent or Independent Contractor on Behalf of a Slate Mailer Organization.
- 2. This illustrated loop should only be used if distributed payments made by an agent were not reported on Schedule B.

Data Element Summary							
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>			
M	HL01	628	Hierarchical ID Number	M AN 1/12			
			A unique number assigned by the sender to identify a particular data segment in a hierarchical structure				
			Use this data element to provide a unique number that can i	dentify each HL			
			loop. It is recommended that the first HL loop be identified	by the number 1			
			in this data element and that each successive HL loop be inc				
Not Used	HL02	734	Hierarchical Parent ID Number	O AN 1/12			
			Identification number of the next higher hierarchical data seg segment being described is subordinate to	ment that the data			
M	HL03	735	Hierarchical Level Code	M ID 1/2			
			Code defining the characteristic of a level in a hierarchical str	ructure			
		1. This data element is used to identify the type of data that is being transmitted within the current HL loop.					
			2. Each of the listed codes MUST be used only one time.				
			EF Expenditures				
	1. Use this code to indicate payments made by an						
	agent.						
2. When this code is used, the 2/NM1/0700 segment							

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MUST be used to identify the agents and all the payees, and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

RP

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

 $\begin{array}{lll} \textbf{Segment:} & \textbf{N9} & \textbf{Reference Identification} \\ \textbf{Position:} & 0203 & \end{array}$ 

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref.	Data	Data Element Summary			
	Des.	Element	Name	ributes		
M	N901	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
			Use this data element to cite a code that qualifies	the data carrie	d in N902.	
			FQ Form Number			
			Use this code to indicate the transmitted.	number of the	form being	
	N902	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular specified by the Reference Identification Qualifier		or as	
			Use this data element to cite the form number, e.	g., F401B-1.		
Not Used	N903	369	Free-form Description	X	AN 1/45	
			Free-form descriptive text			
Not Used	N904	373	Date	O	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD			
Not Used	N905	337	Time		TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMMSSD, or HHMMSSDD, where H = hours (59), S = integer seconds (00-59) and DD = decima are expressed as follows: D = tenths (0-9) and DD <b>Time Code</b>	(00-23), $M = mi$	nutes (00- mal seconds	
Not Used	N907	C040	Code identifying the time. In accordance with Inte Organization standard 8601, time can be specified in hours in relation to Universal Time Coordinate restricted character, + and - are substituted by P ar <b>Reference Identifier</b>	by a + or - and (UTC) time; sin	an indication ace + is a	
			To identify one or more reference numbers or iden	ntification numb	ers as	
			specified by the Reference Qualifier			
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04002	127	Reference Identification	M	AN 1/50	
			Reference information as defined for a particular a specified by the Reference Identification Qualifier		or as	
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction Set or as			

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set (	or as

Segment: MTX Text

Position: 0603

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	$\mathbf{o}$	ID 3/3
			Code identifying the functional area or purpose for which the	note	applies
			REP Report		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

Position: 0703

Loop: NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

**Notes:** 

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 is code EF, use this loop as many times as necessary to identify the agent and all associated payees.

2. Each identified Agent (NM101 is code AG) and associated payee (NM101 is code PE) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the agent and the associated payees MUST be unique and the same for each group of related agent and payees.

		o ref	то постания р			
			Data Elem	ent Summary		
M	Ref. <u>Des.</u> NM101	Data Element 98	<u>Name</u> Entity Identifier (	Code		ributes ID 2/3
			Code identifying ar	organizational entity, a physical location	, prop	perty or an
			individual			
			AG	Agent/Agency		
			PE	<ol> <li>Use of this code is REQUIRED to in agent or independent contractor who mon behalf of a Slate Mailer Organization.</li> <li>When this code is used, NM102 may or 6, the 2/N9/1200 segment MUST be a cross reference number and the 2/AV MUST be used to identify applicable to.</li> <li>Assign the same cross reference numagent that was assigned in the form 40 Payee.</li> <li>Use of this code is REQUIRED to in payee.</li> <li>When this code is used, NM102 may</li> </ol>	nade j on. ocite used VD/22 tal ar nber 1, Sci	code 1, 2, to provide 700 loop mounts. to the hedule B.
				or 6, the 2/N9/1200 segment MUST be	used	to provide
				a cross reference number, and the 2/A		
M	NM102	1065	Entity Type Quali	MUST be used to identify applicable an	noun M	ID 1/1
141	11111102	1005	Code qualifying the		141	10 1/1
				ent to identify the entity qualified in NM1	01 as	s an
				ittee, or an entity other than a person or		
			1	Person		
				Use this code to indicate an individual.		
			2	Non-Person Entity		_
				Use this code to indicate an entity other individual or a committee.	r thai	n an
			6	Organization		
35 . 77	ND 54.00	4025	<b>.</b>	Use this code to indicate a committee.		137.4760
Must Use	NM103	1035	Name Last or Org	anization Name	O	AN 1/60

Individual last name or organizational name

- 1. Use of this data element is REQUIRED to provide the name of an entity.
- 2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.
- 3. When NM102 is code 2 or 6 and the name of the entity or committee is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.

	NM104	1036	Name First	0	AN 1/35
	1111104	1050	Individual first name	O	1111 1/33
	NIN#105	1025		•	A NI 1/05
	NM105	1037	Name Middle	O	AN 1/25
			Individual middle name or initial		
	NM106	1038	Name Prefix	O	AN 1/10
			Prefix to individual name		
	NM107	1039	Name Suffix	O	AN 1/10
			Suffix to individual name		
Not Used	NM108	66	Identification Code Qualifier	$\mathbf{X}$	ID 1/2
			Code designating the system/method of code structure used f Code (67)	or Ide	entification
Not Used	NM109	67	<b>Identification Code</b>	$\mathbf{X}$	AN 2/80
			Code identifying a party or other code		
Not Used	NM110	706	Entity Relationship Code	$\mathbf{X}$	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	, proj	perty or an

Segment: N2 Additional Name Information

**Position:** 0803

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of a name that is greater than 60 characters.

	Ref.	Data	·	
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0903

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1003

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	2 4 4 4 2 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1				
	Des.	Element	Name Attrib		ributes		
	N401	19	City Name	0	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate go	overnr	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3		
			Code identifying the country subdivision				

Segment: N9 Reference Identification

Position: 1203

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 is the 2/NM1/0700 segment is code AG or PE, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data	Data Element Summary				
	Des.	Element	Name	Attı	ributes		
M	N901	128	Reference Identification Qualifier		ID 2/3		
			Code qualifying the Reference Identification				
			6O Cross Reference Number				
			Use this code to indicate a cross refer is used to associate entities identified 2/NM1/0700 segment.		umber that		
	N902	127	Reference Identification	X	AN 1/50		
Not Used	N903	369	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Free-form Description</b>	on Set o	or as  AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-59)$ , $S = integer seconds (00-59)$ and $DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2$				
Not Used	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Reference Identifier  O				
			To identify one or more reference numbers or identification	numb	ers as		
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier  Code qualifying the Reference Identification	M	ID 2/3		
Not Used	C04002	127	Reference Identification	м	AN 1/50		
Not Oseu	C04002	127		M Sot 4			
Not Used	C04003	128	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
113CA401 (004021) 90		90	September 2, 1999				

			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: **AWD** Amount with Description

**Position:** 2703

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

Notes:

**Notes:** 1 AWD03 is used when information source provides non-numeric values.

- 1. When NM101 in the 2/NM1/0700 segment is code PE, use this segment to provide amounts applicable to a payment. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code AG, use this segment to provide the total amount for the agent's payments.
- 3. When this segment is used to identify a payment, the 2/MTX/3500 segment MUST be used to describe the payment.
- 4. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount Paid:

AWD01-01 code EBZ

Total Amount Paid: AWD01-01 code EBZ AWD01-03 code 8

	Ref.	Data	·	
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	AWD01	C007	<b>Amount Qualifying Description</b>	M
			To qualify an amount	
			Use this composite data element to provide multiple qual amount cited in AWD02.	ifies that identify the
M	C00701	522	Amount Qualifier Code	M ID 1/3
			Code to qualify amount	
			EBZ Payment	
Not Used	C00702	522	Amount Qualifier Code	O ID 1/3
			Code to qualify amount	
	C00703	1638	Value Detail Code	O ID 1/1
			Code identifying extent of an amount or quantity	
			8 Total	
Not Used	C00704	935	Measurement Significance Code	O ID 2/2
			Code used to benchmark, qualify or further define a measure	urement value
Not Used	C00705	344	Unit of Time Period or Interval	O ID 2/2
			Code indicating the time period or interval	
Not Used	C00706	1637	Net/Gross Code	O ID 1/1

			Code indicating whether monetary values are net or gross				
Not Used	C00707	935	Measurement Significance Code	O	<b>ID 2/2</b>		
			Code used to benchmark, qualify or further define a measure	value			
Not Used	C00708	352	Description	O	AN 1/80		
			A free-form description to clarify the related data elements a	nd th	eir content		
Not Used	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
	AWD02	782	Monetary Amount	$\mathbf{X}$	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.				
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
			Code (Standard ISO) for country in whose currency the charges are specific				

Segment: N9 Reference Identification

Position: 3103

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.
2 If N906 is present, then N905 is required.

- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a payee. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with a payee. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 401 if this transaction identifier is not a provided.

	Ref.	Data	·				
	Des.	Element	Name		ributes		
M	N901	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
			TN Transaction Reference Number				
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the same amounts within the same monetary transaction identifier MUST be used to where the monetary transaction is propagate to make the monetary transaction is propagate.	nis mon nme for ansact moneto in all s	netary r all tion ary schedules		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as		
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
			Time expressed in 24-hour clock time as follows: HHMM, or HHMM HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minute 59), S = integer seconds (00-59) and DD = decimal seconds; decimal are expressed as follows: D = tenths (0-9) and DD = hundredths (00-9)				
Not Used	N906	623	Time Code	O.	ID 2/2		
			Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the	ode identifying the time. In accordance with International Standards rganization standard 8601, time can be specified by a + or - and an indication in relation to Universal Time Coordinate (UTC) time; since + is a stricted character, + and - are substituted by P and M in the codes that for			
Not Used	N907	C040	Reference Identifier	0			
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as		

Not Used	C04001	128	Reference Identification Qualifier M		ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

**Position:** 3503

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. When the 2/AWD/2700 segment is used to identify a payment, use this segment to describe the payment.

2. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

- 3. Use of text is discouraged if the information can be provided in a codified manner elsewhere within the transaction set.
- 4. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

			Dutu Licii	icht Summar y		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attı</u>	<u>ributes</u>
	MTX01	363	Note Reference Co	ode	O	ID 3/3
			Code identifying th	ne functional area or purpose for which the	note	applies
			ADD	Additional Information		
			PMT	Payment		
				Use this code to indicate a description	of a p	payment.
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large v	olumes of message text		
			<ul><li>data element.</li><li>2. When MTX01 i</li></ul>	is code ADD, the full 4096 characters ma is code PMT, this data element is limited it runs the risk of the data not being rece s office.	to 90	characters.
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large v	olumes of message text		
Not Used	MTX04	934	Printer Carriage	Control Code	X	<b>ID 2/2</b>
			A field to be used f	for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				Code designating the language used in text, from a standard code list naintained by the International Standards Organization (ISO 639)		

Segment: HL Hierarchical Level

Position: 0104

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 401, Schedule C, Persons Receiving \$1,000 or More.

## **Data Element Summary**

			Data Elemo	ent Summary		
	Ref.	Data		·		
	Des.	<b>Element</b>	<u>Name</u>		<u>Attı</u>	<u>ributes</u>
M	HL01	628	Hierarchical ID Nu	ımber	$\mathbf{M}$	AN 1/12
			A unique number as	signed by the sender to identify a particul	ar da	ıta segment
			in a hierarchical stru			C
			Use this data eleme	nt to provide a unique number that can i	dent	ify each HL
			loop. It is recomme	nded that the first HL loop be identified	by th	e number 1
				and that each successive HL loop be inc		
Not Used	HL02	734	Hierarchical Paren		O	AN 1/12
			Identification numb	er of the next higher hierarchical data segn	ment	that the data
				ribed is subordinate to		
M	HL03	735	Hierarchical Level		M	ID 1/2
			Code defining the cl	naracteristic of a level in a hierarchical str	netn	re
				nt is used to identify the type of data that he current HL loop.	is ve	ing
			iransmuiea wunin i	пе ситет НЕ ююр.		
			2. Each of the lister	d codes MUST be used only one time.		
			EF	Expenditures		
				1. Use this code to indicate payments of	f \$1,	000 or
				more.		
				2. When this code is used, the 2/NM1/0	700	segment
				MUST be used to identify the all the pa		
				2/AWD/2700 loop also MUST be used to		
				applicable amounts.		
			RP	Report		

1. Use this code to indicate that the data which

follows in this HL loop is information applicable to the

form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification Position: 0204

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

		Ref.	Data <u>Element</u>	Name		ributes
M		N901	128	Reference Identification Qualifier	M	ID 2/3
				Code qualifying the Reference Identification		1. 31003
				Use this data element to cite a code that qualifies the data of	arrie	d in N902.
				FQ Form Number	C 41	<i>c</i> 1 ·
				Use this code to indicate the number of transmitted.	f the	form being
		N902	127	Reference Identification	X	AN 1/50
				Reference information as defined for a particular Transaction	ı Set	or as
				specified by the Reference Identification Qualifier		
			• • •	Use this data element to cite the form number, e.g., F401C.		
Not l	U <b>sed</b>	N903	369	Free-form Description	X	AN 1/45
				Free-form descriptive text	_	
Not I	Used	N904	373	Date	O	<b>DT</b> 8/8
				Date expressed as CCYYMMDD		
Not I	Used	N905	337	Time	X	TM 4/8
Not l	Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decir	nutes (00- nal seconds
Not l	Used	N907	C040	Code identifying the time. In accordance with International Stream Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and e; sin	an indication ce + is a
				To identify one or more reference numbers or identification	numb	ers as
<b>N</b> T 4 <b>T</b>		G0.4004	100	specified by the Reference Qualifier	3.5	ID 2/2
Not l	Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
NT 4 T	r, 1	C0 4002	107	Code qualifying the Reference Identification	3.6	A D. 1 /FO
Not l	Usea	C04002	127	Reference Identification	M	AN 1/50
				Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not 1	Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
				Code qualifying the Reference Identification		
Not l	Used	C04004	127	Reference Identification	X	AN 1/50
				Reference information as defined for a particular Transaction	ı Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

Position: 0604

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	$\mathbf{o}$	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	printer
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

Position: 0704

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

Notes: When HL03 is code EF, use this loop as many times as necessary to identify the all the

payees.

	Data Element Summary						
	Ref.	Data					
	Des.	<u>Element</u>	Name	~ -		<u>ributes</u>	
M	NM101	98	<b>Entity Identifier (</b>			ID 2/3	
			Code identifying an individual	n organizational entity, a physical location	i, pro	perty or an	
			PE	Payee			
				1. Use of this code is REQUIRED to i	ndica	te the	
				payee.			
				2. When this code is used, NM102 sho the 2/AWD/2700 loop MUST be used t			
				applicable amounts.			
M	NM102	1065	<b>Entity Type Quali</b>		M	ID 1/1	
			Code qualifying the	**			
			Use this data elem individual.	ent to identify the entity qualified in NM	101 a	s an	
			1	Person			
				Use this code to indicate an individual	•		
Must Use	NM103	1035	Name Last or Org	ganization Name	O	AN 1/60	
			Individual last nam	e or organizational name			
				element is REQUIRED to provide the n			
				s code 1 and the person has a moniker (r t to provide the moniker.	иски	ume), use ine	
	NM104	1036	Name First	to provide the monder.	O	AN 1/35	
			Individual first nan	ne			
	NM105	1037	Name Middle		O	AN 1/25	
			Individual middle	name or initial			
	NM106	1038	Name Prefix		O	AN 1/10	
			Prefix to individua	l name			
	NM107	1039	Name Suffix		O	AN 1/10	
			Suffix to individua	l name			
Not Used	NM108	66	<b>Identification Cod</b>		X	ID 1/2	
				he system/method of code structure used to	for Id	entification	
Not Used	NM109	67	Identification Cod	le	$\mathbf{X}$	AN 2/80	
				party or other code			
Not Used	NM110	706	Entity Relationshi	• •	X	ID 2/2	
			Code describing en	-		- <del>-</del>	
Not Used	NM111	98	Entity Identifier (		0	ID 2/3	
1.00 0000		70	•	n organizational entity, a physical location	_		
113CA401 (0	004021)			102		tember 2, 1999	

Segment: N2 Additional Name Information

**Position:** 0804

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes:

Comments: Notes:

When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

**Data Element Summary** 

	Ref. Des.	Data Element	Name		ributes
M	N201	93	Name	M	AN 1/60
			Free-form name		
			<ol> <li>When providing a moniker, insert a space after the monumeric 1, 2, or 3.</li> <li>= moniker is before the first name</li> <li>= moniker is between the first and middle name</li> </ol>	niker a	and cite the
			3 = moniker is between the middle and last name		
	N202	93	Name	0	AN 1/60

Free-form name

Segment: N3 Address Information

**Position:** 0904

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data	N	
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N301	166	<b>Address Information</b>	M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1004

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	2400 210110110 801111141		
	Des.	Element	Name	Att	ributes
	N401	19	City Name	0	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	overnr	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding pund (zip code for United States)	ctuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3
			Code identifying the country subdivision		

Segment: AWD Amount with Description

Position: 2704

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code PE, use this segment to provide amounts applicable to a payment. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount Paid:

AWD01-01 code EBZ

Cumulative Since January 1: AWD01-01 code EBZ AWD01-03 code 2

	Ref.	Data	•		
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
$\mathbf{M}$	AWD01	C007	Amount Qualifying Description	$\mathbf{M}$	
			To qualify an amount		
			Use this composite data element to provide multiple qualifi amount cited in AWD02.	es tha	t identify the
M	C00701	522	Amount Qualifier Code	M	ID 1/3
			Code to qualify amount		
			EBZ Payment		
Not Used	C00702	522	Amount Qualifier Code	O	ID 1/3
			Code to qualify amount		
	C00703	1638	Value Detail Code	O	ID 1/1
			Code identifying extent of an amount or quantity		
			2 Cumulative		
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ement	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ement	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd the	eir content

Not Used	C00709	1271	Industry Code	O	AN 1/30
			Code indicating a code from a specific industry code list		
	AWD02	782	Monetary Amount	X	R 1/18
			Monetary amount		
			Use this segment to identify the monetary amount associate	ed wit	h the
			qualifier codes cited in the AWD01 composite data element	•	
Not Used	AWD03	61	Free-Form Message	X	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	ID 3/3
			Code (Standard ISO) for country in whose currency the char	ges a	re specified

Segment: N9 Reference Identification

Position: 3104

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes: 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

Dof

Data

1. When NM101 is code PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with payee. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with a payee. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments.

2. The Secretary of State's office will reject the filing of the form 401 if this transaction identifier is not a provided.

	Ref. <u>Des.</u>	Data <u>Element</u>	Name		Attr	ibutes	
M	N901	128	Reference Identifi	cation Qualifier		ID 2/3	
			Code qualifying the	de qualifying the Reference Identification			
			TN	Transaction Reference Number			
				Use this code to indicate a monetary traidentifier applicable to an amount. This transaction identifier MUST be the san amounts within the same monetary transaction identifier MUST be used in where the monetary transaction is provamendments.	s moi ne foi nsacti noneta i all s	netary r all ion ury chedules	
	N902	127	Reference Identifi	cation	X	AN 1/50	
		• 10	specified by the Re	ion as defined for a particular Transaction ference Identification Qualifier			
Not Used	N903	369	Free-form Descrip		X	AN 1/45	
NI 4 TI I	N1004	252	Free-form descripti	ve text	•	DT 0/0	
Not Used	N904	373	Date	CCYVMMDD	O	DT 8/8	
Not Used	N905	337	Date expressed as C	CCTTMMDD	v	TM 4/0	
Not Used	11905	331	Time expressed in 2 HHMMSSD, or HF 59), S = integer sec	Time X TM 4/8  Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)			
Not Used	N906	623	Time Code		O	ID 2/2	
			Organization standa in hours in relation restricted character	the time. In accordance with International S and 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the	and a e; sind code	an indication ce + is a	
Not Used	N907	C040	Reference Identifi		0		
			To identify one or is specified by the Re	more reference numbers or identification network ference Qualifier	ıumbe	ers as	

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ion Set	or as

Segment: MTX Text

Position: 3504

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: **HL** Hierarchical Level

Position: 0105

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California Form 401, Schedule D, Candidates and Measures not Listed on Schedule A.

#### **Data Element Summary**

			Data Elem	ent Summary		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>
M	HL01	628	Hierarchical ID N	umber	$\mathbf{M}$	AN 1/12
			A unique number a	ssigned by the sender to identify a particu	lar da	ita segment
			in a hierarchical str	ucture		· ·
			Use this data eleme	ent to provide a unique number that can	identi	ify each HL
			loop. It is recomm	ended that the first HL loop be identified	by th	e number 1
			in this data elemen	t and that each successive HL loop be in	crem	ented by 1.
Not Used	HL02	734	Hierarchical Pare	nt ID Number	O	AN 1/12
			Identification numb	er of the next higher hierarchical data seg	ment	that the data
			segment being desc	ribed is subordinate to		
M	HL03	735	Hierarchical Leve	l Code	M	ID 1/2
			Code defining the c	haracteristic of a level in a hierarchical st	ructui	re
			1. This data eleme	nt is used to identify the type of data that	is be	ing
			transmitted within	the current HL loop.		
			2. Each of the liste	ed codes MUST be used only one time.		
			4	Group		
				Use this code to indicate the candidates	and	measures
				supported or opposed by the Slate Mail	er	
			D.D.	Organization.		
			RP	Report		
				1. Use this code to indicate that the da		
				follows in this HL loop is information		
				form being submitted (e.g., form numb covered).	er an	d period
				2. When this code is used, the 2/N9/02	00 lo	op MUST

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# be used to carry the associated information.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification Position: 0205

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 in the 2/HL/0100 segment is code RP, use of this segment is REQUIRED

to identify the number of the form that is being submitted.

	Ref. <u>Des.</u>	Data <u>Element</u>	<u>Name</u>	<u>Att</u>	<u>ributes</u>	
M	N901	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
			Use this data element to cite a code that qualifies the data of	arrie	d in N902.	
			FQ Form Number			
			Use this code to indicate the number of transmitted.	f the	form being	
	N902	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as	
			Use this data element to cite the form number, e.g., F401D			
Not Used	N903	369	Free-form Description	X	AN 1/45	
			Free-form descriptive text			
Not Used	N904	373	Date	O	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD			
Not Used	N905	337	Time	X	TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-59)$ , $S = integer seconds (00-59)$ and $DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2$			
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as	
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as	
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50	
			Reference information as defined for a particular Transaction	a Set	or as	

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

Position: 0605

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

filed.

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

manner elsewhere within this transaction set.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being

2. The use of text is discouraged if the information can be provided in a codified

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the received	ving p	printer
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISO		

Segment: NM1 Individual or Organizational Name

Position: 0705

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

Notes: When HL03 in the 2/HL/0100 segment is code 4, use this segment as many times as

necessary to identify all of the candidates or ballot measures supported or opposed by

the Slate Mailer Organization.

	Ref.	Data	Data Elem	nent Summary	
	Des.	Element	Name		Attributes
M	NM101	98	Entity Identifier (	Code	M ID 2/3
			Code identifying ar	n organizational entity, a physical location	, property or an
			individual		
			elements.	o qualify the entity identified in the follow	ving data
			VB	Candidate	
				<ol> <li>Use this code to indicate a candidat supported or opposed.</li> </ol>	e that was
			ZZ	2. When this code is used, NM102 shothe 2/LQ/2100 segment should be used office sought and whether the candida supported or opposed, and the jurisdic Mutually Defined	to identify the te was
				1. Use this code to indicate a ballot mosupported or opposed.	easure that was
				2. When this code is used, NM102 shothe 2/N9/1200 segment should be used ballot measure number or letter, the 2/be used to identify the jurisdiction of the measure and whether the measure was opposed.	to identify the (LQ/210 should he ballot
M	NM102	1065	<b>Entity Type Quali</b>	fier	M ID 1/1
			Code qualifying the	e type of entity	
				ent to identify the entity qualified in NM	
			individual, a comn 1	nittee, or an entity other than a person or Person	committee.
			1	Use this code to indicate an individual	
			2	Non-Person Entity	
			-	Use this code to indicate an entity othe individual or a committee.	r than an
Must Use	NM103	1035	Name Last or Org		O AN 1/60
			Individual last nam	e or organizational name	
			1. Use of this data	element is REQUIRED to provide the na	ame of an entity.
				s code 1 and the person has a moniker (nation to provide the moniker.	nickname), use the

3. When NM102 is code 2 and the name of the entity is greater than 60

			characters, use the 2/N2/0800 segment to provide the balance of the name.		
	NM104	1036	Name First	O	AN 1/35
			Individual first name		
	NM105	1037	Name Middle	O	AN 1/25
			Individual middle name or initial		
	NM106	1038	Name Prefix	O	AN 1/10
			Prefix to individual name		
	NM107	1039	Name Suffix	O	AN 1/10
			Suffix to individual name		
Not Used	NM108	66	Identification Code Qualifier	X	ID 1/2
			Code designating the system/method of code structure used Code (67)	for Id	entification
Not Used	NM109	67	<b>Identification Code</b>	$\mathbf{X}$	AN 2/80
			Code identifying a party or other code		
Not Used	NM110	706	Entity Relationship Code	$\mathbf{X}$	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	ı, proj	perty or an

N2 Additional Name Information **Segment:** 

**Position:** 0805

NM1 Loop: Optional (Must Use)

Level: Detail Usage: Optional Max Use:

**Purpose:** 

To specify additional names

**Syntax Notes: Semantic Notes: Comments:** 

**Notes:** 1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u>	Data Element	Name	Attributes
M	N201	93	Name Free-form name	M AN 1/60
			1 ICC-101111 Hainic	
			1. When providing a moniker, insert a space after the monumeric 1, 2, or 3.	oniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0905

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data Element 166	Name Address Information	Attributes M AN 1/55
141	14301	100	Address information	W AN 1/33
	N302	166	Address Information	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1005

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

		<b>_</b> .	Duta Element Sammary				
	Ref. Des.	Data <u>Element</u>	Name	Att	<u>ributes</u>		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate a	governr	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3		
			Code identifying the country subdivision				

N9 Reference Identification **Segment:** 

**Position:** 1205

> Loop: NM1 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:** 

Syntax Notes: At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

If either C04005 or C04006 is present, then the other is required. 4

N906 reflects the time zone which the time reflects. **Semantic Notes:** 

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When NM101 is code ZZ, use this segment to identify the ballot measure number or

letter.

		<b>_</b> .	Data Element Summary		
	Ref.	Data	NT.		
M	<u>Des.</u>	Element	Name		ributes
M	N901	128	Reference Identification Qualifier	IVI	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to qualify the number cited in N902.		
			ECB Ballot Identifier		
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set o	or as
Not Used	N903	369	Free-form Description	$\mathbf{X}$	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	0	DT 8/8
-,00			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
1,00 0,000	1,500		Time expressed in 24-hour clock time as follows: HHMM, or		
			HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	I = mi; ; decin	nutes (00- nal seconds
Not Used	N906	623	Time Code	O (	ID 2/2
			Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the	- and ne; sin	an indication ce + is a
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID $2/3$
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set o	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		

Not Used C04006 127 Reference Identification X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Segment: LQ Industry Code

Position: 2105

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use: 1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the jurisdiction of the ballot measure and to identify whether the measure was supported or opposed.
- 2. When NM101 is code VB, four iterations of this segment are necessary. One to identify the office, a second one to identify whether it is sought or held, a third to identify the jurisdiction of the candidate, and a fourth to identify whether candidate was supported or opposed.
- 3. The support or oppose indicators, the office and sought/held indicators, and the identification of the jurisdiction are identified by codes contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 4. All codes contained in the California Election Campaign Disclosure code list have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

# **Data Element Summary**

Data				
<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
1270	Code List Qualific	er Code	O	ID 1/3
	Code identifying a	specific industry code list		
	Use this data elem	ent to identify the code list that contains	the co	de specified
	in LQ02.			
	EAF	California Campaign Disclosure Repor	t Code	es
1271	<b>Industry Code</b>		$\mathbf{X}$	AN 1/30
	Element 1270	Code List Qualification Code identifying a Use this data elemination LQ02.	Element 1270 Name Code List Qualifier Code Code identifying a specific industry code list Use this data element to identify the code list that contains in LQ02.  EAF California Campaign Disclosure Report	Element       Name       Attraction         1270       Code List Qualifier Code       O         Code identifying a specific industry code list         Use this data element to identify the code list that contains the code in LQ02.         EAF       California Campaign Disclosure Report Code

Code indicating a code from a specific industry code list

- 1. When NM101 is code VB, enter codes from sections 03, 04, and 08 of the California Campaign Disclosure Code List.
- 2. When NM101 is code ZZ, enter a code from section 04 and 08 of the California Campaign Disclosure Code List.
- 3. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.
- 4. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the city. county, local or other jurisdiction, in text.
- 5. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/2200 segment to identify the district.

Segment: N9 Reference Identification

Position: 2205

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.
2 If N906 is present, then N905 is required.

- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

# **Data Element Summary**

			Data Element Summary		
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier		ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to qualify the code cited in N902.		
			DIS District Number		
			Use this code to indicate the district of sought or the ballot measure.	the o	ffice
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b> Code identifying the time. In accordance with International Standard 8601, time can be specified by a + or	I = mi decindths (I O Standa	nutes (00- mal seconds 00-99) ID 2/2 ards
Not Used	N907	C040	in hours in relation to Universal Time Coordinate (UTC) times restricted character, + and - are substituted by P and M in the Reference Identifier		
		- 4 - 4	To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
	G0 4002	400	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
113C A 401 ((	10/1021)		125	Sont	tombor 2 1000

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Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction	Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 2605

**Notes:** 

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required.

**Semantic Notes:** 1 MTX05 is the number of lines to advance before printing.

**Comments:** 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.

3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
	MTX01	363	Note Reference	Code	O	ID 3/3
			Code identifying	g the functional area or purpose for which the	e note	applies
			EAM	Jurisdiction		
			EAQ	Description of Position		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit larg	e volumes of message text		
				nt is limited to a maximum of 40 characters sk of the data not being received by the Sect		_
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit larg	e volumes of message text		
Not Used	MTX04	934	Printer Carriag	ge Control Code	$\mathbf{X}$	ID 2/2
			A field to be use	ed for the control of the line feed of the received	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic numb	er		
Not Used	MTX06	819	Language Code	•	O	ID 2/3
			_	g the language used in text, from a standard one International Standards Organization (ISC)		

**HL** Hierarchical Level **Segment:** 

0106 **Position:** 

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 498, Slate Mailer Late Payment Report.

	Data Element Summary								
	Ref.	Data							
	Des.	<b>Element</b>	<u>Name</u>			<u>ibutes</u>			
M	HL01	628	Hierarchical ID No	ımber	M	AN 1/12			
				ssigned by the sender to identify a particul	ar da	ta segment			
			in a hierarchical stru						
				nt to provide a unique number that can i					
				ended that the first HL loop be identified					
NI ATI I	TTT 02	<b>5</b> 24		t and that each successive HL loop be inc					
Not Used	HL02	734	Hierarchical Parer		O	AN 1/12			
				er of the next higher hierarchical data segribed is subordinate to	ment	that the data			
$\mathbf{M}$	HL03	735	Hierarchical Level		$\mathbf{M}$	ID 1/2			
			Code defining the c	haracteristic of a level in a hierarchical str	uctui	re			
			1. This data elemen	nt is used to identify the type of data that	is be	ing			
				the current HL loop.					
				•					
			2. Each of the liste	d codes MUST be used only one time.					
			EB	Filer					
				1. Use this code to indicate the submitt	er of	the data			
				on form 498.					
				2. When this code is used, the 2/NM1/0	1700	segment			
			EC	MUST be used to identify the filer.					
			EC	Receipts					
				1. Use this code to indicate payments r	eceiv	ed.			
				2. When this and is used the 2/NM1//	200	lo om			
				2. When this code is used, the 2/NM1/0 MUST be used to identify all of the con		-			
				m of the usea to talentify all of the con	เกเบน	iors ana			

113CA401 (004021) 128 September 2, 1999 associated entities, and the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number, the report or amendment number, as applicable.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment:** 

**Position:** 0206

> Loop: N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When HL03 in the 2/HL/0100 segment is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; and the original or amended

filing identification number.

Data Element Summary									
	Ref.	Data Floment	Nome		A 441	ributos			
M	<u>Des.</u> N901	Element 128	Name Reference Identifi	cation Qualifier	M	ributes ID 2/3			
112	1,501	120		e Reference Identification	1,1	10 2/0			
			FQ	Form Number					
			O8	Use this code to indicate the number of is being transmitted, i.e., F498.  Original Filing	f the j	form that			
			08	When BGN01 in the 1/BGN/0200 segn	mont.	ritas cada			
			OJ	00, use of this code is REQUIRED to a original filing number. When this code always cite 000 in N902. If the require provided, the Secretary of State's official filing of the amended form 401.  Amendment Filing	identij le is u ed nu	fy the sed, mber is not			
				When BGN01 in the 1/BGN/0200 segnuse of this code is REQUIRED to indicamendment number. When this code is amended filing should cite 001. Subsefilings should increment the amended by 1, e.g., 002, 003, etc. If the required provided, the Secretary of State's officing of the amended form 498.	cate the is use equent filing dinum	he filing d, the first t amended number aber is not			
	N902	127	Reference Identifi		X	AN 1/50			
				tion as defined for a particular Transaction	ı Set	or as			
NT 4 TT 1	N1002	260		ference Identification Qualifier	<b>T</b> 7	A 3.7 4 / 4.5			
Not Used	N903	369	Free-form Descrip		X	AN 1/45			
Not Used	N904	373	Free-form descripti <b>Date</b>	ive text	0	DT 8/8			
Not Osea	11704	373	Date expressed as (	CCYYMMDD	U	D1 0/0			
Not Used	N905	337	Time		X	TM 4/8			
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2						
			Organization standa	the time. In accordance with International Stard 8601, time can be specified by a + or to Universal Time Coordinate (UTC) time	- and	an indication			

Not Used	N907	C040	restricted character, + and - are substituted by P and M in the c <b>Reference Identifier</b>	ode <b>O</b>	es that follow
			To identify one or more reference numbers or identification nu specified by the Reference Qualifier	mb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction S specified by the Reference Identification Qualifier	let (	
Not Used	C04003	128	Reference Identification Qualifier	X	ID $2/3$
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction S specified by the Reference Identification Qualifier	set (	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction S specified by the Reference Identification Qualifier	set (	or as

Segment: MTX Text

Position: 0606

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>	
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which the	e note	applies	
			REP Report			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the recei	ving p	orinter	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
				Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

Position: 0706

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Notes:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

Semantic Notes: 1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 498. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EC, use this loop as many times as necessary to identify all payers and associated entities.
- 3. Each identified payer, (NM101 is code RM) and associated entities (NM101 is code 36 employer, BUS business, VB candidate, or ZZ ballot measure) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the file/record number in N902. The cross reference number of the payer and associated entity MUST be unique and the same for each group of related entities.

	D.£	D-4-	Data Elem	ent Summary	
M	Ref. <u>Des.</u> NM101	Data Element 98	<u>Name</u> Entity Identifier C	Code	Attributes M ID 2/3
			individual	n organizational entity, a physical location	n, property or an
			36	Employer	•
				1. When NM101 is code RM, NM102 this code to indicate the employer of the	
				2. When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number.	
			BUS	Business	
				1. When NM101 is code RM, NM102 this code to indicate the business name employed payer.	
				2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.	
			FN	Filer Name	
				1. When HL03 is code EB, use of this REQUIRED to indicate the submitter	
				<ol> <li>When this code is used, NM102 mag</li> </ol>	y cite code 1 or

1. When HL03 is code EC, use of this code is

identification number.

Party that remits payment

3. When this code is used and NM102 is code 2, NM108/09 MUST be used to provide the Slate Mailer

Organization identification number, and the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer

RM

REQUIRED to indicate a candidate, committee, or other entity who has remitted a payment.

- 2. When this code is used, NM102 may cite code 1, 2, or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the payer's occupation, and the 2/AWD/2700 loop MUST be used to identify applicable amount.
- 3. When this code is used and NM102 is code 6, NM108/109 MUST be used to provide the committee identification number.

VB Candidate

- 1. When NM101 is code RM, use this code to indicate the candidate supported or opposed by the party that remitted a payment.
- 2. When this code is used, NM102 should cite code 1. The 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/LQ/2100 segment should be used to identify the office sought, whether the candidate is supported or opposed, and to identify the jurisdiction of the candidate, and the 2/AWD/2700 segment MUST be used to identify the amount of the payment attributed to the candidate.

ZZ Mutually Defined

- 1. When NM101 is code RM, use this code to indicate the ballot measure supported or opposed by the party that remtited a payment.
- 2. When this code is used, NM102 should cite code 2. The 2/N9/1200 segment MUST be used to provide a cross reference number and the 2/N9/1200 segment should be used to identify the ballot measure number or letter, the 2/LQ/2100 segment should be used to identify the jurisdiction of the ballot measure and whether it is supported or opposed, and the 2/AWD/2700 segment MUST be used to identify the amount of the payment attributed to the ballot measure.

M NM102 1065 Entity Type Qualifier

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

1 Person

Use this code to indicate an individual.

2 Non-Person Entity

Use this code to indicate an entity other than an individual or committee.

6 Organization

Use this code to indicate a committee.

Must Use NM103 1035 Name Last or Organization Name

O AN 1/60

Individual last name or organizational name

- 1. Use of this data element is REQUIRED to provide the name of an entity.
- 2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.

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			3. When NM102 is code 2 or 6 and the name of the entity greater than 60 characters, use the 2/N2/0800 segment to balance of the name.				
	NM104	1036	Name First	O	AN 1/35		
			Individual first name				
	NM105	1037	Name Middle	O	AN 1/25		
			Individual middle name or initial				
	NM106	1038	Name Prefix	O	AN 1/10		
			Prefix to individual name				
	NM107	1039	Name Suffix	O	AN 1/10		
			Suffix to individual name				
	NM108	66	Identification Code Qualifier	X	ID 1/2		
			Code designating the system/method of code structure used for Identification Code (67)				
			When NM101 is code FN and NM102 is code 2, or when I				
			and NM102 is code 6, use this data element to qualify the identification number in NM109.				
			75 State or Province Assigned Number				
			1. When NM101 is code RM and NM	1102 is	code 6,		
			use this code to indicate the state assi- identification number.				
			2. When NM101 is code FN and NM.	102 is	code 2. use		
			of this code is REQUIRED if an iden				
			been assigned. Failure to provide the				
			number will be cause for the Secretar		tate's office		
			to reject the filing of this form 401. It identification number has not yet bee		ived use		
			the 2/LQ/2100 segment to identify that				
	NM109	67	Identification Code	X	AN 2/80		
			Code identifying a party or other code				
			Use this data element to provide the state assigned commit	tee ide	entification		
			number or Slate Mailer Organization identification numb				
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2		
			Code describing entity relationship				
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3		
			Code identifying an organizational entity, a physical location	n, pro	perty or an		

individual

Segment: N2 Additional Name Information

**Position:** 0806

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use:

**Notes:** 

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of a name that

is greater than 60 characters.

	Ref.	Data	·	
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0906

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1006

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	2 4 4 4 2 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1		
	Des.	Element	Name	Att	ributes
	N401	19	City Name	0	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	overnr	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding pund (zip code for United States)	ctuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3
			Code identifying the country subdivision		

 ${f PER}$  Administrative Communications Contact **Segment: Position:** 1106 NM1 Loop: Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To identify a person or office to whom administrative communications should be directed **Syntax Notes:** If either PER03 or PER04 is present, then the other is required. If either PER05 or PER06 is present, then the other is required. If either PER07 or PER08 is present, then the other is required. **Semantic Notes: Comments: Notes:** Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment. Data Element Summary

			Data Element Summary					
	Ref. <u>Des.</u>	Data <u>Element</u>	<u>Name</u>	Attı	<u>ributes</u>			
M	PER01	366	Contact Function Code	M	<b>ID</b> 2/2			
			Code identifying the major duty or responsibility of the person	on or	group named			
			Use this data element to qualify the party associated with the numbers provided in the following data elements. Use only					
			IC Information Contact	_				
Not Used	PER02	93	Name	O	AN 1/60			
			Free-form name					
	PER03	365	Communication Number Qualifier	X	ID 2/2			
			Code identifying the type of communication number					
			TE Telephone					
	PER04	364	Communication Number	X	AN 1/256			
			Complete communications number including country or area applicable	code	when			
			The telephone number shall be transmitted as a continuous the area code and extension, if applicable. Concatenate the extension at the end of the number, e.g., 7035551212X123.					
	PER05	365	Communication Number Qualifier	X	ID 2/2			
			Code identifying the type of communication number					
			EM Electronic Mail					
			Use to indicate an e-mail address.					
	PER06	364	Communication Number	X	AN 1/256			
			Complete communications number including country or area applicable	code	when			
	PER07	365	Communication Number Qualifier	$\mathbf{X}$	ID 2/2			
			Code identifying the type of communication number					
			FX Facsimile					
	PER08	364	Communication Number	$\mathbf{X}$	AN 1/256			
			Complete communications number including country or area applicable	code	when			
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20			
			Additional reference number or description to clarify a contact number					

Segment: N9 Reference Identification

Position: 1206

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code 36, BUS, RM, VB, or ZZ, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.
- 2. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.
- 3. When NM101 is code ZZ, use this segment to identify the ballot measure number or letter.

	Ref.	Data		•			
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>	
M	N901	128	Reference Ide	entification Qualifier	M	ID 2/3	
			Code qualifyin	ode qualifying the Reference Identification			
			1W	Member Identification Number			
				Use this code to indicate the Secretary	of Sta	ate	
				assigned electronic filer identification	numl	ber.	
			6O	Cross Reference Number			
				Use this code to indicate a cross refere		umber that	
				is used to associate entities identified i	n the		
			ECD	2/NM1/0700 segment.			
			ECB	Ballot Identifier			
	N902	127	Reference Ide		X	AN 1/50	
				ermation as defined for a particular Transaction	n Set o	or as	
NI ATI I	N1002	260		e Reference Identification Qualifier	<b>T</b> 7	A DI 4/45	
Not Used	N903	369	Free-form De	-	X	AN 1/45	
			Free-form desc	criptive text			
Not Used	N904	373	Date		O	<b>DT 8/8</b>	
			Date expressed	l as CCYYMMDD			
Not Used	N905	337	Time		X	TM 4/8	
			Time expresse	d in 24-hour clock time as follows: HHMM, o	r HHI	MMSS, or	
				or HHMMSSDD, where $H = hours$ (00-23), M			
			, .	er seconds (00-59) and $DD = decimal seconds;$			
NI ATI I	NIOOC	(22		as follows: $D = tenths (0-9)$ and $DD = hundred$		,	
Not Used	N906	623	Time Code		0	ID 2/2	
				ng the time. In accordance with International 3			
			_	tandard 8601, time can be specified by a + or			
				ation to Universal Time Coordinate (UTC) time acter, + and - are substituted by P and M in the			
Not Used	N907	C040	Reference Ide	•	<b>O</b>	s mai ionow	

			To identify one or more reference numbers or identification numbers specified by the Reference Qualifier			
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04002	127	Reference Identification	M	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set o	or as	
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set o	or as	
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set o	or as	

EMS Employment Position **Segment: Position:** 1506 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position **Syntax Notes:** If either EMS05 or EMS06 is present, then the other is required. If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. EMS03 defines the occupation category. EMS04 defines the status of employment. EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code RM and NM102 is code 1, use of **Notes:** this segment is REQUIRED to identify the occupation of the payer. **Data Element Summary** 

			Data Element Summary				
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>		
	EMS01	352	Description	O	AN 1/80		
			A free-form description to clarify the related data elements a	nd the	eir content		
			1. Use this data element to identify the occupation of the po	ıyer,	in text.		
			2. This data element is limited to 60 characters. Exceeding	this	limit runs		
			the risk of the Secretary of State's office not receiving all of	f the c	data.		
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3		
			Code indicating category of employee				
Not Used	EMS03	1149	Occupation Code	O	<b>ID</b> 4/6		
			Code identifying the occupation of an individual				
Not Used	EMS04	584	<b>Employment Status Code</b>	O	<b>ID</b> 2/2		
			Code showing the general employment status of an employee/claimant				
Not Used	EMS05	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	EMS06	127	Reference Identification	$\mathbf{X}$	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as		
Not Used	<b>EMS07</b>	127	Reference Identification	$\mathbf{X}$	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as		
Not Used	EMS08	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				

Segment: LQ Industry Code

Position: 2106

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use: 1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code FN, if applicable, this segment should be used to identify that a state assigned identification number has not been received.
- 2. When NM101 is code VB, four iterations of this segment are necessary. One to identify the office, a second one to identify whether it is sought or held, a third to identify the jurisdiction of the candidate, and a fourth to identify whether the payment was made to support or oppose the entity.
- 3. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the jurisdiction of the ballot measure and to identify whether the payment was made to support or oppose the ballot measure.
- 4. The support or oppose indicators, the office and sought/held indicators, the identification of the jurisdiction, and an indication of whether a state assigned identification number has not been received, are identified by codes contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 5. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data					
Des.	<b>Element</b>	<u>Name</u>	<u>Attr</u>	<u>ibutes</u>		
LQ01	1270	Code List Qualifier Code	O	ID 1/3		
		Code identifying a specific industry code list				
		Use this data element to identify the code list that contains to in LQ02.	he co	de specified		
		EAF California Campaign Disclosure Report	Code	es		
LQ02	1271	Industry Code	$\mathbf{X}$	AN 1/30		
		Code indicating a code from a specific industry code list				
<ol> <li>When NM101 is code FN, enter a code from section 04 of the Califor Campaign Disclosure Code List.</li> <li>When NM101 is code VB, enter codes from sections 03, 04, and 08 of California Campaign Disclosure Code List.</li> </ol>						
		3. When NM101 is code ZZ, enter a code from section 04 of California Campaign Disclosure Code List.	ına v	8 of the		
		4. When code 03-OTH is cited in this data element, use the segment to identify the office, in text.	2/MT	ГХ/2600		
		5. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cite element, use the 2/MTX/2600 segment to identify the city. cother jurisdiction, in text.				

6. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/2200 segment to identify the district.

**Reference Identification Segment:** 

**Position:** 2206

> Loop: LQ Optional

Level: Detail **Usage:** Optional Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** At least one of N902 or N903 is required.

- If N906 is present, then N905 is required.
- If either C04003 or C04004 is present, then the other is required. 3
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

			Data Element Summary		
	Ref.	Data			
	Des.	Element	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to qualify the code cited in N902.		
			DIS District Number		
			Use this code to indicate the district of	the o	ffice
			sought or the ballot measure.		
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	ı Set	or as
NI 4 TI 1	N1002	260	specified by the Reference Identification Qualifier	<b>T</b> 7	A NT 4 /45
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, o		
			HHMMSSD, or HHMMSSDD, where $H = hours (00-23)$ , $M = hours (00-23)$		
			59), S = integer seconds (00-59) and DD = decimal seconds;		
Not Used	N906	623	are expressed as follows: $D = tenths (0-9)$ and $DD = hundred Time Code$	uns (1 <b>O</b>	ID 2/2
Not Osea	11900	023			
			Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or		
			in hours in relation to Universal Time Coordinate (UTC) tim		
			restricted character, + and - are substituted by P and M in the		
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification	numb	ers as
			specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction	Set (	or as
			specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	set !	or as
11201 401 (			specified by the Reference Identification Qualifier	~	1 2 1000

Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 2606

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

- 2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.
- 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>	
	MTX01	363	Note Reference	Code	O	ID 3/3	
			Code identifying	the functional area or purpose for which the	e note	applies	
			EAM	Jurisdiction			
			EAQ	Description of Position			
	MTX02	1551	Message Text		$\mathbf{X}$	AN 1/4096	
			To transmit large	e volumes of message text			
				nt is limited to a maximum of 40 characters is the data not being received by the Section 1.		~	
Not Used	MTX03	1551	Message Text		O	AN 1/4096	
			To transmit large	e volumes of message text			
Not Used	MTX04	934	<b>Printer Carriag</b>	e Control Code	$\mathbf{X}$	ID 2/2	
			A field to be use	d for the control of the line feed of the receive	ving p	orinter	
Not Used	MTX05	1470	Number		O	N0 1/9	
			A generic number	er			
Not Used	MTX06	819	Language Code		O	ID 2/3	
				ode designating the language used in text, from a standard code list aintained by the International Standards Organization (ISO 639)			

Segment: AWD Amount with Description

**Position:** 2706

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

**2** At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 AWD03 is used when information source provides non-numeric values.

- 1. When NM101 is code RM, use this segment to provide amounts applicable to a payment received. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 is code VB or ZZ, use this segment to provide amount of the payment attributed to the candidate or ballot measure.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount Received AWD01-01 code EBZ

Attributed Amount AWD01-01 code EBZ AWD01-09 code 05-A3

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	AWD01	C007	Amount Qualifying Description	M	
			To qualify an amount		
			Use this composite data element to provide multiple qualifamount cited in AWD02.	ies tha	t identify the
$\mathbf{M}$	C00701	522	Amount Qualifier Code	$\mathbf{M}$	ID 1/3
			Code to qualify amount		
			EBZ Payment		
Not Used	C00702	522	Amount Qualifier Code	O	ID 1/3
			Code to qualify amount		
Not Used	C00703	1638	Value Detail Code	O	<b>ID</b> 1/1
			Code identifying extent of an amount or quantity		
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measur	ement	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	ID 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measur	ement	value
Not Used	C00708	352	Description	O	AN 1/80
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			A free-form description to clarify the related data elements and their cont					
	C00709	1271	Industry Code	O	AN 1/30			
			Code indicating a code from a specific industry code list					
			1. Use this data element to cite a code from the California Campaign Disclosure Code List section 05.					
			2. Only cite code 05-A3.					
	AWD02 782		Monetary Amount	$\mathbf{X}$	R 1/18			
			Monetary amount					
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element.		h the			
Not Used	AWD03	61	Free-Form Message	X	AN 1/30			
			Free-form information					
Not Used	AWD04	100	Currency Code	O	ID 3/3			
			Code (Standard ISO) for country in whose currency the charges are spe					

Segment: DTM Date/Time Reference

**Position:** 2806

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the receipt date of the payment.

	Ref.	Data		•			
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>	
M	DTM01	374	Date/Time Qualifi	er	$\mathbf{M}$	ID 3/3	
			Code specifying typ	be of date or time, or both date and time			
			050	Received			
				Use this code to indicate the receipt date	te of t	the	
				payment.			
	DTM02	373	Date		$\mathbf{X}$	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD				
			Use this data element to identify the date of the payment.				
Not Used	DTM03	337	Time		X	TM 4/8	
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or				
			HHMMSSD, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-23)$				
			59), $S = \text{integer seconds}$ (00-59) and $DD = \text{decimal seconds}$ ; decimal seconds are expressed as follows: $D = \text{tenths}$ (0-9) and $DD = \text{hundredths}$ (00-99)				
Not Used	DTM04	623	Time Code		O	ID 2/2	
			Code identifying the time. In accordance with International Standards				
			Organization standard 8601, time can be specified by a + or - and an indication				
			in hours in relation to Universal Time Coordinate (UTC) time; since + is a				
				+ and - are substituted by P and M in the			
Not Used	DTM05	1250	Date Time Period	Format Qualifier	$\mathbf{X}$	ID 2/3	
			Code indicating the date format, time format, or date and time format				
Not Used	<b>DTM06</b>	1251	<b>Date Time Period</b>		$\mathbf{X}$	AN 1/35	
			Expression of a date, a time, or range of dates, times or dates and times				

Segment: N9 Reference Identification

**Position:** 3106

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

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**Notes:** 

- 1. When NM101 is code RM, VB, or ZZ, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with an entity who remitted a payment, a candidate or a ballot measure. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with an entity who remitted a payment, a candidate or a ballot measure. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 498 if this transaction identifier is not a provided.

### **Data Element Summary**

	Ref.	Data				
	Des.	<b>Element</b>	Name	Attributes		
M	N901	128	Reference Identification Qualifier	M ID 2/3		
			Code qualifying the Reference Identification			
			TN Transaction Reference Number			
			Use this code to indicate a monetar identifier applicable to an amount. transaction identifier MUST be the amounts within the same monetary associated with an entity. This sam transaction identifier MUST be use where the monetary transaction is pamendments.	This monetary same for all transaction ne monetary ed in all schedules		
	N902	127	Reference Identification	X AN 1/50		
Not Used	N903	369	Reference information as defined for a particular Transac specified by the Reference Identification Qualifier <b>Free-form Description</b>	etion Set or as  X AN 1/45		
			Free-form descriptive text			
Not Used	N904	373	Date	O DT 8/8		
			Date expressed as CCYYMMDD			
Not Used	N905	337	Time	X TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours$ (00-23), $M = minutes$ (00-59), $S = integer$ seconds (00-59) and $DD = decimal$ seconds; decimal seconds are expressed as follows: $D = tenths$ (0-9) and $DD = hundredths$ (00-99)  Time Code  O ID 2/2  Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by $a + or - and$ an indication			
Not Used	N907	C040	in hours in relation to Universal Time Coordinate (UTC) restricted character, + and - are substituted by P and M in <b>Reference Identifier</b>			

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			To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier			
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04002	127	Reference Identification	M	AN 1/50	
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier			
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier			
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04006	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier			

Segment: MTX Text

**Position:** 3506

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to a maximum of one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>	
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which the		note applies	
			ADD Additional Information			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the receiving printer			
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

Segment: **SE** Transaction Set Trailer

**Position:** 3600

Loop:

Level: Detail Usage: Mandatory

Max Use: 1

Purpose: To indicate the end of the transaction set and provide the count of the transmitted

segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes: Semantic Notes:

**Comments:** 1 SE is the last segment of each transaction set.

	Ref. Des.	Data Element	Name	Attr	ributes		
M	SE01	96	Number of Included Segments	M	N0 1/10		
			Total number of segments included in a transaction set including ST and SE segments  This data element identifies the total number of segments included in the transaction set being transmitted. This number includes the ST (header) and SE (trailer) segments.				
M	SE02	329	Transaction Set Control Number	M	AN 4/9		
			Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set <i>This data element MUST cite the same number as is cited in ST02 of the 1/ST/0100 segment.</i>				