# 113 Election Campaign and Lobbyist Reporting

Functional Group ID= ${CL}$ 

## **Introduction:**

This Draft Standard for Trial Use contains the format and establishes the data contents of the Election Campaign and Lobbyist Reporting Transaction Set (113) for use within the context of an Electronic Data Interchange (EDI) environment. This Bi-directional transaction set can be used by candidates, candidate committees, party committees, political action groups, lobbyists and other entities to exchange disclosure data.

## **Notes:**

- 1. Recipient Committees, including Candidates, Officeholders, and their Controlled Committees; Ballot Measure Committees; Primarily Formed Candidate/Officeholder Committees; and General Purpose Committees in the state of California can use this transaction set to submit California form 460 (Recipient Committee Campaign Statement) and associated schedules. It also can be used to submit California form 495 (Supplemental Preelection Campaign Statement) dated 12/99, California form 465 (Supplemental Independent Expenditure Report) dated 12/99, and California form 497 (Late Contribution Report) dated 8/99. If this IC is used to transmit a form 460, table 1 and the applicable illustrated HL loops, 2/HL/0100 through 2/HL/0115, MUST be transmitted (see note 10 below for an explanation of an illustrated HL loop). If this IC is used to submit a form 495, table 1 and the illustrated HL loop 2/HL/0116 MUST be transmitted. If this IC is used to submit a form 465, table 1 and illustrated HL loop 2/HL/0117 Must be transmitted. If this IC is used to submit a form 497, table 1 and illustrated HL loop 2/HL/018 Must be transmitted.
- 2. This IC can be used to amend previously submitted data. The amended data is a total replacement of the form and ALL associated schedules.
- 3. Throughout this implementation convention, reference is made to segments and data elements within this transaction set. Segments are identified in the following manner 1/NM1/0700. The first number identifies the table that contains the segment. The 2 or 3 characters between the slash marks identify the segment (in the example, NM1 identifies the Individual or Organizational Name segment). The last 4 numbers identify the position of the segment within the table. Thus, 1/NM1/0700 identifies the Individual or Organizational Name segment in table 1 at position 0700.
- 4. A combination of the segment identifier and the position of the data element within the segment, e.g., BGN06 identify data elements within segments. BGN identifies the Beginning Segment and 06 identifies data element 127 which is the sixth data element within the BGN segment.
- 5. Composite data elements are identified in a manner similar to data elements, e.g., AWD01-04. AWD identifies the Amount with Description segment; 01 identifies composite data element C007, which is the first data element within the segment; and -04 identifies sub data element 935, which is the fourth sub data element within the composite data element C007.
- 6. This transaction set contains segments and data elements that by the X12 standard are mandatory. A mandatory segment is identified by an ''M'' in the Requirement Designator field within the transaction set table and by the word ''MANDATORY'' in the Usage row on the segment page. Data elements that are mandatory by the X12 standard are identified by an ''M'' in the first column under the ATTRIBUTES on the segment page. Mandatory segments MUST be present in all transmissions of this transaction set. Mandatory data elements MUST be present in all mandatory segments and in those optional segments that are used in the transaction set.
- 7. This transaction set also contains segments and data elements whose uses are optional by the X12 standard. These segments and data elements are identified in a similar manner as are the mandatory segments and data elements except an "O" is displayed in lieu of an "M" and the word "OPTIONAL" is used in place of "MANDATORY". Optional segments and data elements may be used at the discretion of the originator of the transaction set except when the words "MUST USE" are present. In this instance, the segment or data element MUST be present in the occurrence of the illustrated HL loop in which the annotation appears.

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- 8. This transaction set contains segments and data elements that are optional by the X12 standard but are REQUIRED by this implementation convention under specified conditions. In this instance the word "REQUIRED" will appear in a note associated with the segment or data element and the condition requiring the use of the segment or data element will be specified in this note. Use of the segment, data element or code are REQUIRED whenever the specified condition is present.
- 9. This transaction set contains a HL loop (which includes all segments 2/HL/0100 through 2/MTX/3500) in table 2. This HL loop is used to transmit data applicable to a form or schedule and to categorize that data.
- 10. Within this 113 transaction set there is only one HL loop identified in table 2, however, this loop may be repeated an infinite number of times. For ease of understanding this implementation convention, the data required by each form or schedule is identified in a separate illustrated HL loop. The illustrated HL loops identify the segments, data elements and codes that are to be used to provide the data required by the form or schedule. The illustrated HL loops can be identified by their position numbers within the transaction set. The HL loop within this 113 transaction set starts at position 2/0100 and ends at position 2/3500. Each segment in the HL loop is at a position that is incremented by 100 from the HL segment, e.g., the second segment in the HL loop is at position 2/0200. Each of the segments in the illustrated loops is incremented by 1 from the segments in the basic HL loop, e.g., 2/0101 through 2/3501, 2/0102 through 2/3502, etc. Notes that appear in these illustrative loops will refer to segments by their ACTUAL positions within the X12 transaction set, NOT by their illustrated positions.
- 11. Each HL loop that starts a form or schedule MUST cite code RP (Report) in HL03. There may be other HL loops within a form or schedule. Each of these loops will cite a code other than RP in HL03. Each code listed in HL03 may be used ONLY one time in each HL loop that transmits data for a form or schedule. All HL loops MUST be numbered consecutively in HL01 throughout the entire transaction set.
- 12. Codes listed in data elements are the only codes that may be used in that data element.
- 13. Instructions regarding the use of this transaction set to comply with the reporting requirements of the California Secretary of State's office will appear as italicized, bolded, shaded text. Any other text that appears within this transaction set is part of the X12 standard.
- 14. Segment syntax and semantic notes are part of the X12 standard and MUST be complied with.
- 15. This IC accommodates all the instructions for completing a form or schedule.
- 16. This implementation convention uses the term "form" to also refer to schedules.

# **Heading:**

<b>Page</b> <u><b>No.</b></u> 19	<b>Pos.</b> <u><b>No.</b></u> 0100	Seg. <u>ID</u> ST	<u>Name</u> Transaction Set Header	Req. <u>Des.</u> M	Max.Use	Loop <u>Repeat</u>	Notes and Comments
20	0200	BGN	Beginning Segment	M	1		
Not Used	0300	N9	Reference Identification	O	>1		
Not Used	0400	DTM	Date/Time Reference	O	>1		
Not Used	0500	LQ	Industry Code	O	>1		n1
Not Used	0600	MTX	Text	O	>1		
			LOOP ID - NM1			>1	
22	0700	NM1	Individual or Organizational Name	О	1		n2
24	0800	N2	Additional Name Information	O	2		
Not Used	0900	N3	Address Information	O	2		
Not Used	1000	N4	Geographic Location	O	1		
Not Used	1100	PER	Administrative Communications Contact	O	>1		
Not Used	1200	N9	Reference Identification	O	>1		
			LOOP ID - AWD			>1	
Not Used	1300	AWD	Amount with Description	O	1		n3

Not Used	1400	LQ	Industry Code	O	>1	n4
Not Used	1500	MTX	Text	O	>1	

# **Detail:**

Page <u>No.</u>	Pos. <u>No.</u>	Seg. <u>ID</u>	Name	Req. <u>Des.</u>	Max.Use	Loop Repeat	Notes and Comments
25	0100	***	LOOP ID - HL		1	>1	_
25	0100	HL	Hierarchical Level	0	1		n5
27	0200	NO	LOOP ID - N9		1	>1	
27	0200	N9	Reference Identification	0	1		
29 N II I	0300	DTM	Date/Time Reference	0	>1		
Not Used	0400	NX2	Location ID Component	0	>1		
30	0500	LQ	Industry Code	0	>1		n6
31	0600	MTX	Text	0	>1		
			LOOP ID - NM1			>1	
32	0700	NM1	Individual or Organizational Name	О	1		n7
36	0800	N2	Additional Name Information	О	2		
37	0900	N3	Address Information	O	2		
38	1000	N4	Geographic Location	О	1		
39	1100	PER	Administrative Communications Contact	O	>1		
40	1200	N9	Reference Identification	O	>1		
42	1300	DTM	Date/Time Reference	O	>1		
Not Used	1400	NX2	Location ID Component	O	>1		
Not Used	1500	EMS	Employment Position	O	>1		
Not Used	1600	TPB	Business Professional Title	O	>1		
Not Used	1700	PWK	Paperwork	O	>1		
Not Used	1800	PAM	Period Amount	O	>1		
Not Used	1900	G86	Signature	O	1		
Not Used	2000	MTX	Text	O	>1		
			LOOP ID - LQ			>1	
43	2100	LQ	Industry Code	О	1		
45	2200	N9	Reference Identification	O	>1		
Not Used	2300	DTM	Date/Time Reference	O	>1		
Not Used	2400	PCT	Percent Amounts	O	>1		
Not Used	2500	QTY	Quantity	O	>1		
47	2600	MTX	Text	О	>1		
			LOOP ID - AWD			>1	
Not Used	2700	AWD	Amount with Description	0	1		_
Not Used	2800	DTM	Date/Time Reference	О	>1		
Not Used	2900	PCT	Percent Amounts	О	>1		
Not Used	3000	QTY	Quantity	О	>1		
Not Used	3100	N9	Reference Identification	О	>1		
Not Used	3200	PDL	Payment Details	О	>1		
Not Used	3300	LQ	Industry Code	O	>1		n8
Not Used	3400	N1	Name	О	>1		n9
Not Used	3500	MTX	Text	О	>1		
			LOOP ID - HL			>1	
48	0101	HL	Hierarchical Level	О	1	>1	
40	0101	IIL	LOOP ID - N9		1	. 1	
50	0201	N9	Reference Identification	О	1	>1	
30	0201	117	Reference fucilification	U	1		

Not Used	0301	DTM	Date/Time Reference	О	>1	
Not Used	0401	NX2	Location ID Component	О	>1	
Not Used	0501	LQ	Industry Code	О	>1	
52	0601	MTX	Text	О	>1	
			LOOP ID - NM1			>1
53	0701	NM1	Individual or Organizational Name	О	1	
Not Used	0801	N2	Additional Name Information	О	2	
Not Used	0901	N3	Address Information	О	2	
Not Used	1001	N4	Geographic Location	О	1	
Not Used	1101	PER	Administrative Communications Contact	О	>1	
Not Used	1201	N9	Reference Identification	О	>1	
Not Used	1301	DTM	Date/Time Reference	O	>1	
Not Used	1401	NX2	Location ID Component	O	>1	
Not Used	1501	EMS	Employment Position	O	>1	
Not Used	1601	TPB	Business Professional Title	O	>1	
Not Used	1701	PWK	Paperwork	O	>1	
Not Used	1801	PAM	Period Amount	O	>1	
Not Used	1901	G86	Signature	О	1	
Not Used	2001	MTX	Text	O	>1	
			LOOP ID - LQ			>1
Not Used	2101	LQ	Industry Code	О	1	
Not Used	2201	N9	Reference Identification	О	>1	
Not Used	2301	DTM	Date/Time Reference	О	>1	
Not Used	2401	PCT	Percent Amounts	O	>1	
Not Used	2501	QTY	Quantity	O	>1	
Not Used	2601	MTX	Text	0	>1	
			LOOP ID - AWD			>1
55	2701	AWD	Amount with Description	0	1	
59	2801	DTM	Date/Time Reference	О	>1	
Not Used	2901	PCT	Percent Amounts	O	>1	
Not Used	3001	QTY	Quantity	O	>1	
Not Used	3101	N9	Reference Identification	O	>1	
Not Used	3201	PDL	Payment Details	О	>1	
Not Used	3301	LQ	Industry Code	О	>1	
Not Used	3401	N1	Name	O	>1	
60	3501	MTX	Text	O	>1	
			LOOP ID - HL			>1
61	0102	HL	Hierarchical Level	О	1	
			LOOP ID - N9			>1
63	0202	N9	Reference Identification	О	1	
Not Used	0302	DTM	Date/Time Reference	О	>1	
Not Used	0402	NX2	Location ID Component	О	>1	
Not Used	0502	LQ	Industry Code	O	>1	
65	0602	MTX	Text	O	>1	
			LOOP ID - NM1			>1
66	0702	NM1	Individual or Organizational Name	0	1	
69	0802	N2	Additional Name Information	О	2	
70	0902	N3	Address Information	О	2	
71	1002	N4	Geographic Location	О	1	
Not Used	1102	PER	Administrative Communications Contact	O	>1	

				_		
72	1202	N9	Reference Identification	0	>1	
Not Used	1302	DTM	Date/Time Reference	О	>1	
Not Used	1402	NX2	Location ID Component	О	>1	
74	1502	EMS	Employment Position	О	>1	
Not Used	1602	TPB	Business Professional Title	О	>1	
Not Used	1702	PWK	Paperwork	О	>1	
Not Used	1802	PAM	Period Amount	О	>1	
Not Used	1902	G86	Signature	O	1	
Not Used	2002	MTX	Text	0	>1	
			LOOP ID - LQ			>1
Not Used	2102	LQ	Industry Code	О	1	
Not Used	2202	N9	Reference Identification	О	>1	
Not Used	2302	DTM	Date/Time Reference	O	>1	
Not Used	2402	PCT	Percent Amounts	O	>1	
Not Used	2502	QTY	Quantity	O	>1	
Not Used	2602	MTX	Text	О	>1	
			LOOP ID - AWD			>1
75	2702	AWD	Amount with Description	0	1	
77	2802	DTM	Date/Time Reference	O	>1	
Not Used	2902	PCT	Percent Amounts	О	>1	
Not Used	3002	QTY	Quantity	O	>1	
78	3102	N9	Reference Identification	O	>1	
Not Used	3202	PDL	Payment Details	О	>1	
Not Used	3302	LQ	Industry Code	О	>1	
Not Used	3402	N1	Name	O	>1	
80	3502	MTX	Text	O	>1	
			LOOP ID - HL			>1
			ECOL ID IIE			f *
81	0103	HL	Hierarchical Level	0	1	
81	0103	HL	Hierarchical Level	0	1	>1
			LOOP ID - N9			>1
83	0203	N9	LOOP ID - N9 Reference Identification	0	1	>1
83 Not Used	0203 0303	N9 DTM	LOOP ID - N9 Reference Identification Date/Time Reference	O O	1 >1	>1
83 Not Used Not Used	0203 0303 0403	N9 DTM NX2	LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component	0 0 0	1 >1 >1	>1
83 Not Used Not Used Not Used	0203 0303 0403 0503	N9 DTM NX2 LQ	LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code	0 0 0	1 >1 >1 >1 >1	>1
83 Not Used Not Used	0203 0303 0403	N9 DTM NX2	LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text	0 0 0	1 >1 >1	
83 Not Used Not Used Not Used 85	0203 0303 0403 0503 0603	N9 DTM NX2 LQ MTX	LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text LOOP ID - NM1	0 0 0 0	1 >1 >1 >1 >1 >1	>1
83 Not Used Not Used Not Used 85	0203 0303 0403 0503 0603	N9 DTM NX2 LQ MTX	LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text LOOP ID - NM1 Individual or Organizational Name	0 0 0 0 0	1 >1 >1 >1 >1 >1	
83 Not Used Not Used Not Used 85	0203 0303 0403 0503 0603	N9 DTM NX2 LQ MTX NM1 N2	LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text LOOP ID - NM1 Individual or Organizational Name Additional Name Information	0 0 0 0 0	1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	
83 Not Used Not Used Not Used 85 86 89 90	0203 0303 0403 0503 0603 0703 0803 0903	N9 DTM NX2 LQ MTX NM1 N2 N3	LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information	0 0 0 0 0	1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >2 2 2	
83 Not Used Not Used Not Used 85  86 89 90 91	0203 0303 0403 0503 0603 0703 0803 0903 1003	N9 DTM NX2 LQ MTX NM1 N2 N3 N4	LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location	0 0 0 0 0	1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	
83 Not Used Not Used 85 86 89 90 91 Not Used	0203 0303 0403 0503 0603 0703 0803 0903 1003 1103	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact	0 0 0 0 0	1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	
83 Not Used Not Used Not Used 85  86 89 90 91 Not Used 92	0203 0303 0403 0503 0603 0703 0803 0903 1003 1103 1203	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification	0 0 0 0 0 0	1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	
83 Not Used Not Used 85  86 89 90 91 Not Used 92 Not Used	0203 0303 0403 0503 0603 0703 0803 0903 1003 1103 1203	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference	0 0 0 0 0 0	1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	
83 Not Used Not Used 85  86 89 90 91 Not Used 92 Not Used Not Used	0203 0303 0403 0503 0603 0703 0803 1003 1103 1203 1303 1403	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component	0 0 0 0 0 0 0 0 0	1	
83 Not Used Not Used 85  86 89 90 91 Not Used 92 Not Used Not Used 94	0203 0303 0403 0503 0603 0703 0803 0903 1103 1203 1303 1403	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position	0 0 0 0 0 0 0 0 0	1	
83 Not Used Not Used 85  86 89 90 91 Not Used 92 Not Used Not Used 94 Not Used	0203 0303 0403 0503 0603 0703 0803 0903 1003 1203 1303 1403 1503	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title	0 0 0 0 0 0 0 0 0 0	1	
83 Not Used Not Used 85  86 89 90 91 Not Used 92 Not Used Not Used 94 Not Used Not Used	0203 0303 0403 0503 0603 0703 0803 1003 1103 1203 1303 1403 1503 1603 1703	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork		1	
83 Not Used Not Used 85  86 89 90 91 Not Used 92 Not Used	0203 0303 0403 0503 0603 0703 0803 0903 1003 1203 1403 1503 1603 1703 1803	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount		1	
83 Not Used Not Used 85  86 89 90 91 Not Used 92 Not Used	0203 0303 0403 0503 0603 0703 0803 0903 1003 1103 1203 1403 1503 1603 1703 1803	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature		1	
83 Not Used Not Used 85  86 89 90 91 Not Used 92 Not Used	0203 0303 0403 0503 0603 0703 0803 0903 1003 1203 1403 1503 1603 1703 1803	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text		1	>I
83 Not Used Not Used 85  86 89 90 91 Not Used 92 Not Used	0203 0303 0403 0503 0603 0703 0803 0903 1003 1103 1203 1403 1503 1603 1703 1803	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86	Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature		1	

Not Used	2103	LQ	Industry Code	O	1		
Not Used	2203	N9	Reference Identification	0	>1		
Not Used	2303	DTM	Date/Time Reference	0	>1		
Not Used	2403	PCT	Percent Amounts	О	>1		
Not Used	2503	QTY	Quantity	О	>1		
Not Used	2603	MTX	Text	О	>1		
			LOOP ID - AWD			>1	
95	2703	AWD	Amount with Description	0	1	>1	
93 97	2803	DTM	Date/Time Reference	0	>1		
Not Used	2903	PCT	Percent Amounts	0	>1		
Not Used	3003			0	>1		
98		QTY N9	Quantity  Reference Identification				
Not Used	3103	PDL		0	>1 >1		
100	3203 3303		Payment Details				
		LQ	Industry Code	0	>1		
Not Used	3403	N1	Name	0	>1		
101	3503	MTX	Text	0	>1		
			LOOP ID - HL			>1	
102	0104	HL	Hierarchical Level	0	1		
			LOOP ID - N9			>1	
104	0204	N9	Reference Identification	О	1		
Not Used	0304	DTM	Date/Time Reference	O	>1		
Not Used	0404	NX2	Location ID Component	O	>1		
Not Used	0504	LQ	Industry Code	O	>1		
106	0604	MTX	Text	O	>1		
			LOOP ID - NM1			>1	
107	0704	NM1	Individual or Organizational Name	0	1	71	
111	0804	N2	Additional Name Information	0	2		
112	0904	N3	Address Information	0	2		
113	1004	N4	Geographic Location	0	1		
Not Used	1104	PER	Administrative Communications Contact	0	>1		
114	1204	N9	Reference Identification	0	>1		
Not Used	1304	DTM	Date/Time Reference	0	>1		
Not Used	1404	NX2	Location ID Component	0	>1		
116	1504	EMS	Employment Position	0	>1		
Not Used	1604	TPB	Business Professional Title	0	>1		
Not Used	1704	PWK	Paperwork	0	>1		
Not Used	1804	PAM	Period Amount	0	>1		
Not Used	1904	G86		0	1		
Not Used	2004	MTX	Signature Text	0	>1		
THUI USEU	2004	IVIIA		<u> </u>	<i>&gt;</i> 1	>1	
Not II 1	2104	1.0	LOOP ID - LQ	0	1	>1	
Not Used	2104	LQ NO	Industry Code	0	1		
Not Used	2204	N9 DTM	Reference Identification	0	>1		
Not Used	2304	DTM	Date/Time Reference	0	>1		
Not Used	2404	PCT	Percent Amounts	0	>1		
Not Used	2504	QTY	Quantity	0	>1		
Not Used	2604	MTX	Text	0	>1		
			LOOP ID - AWD			>1	
117	2704	AWD	Amount with Description	О	1		
120	2804	DTM	Date/Time Reference	О	>1		
121	2904	PCT	Percent Amounts	O	>1		
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Not Used	3004	QTY	Quantity	О	>1	
122	3104	N9	Reference Identification	0	>1	
Not Used	3204	PDL	Payment Details	О	>1	
Not Used	3304	LQ	Industry Code	0	>1	
Not Used	3404	N1	Name	О	>1	
124	3504	MTX	Text	О	>1	
			LOOP ID - HL			>1
125	0105	HL	Hierarchical Level	0	1	
			LOOP ID - N9			>1
127	0205	N9	Reference Identification	0	1	
Not Used	0305	DTM	Date/Time Reference	O	>1	
Not Used	0405	NX2	Location ID Component	O	>1	
Not Used	0505	LQ	Industry Code	O	>1	
129	0605	MTX	Text	O	>1	
			LOOP ID - NM1			>1
130	0705	NM1	Individual or Organizational Name	0	1	, ·
132	0805	N2	Additional Name Information	0	2	
Not Used	0905	N3	Address Information	0	2	
Not Used	1005	N4	Geographic Location	0	1	
Not Used	1105	PER	Administrative Communications Contact	0	>1	
133	1205	N9	Reference Identification	0	>1	
Not Used	1305	DTM	Date/Time Reference	0	>1	
Not Used	1405	NX2	Location ID Component	0	>1	
Not Used	1505	EMS	Employment Position	0	>1	
Not Used	1605	TPB	Business Professional Title	0	>1	
Not Used	1705	PWK	Paperwork	0	>1	
Not Used	1805	PAM	Period Amount	0	>1	
Not Used	1905	G86	Signature	0	1	
Not Used	2005	MTX	Text	0	>1	
1101 0504	2003	11111	LOOP ID - LQ		× 1	>1
Not Used	2105	LQ	Industry Code	0	1	
Not Used	2205	N9	Reference Identification	0	>1	
Not Used	2305	DTM	Date/Time Reference	0	>1	
Not Used	2405	PCT	Percent Amounts	0	>1	
Not Used	2505	QTY	Quantity	0	>1	
Not Used	2605	MTX	Text	0	>1	
			<u></u>			
			LOOP ID - AWD	_		>1
135	2705	AWD	Amount with Description	0	1	
137	2805	DTM	Date/Time Reference	0	>1	
138	2905	PCT	Percent Amounts	0	>1	
Not Used	3005	QTY	Quantity	0	>1	
139	3105	N9	Reference Identification	0	>1	
Not Used	3205	PDL	Payment Details	0	>1	
Not Used	3305	LQ	Industry Code	0	>1	
Not Used	3405	N1	Name	0	>1	
141	3505	MTX	Text	0	>1	
			LOOP ID - HL			>1
142	0106	HL	Hierarchical Level	0	1	
			LOOP ID - N9			>1
144	0206	N9	Reference Identification	О	1	
						Į.

Not Used	0306	DTM	Date/Time Reference	O	>1	
Not Used	0406	NX2	Location ID Component	O	>1	
Not Used	0506	LQ	Industry Code	О	>1	
146	0606	MTX	Text	O	>1	
			LOOP ID - NM1			>1
147	0706	NM1	Individual or Organizational Name	О	1	
149	0806	N2	Additional Name Information	О	2	
Not Used	0906	N3	Address Information	О	2	
Not Used	1006	N4	Geographic Location	О	1	
Not Used	1106	PER	Administrative Communications Contact	О	>1	
150	1206	N9	Reference Identification	О	>1	
Not Used	1306	DTM	Date/Time Reference	О	>1	
Not Used	1406	NX2	Location ID Component	O	>1	
Not Used	1506	EMS	Employment Position	O	>1	
Not Used	1606	TPB	Business Professional Title	O	>1	
Not Used	1706	PWK	Paperwork	O	>1	
Not Used	1806	PAM	Period Amount	О	>1	
Not Used	1906	G86	Signature	О	1	
Not Used	2006	MTX	Text	O	>1	
			LOOP ID - LQ			>1
Not Used	2106	LQ	Industry Code	О	1	
Not Used	2206	N9	Reference Identification	О	>1	
Not Used	2306	DTM	Date/Time Reference	O	>1	
Not Used	2406	PCT	Percent Amounts	O	>1	
Not Used	2506	QTY	Quantity	O	>1	
Not Used	2606	MTX	Text	O	>1	
			LOOP ID - AWD			>1
152	2706	AWD	Amount with Description	О	1	
154	2806	DTM	Date/Time Reference	O	>1	
Not Used	2906	PCT	Percent Amounts	O	>1	
Not Used	3006	QTY	Quantity	O	>1	
155	3106	N9	Reference Identification	O	>1	
Not Used	3206	PDL	Payment Details	O	>1	
Not Used	3306	LQ	Industry Code	O	>1	
Not Used	3406	N1	Name	O	>1	
157	3506	MTX	Text	O	>1	
			LOOP ID - HL			>1
158	0107	HL	Hierarchical Level	0	1	
			LOOP ID - N9		-	>1
160	0207	N9	Reference Identification	0	1	
Not Used	0307	DTM	Date/Time Reference	0	>1	
Not Used	0407	NX2	Location ID Component	0	>1	
Not Used	0507	LQ	Industry Code	0	>1	
162	0607	MTX	Text	0	>1	
				-		
162	0707	NIM 1	LOOP ID - NM1		1	>1
163	0707	NM1	Individual or Organizational Name	0	1	
166	0807	N2	Additional Name Information	0	2	
167 168	0907	N3	Address Information	О	2	
IDX	1007	NT4	Congraphic Logotica	0	1	
Not Used	1007 1107	N4 PER	Geographic Location  Administrative Communications Contact	O O	1 >1	

169	1207	N9	Reference Identification	O	>1	
Not Used	1307	DTM	Date/Time Reference	O	>1	
Not Used	1407	NX2	Location ID Component	O	>1	
171	1507	EMS	Employment Position	O	>1	
Not Used	1607	TPB	Business Professional Title	O	>1	
Not Used	1707	PWK	Paperwork	O	>1	
Not Used	1807	PAM	Period Amount	O	>1	
Not Used	1907	G86	Signature	0	1	
Not Used	2007	MTX	Text	0	>1	
			LOOP ID - LQ	-		>1
Not Used	2107	LQ	Industry Code	0	1	71
Not Used	2207	N9	Reference Identification	0	>1	
Not Used	2307	DTM	Date/Time Reference	0	>1	
Not Used	2407	PCT	Percent Amounts	0	>1	
Not Used						
	2507	QTY	Quantity	0	>1	
Not Used	2607	MTX	Text	0	>1	
			LOOP ID - AWD			>1
172	2707	AWD	Amount with Description	0	1	
174	2807	DTM	Date/Time Reference	O	>1	
Not Used	2907	PCT	Percent Amounts	O	>1	
Not Used	3007	QTY	Quantity	O	>1	
175	3107	N9	Reference Identification	О	>1	
Not Used	3207	PDL	Payment Details	О	>1	
Not Used	3307	LQ	Industry Code	O	>1	
Not Used	3407	N1	Name	O	>1	
177	3507	MTX	Text	O	>1	
177	3507	MTX		0	>1	\1
			LOOP ID - HL			>1
177 178	3507 0108	MTX HL	LOOP ID - HL Hierarchical Level	0	>1	
178	0108	HL	LOOP ID - HL Hierarchical Level LOOP ID - N9	0	1	>1 >1
178 180	0108 0208	HL N9	LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification	0	1	
178 180 Not Used	0108 0208 0308	HL N9 DTM	LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference	0 0 0	1 1 >1	
178 180 Not Used Not Used	0108 0208 0308 0408	HL N9 DTM NX2	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component	0 0 0 0	1 1 >1 >1 >1	
178  180  Not Used  Not Used  Not Used	0108 0208 0308 0408 0508	HL N9 DTM NX2 LQ	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code	0 0 0 0	1 1 >1 >1 >1 >1	
178 180 Not Used Not Used	0108 0208 0308 0408	HL N9 DTM NX2	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component	0 0 0 0	1 1 >1 >1 >1	
178  180  Not Used  Not Used  Not Used	0108 0208 0308 0408 0508	HL N9 DTM NX2 LQ	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code	0 0 0 0	1 1 >1 >1 >1 >1	
178  180  Not Used  Not Used  Not Used	0108 0208 0308 0408 0508	HL N9 DTM NX2 LQ	LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text	0 0 0 0	1 1 >1 >1 >1 >1	>1
178  180  Not Used  Not Used  Not Used  182	0108 0208 0308 0408 0508	HL N9 DTM NX2 LQ MTX	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1	0 0 0 0 0	1	>1
178  180  Not Used  Not Used  Not Used  182	0108 0208 0308 0408 0508 0608	HL N9 DTM NX2 LQ MTX	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  1  1	>1
178  180  Not Used  Not Used  Not Used  182  183  186	0108 0208 0308 0408 0508 0608	HL N9 DTM NX2 LQ MTX NM1 N2	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NMI  Individual or Organizational Name  Additional Name Information	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  2	>1
178  180  Not Used  Not Used  Not Used  182  183  186  187	0108 0208 0308 0408 0508 0608 0708 0808	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  2  2	>1
178  180  Not Used  Not Used  Not Used  182  183  186  187  188	0108 0208 0308 0408 0508 0608 0708 0808 0908 1008	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  2  2  1	>1
178  180  Not Used  Not Used  182  183  186  187  188  Not Used	0108 0208 0308 0408 0508 0608 0708 0808 0908 1008	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
178  180  Not Used  Not Used  182  183  186  187  188  Not Used  189	0108 0208 0308 0408 0508 0608 0708 0808 0908 1008 1108 1208	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification	0 0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
178  180  Not Used  Not Used 182  183  186  187  188  Not Used 189  Not Used	0108 0208 0308 0408 0508 0608 0708 0808 0908 1108 1208 1308	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NMI  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference	0 0 0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
178  180  Not Used  Not Used 182  183 186 187 188  Not Used 189  Not Used Not Used	0108 0208 0308 0408 0508 0608 0708 0808 0908 1108 1208 1308 1408	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component	0 0 0 0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
178  180  Not Used  Not Used 182  183  186  187  188  Not Used 189  Not Used Not Used Not Used Not Used Not Used	0108 0208 0308 0408 0508 0608 0708 0808 1008 1108 1208 1308 1408 1508	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NMI  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component  Employment Position  Business Professional Title	0 0 0 0 0 0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
178  180  Not Used Not Used 182  183 186 187 188 Not Used 189  Not Used Not Used Not Used Not Used Not Used Not Used	0108 0208 0308 0408 0508 0608 0708 0808 1008 1108 1208 1308 1408 1508 1608 1708	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component  Employment Position  Business Professional Title  Paperwork		1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
178  180  Not Used Not Used 182  183 186 187 188 Not Used 189 Not Used	0108 0208 0308 0408 0508 0608 0708 0808 1008 1108 1208 1308 1408 1508 1608 1708 1808	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component  Employment Position  Business Professional Title  Paperwork  Period Amount		1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
178  180  Not Used Not Used 182  183 186 187 188 Not Used 189 Not Used	0108 0208 0308 0408 0508 0608 0708 0808 1008 1108 1208 1308 1408 1508 1608 1708 1808	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86	LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature		1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
178  180  Not Used Not Used 182  183 186 187 188 Not Used 189 Not Used	0108 0208 0308 0408 0508 0608 0708 0808 1008 1108 1208 1308 1408 1508 1608 1708 1808	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component  Employment Position  Business Professional Title  Paperwork  Period Amount		1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1

191	2108	LQ	Industry Code	О	1		11
192	2208	N9	Reference Identification	О	>1		
Not Used	2308	DTM	Date/Time Reference	О	>1		
Not Used	2408	PCT	Percent Amounts	О	>1		
Not Used	2508	QTY	Quantity	О	>1		
194	2608	MTX	Text	О	>1		
			LOOP ID - AWD			>1	=
195	2708	AWD	Amount with Description	0	1	>1	
197	2808	DTM	Date/Time Reference	0	>1		
Not Used	2908	PCT	Percent Amounts	0	>1		
Not Used	3008	QTY	Quantity	0	>1		
198	3108	N9	Reference Identification	0	>1		
Not Used	3208	PDL	Payment Details	0	>1		
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Not Used	3408	N1	Industry Code Name	0	>1		
200	3508	MTX		0			
200	3308	MIX	Text	0	>1		
			LOOP ID - HL			>1	
201	0109	HL	Hierarchical Level	O	1		
			LOOP ID - N9			>1	
203	0209	N9	Reference Identification	О	1		
Not Used	0309	DTM	Date/Time Reference	O	>1		
Not Used	0409	NX2	Location ID Component	O	>1		
Not Used	0509	LQ	Industry Code	O	>1		
205	0609	MTX	Text	O	>1		
			LOOP ID - NM1			>1	
206							
200	0709	NM1	Individual or Organizational Name	O	1		
	0709 0809	NM1 N2	Individual or Organizational Name Additional Name Information	0	1 2		
210	0809	N2	Additional Name Information	O	2		
210 211	0809 0909	N2 N3	Additional Name Information Address Information	O O	2 2		
<ul><li>210</li><li>211</li><li>212</li></ul>	0809 0909 1009	N2 N3 N4	Additional Name Information Address Information Geographic Location	0 0 0	2 2 1		
210 211 212 Not Used	0809 0909 1009 1109	N2 N3 N4 PER	Additional Name Information Address Information Geographic Location Administrative Communications Contact	0 0 0	2 2 1 >1		
210 211 212 Not Used 213	0809 0909 1009 1109 1209	N2 N3 N4 PER N9	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification	0 0 0 0	2 2 1 >1 >1		
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309	N2 N3 N4 PER N9 DTM	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference	0 0 0 0 0	2 2 1 >1 >1 >1		
210 211 212 Not Used 213 Not Used Not Used	0809 0909 1009 1109 1209 1309 1409	N2 N3 N4 PER N9 DTM NX2	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component	0 0 0 0 0 0	2 2 1 >1 >1 >1 >1		
210 211 212 Not Used 213 Not Used Not Used Not Used	0809 0909 1009 1109 1209 1309 1409 1509	N2 N3 N4 PER N9 DTM NX2 EMS	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position	0 0 0 0 0 0 0	2 2 1 >1 >1 >1 >1 >1 >1		
210 211 212 Not Used 213 Not Used Not Used Not Used Not Used Not Used	0809 0909 1009 1109 1209 1309 1409 1509	N2 N3 N4 PER N9 DTM NX2 EMS TPB	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title	0 0 0 0 0 0 0	2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >		
210 211 212 Not Used 213 Not Used Not Used Not Used Not Used Not Used Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork	0 0 0 0 0 0 0 0	2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >		
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 1809	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >		
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 1809	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >		
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 1809	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >	<b>51</b>	
210 211 212 Not Used 213 Not Used 215	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 1809 1909 2009	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ	0 0 0 0 0 0 0 0 0	2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >	>1	
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 1809 1909 2009	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code	0 0 0 0 0 0 0 0 0 0	2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >	>1	
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 2009	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX  LQ N9	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >	>1	
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 1809 2009	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >	>1	
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 1809 2009 2109 2209 2309 2409	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM PCT	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference Percent Amounts		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >	>1	
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 2009 2109 2209 2309 2409 2509	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM PCT QTY	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference Percent Amounts Quantity		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >	>1	
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 1809 2009 2109 2209 2309 2409	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM PCT	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference Percent Amounts Quantity Text		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >		
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 2009 2109 2209 2309 2409 2509	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM PCT QTY	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference Percent Amounts Quantity		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >	>1	
210 211 212 Not Used 213 Not Used Vot Used Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 2009 2109 2209 2309 2409 2509	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM PCT QTY	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference Percent Amounts Quantity Text		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >		
210 211 212 Not Used 213 Not Used	0809 0909 1009 1109 1209 1309 1409 1509 1609 1709 2009 2109 2209 2309 2409 2509 2609	N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM PCT QTY MTX	Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference Percent Amounts Quantity Text LOOP ID - AWD		2 2 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >		

							1.1
Not Used	3009	QTY	Quantity	0	>1		
219	3109	N9	Reference Identification	0	>1		
Not Used	3209	PDL	Payment Details	0	>1		
221	3309	LQ	Industry Code	0	>1		
222	3409	N1	Name	0	>1		
224	3509	MTX	Text	0	>1		
			LOOP ID - HL			>1	
225	0110	HL	Hierarchical Level	О	1		
			LOOP ID - N9			>1	
227	0210	N9	Reference Identification	О	1		
Not Used	0310	DTM	Date/Time Reference	O	>1		
Not Used	0410	NX2	Location ID Component	O	>1		
Not Used	0510	LQ	Industry Code	O	>1		
229	0610	MTX	Text	O	>1		
			LOOP ID - NM1			>1	
230	0710	NM1	Individual or Organizational Name	0	1		
233	0810	N2	Additional Name Information	0	2		
234	0910	N3	Address Information	0	2		
235	1010	N4	Geographic Location	0	1		
Not Used	1110	PER	Administrative Communications Contact	0	>1		
236	1210	N9	Reference Identification	0	>1		
Not Used	1310	DTM	Date/Time Reference	0	>1		
Not Used	1410	NX2	Location ID Component	0	>1		
Not Used	1510	EMS	Employment Position	0	>1		
Not Used	1610	TPB	Business Professional Title	0	>1		
Not Used	1710	PWK	Paperwork	0	>1		
Not Used	1810	PAM	Period Amount	0	>1		
Not Used	1910	G86	Signature	0	1		
Not Used	2010	MTX	Text	0	>1		
1101 0000	2010		LOOP ID - LQ		,,	>1	
Not Used	2110	LQ	Industry Code	0	1	71	
Not Used	2210	N9	Reference Identification	0	>1		
Not Used	2310	DTM	Date/Time Reference	0	>1		
Not Used	2410	PCT	Percent Amounts	0	>1		
Not Used	2510	QTY	Quantity	0	>1		
Not Used	2610	MTX	Text	0	>1		
Trot Caca	2010	11111					
			LOOP ID - AWD			>1	
238	2710	AWD	Amount with Description	0	1		
240	2810	DTM	Date/Time Reference	0	>1		
Not Used	2910	PCT	Percent Amounts	0	>1		
Not Used	3010	QTY	Quantity	О	>1		
241	3110	N9	Reference Identification	О	>1		
Not Used	3210	PDL	Payment Details	О	>1		
243	3310	LQ	Industry Code	О	>1		
244	3410	N1	Name	О	>1		
246	3510	MTX	Text	0	>1		
			LOOP ID - HL			>1	
248	0111	HL	Hierarchical Level	О	1		
			LOOP ID - N9			>1	
250	0211	N9	Reference Identification	О	1		

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Not Used	0411	NX2	Location ID Component	0	>1	
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252	0611	MTX	Text	O	>1	
			LOOP ID - NM1			>1
253	0711	NM1	Individual or Organizational Name	0	1	
255	0811	N2	Additional Name Information	O	2	
256	0911	N3	Address Information	O	2	
257	1011	N4	Geographic Location	0	1	
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258	1211	N9	Reference Identification	0	>1	
Not Used	1311	DTM	Date/Time Reference	O	>1	
Not Used	1411	NX2	Location ID Component	0	>1	
Not Used	1511	EMS	Employment Position	O	>1	
Not Used	1611	TPB	Business Professional Title	O	>1	
Not Used	1711	PWK	Paperwork	O	>1	
Not Used	1811	PAM	Period Amount	O	>1	
Not Used	1911	G86	Signature	O	1	
Not Used	2011	MTX	Text	O	>1	
			LOOP ID - LQ			>1
Not Used	2111	LQ	Industry Code	0	1	
Not Used	2211	N9	Reference Identification	O	>1	
Not Used	2311	DTM	Date/Time Reference	0	>1	
Not Used	2411	PCT	Percent Amounts	0	>1	
Not Used	2511	QTY	Quantity	O	>1	
Not Used	2611	MTX	Text	О	>1	
			LOOP ID - AWD			>1
260	2711	AWD	Amount with Description	О	1	
Not Used	2811	DTM	Date/Time Reference	O	>1	
Not Used	2911	PCT	Percent Amounts	O	>1	
Not Used	3011	QTY	Quantity	О	>1	
262	3111	N9	Reference Identification	0	>1	
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264	3311	LQ	Industry Code	О	>1	
265	3411	N1	Name	O	>1	
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268	0112	HL	Hierarchical Level	0	1	
			LOOP ID - N9			>1
270	0212	N9	Reference Identification	0	1	
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Not Used	0412	NX2	Location ID Component	O	>1	
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272	0612	MTX	Text	0	>1	
			LOOP ID - NM1			>1
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275	0812	N2	Additional Name Information	O	2	
276	0912	N3	Address Information	O	2	
277	1012	N4	Geographic Location	O	1	
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278	1212	N9	Reference Identification	O	>1	
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Not Used	1412	NX2	Location ID Component	O	>1	
Not Used	1512	EMS	Employment Position	О	>1	
Not Used	1612	TPB	Business Professional Title	О	>1	
Not Used	1712	PWK	Paperwork	О	>1	
Not Used	1812	PAM	Period Amount	О	>1	
Not Used	1912	G86	Signature	0	1	
Not Used	2012	MTX	Text	О	>1	
			LOOP ID - LQ			>1
Not Used	2112	LQ	Industry Code	O	1	
Not Used	2212	N9	Reference Identification	0	>1	
Not Used	2312	DTM	Date/Time Reference	0	>1	
Not Used	2412	PCT	Percent Amounts	0	>1	
Not Used	2512	QTY	Quantity	0	>1	
Not Used	2612	MTX	Text	0	>1	
Not Used	2012	MIIA			>1	
			LOOP ID - AWD			>1
280	2712	AWD	Amount with Description	0	1	
282	2812	DTM	Date/Time Reference	O	>1	
283	2912	PCT	Percent Amounts	O	>1	
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284	3112	N9	Reference Identification	O	>1	
Not Used	3212	PDL	Payment Details	O	>1	
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286	3512 0113	MTX HL	LOOP ID - HL Hierarchical Level	0	>1	
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287 289	0113 0213	HL N9	LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification	0	1	
287 289 Not Used	0113 0213 0313	HL N9 DTM	LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference	0 0 0	1 1 >1	
287  289  Not Used  Not Used	0113 0213 0313 0413	HL N9 DTM NX2	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component	0 0 0 0	1 1 >1 >1 >1	
287  289  Not Used  Not Used  Not Used	0113 0213 0313 0413 0513	HL N9 DTM NX2 LQ	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code	0 0 0 0	1 1 >1 >1 >1 >1	
287  289  Not Used  Not Used	0113 0213 0313 0413	HL N9 DTM NX2	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component	0 0 0 0	1 1 >1 >1 >1	
287  289  Not Used  Not Used  Not Used	0113 0213 0313 0413 0513	HL N9 DTM NX2 LQ	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code	0 0 0 0	1 1 >1 >1 >1 >1	
287  289  Not Used  Not Used  Not Used	0113 0213 0313 0413 0513	HL N9 DTM NX2 LQ	LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text	0 0 0 0	1 1 >1 >1 >1 >1	>1
289 Not Used Not Used Not Used 291	0113 0213 0313 0413 0513	HL N9 DTM NX2 LQ MTX	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1	0 0 0 0 0	1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
289 Not Used Not Used Not Used 291	0113 0213 0313 0413 0513 0613	HL N9 DTM NX2 LQ MTX NM1	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  1  1	>1
287  289  Not Used  Not Used  Not Used  291  292  295	0113 0213 0313 0413 0513 0613	HL N9 DTM NX2 LQ MTX NM1 N2	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NMI  Individual or Organizational Name  Additional Name Information	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  2	>1
287  289  Not Used  Not Used  Not Used  291  292  295  296	0113 0213 0313 0413 0513 0613 0713 0813 0913	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  2  2	>1
289 Not Used Not Used Not Used 291  292 295 296 297	0113 0213 0313 0413 0513 0613 0713 0813 0913 1013	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  2  2  1	>1
289 Not Used Not Used Not Used 291  292 295 296 297 Not Used	0113 0213 0313 0413 0513 0613 0713 0813 0913 1013 1113	N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact	0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
287  289  Not Used  Not Used  Not Used  291  292  295  296  297  Not Used  298	0113 0213 0313 0413 0513 0613 0713 0813 0913 1013 1113 1213	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification	0 0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
287  289  Not Used  Not Used  Not Used  291  292  295  296  297  Not Used  298  Not Used	0113 0213 0313 0413 0513 0613 0713 0813 0913 1013 1113 1213 1313	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NMI  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference	0 0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
289 Not Used Not Used Not Used 291  292 295 296 297 Not Used 298 Not Used Not Used	0113 0213 0313 0413 0513 0613 0713 0813 0913 1013 1113 1213 1313 1413	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component	0 0 0 0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
289 Not Used Not Used Not Used 291  292 295 296 297 Not Used 298 Not Used Not Used Not Used Not Used Not Used	0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413 1513	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NMI  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component  Employment Position  Business Professional Title	0 0 0 0 0 0 0 0 0 0 0	1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
289 Not Used Not Used Vot Used 291  292 295 296 297 Not Used 298 Not Used	0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413 1513 1613 1713	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component  Employment Position  Business Professional Title  Paperwork		1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
289 Not Used Not Used Not Used 291  292 295 296 297 Not Used 298 Not Used	0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413 1513 1613 1713	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component  Employment Position  Business Professional Title  Paperwork  Period Amount		1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
289 Not Used Not Used Not Used 291  292 295 296 297 Not Used 298 Not Used	0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413 1513 1613 1713 1813 1913	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM G86	LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature		1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1
289 Not Used Not Used Not Used 291  292 295 296 297 Not Used 298 Not Used	0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413 1513 1613 1713	HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK PAM	LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location  Administrative Communications Contact  Reference Identification  Date/Time Reference  Location ID Component  Employment Position  Business Professional Title  Paperwork  Period Amount		1  1  >1  >1  >1  >1  >1  >1  >1  >1  >	>1

Not Used         2113         LQ         Industry Code         O         1           Not Used         2213         N9         Reference Identification         O         >1           Not Used         2313         DTM         Date/Time Reference         O         >1           Not Used         2413         PCT         Percent Amounts         O         >1           Not Used         2513         QTY         Quantity         O         >1           Not Used         2613         MTX         Text         O         >1           LOOP ID - AWD         >1         >1           300         2713         AWD         Amount with Description         O         1	
Not Used         2313         DTM         Date/Time Reference         O         >1           Not Used         2413         PCT         Percent Amounts         O         >1           Not Used         2513         QTY         Quantity         O         >1           Not Used         2613         MTX         Text         O         >1           LOOP ID - AWD         >1         >1	
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Not Used 2613 MTX Text O >1  LOOP ID - AWD >1	
LOOP ID - AWD >1	
300 2/13 AWD Alloulit with Description 0 1	
302 2813 DTM Date/Time Reference O >1	
303 2913 PCT Percent Amounts O >1	
Not Used 3013 QTY Quantity O >1	
304 3113 N9 Reference Identification O >1	
Not Used 3213 PDL Payment Details O >1	
Not Used 3313 LQ Industry Code O >1	
Not Used 3413 N1 Name O >1	
306 3513 MTX Text O >1	
LOOP ID - HL >1	
307 0114 HL Hierarchical Level O 1	
LOOP ID - N9 >1	
309 0214 N9 Reference Identification O 1	
Not Used 0314 DTM Date/Time Reference O >1	
Not Used 0414 NX2 Location ID Component O >1	
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LOOP ID - NM1 >1	
312 0714 NM1 Individual or Organizational Name O 1	
314 0814 N2 Additional Name Information O 2	
Not Used 0914 N3 Address Information O 2	
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Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1	
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Not Used0914N3Address InformationO2Not Used1014N4Geographic LocationO1Not Used1114PERAdministrative Communications ContactO>13151214N9Reference IdentificationO>1Not Used1314DTMDate/Time ReferenceO>1Not Used1414NX2Location ID ComponentO>1Not Used1514EMSEmployment PositionO>1Not Used1614TPBBusiness Professional TitleO>1Not Used1714PWKPaperworkO>1	
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Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         1914         G86         Signature         O         1	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         1914         G86         Signature         O         >1           Not Used         2014         MTX         Text         O         >1	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         1914         G86         Signature         O         1           Not Used         2014         MTX         Text         O         >1           LOOP ID - LQ         >1         >1 <td></td>	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1914         G86         Signature         O         1           Not Used         2014         MTX         Text         O         >1           LOOP ID - LQ         O         1         O         1           Indicate the community of the community	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         2014         MTX         Text         O         >1           Not Used         2114         LQ         Industry Code         O         1           Not Used         2214         N9         Ref	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1914         G86         Signature         O         1           Not Used         2014         MTX         Text         O         >1           Not Used         2114         LQ         Industry Code         O         1           Not Used         2214         N9         Referenc	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         2014         MTX         Text         O         >1           Not Used         2114         LQ         Industry Code         O         1           Not Used         2314         DTM         Da	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         2014         MTX         Text         O         >1           Not Used         2114         LQ         Industry Code         O         1           Not Used         2314         DTM         Da	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         2014         MTX         Text         O         >1           Not Used         2114         LQ         Industry Code         O         1           Not Used         2314         DTM         Da	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         2014         MTX         Text         O         >1           Not Used         2114         LQ         Industry Code         O         1           Not Used         2314         DTM         Da	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         2014         MTX         Text         O         >1           Not Used         2114         LQ         Industry Code         O         1           Not Used         2314         DTM         Da	
Not Used         0914         N3         Address Information         O         2           Not Used         1014         N4         Geographic Location         O         1           Not Used         1114         PER         Administrative Communications Contact         O         >1           315         1214         N9         Reference Identification         O         >1           Not Used         1314         DTM         Date/Time Reference         O         >1           Not Used         1414         NX2         Location ID Component         O         >1           Not Used         1514         EMS         Employment Position         O         >1           Not Used         1614         TPB         Business Professional Title         O         >1           Not Used         1714         PWK         Paperwork         O         >1           Not Used         1814         PAM         Period Amount         O         >1           Not Used         2014         MTX         Text         O         >1           Not Used         2114         LQ         Industry Code         O         1           Not Used         2314         DTM         Da	

Not Used	3014	QTY	Quantity	O	>1		
320	3114	N9	Reference Identification	О	>1		
Not Used	3214	PDL	Payment Details	О	>1		
Not Used	3314	LQ	Industry Code	O	>1		
Not Used	3414	N1	Name	О	>1		
322	3514	MTX	Text	О	>1		
			LOOP ID - HL			>1	II
323	0115	HL	Hierarchical Level	0	1		
			LOOP ID - N9			>1	
325	0215	N9	Reference Identification	0	1		
Not Used		DTM	Date/Time Reference	0	>1		
Not Used	0415	NX2	Location ID Component	О	>1		
Not Used	0515	LQ	Industry Code	О	>1		
327	0615	MTX	Text	О	>1		
			LOOP ID - NM1			. 1	
328	0715	NM1	Individual or Organizational Name	O	1	>1	
330	0713	N2	Additional Name Information				
331	0915	N2 N3	Additional Name Information  Address Information	0	2 2		
332		N4		0	1		
Not Used	1015 1 1115	PER	Geographic Location  Administrative Communications Contact	0	>1		
333		N9	Reference Identification	0	>1		
Not Used	1215 1 1315	DTM	Date/Time Reference	0	>1		
Not Used		NX2		0	>1		
Not Used		EMS	Location ID Component	0	>1		
		TPB	Employment Position Business Professional Title				
Not Used				0	>1 >1		
Not Used		PWK	Paperwork				
Not Used		PAM G86	Period Amount	0	>1 1		
Not Used		MTX	Signature	0			
Not Used	1 2013	MIA	Text		>1	. 1	
NI-4 III	1 2115	1.0	LOOP ID - LQ	0	1	>1	
Not Used		LQ N9	Industry Code Reference Identification	O O	>1		
Not Used Not Used		DTM	Date/Time Reference	0			
Not Used			Percent Amounts		>1 >1		
Not Used		PCT QTY	Quantity	0	>1		
Not Used		MTX	Text	0	>1		
Not Osec	2013	WIIA	<del></del>		<i>&gt;</i> 1		
			LOOP ID - AWD			>1	
335	2715	AWD	Amount with Description	О	1		
337	2815	DTM	Date/Time Reference	0	>1		
Not Used		PCT	Percent Amounts	0	>1		
Not Used		QTY	Quantity	0	>1		
338	3115	N9	Reference Identification	0	>1		
Not Used		PDL	Payment Details	0	>1		
Not Used		LQ	Industry Code	0	>1		
Not Used		N1	Name	0	>1		
340	3515	MTX	Text	0	>1		
			LOOP ID - HL			>1	
341	0116	HL	Hierarchical Level	О	1		
			LOOP ID - N9			>1	
343	0216	N9	Reference Identification	О	1		

245	0016	D. (1)	D . /III' D .C	_			i
345	0316	DTM	Date/Time Reference	0	>1		
Not Used	0416	NX2	Location ID Component	0	>1		
346	0516	LQ	Industry Code	0	>1		
347	0616	MTX	Text	О	>1		
			LOOP ID - NM1			>1	
348	0716	NM1	Individual or Organizational Name	О	1		
351	0816	N2	Additional Name Information	O	2		
352	0916	N3	Address Information	O	2		
353	1016	N4	Geographic Location	O	1		
354	1116	PER	Administrative Communications Contact	O	>1		
355	1216	N9	Reference Identification	O	>1		
357	1316	DTM	Date/Time Reference	O	>1		
Not Used	1416	NX2	Location ID Component	O	>1		
Not Used	1516	EMS	Employment Position	O	>1		
Not Used	1616	TPB	Business Professional Title	O	>1		
Not Used	1716	PWK	Paperwork	O	>1		Î
Not Used	1816	PAM	Period Amount	O	>1		
Not Used	1916	G86	Signature	O	1		
Not Used	2016	MTX	Text	O	>1		
			LOOP ID - LQ			>1	
358	2116	LQ	Industry Code	0	1		
Not Used	2216	N9	Reference Identification	O	>1		
Not Used	2316	DTM	Date/Time Reference	O	>1		
Not Used	2416	PCT	Percent Amounts	O	>1		
Not Used	2516	QTY	Quantity	O	>1		
Not Used	2616	MTX	Text	O	>1		
			LOOP ID - AWD			>1	
359	2716	AWD	Amount with Description	0	1		
Not Used	2816	DTM	Date/Time Reference	O	>1		
Not Used	2916	PCT	Percent Amounts	O	>1		
Not Used	3016	QTY	Quantity	O			
Not Used				0	>1		
	3116	N9	Reference Identification	0	>1 >1		
Not Used	3116 3216	N9 PDL	Reference Identification  Payment Details				
Not Used Not Used				O	>1		
	3216	PDL	Payment Details	O O	>1 >1		
Not Used	3216 3316	PDL LQ	Payment Details Industry Code	O O O	>1 >1 >1		
Not Used Not Used	3216 3316 3416	PDL LQ N1	Payment Details Industry Code Name Text	O O O	>1 >1 >1 >1 >1	>1	
Not Used Not Used 360	3216 3316 3416 3516	PDL LQ N1 MTX	Payment Details Industry Code Name Text LOOP ID - HL	0 0 0 0	>1 >1 >1 >1 >1 >1	>1	
Not Used Not Used	3216 3316 3416	PDL LQ N1	Payment Details Industry Code Name Text LOOP ID - HL Hierarchical Level	O O O	>1 >1 >1 >1 >1		
Not Used Not Used 360	3216 3316 3416 3516	PDL LQ N1 MTX	Payment Details Industry Code Name Text LOOP ID - HL Hierarchical Level LOOP ID - N9	0 0 0 0 0	>1 >1 >1 >1 >1 >1	>1 >1	
Not Used Not Used 360 361	3216 3316 3416 3516 0117	PDL LQ NI MTX HL	Payment Details Industry Code Name Text LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification	0 0 0 0 0	>1 >1 >1 >1 >1 1		
Not Used Not Used 360 361 363 365	3216 3316 3416 3516 0117 0217 0317	PDL LQ N1 MTX HL N9 DTM	Payment Details Industry Code Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference	0 0 0 0 0	>1 >1 >1 >1 >1 >1		
Not Used Not Used 360 361 363 365 Not Used	3216 3316 3416 3516 0117 0217 0317 0417	PDL LQ N1 MTX HL N9 DTM NX2	Payment Details Industry Code Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component	0 0 0 0 0	>1 >1 >1 >1 >1 >1 1 >1 >1		
Not Used Not Used 360 361 363 365 Not Used Not Used	3216 3316 3416 3516 0117 0217 0317 0417 0517	PDL LQ N1 MTX HL N9 DTM NX2 LQ	Payment Details Industry Code Name Text LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code	0 0 0 0 0	>1 >1 >1 >1 >1 >1 1 >1 >1 >1		
Not Used Not Used 360 361 363 365 Not Used	3216 3316 3416 3516 0117 0217 0317 0417	PDL LQ N1 MTX HL N9 DTM NX2	Payment Details Industry Code Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text	0 0 0 0 0	>1 >1 >1 >1 >1 >1 1 >1 >1	>1	
Not Used Not Used 360 361 363 365 Not Used Not Used 366	3216 3316 3416 3516 0117 0217 0317 0417 0517 0617	PDL LQ N1 MTX HL N9 DTM NX2 LQ MTX	Payment Details Industry Code Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1	0 0 0 0 0	>1 >1 >1 >1 >1 >1 1 >1 >1 >1 >1		
Not Used Not Used 360  361  363 365 Not Used Not Used 366	3216 3316 3416 3516 0117 0217 0317 0417 0517 0617	PDL LQ N1 MTX  HL N9 DTM NX2 LQ MTX	Payment Details Industry Code Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name	0 0 0 0 0	>1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1	
Not Used Not Used 360  361  363 365 Not Used Not Used 366  367 371	3216 3316 3416 3516 0117 0217 0317 0417 0517 0617	PDL LQ N1 MTX HL N9 DTM NX2 LQ MTX	Payment Details Industry Code Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information		>1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1	
Not Used Not Used 360  361  363 365 Not Used Not Used 366  367 371 372	3216 3316 3416 3516 0117 0217 0317 0417 0517 0617	PDL LQ N1 MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3	Payment Details Industry Code Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information		>1 >1 >1 >1 >1 >1 1 2 2	>1	
Not Used Not Used 360  361  363 365 Not Used Not Used 366  367 371	3216 3316 3416 3516 0117 0217 0317 0417 0517 0617	PDL LQ N1 MTX HL N9 DTM NX2 LQ MTX	Payment Details Industry Code Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information		>1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1	

375	1217	N9	Reference Identification	O	>1	
377	1317	DTM	Date/Time Reference	O	>1	
Not Used	1417	NX2	Location ID Component	O	>1	
Not Used	1517	EMS	Employment Position	O	>1	
Not Used	1617	TPB	Business Professional Title	O	>1	
Not Used	1717	PWK	Paperwork	O	>1	
Not Used	1817	PAM	Period Amount	О	>1	
Not Used	1917	G86	Signature	0	1	
Not Used	2017	MTX	Text	О	>1	
			LOOP ID - LQ			>1
378	2117	LQ	Industry Code	0	1	
380	2217	N9	Reference Identification	0	>1	
Not Used	2317	DTM	Date/Time Reference	0	>1	
Not Used	2417	PCT	Percent Amounts	0	>1	
Not Used	2517	QTY	Quantity	0	>1	
382	2617	MTX	Text	0	>1	
			LOOP ID - AWD			>1
383	2717	AWD	Amount with Description	О	1	
385	2817	DTM	Date/Time Reference	O	>1	
Not Used	2917	PCT	Percent Amounts	O	>1	
Not Used	3017	QTY	Quantity	O	>1	
386	3117	N9	Reference Identification	O	>1	
Not Used	3217	PDL	Payment Details	O	>1	
Not Used	3317	LQ	Industry Code	О	>1	
Not Used	3417	N1	Name	О	>1	
388	3517	MTX	Text	О	>1	
			LOOP ID - HL			>1
389	0118	HL	Hierarchical Level	О	1	<i>&gt;</i> 1
367	0110	IIL	LOOP ID - N9		1	>1
201	0210	NO	Reference Identification	0	1	>1
391	0218	N9		0	1	
393	0318	DTM	Date/Time Reference	0	>1	
Not Used	0418	NX2	Location ID Component	0	>1	
Not Used	0518	LQ	Industry Code	0	>1	
394	0618	MTX	Text	0	>1	
			LOOP ID - NM1			>1
395	0718	NM1	Individual or Organizational Name	О	1	
398	0818	N2	Additional Name Information	O	2	
399	0918	N3	Address Information	О	2	
400	1018	N4	Geographic Location	O	1	
401	1118	PER	Administrative Communications Contact	O	>1	
402	1218	N9	Reference Identification	O	>1	
Not Used	1318	DTM	Date/Time Reference	О	>1	
Not Used	1418	NX2	Location ID Component	0	>1	
404	1518	EMS	Employment Position	0	>1	
Not Used	1618	TPB	Business Professional Title	0	>1	
Not Used	1718	PWK	Paperwork	0	>1	
Not Used	1818	PAM	Period Amount	0	>1	
Not Used	1918 2018	G86	Signature	0 0	1 >1	
Not Used	7011X	MTX	Text	( )	\ \ I	
	2010		LOOP ID - LQ		>1	>1

		_	•			
406	2218	N9	Reference Identification	O	>1	
Not Used	2318	DTM	Date/Time Reference	O	>1	
Not Used	2418	PCT	Percent Amounts	O	>1	
Not Used	2518	QTY	Quantity	O	>1	
408	2618	MTX	Text	O	>1	
			LOOP ID - AWD			>1
409	2718	AWD	Amount with Description	0	1	
411	2818	DTM	Date/Time Reference	O	>1	
Not Used	2918	PCT	Percent Amounts	O	>1	
Not Used	3018	QTY	Quantity	O	>1	
412	3118	N9	Reference Identification	O	>1	
Not Used	3218	PDL	Payment Details	0	>1	
414	3318	LQ	Industry Code	O	>1	
415	3418	N1	Name	O	>1	
416	3518	MTX	Text	O	>1	
417	3600	SE	Transaction Set Trailer	M	1	1,

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# **Transaction Set Notes**

405

2118 LQ

Industry Code

- 1/0500 The LQ segment provides coded information related to a filing, such as, a notice of a late filing.
- 1/0700 The NM1 segment provides name and address information regarding the sender and receiver of a filing.
- 1/1300 The AWD loop provides monetary amounts covering fees and related information associated with a late filing.
- 1/1400 The LQ segment provides coded information related to the monetary amounts carried in the AWD loop.
- 2/0100 The HL loop divides a filing into sections such as basic report information, loans, contributions, receipts, expenditures, related parties and other information contained in a filing.
- 2/0500 The LQ segment provides coded information related to an individual report identified in the N9 segment.
- 2/0700 The NM1 segment provides name, address and related information for reportable entities, such as filers, committees, committee officers, and contributors.
- 2/2100 The LQ loop provides coded information, such as, type of committee, last office held by a candidate, and other information about the entities identified in the NM1 segment.
- 2/3300 The LQ segment provides coded information related to the monetary amount carried in the AWD segment as reported for the entity identified in the NM1 loop.
- 2/3400 The N1 segment provides the name of an entity who benefited from an expenditure made by a party identified in the NM1 segment.

Segment: ST Transaction Set Header

**Position:** 0100

Loop:

Level: Heading Usage: Mandatory

Max Use:

Purpose:

To indicate the start of a transaction set and to assign a control number

**Syntax Notes:** 

**Semantic Notes:** 1

- 1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).
- 2 The implementation convention reference (ST03) is used by the translation routines of the interchange partners to select the appropriate implementation convention to match the transaction set definition.

#### **Comments:**

M	Ref. <u>Des.</u> ST01	Data <u>Element</u> 143	Name Transaction Set Identifier Code	Attr M	ributes ID 3/3
			Code uniquely identifying a Transaction Set		
			113 Election Campaign and Lobbyist Repor	ting	
M	ST02	329	Transaction Set Control Number	$\mathbf{M}$	AN 4/9
			Identifying control number that must be unique within the tra- functional group assigned by the originator for a transaction		tion set
			A unique number assigned by the submitter of the transacti application program. This same number is cited in SE02 of segment.		
	ST03	1705	Implementation Convention Reference	O	AN 1/35
			Reference assigned to identify Implementation Convention		
			Cite CA460R1		

**BGN** Beginning Segment **Segment: Position:** 0200 Loop: Level: Heading Usage: Mandatory Max Use: **Purpose:** To indicate the beginning of a transaction set **Syntax Notes:** If BGN05 is present, then BGN04 is required. **Semantic Notes:** BGN02 is the transaction set reference number. BGN03 is the transaction set date. 3 BGN04 is the transaction set time. 4 BGN05 is the transaction set time qualifier. BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction. **Comments: Notes:** This segment is used to provide basic information regarding the data contained within this transaction set. **Data Element Summary** Ref. Data Element Des. Name Attributes BGN01 353 **Transaction Set Purpose Code** M ID 2/2 Code identifying purpose of transaction set Use this data element to identify the purpose of the data contained within this transaction set. Only one of the listed codes may be used. 00 Original Use this code to indicate an original submission of the data contained within this transaction set. When this code is used, BGN07 is REQUIRED and MUST cite code EC. CO Corrected 1. Use this code to indicate that the data contained within this transaction set has been amended from a previous submission. The amended data is a total replacement of the data that was previously submitted (i.e., form 460 and all previously transmitted schedules, or form 495, or form 465, or form 497). 2. When this code is used, BGN06 is REQUIRED and MUST contain the unique number of the previously transmitted transaction set. 3. The unique number of the previously transmitted transaction set was carried in BGN02 of that transmission. BGN02 127 **Reference Identification** M AN 1/50 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

 $\mathbf{M}$ 

 $\mathbf{M}$ Use this data element to provide a unique number that can be used to identify this transmission in future communications between the submitter and receiver of this transaction set. This number is different than the number that is contained in ST02. M BGN03 373 Date M DT 8/8 Date expressed as CCYYMMDD Use this data element to identify the transmission date of this transaction set. BGN04 337 Time TM 4/8 Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-

			59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre This data element may be used to identify the time of the tr Identify the time as local time in HHMM format.	dths ((	00-99)
Not Used	BGN05	623	Time Code	O	ID 2/2
	BGN06	127	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tin restricted character, + and - are substituted by P and M in the <b>Reference Identification</b>	- and ne; sin	an indication ce + is a
			Reference information as defined for a particular Transactio	n Set	or as
			specified by the Reference Identification Qualifier	-	
			Use this data element to identify the unique reference num transmitted 113 transaction set. The unique reference num		
			previously transmitted 113 transaction set was cited in BG.		
			transmission. This data element is REQUIRED when BG		
	BGN07	640	Transaction Type Code	O	ID 2/2
			Code specifying the type of transaction		
			Use this data element is REQUIRED when BGN01 is code	00 to	identify the
			nature of the data contained within this transaction set.  EC Campaign Filing		
Not Used	BGN08	306	Action Code	O	ID 1/2
			Code indicating type of action		
Not Used	BGN09	<b>786</b>	Security Level Code	O	ID 2/2
			Code indicating the level of confidentiality assigned by the information following	sender	to the

Segment: NM1 Individual or Organizational Name

Position: 0700

**Loop:** NM1 Optional

Level: Heading Usage: Optional Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

Notes: Use this segment to identify the "from" and "to" entities of this submission.

	D C	D 4	Data 1	Element Summary		
M	Ref. <u>Des.</u> NM101	Data <u>Element</u> 98	<u>Name</u> Entity Identifi	er Code		ributes ID 2/3
			Code identifying an organizational entity, a physical location, individual			perty or an
			Use this segme elements.	ent to qualify the entity identified in the f	ollowing	data
			FR	Message From		
				Use this code to indicate the entity	submitti	ng the data.
			TO	Message To		
				Use this code to indicate the entity	that is to	receive the
М	NIM102	1065	Entity Type O	data.	М	ID 1/1
M	NM102	1005	Entity Type Q		M	ID 1/1
				g the type of entity	NM101 a	
				lement to identify the entity qualified in a government entity.	NWIIVI a	s a person, a
			1	Person		
				Use this code to indicate an individ	dual.	
			6	Organization		
				Use this code to indicate a commit	tee.	
			G	Government		
Must Use	NM103	1035	Name Last or	Organization Name	O	AN 1/60
			Individual last	name or organizational name		
			1. Use this dat	ta element to provide the name of an enti	ity.	
				02 is code 1 and the person has a monik ment to provide the moniker.	er (nickn	ame), use the
			government en	02 is code 6 or G, and the name of the contity is greater than 60 characters, use the		
	NIM 104	1026	to provide the l Name First	balance of the name.	0	A NI 1/25
	NM104	1036	Individual first	nama	0	AN 1/35
	NM105	1037	Name Middle	name	0	AN 1/25
	INIVITUS	1037		dle name or initial	U	AN 1/25
	NM106	1038	Name Prefix	die name of illitial	0	AN 1/10
	14141100	1030	Prefix to indivi	dual name	0	A11 1/10
	NM107	1039	Name Suffix	addi fallic	O	AN 1/10
	1111110/	1007	Suffix to indivi	dual name	3	111 1/10
Not Used	NM108	66		Code Qualifier	X	ID 1/2
1101 OSCU	11111100	00		ng the system/method of code structure u		
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Not Used	NM109	67	Identification Code	$\mathbf{X}$	AN 2/80
			Code identifying a party or other code		
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	n, pro	perty or an

Segment: N2 Additional Name Information

Position: 0800

**Loop:** NM1 Optional

Level: Heading Usage: Optional

Max Use: 2

**Notes:** 

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 in the 1/NM1/0700 segment is code 6 or G, use this segment to provide the balance of a committee or government organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			1. When providing a moniker, insert a space after the numeric 1, 2, or 3.	moniker and cite the
			1 = moniker is before the first name 2 = moniker is between the first and middle name 3 = moniker is between the middle and last name	
	N202	93	Name Free-form name	O AN 1/60

**HL** Hierarchical Level **Segment:** 

0100 **Position:** 

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California Form 460, Recipient Committee Campaign Statement, Cover Page.

			Data Elemo	ent Summary			
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ibutes</u>	
M	HL01	628	Hierarchical ID Nu	ımber	M	AN 1/12	
			A unique number as	signed by the sender to identify a particul	ar da	ta segment	
			in a hierarchical stru			C	
			Use this data eleme	nt to provide a unique number that can i	denti	fy each HL	
			loop. It is recommended that the first HL loop be identified by the number 1				
			in this data element	and that each successive HL loop be inc	reme	ented by 1.	
Not Used	HL02	734	Hierarchical Paren	t ID Number	O	AN 1/12	
			Identification number	er of the next higher hierarchical data seg	ment	that the data	
			segment being descr	ribed is subordinate to			
M	HL03	735	Hierarchical Level	Code	M	ID 1/2	
			Code defining the cl	haracteristic of a level in a hierarchical str	uctui	e	
			1. This data elemen	nt is used to identify the type of data that	is be	ing	
			transmitted within t	he current HL loop.			
				d codes MUST be used only one time.			
			EB	Filer			
				1. Use this code to indicate that the dat	a wh	ich	
				follows in this HL loop is applicable to		-	
				is submitting the data contained in this	form	460CP.	
					.=		
				2. When this code is used, the 2/NM1/0			
				MUST be used to identify the filer and entities.	all as	ssociated	
			RP	Report			
					-		

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1. Use this code to indicate that the data which

follows in this HL loop is information applicable to the

form being submitted (e.g., form number, type of recipient committee, type of statement, period covered, and election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment:** 

**Position:** 0200

> Loop: N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:** 

**Syntax Notes:** At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 in the 2/HL/0100 segment is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended

filing identification number.

Data Element Summary							
M	Ref. <u>Des.</u> N901	Data <u>Element</u> 128	Name Reference Identifie	cation Qualifier	Attr M	ributes ID 2/3	
				Code qualifying the Reference Identification			
			FQ	Form Number			
			O8	Use this code to indicate the number of is being transmitted, i.e., F460CP. Original Filing	f the j	form that	
			OJ	When BGN01 in the 1/BGN/0200 segn 00, use of this code is REQUIRED to it original filing number. When this cod always cite 000 in N902. If the require provided, the Secretary of State's office filing of the form 460.  Amendment Filing	dentij e is u ed nui	fy the sed, mber is not	
				When BGN01 in the 1/BGN/0200 segnuse of this code is REQUIRED to indicamendment number. When this code is amended filing should cite 001. Subsefilings should increment the amended by 1, e.g., 002, 003, etc. If the required provided, the Secretary of State's office filing of the amended form 460.	cate the suse of t	he filing d, the first t amended number aber is not	
	N902	127	Reference Identifie		X	AN 1/50	
		2.50	specified by the Re	ion as defined for a particular Transaction ference Identification Qualifier			
Not Used	N903	369	Free-form Descrip		X	AN 1/45	
NI 4 TI 1	<b>N</b> 1004	252	Free-form description	ve text	0	D/II 0/0	
Not Used	N904	373	Date		O	DT 8/8	
Not Used	N905	337	Date expressed as C Time	CCYYMMDD	X	TM 4/8	
Not Used	11905	337		24 hour clock time as follows: HUMM or			
Not Used	N906	623	HHMMSSD, or HF 59), S = integer second are expressed as fol <b>Time Code</b>	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mindecing ths (0	nutes (00- nal seconds 00-99) ID 2/2	
			Organization standa	e time. In accordance with International S ard 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time	and	an indication	

Ni-4 Ti J	N1007	C040	restricted character, + and - are substituted by P and M in the	•		
Not Used	N907	C040	Reference Identifier	O		
			To identify one or more reference numbers or identification n specified by the Reference Qualifier	umb	ers as	
Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04002	127	Reference Identification	M	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as	
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as	
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04006	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as	

Segment: **DTM** Date/Time Reference

Position: 0300

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

### Semantic Notes: Comments:

**Notes:** 

1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED to identify the reporting period for the data contained in form 460 and the date of the election (if applicable). If the required dates are not provided, the Secretary of State's office will reject the filing of this form 460.

2. When LQ02 in the 2/LQ/0500 segment cites code 01-SE, also use this segment to provide the date of the election.

Data Element Summary								
	Ref.	Data						
	Des.	<b>Element</b>	<u>Name</u>			<u>ibutes</u>		
M	DTM01	374	Date/Time Qualific	er	M	ID 3/3		
			Code specifying type of date or time, or both date and time					
			Use this data eleme	Use this data element to cite a code that qualifies the date ide				
			<i>DTM02</i> .	DTM02.				
			193	Period Start				
				Use this code to indicate the 'from' da reporting period.	te for	· a		
			194	Period End				
				Use this code to indicate the 'through' reporting period.	' date	for a		
			840	Election				
				Use this code to indicate the date of an applicable.	electi	ion, if		
	DTM02	373	Date		X	<b>DT 8/8</b>		
			Date expressed as C	CYYMMDD				
			Use this data eleme cited in DTM01.	nt to identify the date applicable to the q	ualifi	er code		
Not Used	DTM03	337	Time		X	TM 4/8		
			HHMMSSD, or HH 59), S = integer seco are expressed as foll	4-hour clock time as follows: HHMM, or MMSSDD, where H = hours (00-23), M ands (00-59) and DD = decimal seconds; (00-59) and DD = hundreds (00-9)	= mir decin	nutes (00- nal seconds		
Not Used	DTM04	623	Time Code		O	ID 2/2		
Not Used	DTM05	1250	Organization standa in hours in relation t	e time. In accordance with International S rd 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the Format Qualifier	and a	an indication ce + is a		
1101 Osca	D 1 11103	1250		date format, time format, or date and time				
Not Hand	DTMOC	1051	_	date format, time format, or date and time	<b>X</b>			
Not Used	DTM06	1251	Date Time Period			AN 1/35		
			Expression of a date, a time, or range of dates, times or dates and times					

Segment: LQ Industry Code

Position: 0500

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

- 1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED to identify the Type of Statement being filed by form 460. If a Type of Statement code is not provided, the Secretary of State's office will reject the filing of this form 460.
- 2. The Type of Statement is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data	·						
Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>				
LQ01	1270	Code List Qualifier Code	O	ID 1/3				
		Code identifying a specific industry code list						
		Use this data element to identify the code list that contains the code in LQ02.						
		EAF California Campaign Disclosure Repor	rt Code	es				
LQ02	1271	Industry Code	$\mathbf{X}$	AN 1/30				
		Use this data element to specify a Type of Statement code. section 01 of the California Campaign Disclosure Code Li		a code from				

MTX Text **Segment:** 

**Position:** 0600

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

Position: 0700

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Notes:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the entity filing form 460 and all entities associated with the filer.

- 2. Each entity identified in NM101 that is associated with another entity (e.g., a treasurer of a committee, the mailing address of the filer, or the candidate of a primarily formed committee, etc.), MUST be assigned a cross reference number in the 2/N9/1200 segment. The cross reference number will link entities therefore MUST be unique and the same for each group of related entities. Cite code 60 in N901 and provide the cross reference number in N902.
- 3. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

	D.£	D-4-	Data Elem	ent Summary				
M	Ref. <u>Des.</u> NM101	Data Element 98	Name Entity Identifier C	ode	Attributes M ID 2/3			
			Code identifying an individual	Code identifying an organizational entity, a physical location, individual				
			Use this segment to elements.	Use this segment to qualify the entity identified in the following data elements.				
			31	31 Postal Mailing Address				
				ode to indicate				
			EAA	uld cite code 2 used to provide the entity.				
				1. When NM101 is code EBN, use this indicate an assistant treasurer, if applications are supplied to the control of the code EBN, use this indicate an assistant treasurer.				
				2. When this code is used, NM102 show the 2/N9/1200 MUST be used to provide reference number applicable to the entire following segments MUST be used, if the treasure is signing the form 460, to pronumber that represents the entity's signification statem 2/DTM/1300, and 2/LQ/2100.	le a cross ity, and the he assistant vide a PIN nature, a date of			
			EBH Affiliated Committee					
				1. When NM101 is code FN and LQ02 2/LQ/2100 segment is code 02-C, use the				

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statement.

indicate other committees that are not included in this

2. When this code is used, NM102 should cite code 6,

and the following MUST be used: NM108/109 to provide a state assigned committee identification number, the 2/N9/1200 segment to provide a cross reference number applicable to the entity, and the 2/LQ/2100 segment to identify whether or not the committee is a controlled committee.

EBN

Treasurer

- 1. When NM101 is code EBH or FN, use of this code is REQUIRED to indicate the treasure of a committee.
- 2. When this code is used, NM102 should cite code 1, and the 2/N9/1200 segment MUST be used to provide a cross reference number applicable to the entity. The following segments should be used if the treasurer is signing the form 460: 2/N9/1200 to provide a PIN number that represents the entity's signature, 2/DTM/1300 to provide a date of the signature, and 2/LQ/2100 to provide a verification statement.

Filer Name

- 1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the entity submitting the data.
- 2. When this code is used, NM102 should cite code 6 and the following MUST be used: NM108/109 to provide the state assigned committee identification number, the 2/N9/1200 segment to provide a cross reference number applicable to the entity and to provide a Secretary of State assigned electronic filer identification number, and the 2/LQ/2100 segment to identify the type of committee.
- 3. When this code is used, additional iterations of this segment are necessary with NM101 citing one or more of the following codes: 31, EBH, EBN, VB, or ZZ.
- 4. When this code is used, another iteration of this segment may be required to provide the mailing address of the filer if different that the provided address.

Signer

- 1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the additional officeholders/candidates who control the committee and must sign the form 460.
- 2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, and a verification statement: 2/N9/1200, 2/DTM/1300, and 2/LQ/2100.

Candidate

- 1. When NM101 is code FN and LQ02 in the 2/LQ/0500 segment cites code 02-C, use this code to indicate the officeholder or candidate.
- 2. When NM101 is code FN and LQ02 in the 2/LQ/2100 segment cites code 02-BMP, 02-BMC, or 02-BMS; use this code to indicate the officeholder, candidate or proponent of a state ballot measure.

FN

UZ

VB

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- 3. When NM101 is code FN and LQ02 in the 2/LQ/2100 segment cites code 02-P, use this code to indicate the candidate or officeholder for which the committee was formed.
- 2. When this code is used, NM102 should cite code 6 and the following MUST be used: the 2/N9/1200 segment to provide a cross reference number applicable to the entity and the 2/LO/2100 segment should be used to identify the office sought/held and to identify the jurisdiction of the candidate. If applicable, whether the candidate/officeholder was supported or opposed also should be specified in the 2/LQ/2100 segment.

ZZMutually Defined

- 1. When NM101 is code FN and LQ02 in the 2/LO/2100 segment cites code 02-BMP, 02-BMC, or 02-BMS; use this code to indicate the state ballot measure.
- 2. When this code is used, NM102 should cite code 2 and the following MUST be used: the 2/N9/1200 segment to provide a cross reference number applicable to the entity and to provide the ballot measure number or letter; the 2/LQ/2100 segment to identify the jurisdiction of the ballot measure and whether the ballot measure was supported or opposed.

M NM102 1065 **Entity Type Qualifier** M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

1 Person Use this code to indicate an individual. 2 Non-Person Entity Use this code to indicate an entity other than an individual or committee. 6 Organization Use this code to indicate a committee.

Must Use NM103 1035 Name Last or Organization Name 0 AN 1/60

Individual last name or organizational name

- 1. Use of this data element is REQUIRED to provide the name of an entity.
- 2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.
- 3. When NM102 is code 2 or 6 and the name of the entity or committee is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.

NM104 1036 Name First AN 1/35 Individual first name Name Middle NM105 1037 AN 1/25 Individual middle name or initial **NM106** 1038 Name Prefix AN 1/10 Prefix to individual name NM107 1039 Name Suffix AN 1/10

Suffix to individual name

	NM108	66	Identification Code Qualifier		X	ID 1/2		
			Code designating the system/method of code structure used for Identification Code (67)					
			identification num	s code EBH or FN, use this data element ber in NM109. s code FN, use of this data element is RI	-			
				signed committee identification number				
			_	to provide the identification number will				
				s office to reject the filing of the form 46 ber has not yet been received, use the 2/1	•			
			to identify that condition.  75 State or Province Assigned Number					
			Use this code to indicate the state assigned committee identification number.					
	NM109	<b>67</b>	Identification Cod	e	X	AN 2/80		
			Code identifying a	party or other code				
			Use this data element to provide the state assigned committee identification number.					
Not Used	NM110	706	Entity Relationshi	p Code	X	ID 2/2		
			Code describing en	tity relationship				
Not Used	NM111	98	Entity Identifier (	Code	O	ID 2/3		
			Code identifying ar individual	organizational entity, a physical location	ı, proj	perty or an		

N2 Additional Name Information **Segment:** 

**Position:** 0800

NM1 Loop: Optional (Must Use)

Level: Detail Usage: Optional Max Use:

**Purpose:** 

**Notes:** 

To specify additional names

**Syntax Notes: Semantic Notes: Comments:** 

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			<ol> <li>When providing a moniker, insert a space after the monumeric 1, 2, or 3.</li> <li>= moniker is before the first name</li> </ol>	niker and cite the
			2 = moniker is between the first and middle name 3 = moniker is between the middle and last name	
	N202	93	Name Free-form name	O AN 1/60

Segment: N3 Address Information

**Position:** 0900

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1000

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

		<b>_</b> .	Duta Element Sammary				
	Ref. Des.	Data <u>Element</u>	Name	Att	<u>ributes</u>		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate a	governr	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3		
			Code identifying the country subdivision				

 ${f PER}$  Administrative Communications Contact **Segment: Position:** 1100 NM1 Loop: Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To identify a person or office to whom administrative communications should be directed **Syntax Notes:** If either PER03 or PER04 is present, then the other is required. If either PER05 or PER06 is present, then the other is required. If either PER07 or PER08 is present, then the other is required. **Semantic Notes: Comments: Notes:** Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment. Data Element Summary

			Data Element Summary		
	Ref. <u>Des.</u>	Data <u>Element</u>	Name	A ttı	ributes
M	PER01	366	Contact Function Code		ID 2/2
			Code identifying the major duty or responsibility of the perso	on or	group named
			Use this data element to qualify the party associated with th	e con	nmunication
			numbers provided in the following data elements.		
		0.0	IC Information Contact		
Not Used	PER02	93	Name	0	AN 1/60
	DED 04	245	Free-form name	=-	TD 0/0
	PER03	365	Communication Number Qualifier	X	ID 2/2
			Code identifying the type of communication number		
	DED 0.4	264	TE Telephone	=-	1374/05/
	PER04	364	Communication Number	X	AN 1/256
			Complete communications number including country or area	code	when
			applicable  The telephone number shall be transmitted as a continuous	ทบท	her Include
			the area code and extension, if applicable. Concatenate the		
			extension at the end of the number, e.g., 7035551212X123.		•
	PER05	365	Communication Number Qualifier	X	ID 2/2
			Code identifying the type of communication number		
			EM Electronic Mail		
			Use to indicate an e-mail address.		
	PER06	364	Communication Number	X	AN 1/256
			Complete communications number including country or area applicable	code	when
	PER07	365	Communication Number Qualifier	X	ID 2/2
			Code identifying the type of communication number		
			FX Facsimile		
	PER08	364	<b>Communication Number</b>	$\mathbf{X}$	AN 1/256
			Complete communications number including country or area applicable	code	when
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20
			Additional reference number or description to clarify a conta	ct nu	mber

Segment: N9 Reference Identification

Position: 1200

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.
- 2. When NM101 in the 2/NM1/0700 segment is code 31, EAA, EBH, EBN, FN, VB, or ZZ, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference number MUST be unique and the same for each group of related entities.
- 3. When NM101 is code FN, use this segment to provide a Secretary of State assigned electronic filer identification number.
- 4. When NM101 in the 2/NM1/0700 segment is code EBN (the treasurer of the reporting committee), UZ, or EAA (and the assistant treasure is signing the form 460), use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 460.
- 5. When NM101 is code ZZ, use this data element to identify the ballot measure number or letter.

#### **Data Element Summary**

			Data 1	Mement Summary					
M	Ref. <u>Des.</u> N901	Data <u>Element</u> 128	<u>Name</u> Reference Ide	ntification Qualifier	Att M	ributes ID 2/3			
			Code qualifyin	Code qualifying the Reference Identification					
			Use this data e	lement to qualify the number cited in N902.					
			1W	Member Identification Number					
				Use this code to indicate the Secretar assigned electronic filer identification					
			4A	Personal Identification Number (PIN)					
			6O	6O Cross Reference Number					
				Use this code to indicate a cross refer is used to associate entities identified 2/NM1/0700 segment.					
			ECB	Ballot Identifier					
			FI	File Identifier					
				Use this code to provide a file identifi identified in NM101 of the 2/NM1/07	•	~			
	N902	127	Reference Ide	ntification	X	AN 1/50			
				rmation as defined for a particular Transaction Reference Identification Qualifier	on Set	or as			
Not Used	N903	369	Free-form Des	scription	X	AN 1/45			
			Free-form desc	criptive text					
Not Used	N904	373	Date		O	<b>DT 8/8</b>			
			Date expressed	as CCYYMMDD					

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Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	I = mi ; decir	nutes (00- nal seconds
			Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the	- and ne; sin	an indication ce + is a
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification	numb	ers as
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3
110t Osca	C04001	120	Code qualifying the Reference Identification	171	10 2/3
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: DTM Date/Time Reference

**Position:** 1300

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date

the form 460 was signed.

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	DTM01	374	Date/Time Qualifi	ier	$\mathbf{M}$	<b>ID</b> 3/3
			Code specifying ty	pe of date or time, or both date and time		
			EDE	Executed		
				Use this code to indicate the date the fo signed.	rm 4	60 was
	DTM02	373	Date		$\mathbf{X}$	<b>DT 8/8</b>
			Date expressed as 0	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
Not Used	DTM04	623	HHMMSSD, or HE 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= mi decin	nutes (00- nal seconds
Not Used	DTM05	1250	Organization stands in hours in relation	the time. In accordance with International S and 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the Format Qualifier	and e; sin	an indication ce + is a
1101 USEU	DIMIOS	1430		_		
NI 4 TI 1	D/D 40.4	1051	•	e date format, time format, or date and time		
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a dat	e, a time, or range of dates, times or dates	and t	times

Segment: LQ Industry Code

Position: 2100

Loop: LQ Optional

Level: Detail
Usage: Optional

Max Use:

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

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- 1. When NM101 in the 2/NM1/0700 segment is code EBH, use this segment to identify whether or not the affiliated committee is a controlled committee by providing a type of committee code.
- 2. When NM101 is code FN, use this segment to identify the type of committee applicable to the filer, to identify that a state assigned committee identification number has not been received, if applicable, and/or to provide a verification statement.
- 3. When NM101 is code VB, use this segment to identify the office sought/held, to identify whether it is sought or held, and to identify the jurisdiction of the candidate. When applicable, this segment also is used to identify whether the candidate/officeholder is supported or opposed.
- 4. When NM101 is code ZZ, use this segment to identify the jurisdiction of the ballot measure and to identify whether the ballot measure is supported or opposed.
- 5. When NM101 is code EBN (and the treasurer is signing the form 460), or code UZ, use this segment to provide a verification statement.
- 6. The type of committee code, verification statement, support or oppose indicators, the office and sought/held indicators, and the jurisdiction are identified by a code contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 7. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data						
Des.	<b>Element</b>	Name	<b>Attributes</b>				
$\overline{\text{LQ0}}$ 1	1270	Code List Qualifier Code	O ID 1/3				
		Code identifying a specific industry code list					
		Use this data element to identify the code list that coin LQ02.	ntains the code specified				
		EAF California Campaign Disclosure	Report Codes				
LQ02	1271	Industry Code	X AN 1/30				
		Code indicating a code from a specific industry code	list				
		1. When NM101 in the 2/NM1/0700 segment is code EBH enter a code from section 02 of the California Campaign Disclosure Code List.					
		2. When NM101 is code FN enter a code from sect from section 04 of the California Campaign Disclos					
		3. When NM101 is code VB, enter codes from section California Campaign Disclosure Code List.	ons 03, 04, and 08 of the				
		4. When NM101 is code ZZ, enter a code from sect California Campaign Disclosure Code List.	ion 04 and 08 of the				

- 5. When NM101 is code EBN or UZ, enter a code from section 04 of the California Campaign Disclosure Code List.
- 6. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.
- 7. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the city. county, local or other jurisdiction, in text.
- 8. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/2200 segment to identify the district.

Segment: N9 Reference Identification

Position: 2200

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

# **Data Element Summary**

			Data Element Summary		
	Ref.	Data	N		• • •
M	<u>Des.</u> N901	Element 128	Name Reference Identification Qualifier	Att M	ributes ID 2/3
IVI	11901	120	Code qualifying the Reference Identification	IVI	ID 2/3
			Use this data element to qualify the code cited in N902.		
			DIS District Number	C 41	CC*
			Use this code to indicate the district of sought or the ballot measure.	tne o	office
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre <b>Time Code</b>	1 = mi ; decii	inutes (00- mal seconds
Not Osea	11700	023	Code identifying the time. In accordance with International	_	
Not Used	N907	C040	Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tir restricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ace + is a
1401 OSCU	11707	C040	To identify one or more reference numbers or identification	•	ore se
			specified by the Reference Qualifier	Hullio	cis as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
No4 Hand	C04002	120	specified by the Reference Identification Qualifier	v	ID 2/2
Not Used	C04003	128	Reference Identification Qualifier  Code qualifying the Reference Identification	X	ID 2/3
Not Hag-l	C04004	107	Code qualifying the Reference Identification	v	A NI 1/50
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
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Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction	Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 2600

**Loop:** LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use of this segment is REQUIRED to identify the office sought/position held.

2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.

3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data		·		_
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference C	Code	O	ID 3/3
			Code identifying t	he functional area or purpose for which the	e note	applies
			Use this data elem	nent to qualify the text carried in MTX02.		
			EAM	Jurisdiction		
			EAO	Description of Office		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large	volumes of message text		
				is limited to 40 characters. Exceeding the iry of State's office not receiving the data.		it runs the
Not Used	MTX03	1551	Message Text	•	O	AN 1/4096
			To transmit large	volumes of message text		
Not Used	MTX04	934	Printer Carriage	Control Code	$\mathbf{X}$	ID 2/2
			A field to be used	for the control of the line feed of the receive	ving <sub>I</sub>	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				the language used in text, from a standard International Standards Organization (ISC		

Segment: HL Hierarchical Level

**Position:** 0101

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Summary Page.

## **Data Element Summary**

			Data Eleme	ent Summary			
	Ref.	Data		•			
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>	
M	HL01	628	Hierarchical ID Nu	ımber	$\mathbf{M}$	AN 1/12	
			A unique number as	signed by the sender to identify a particu	lar da	ta segment	
			in a hierarchical stru	cture		_	
			Use this data elemen	nt to provide a unique number that can	identi	ify each HL	
				nded that the first HL loop be identified			
			in this data element	and that each successive HL loop be in	creme	ented by 1.	
Not Used	HL02	734	Hierarchical Paren	t ID Number	O	AN 1/12	
			Identification number of the next higher hierarchical data segmen				
			segment being descr	ribed is subordinate to			
M	HL03	735	<b>Hierarchical Level</b>	Code	$\mathbf{M}$	ID 1/2	
			Code defining the ch	naracteristic of a level in a hierarchical st	ructui	re	
			1. This data elemen	nt is used to identify the type of data that	is be	ing	
			transmitted within t	** **		o o	
			2. Each of the listed	d codes MUST be used only one time.			
			EB	Filer			
				1. Use this code to indicate the submitted	ter of	the data	
				on the form 460 Summary Page.			
				2. When this code is used, the 2/NM1/		segment	
			MUST be used to identify the filer and the				
				2/AWD/2700 loop also MUST be used			
				summary amounts for form 460 Summ	ary F	Page.	
			RP	Report			
				1. Use this code to indicate that the day	ta wh	ich	

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follows in this HL loop is information applicable to the

form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

 $\begin{array}{lll} \textbf{Segment:} & \pmb{N9} & \textbf{Reference Identification} \\ \textbf{Position:} & 0201 & \end{array}$ 

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.
4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data Element	Name	A 44	
M	<u>Des.</u> N901	Element 128	Name Reference Identification Qualifier	M	<u>ributes</u> ID 2/3
141	11701	120	Code qualifying the Reference Identification	171	10 2/3
			Use this data element to cite a code that qualifies the data of	arrie	d in N902.
			FQ Form Number		
			Use this code to indicate the number of transmitted.	f the .	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
			Use this data element to cite the form number, e.g., F460S	У <b>М.</b>	
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decir	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and e; sin	an indication ce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	ı Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

MTX Text **Segment:** 

**Position:** 0601

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	$\mathbf{o}$	ID 3/3
			Code identifying the functional area or purpose for which the	note	applies
			REP Report		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0701

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1

NM102 qualifies NM103.

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

**Notes:** 1. When HL03 cites code EB, use this loop to identify the filer of the form 460SUM.

There can only be 1 iteration of this segment when HL03 cites code EB.

2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.

			Data Elem	nent Summary		
	Ref.	Data		-		
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	NM101	98	<b>Entity Identifier (</b>			ID 2/3
				n organizational entity, a physical location	, proj	perty or an
			individual			
			FN	Filer Name		
				<ol> <li>When HL03 is code EB, use of this REQUIRED to indicate the submitter This code is used as the means to provamounts in the 2/AWD/2700 loop and discarded by the receiving application.</li> <li>When this code is used, only NM10 NM103 should be used. The filer infoprovided in the form 460CP loop.</li> </ol>	of the ide th may i	e data. e summary be 1102 and
				3. When this code is used, NM102 sho the 2/AWD/2700 loop MUST be used tapplicable summary amounts.	o idei	ntify
M	NM102	1065	<b>Entity Type Quali</b>		M	ID 1/1
			Code qualifying the	e type of entity		
			Use this data eleme committee.	ent to identify the entity qualified in NM	101 a	s a
			6	Organization		
				Use this code to indicate a committee.		
<b>Must Use</b>	NM103	1035	Name Last or Org	ganization Name	O	AN 1/60
			Individual last nam	e or organizational name		
			Use of this data ele	ement is REQUIRED to provide the nam	e of a	n entity.
Not Used	NM104	1036	Name First		O	AN 1/35
			Individual first nan	ne		
Not Used	NM105	1037	Name Middle		O	AN 1/25
			Individual middle i	name or initial		
Not Used	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individual	l name		
Not Used	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individua	l name	_	— •
Not Used	NM108	66	<b>Identification Cod</b>		X	ID 1/2

			Code designating the system/method of code structure used f Code (67)	or Id	entification
Not Used	NM109	67	<b>Identification Code</b>	$\mathbf{X}$	AN 2/80
			Code identifying a party or other code		
Not Used	NM110	706	Entity Relationship Code	$\mathbf{X}$	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	$\mathbf{o}$	ID 2/3
			Code identifying an organizational entity, a physical location individual	, pro	perty or an

Segment: **AWD** Amount with Description

**Position:** 2701

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

Notes:

1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts for the California form 460 Summary Page.

AWD03 is used when information source provides non-numeric values.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 05) to qualify the amounts for the identified lines and columns of form 460 Summary Page:

460 Summary Line 1, Column A: 460 Summary Line 1, Column B:

 AWD01-01 code 93
 AWD01-01 code 93

 AWD01-02 code 4L
 AWD01-02 code 4L

 AWD01-05 code ED
 AWD01-05 code ZZ

460 Summary Line 1, Column C: 460 Summary Line 2, Column A:

 AWD01-01 code 93
 AWD01-01 code N0

 AWD01-02 code 4L
 AWD01-05 code ED

AWD01-05 code EE

460 Summary Line 2, Column B: 460 Summary Line 2, Column C:

 AWD01-01 code N0
 AWD01-01 code N0

 AWD01-05 code ZZ
 AWD01-05 code EE

460 Summary Line 3, Column A: 460 Summary Line 3, Column B:

 AWD01-01 code 93
 AWD01-01 code 93

 AWD01-02 code 4L
 AWD01-02 code 4L

 AWD01-03 code Z
 AWD01-03 code Z

 AWD01-05 code ED
 AWD01-05 code ZZ

460 Summary Line 3, Column C: 460 Summary Line 4, Column A:

AWD01-01 code 93 AWD01-01 code EBG AWD01-02 code 4L AWD01-05 code ED

AWD01-03 code Z AWD01-05 code EE

460 Summary Line 4, Column B: 460 Summary Line 4, Column C:

AWD01-01 code EBG AWD01-01 code EBG AWD01-05 code ZZ AWD01-05 code EE

460 Summary Line 5, Column A: 460 Summary Line 5, Column B:

 AWD01-01 code 93
 AWD01-01 code 93

 AWD01-03 code 8
 AWD01-03 code 8

 AWD01-05 code ED
 AWD01-05 code ZZ

460 Summary Line 5, Column C: 460 Summary Line 6, Column A:

 AWD01-01 code 93
 AWD01-01 code EBZ

 AWD01-03 code 8
 AWD01-05 code ED

 AWD01-05 code EE
 AWD01-05 code ED

460 Summary Line 6, Column B: 460 Summary Line 6, Column C: AWD01-01 code EBZ AWD01-01 code EBZ AWD01-05 code ZZ AWD01-05 code EE 460 Summary Line 7, Column A: 460 Summary Line 7, Column B: AWD01-01 code R0 AWD01-01 code R0 AWD01-05 code ED AWD01-05 code ZZ 460 Summary Line 7, Column C: 460 Summary Line 8, Column A: AWD01-01 code R0 AWD01-01 code EBZ AWD01-05 code EE AWD01-03 code Z AWD01-05 code ED 460 Summary Line 8, Column B: 460 Summary Line 8, Column C: AWD01-01 code EBZ AWD01-01 code EBZ AWD01-03 code Z AWD01-03 code Z AWD01-05 code ZZ AWD01-05 code EE 460 Summary Line 9, Column A: 460 Summary Line 9, Column B: AWD01-01 code 69 AWD01-01 code 69 AWD01-05 code ED AWD01-05 code ZZ 460 Summary Line 9, Column C: 460 Summary Line 10, Column A: AWD01-01 code 69 AWD01-01 code BM AWD01-05 code EE AWD01-05 code ED 460 Summary Line 10, Column B: 460 Summary Line 10, Column C: AWD01-01 code BM AWD01-01 code BM AWD01-05 code ZZ AWD01-05 code EE 460 Summary Line 11, Column A: 460 Summary Line 11, Column B: AWD01-01 code EBB AWD01-01 code EBB AWD01-03 code 8 AWD01-03 code 8 AWD01-05 code ED AWD01-05 code ZZ 460 Summary Line 11, Column C: 460 Summary Line 12, Column A: AWD01-01 code EBB AWD01-01 code J9 AWD01-03 code 8 AWD01-05 code EE 460 Summary Line 13, Column A: 460 Summary Line 14, Column A: AWD01-01 code BAT AWD01-01 code ZR 460 Summary Line 15, Column A: 460 Summary Line 16, Column A: AWD01-01 code EBZ AWD01-01 code J8 460 Summary Line 17, Column A: 460 Summary Line 18, Column A: AWD01-01 code EAD AWD01-01 code VB 460 Summary Line 19, Column A: 460 Summary Line 20: AWD01-01 code 5V AWD01-01 code 93 AWD01-03 code O AWD01-02 code EAI 2/DTM/2800, DTM01, codes 193/194 460 Summary Line 21: AWD01-01 code EBB

2/DTM/2800, DTM01, codes 193/194

	Ref.	Data	N		<b>.</b>	•
M	<u>Des.</u> AWD01	Element C007	Name Amount Qualifying	Description	Atti M	<u>ributes</u>
141	1111201	2007	To qualify an amount	-	111	
M	C00701	522	Amount Qualifier		M	ID 1/3
			Code to qualify amo			
				nt to provide the primary qualifier for th	ie am	ount cited in
			5V	Financial Debt		
			69	Accrued Expenditures		
				Use this code to indicate accrued expen	nses.	
			93	Contribution		
			DATE	Use this code in conjunction with AWI to indicate a cash contribution or in co AWD01-03 code 8 to indicate total con	njun	ction with
			BAT	Gross Receipts		
			D) (	Use this code to indicate cash receipts.		
			BM	Adjustments	7.	
			EAD	Use this code to indicate non-monetary	y adju	istments.
			EAD	Amount Guaranteed		•
			EDD	Use this code to indicate loan guarante	ees re	ceivea.
			EBB	Expenditure Amount In-Kind Contribution		
			EBG			(
			EBZ	Use this code to indicate non-monetary	y coni	ribulions.
			EDZ	Payment	ta.	
			Ј8	Use this code to indicate cash payment Ending Balance	<b>S.</b>	
			10	Use this code to indicate ending cash b	alan	20
			Ј9	Beginning Balance	uunc	.e.
			J	Use this code to indicate beginning case	sh hal	lance
			N0	Loans or Financial Borrowings	on vui	unce.
			110	Use this code to indicate "loans received	od''	
			R0	Loans to Participants	ш.	
			110	Use this code to indicate ''loans made'	<i>'</i> .	
			VB	Total Non-liquid Assets	•	
			, 2	Use this code to indicate cash equivale	nts.	
			ZR	Increase		
				Use this code to indicate miscellaneous	s incr	eases to
				cash.		
	C00702	522	Amount Qualifier		O	ID 1/3
			Code to qualify amo			
			Use this data element cited in AWD02.	nt to provide a secondary qualified code	for th	he amount
			4L	Cash		
			EAI	Amount Received		
	C00703	1638	Value Detail Code		O	ID 1/1
				tent of an amount or quantity		
				nt to provide a code that specifies the va	lue de	etail of the
			8	Total		
			O	Outstanding		
			Z	Mutually Defined		
				Use this code to indicate a subtotal.		

Not Used	C00704	935	Measurement Si	ignificance Code	O	ID 2/2	
			Code used to ben	Code used to benchmark, qualify or further define a measurement value			
	C00705	344	<b>Unit of Time Pe</b>	<b>Unit of Time Period or Interval</b>			
			Code indicating t	Code indicating the time period or interval			
				ment to provide a code that identifies the t amount cited in AWD02.	ime p	eriod	
			ED	This Period			
			EE	To Date			
			ZZ	Mutually Defined			
				Use this code to indicate a previous pe	riod.		
Not Used	C00706	1637	Net/Gross Code		O	<b>ID 1/1</b>	
			Code indicating v	whether monetary values are net or gross			
Not Used	C00707	935	Measurement Si	ignificance Code	O	ID 2/2	
			Code used to ben	chmark, qualify or further define a measure	ement	value	
Not Used	C00708	352	Description		O	AN 1/80	
			A free-form desc	ription to clarify the related data elements a	and th	eir content	
Not Used	C00709	1271	<b>Industry Code</b>		O	AN 1/30	
			Code indicating a	a code from a specific industry code list			
	AWD02	782	Monetary Amou	int	$\mathbf{X}$	R 1/18	
			Monetary amoun	t			
				t to identify the monetary amount associate ited in the AWD01 composite data elemen		h the	
Not Used	AWD03	61	Free-Form Mes		X	AN 1/30	
			Free-form inform	nation			
Not Used	AWD04	100	<b>Currency Code</b>		O	ID 3/3	
			Code (Standard I	SO) for country in whose currency the char	ges a	re specified	

Segment: DTM Date/Time Reference

Position: 2801

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the time period for contributions received and

expenditures made (460 Summary - lines 20 and 21).

			Data Elem	ent Summary		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>
M	$\overline{\mathbf{DTM}}$ 01	374	Date/Time Qualific	er	M	ID 3/3
			Code specifying typ	be of date or time, or both date and time		
			193	Period Start		
				Use this code to indicate the start date contributions received and expenditure	•	_
			194	Period End		
				1. Use this code to indicate the through period for contributions received and emade.		•
				2. When the through period is 'to date actual date.	?'' cite	e the
	DTM02	373	Date		X	DT 8/8
			Date expressed as C	CCYYMMDD		
Not Used	DTM03	337	Time		X	TM 4/8
N. 4 T. 1	DTM 40.4	(22	HHMMSSD, or HH 59), S = integer seco are expressed as fol	24-hour clock time as follows: HHMM, or IMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= min decin lths (0	nutes (00- nal seconds 00-99)
Not Used	DTM04	623	Time Code		O	ID 2/2
			Organization standa in hours in relation	e time. In accordance with International S and 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and a	an indication ce + is a
Not Used	DTM05	1250	Date Time Period	Format Qualifier	X	ID 2/3
			Code indicating the	date format, time format, or date and time	e forr	nat
Not Used	<b>DTM06</b>	1251	<b>Date Time Period</b>		$\mathbf{X}$	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: MTX Text

Position: 3501

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	N	<b>A</b> 44.	
	Des.	Element	Name		<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID $3/3$
			Code identifying the functional area or purpose for which the	e note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: HL Hierarchical Level

Position: 0102

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule A, Monetary Contributions Received.

# **Data Element Summary**

			Data Eleme	nt Summary		
	Ref.	Data				
	Des.	<b>Element</b>	Name		Attr	ibutes
M	$\overline{\text{HL0}}$ 1	628	Hierarchical ID Nu	mber	M	AN 1/12
			A unique number as in a hierarchical stru	signed by the sender to identify a particul	lar da	ta segment
			Use this data element loop. It is recomme	nt to provide a unique number that can inded that the first HL loop be identified and that each successive HL loop be inc	by th	e number 1
Not Used	HL02	734	Hierarchical Paren		O	AN 1/12
				er of the next higher hierarchical data segibed is subordinate to	ment	that the data
M	HL03	735	<b>Hierarchical Level</b>	Code	$\mathbf{M}$	ID 1/2
			Code defining the ch	aracteristic of a level in a hierarchical str	ructui	re
			<ol> <li>This data element is used to identify the type of data that is being transmitted within the current HL loop.</li> <li>Each of the listed codes MUST be used only one time.</li> </ol>			
			EB	Filer		
				1. Use this code to indicate the submitt on form 460, Schedule A.	er of	the data
				2. When this code is used, the 2/NM1/0 MUST be used to identify the filer and 2/AWD/2700 loop also MUST be used t	the	
				summary amounts for form 460, Sched		• •
			EC	Receipts	ин П	
				1. Use this code to indicate contributio	ns re	ceived.

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2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all of the contributors and the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

#### Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification

**Position:** 0202

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref.	Data	Data Ele	ment Summary		
	Des.	Data Element	Name		A tt	ributes
M	N901	128		fication Qualifier	M	ID 2/3
	11,502	120		he Reference Identification		12 2,0
				nent to cite a code that qualifies the data (	carrie	d in N902.
			FQ	Form Number		
				Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Identi	fication	X	AN 1/50
			specified by the F	ation as defined for a particular Transactio Reference Identification Qualifier nent to cite the form number, e.g., F460A		or as
Not Used	N903	369	Free-form Descr		X	AN 1/45
1100 0500	1,500		Free-form descrip	-		111 ( 1, 10
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as	s CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
Not Used	N906	623	HHMMSSD, or I 59), $S = integer set$	an 24-hour clock time as follows: HHMM, of HHMMSSDD, where H = hours (00-23), Moreonds (00-59) and DD = decimal seconds (00-59) and DD = hundred (0-9) and DD = hundred (0-9) and DD = hundred (0-9)	I = mi ; decir	nutes (00- mal seconds
Not Used	N907	C040	Organization stan	the time. In accordance with International dard 8601, time can be specified by a + or on to Universal Time Coordinate (UTC) timer, + and - are substituted by P and M in the officer.	- and ne; sin	an indication ace + is a
1101 Osca	1707	2010	To identify one o	r more reference numbers or identification	•	ers as
Not Used	C04001	128		Reference Qualifier Ification Qualifier	M	ID 2/3
1,00 0,000	001001	120		he Reference Identification		12 2,0
Not Used	C04002	127	Reference Identi		$\mathbf{M}$	AN 1/50
				ation as defined for a particular Transactio Reference Identification Qualifier	n Set	or as
Not Used	C04003	128		fication Qualifier	X	ID 2/3
				he Reference Identification		
Not Used	C04004	127	Reference Identi		X	AN 1/50
			Reference inform	ation as defined for a particular Transactio	n Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

MTX Text **Segment:** 

**Position:** 0602

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 1. Use this segment to provide additional text information related to the report being filed.

> 2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

Position: 0702

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460A. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code EC, use this loop as many times as necessary to identify all contributors and associated entities of monetary contributions.
- 4. Each identified contributor, (NM101 is code EAH) and associated entities (NM101 is code 36 employer of a contributor, BUS business name of a self employed contributor, code GG an intermediary, or EBN treasurer of a committee) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the contributor and associated entity MUST be unique and the same for each group of related entities.
- 5. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

#### **Data Element Summary**

Data Element Summary						
	Ref.	Data				
	Des.	Element	Name		Attributes	
M	NM101	98	Entity Identifier C	oho	M ID 2/3	
171	INITIOI	70	•			
			Code identifying an	organizational entity, a physical location	, property or an	
			individual			
			36	Employer		
				1. When NM101 is code EAH and NM use this code to indicate the employer of contributor.		
			2. When this code is used, NM102 should compand the 2/N9/1200 segment MUST be used a cross reference number.  BUS  Business			
			ВОБ	_ ******	7100 · 1 1	
				1. When NM101 is code EAH and NM use this code to indicate the business nemployed contributor.		
				2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.		
			EAH	Contributor		
				1. When HL03 is code EC, use of this REQUIRED to indicate an entity who		

2. When this code is used, NM102 may cite code 1, 2,

or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the contributor's occupation, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state assigned committee identification number, if one has been received. If an identification number has not been received, use another iteration of this segment with NM101 citing code EBN.

**EBN** Treasurer

- 1. When NM101 is code EAH and NM102 is code 6, use this code, if no committee identification number has been assigned, to indicate the treasurer of the committee.
- 2. When this code is used, NM102 should cite code 1 and the 2/N9/1200 segment MUST be used to provide a cross reference number.

FN Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

GG Intermediary

- 1. When HL03 is code EC, use this code to indicate the intermediary through whom a monetary contribution was received.
- 2. When this code is used, the same information applicable to the contribution MUST be provided for both the intermediary and the actual contributor.
- 3. When this code is used, NM102 may cite code 1 or 2, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

NM102 1065 **Entity Type Qualifier** M

M ID 1/1

Code qualifying the type of entity

- 1. Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.
- 2. When NM101 is code EAH, the listed codes are specifically used to identify the type of contributor.

Person

Use this code to indicate an individual.

2 Non-Person Entity

> Use this code to indicate an entity other than an individual or committee.

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			6	Organization			
				Use this code to indicate a committee.			
Must Use	NM103	1035	Name Last or O	rganization Name	O	AN 1/60	
			Individual last na	me or organizational name			
			1. Use of this da	ta element is REQUIRED to provide the i	the name of an entity.		
				is code 1 and the person has a moniker (	nickno	ame), use the	
			2/1N2/0800 segme	ent to provide the moniker.			
			3. When NM102	is code 2 or 6 and the name of the entity	or con	nmittee is	
				characters, use the 2/N2/0800 segment to			
			balance of the no	ame.			
	NM104	1036	Name First		О	AN 1/35	
			Individual first na	ame			
	NM105	1037	Name Middle		O	AN 1/25	
			Individual middle	e name or initial			
	NM106	1038	Name Prefix		O	AN 1/10	
			Prefix to individu	ial name			
	NM107	1039	Name Suffix Suffix to individual name		O	AN 1/10	
	NM108	66	<b>Identification Co</b>	X	ID 1/2		
			Code designating the system/method of code structure used for Identification				
			Code (67)	and EAH and NM102 is and a 6 was this	data	Jamant ta	
				code EAH and NM102 is code 6, use this ification number in NM109.	aaia e	четені 10	
			75	State or Province Assigned Number			
				1. When NM101 is code EAH and N	M102	is code 6,	
			use this code to indicate the state assigned committee				
			identification number, if one has been assigned.				
				If no identification number has be	on acc	ioned	
			2. If no identification number has been ass there MUST be another iteration of the NM				
				with NM101 citing code EBN.		2 208	
	NM109	67	<b>Identification Co</b>	ode	X	AN 2/80	
			Code identifying a party or other code				
			Use this data element to provide the state assigned committee identification				
NI-4 II I	NIN#110	<b>7</b> 0.6	number, if one has been assigned.				
Not Used	NM110	706	Entity Relations	-	X	ID 2/2	
			Code describing entity relationship				

O ID 2/3

Not Used

NM111

98

**Entity Identifier Code** 

individual

Code identifying an organizational entity, a physical location, property or an

Segment: N2 Additional Name Information

**Position:** 0802

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

**Notes:** 

1. When NM102 in the 2/NM1/0700 segment is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0902

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data Element 166	Name Address Information	Attributes M AN 1/55
141	14301	100	Address information	W AN 1/33
	N302	166	Address Information	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1002

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

		<b>_</b> .	Data Element Summary				
	Ref. Des.	Data <u>Element</u>	Name		Attributes		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	$\mathbf{X}$	ID 2/2		
			Code (Standard State/Province) as defined by appropriate go	Code (Standard State/Province) as defined by appropriate government agency			
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier		ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	<b>Country Subdivision Code</b>	$\mathbf{X}$	ID 1/3		
			Code identifying the country subdivision				

Segment: N9 Reference Identification

Position: 1202

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Notes: 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

2. When NM101 in the 2/NM1/0700 segment is code 36, BUS, EAH, EBN, or GG, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>			<b>Attributes</b>	
$\mathbf{M}$	N901	128	Reference Identification Qualifier			ID 2/3	
			Code qualifying	the Reference Identification			
			6O	Cross Reference Number			
				Use this code to indicate a cross refere is used to associate entities identified i 2/NM1/0700 segment.		umber that	
			FI	File Identifier			
				Use this code to provide a file identifie identified in NM101 of the 2/NM1/070		-	
	N902	127	Reference Iden	tification	$\mathbf{X}$	AN 1/50	
			specified by the	nation as defined for a particular Transaction Reference Identification Qualifier	n Set o	or as AN 1/45	
Not Used	N903	369	Free-form Desc	Free-form Description			
			Free-form descr	iptive text			
Not Used	N904	373	Date			<b>DT 8/8</b>	
			Date expressed	as CCYYMMDD			
Not Used	N905	337	Time		X	TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours$ (00-23), $M = minutes$ (00-59), $S = integer$ seconds (00-59) and $DD = decimal$ seconds; decimal seconds are expressed as follows: $D = tenths$ (0-9) and $DD = hundredths$ (00-99) <b>Time Code O ID</b> 2/2				
			Organization sta in hours in relati restricted characteristics	g the time. In accordance with International Standard 8601, time can be specified by a + or ion to Universal Time Coordinate (UTC) time ter, + and - are substituted by P and M in the	- and ne; sin	an indication ce + is a	
Not Used	N907	C040	Reference Iden	tifier	O		
				or more reference numbers or identification Reference Qualifier	numb	ers as	
Not Used	C04001	128	Reference Iden	tification Qualifier	M	ID 2/3	
			Code qualifying	the Reference Identification			

Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction	on Set	or as
Not Used	C04003	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
1,00 0,000	00100	120	Code qualifying the Reference Identification		22 270
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

EMS Employment Position **Segment: Position:** 1502 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position **Syntax Notes:** If either EMS05 or EMS06 is present, then the other is required. If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. EMS03 defines the occupation category. EMS04 defines the status of employment. EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAH and NM102 is code 1, use of **Notes:** this segment is REQUIRED to identify the occupation of the contributor.

			Data Element Summary		
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	EMS01	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd th	eir content
			1. Use this data element to identify the occupation of the co	ontril	outor, in text.
			2. This data element is limited to 60 characters. Exceeding	this	limit runs
			the risk of the Secretary of State's office not receiving all of		
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3
			Code indicating category of employee		
Not Used	EMS03	1149	Occupation Code	O	<b>ID 4/6</b>
			Code identifying the occupation of an individual		
Not Used	EMS04	584	<b>Employment Status Code</b>	O	ID 2/2
			Code showing the general employment status of an employe	e/clai	mant
Not Used	EMS05	128	Reference Identification Qualifier	$\mathbf{X}$	<b>ID</b> 2/3
			Code qualifying the Reference Identification		
Not Used	EMS06	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set (	or as
Not Used	<b>EMS07</b>	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as
Not Used	EMS08	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		

Segment: **AWD** Amount with Description

Position: 2702

Loop: AWD Optional

transaction.

Level: Detail Usage: Optional

Max Use:

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** 

Comments: Notes:

1. When NM101 in the 2/NM1/0700 segment is code EAH or GG, use this segment to provide amounts applicable to a contribution. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary

AWD03 is used when information source provides non-numeric values.

- 2. When NM101 is code FN, use this segment to provide summary amounts for the form 460, Schedule A.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount Received this Period: (NM101 is code EAH or GG)

AWD01-01 code 93

AWD01-09 code 05-A4 (Only when NM101 code GG)

Cumulative Calendar Year to Date:

AWD01-01 code 93. AWD01-03 code 2. AWD01-05 code EA.

Cumulative to Date Other:

AWD01-01 code 93. AWD01-03 code 2. AWD01-05 code EE.

Monetary Contributions Summary - Line 1:

AWD01-01 code 93 AWD01-09 code 05-A1

Monetary Contributions Summary - Line 2:

AWD01-01 code 93 AWD01-09 code 05-A2

Monetary Contributions Summary - Line 3:

To qualify an amount

AWD01-01 code 93 AWD01-03 code 8

#### **Data Element Summary**

 Ref.
 Data

 Des.
 Element
 Name
 Attributes

 M
 AWD01
 C007
 Amount Qualifying Description
 M

			Use this composite data element to provide multiple qualifies that identify the amount cited in AWD02.				
M	C00701	522	Amount Qualifier Code	M	ID 1/3		
			Code to qualify amount				
			93 Contribution				
			Use this code to indicate a contribution	n amo	ount.		
Not Used	C00702	522	Amount Qualifier Code	O	ID 1/3		
			Code to qualify amount				
	C00703	1638	Value Detail Code	O	ID 1/1		
			Code identifying extent of an amount or quantity				
			2 Cumulative				
			8 Total				
Not Used	C00704	935	<b>Measurement Significance Code</b>	O	ID 2/2		
			Code used to benchmark, qualify or further define a measur	ement	value		
	C00705	344	Unit of Time Period or Interval	O	ID 2/2		
			Code indicating the time period or interval				
			EA Calendar Year-to-Date				
			EE To Date				
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1		
			Code indicating whether monetary values are net or gross				
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2		
			Code used to benchmark, qualify or further define a measur	ement	value		
Not Used	C00708	352	Description	O	AN 1/80		
			A free-form description to clarify the related data elements	and th	eir content		
	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
			1. Use this data element to cite a code from the California Disclosure Code List Section 05.	Camp	paign		
		=0.5	2. Cite code 05-A1, 05-A2, or 05-A4.		D 4/40		
	AWD02	782	Monetary Amount	X	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associate		h the		
Not Used	AWD03	61	qualifier codes cited in the AWD01 composite data elementer Free-Form Message	X	AN 1/30		
1101 Oscu	11 11 DUJ	91	Free-form information	41	111 1/30		
Not Used	AWD04	100	Currency Code	O	ID 3/3		
1101 0500	1111101	100	Code (Standard ISO) for country in whose currency the cha	_			
			Code (Standard 150) for country in whose currency the cha	iges ai	e specificu		

Segment: DTM Date/Time Reference

Position: 2802

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the receipt date of the contribution.

	Ref.	Data		•			
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>	
M	DTM01	374	Date/Time Qualifi	er	M	ID 3/3	
			Code specifying typ	be of date or time, or both date and time			
			050	Received			
				Use this code to indicate the receipt dat contribution.	e of a	ı	
	DTM02	373	Date		X	<b>DT 8/8</b>	
			Date expressed as C	CCYYMMDD			
			Use this data eleme	ent to identify the date of the contribution	<i>i</i> .		
Not Used	DTM03	337	Time		X	TM 4/8	
			HHMMSSD, or HF 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mir decin	nutes (00- nal seconds	
Not Used	DTM04	623	Time Code		O	ID 2/2	
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow				
Not Used	DTM05	1250	<b>Date Time Period</b>	Format Qualifier	X	ID 2/3	
			Code indicating the	date format, time format, or date and time	e form	nat	
Not Used	<b>DTM06</b>	1251	<b>Date Time Period</b>		X	AN 1/35	
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes	

**Reference Identification Segment:** 

**Position:** 3102

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier **Syntax Notes:** 

At least one of N902 or N903 is required.

- If N906 is present, then N905 is required.
- If either C04003 or C04004 is present, then the other is required. 3
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

N903

N904

**Not Used** 

**Not Used** 

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373

**Date** 

- 1. When NM101 is code EAH or GG, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a contributor or an intermediary. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with a contributor or an intermediary. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.
- 3. When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., a contribution received through an intermediary where the amount must be associated with both the contributor and the intermediary, use this segment to provide a cross reference number that will associate the amounts.

X

AN 1/45

**DT 8/8** 

December 23, 1999

			Data Eleme	ent Summary	
	Ref. Des.	Data <u>Element</u>	Name		Attributes
M	N901	128	Reference Identific	cation Qualifier	M ID 2/3
			Code qualifying the	Reference Identification	
			6O	Cross Reference Number	
				When NM101 is code GG, use this code cross reference number that associates with the amount in which it is included code is used, cite the unique monetary identification number of the amount in amount identified in the AWD segmen i.e., the number associated with the concontribution amount	an amount d. When this transaction n which the t is included,
			TN	Transaction Reference Number	
				Use this code to indicate a monetary tridentifier applicable to an amount. This transaction identifier MUST be the sar amounts within the same monetary transaction identifier MUST be used in where the monetary transaction is provamendments.	is monetary ne for all nsaction nonetary n all schedules vided and in
	N902	127	Reference Identific		X AN 1/50
				on as defined for a particular Transactior Ference Identification Qualifier	Set or as

**Free-form Description** 

Free-form descriptive text

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NI-4 III J	N1005	225	Date expressed as CCYYMMDD	v	TNA 4/0
Not Used	N905 N906	337 623	Time Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred Time Code	= min decin	nutes (00- nal seconds
			Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the	Standa - and e; sind code	ards an indication ce + is a
Not Used	N907	C040	Reference Identifier	0	
			To identify one or more reference numbers or identification is specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set o	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set o	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set o	or as

Segment: MTX Text

Position: 3502

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	N	<b>A</b> 44.		
	Des.	Element	Name		<u>Attributes</u>	
	MTX01	363	Note Reference Code	O	ID $3/3$	
			Code identifying the functional area or purpose for which the	e note	applies	
			ADD Additional Information			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the recei	ving p	orinter	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
				Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: HL Hierarchical Level

Position: 0103

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule A-1, Contributions Transferred to a Special Election Committee.

# **Data Element Summary**

Data Element Summary							
	Ref.	Data					
	Des.	Element	Name		Attributes		
M	HL01	628	Hierarchical ID No	umber	M AN 1/12		
			A unique number as	ssigned by the sender to identify a particu	lar data segment		
			in a hierarchical stru	• •	C		
			Use this data eleme	nt to provide a unique number that can	identify each HL		
			loop. It is recomme	ended that the first HL loop be identified	by the number 1		
			in this data element	t and that each successive HL loop be in	cremented by 1.		
Not Used	HL02	734	Hierarchical Parer	nt ID Number	O AN 1/12		
			Identification numb	er of the next higher hierarchical data seg	ment that the data		
			segment being desc	ribed is subordinate to			
$\mathbf{M}$	HL03	735	Hierarchical Level	Code	M ID 1/2		
			Code defining the c	haracteristic of a level in a hierarchical st	ructure		
			1. This data element is used to identify the type of data that is being				
				the current HL loop.			
			2. Each of the liste	d codes MUST be used only one time.			
			EC	Receipts			
				1. Use this code to indicate transferred	l contributions.		
					10 <b>=</b> 00.1		
				2. When this code is used, the 2/NM1/	-		
				MUST be used to identify all of the con			
				the 2/AWD/2700 loop MUST also be us applicable amounts.	sea to taentify		
			RP	Report Report			
				1. Use this code to indicate that the da	ta which		
				follows in this HL loop is information of			
				1			

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form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment:** 

**Position:** 0203

N9 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:** 

At least one of N902 or N903 is required. **Syntax Notes:** 

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

4 If either C04005 or C04006 is present, then the other is required.

N906 reflects the time zone which the time reflects. **Semantic Notes:** 

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

M	Ref. <u>Des.</u> N901	Data Element 128	Name Defended Libertification Qualification		ributes ID 2/3		
IVI	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification	M	ID 2/3		
			Use this data element to cite a code that qualifies the data of	carrie	d in N902.		
			FQ Form Number				
			Use this code to indicate the number of transmitted.	f the	form being		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		or as		
			Use this data element to cite the form number, e.g. ,F460A	-1.			
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-59)$ , $S = integer seconds (00-59)$ and $DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2$				
Not Used	N907	C040	Code identifying the time. In accordance with International organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a		
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as		
Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction	n Set	or as		

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

MTX Text **Segment:** 

**Position:** 0603

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 1. Use this segment to provide additional text information related to the report being

filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>	
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which th	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the received	ving p	printer
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

Position: 0703

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 is code EC, use this loop as many times as necessary to identify all contributors and associated entities of transferred contributions.

2. Each identified contributor, (NM101 is code EAH) and associated entities (NM101 is code 36 - employer of a contributor, BUS - business name of a self employed contributor, or EBN - treasurer of a committee) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the contributor and associated entity MUST be the same.

3. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

### **Data Element Summary**

			Data Eleme	ent Summary	
	Ref.	Data			
	Des.	<b>Element</b>	Name		<b>Attributes</b>
$\mathbf{M}$	$\overline{NM101}$	98	<b>Entity Identifier C</b>	ode	M ID 2/3
			Code identifying an	organizational entity, a physical location	property or an
			individual	organizational entroj, a prijereal recalien	, property or un
			36	Employer	
				1. When NM101 is code EAH and NM use this code to indicate the employer of contributor.	· · · · · · · · · · · · · · · · · · ·
				2. When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number.	
			BUS	Business	
				1. When NM101 is code EAH and NM use this code to indicate the business na employed contributor.	
			E.M.	2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.	
			EAH	Contributor	
				1. When HL03 is code EC, use of this REQUIRED to indicate an entity who is	
				2. When this code is used, NM102 may	v cite code 1, 2,

1. When NM101 is code EAH and NM102 is code 6, use this code, if no committee identification number

applicable amounts.

Treasurer

or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the contributor's occupation, and the 2/AWD/2700 loop MUST be used to identify

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**EBN** 

has been assigned, to indicate the treasurer of the committee. 2. When this code is used, NM102 should cite code 1 and the 2/N9/1200 segment MUST be used to provide a cross reference number. **Entity Type Qualifier** M ID 1/1 Code qualifying the type of entity 1. Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee. 2. When NM101 is code EAH, the listed codes are specifically used to identify the type of contributor. Person Use this code to indicate an individual. Non-Person Entity Use this code to indicate an entity other than an individual or committee. Organization Use this code to indicate a committee. Name Last or Organization Name 0 AN 1/60 Individual last name or organizational name 1. Use of this data element is REQUIRED to provide the last name of a person, or the name of an organization. 2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker. 3. When NM102 is code 2 or 6 and the name of the organization is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name organization's name. O AN 1/35 Individual first name Name Middle AN 1/25 Individual middle name or initial **Name Prefix** AN 1/10 Prefix to individual name AN 1/10 Suffix to individual name **Identification Code Qualifier** X ID 1/2 Code designating the system/method of code structure used for Identification

Code (67)

When NM101 is code EAH, NM102 is code 6, use this data element to qualify the identification number in NM109.

75 State or Province Assigned Number

- 1. When NM101 is code EAH and NM102 is code 6, use this code to indicate the state assigned committee identification number, if one has been assigned.
- 2. If no identification number has been assigned, there MUST be another iteration of the NM1 segment with NM101 citing code EBN.

X ID 2/2

NM109 67 **Identification Code** X AN 2/80

Code identifying a party or other code

**Entity Relationship Code** 

M

**Must Use** 

Not Used

NM102

NM103

NM104

NM105

NM106

**NM107** 

NM108

NM110

1065

1035

1036

1037

1038

1039

66

706

1

2

6

Name First

Name Suffix

Use this data element to provide the state assigned committee identification number, if one has been assigned.

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NM111 98 **Entity Identifier Code** Not Used

O ID 2/3 Code identifying an organizational entity, a physical location, property or an

individual

Segment: N2 Additional Name Information

**Position:** 0803

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

Purpose:

: To specify additional names

Syntax Notes: Semantic Notes: Comments:

Motes:

1. When NM102 in the 2/NM1/0700 segment is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data	·	
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the moninumeric 1, 2, or 3.	iker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0903

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1003

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party

Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

		<b>_</b> .	Duta Element Sammary			
	Ref. Des.	Data <u>Element</u>	Name	Att	<u>ributes</u>	
	N401	19	City Name	O	AN 2/30	
			Free-form text for city name			
	N402	156	State or Province Code	X	ID 2/2	
			Code (Standard State/Province) as defined by appropriate a	governr	nent agency	
Must Use	N403	116	Postal Code	O	ID 3/15	
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)			
			This data element MUST be used to provide a ZIP code.			
Not Used	N404	26	Country Code	X	ID 2/3	
			Code identifying the country			
Not Used	N405	309	Location Qualifier	X	ID 1/2	
			Code identifying type of location			
Not Used	N406	310	Location Identifier	O	AN 1/30	
			Code which identifies a specific location			
Not Used	N407	1715	<b>Country Subdivision Code</b>	X	ID 1/3	
			Code identifying the country subdivision			

Segment: N9 Reference Identification

Position: 1203

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

Notes: 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

2. When NM101 in the 2/NM1/0700 segment is code 36, BUS, EAH, or EBN, use of this segment is REQUIRED to provide cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data		•			
	Des.	<b>Element</b>	<u>Name</u>			ributes ID 2/3	
M	N901	128		Reference Identification Qualifier			
			Code qualifying th	Code qualifying the Reference Identification			
			6O	Cross Reference Number			
				Use this code to indicate a cross refere is used to associate entities identified in 2/NM1/0700 segment.		umber that	
			FI	File Identifier			
				Use this code to provide a file identified identified in NM101 of the 2/NM1/070		ment.	
	N902	127	Reference Identif	ication	X	AN 1/50	
			specified by the Re	tion as defined for a particular Transaction eference Identification Qualifier			
Not Used	N903	369	Free-form Descri	ption	X	AN 1/45	
			Free-form descript	ive text			
Not Used	N904	373	Date		O	<b>DT 8/8</b>	
			Date expressed as	CCYYMMDD			
Not Used	N905	337	Time		X	TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, where $H = hours$ (00-23), $M = minutes$ (00-59), $S = integer$ seconds (00-59) and $DD = decimal$ seconds; decimal seconds are expressed as follows: $D = tenths$ (0-9) and $DD = hundredths$ (00-99)  Time Code  O ID 2/2				
110t Osca	11700	020		ne time. In accordance with International S	•		
			Organization stand in hours in relation restricted character	ard 8601, time can be specified by a + or to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the	and a	an indication ce + is a	
Not Used	N907	C040	Reference Identif	ier	O		
			specified by the Ro				
Not Used	C04001	128	Reference Identif		M	ID 2/3	
			Code qualifying th	e Reference Identification			

Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
Not Used	C04003	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
Not Oscu	C04003	120	Code qualifying the Reference Identification	Λ	11) 2/3
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

EMS Employment Position **Segment: Position:** 1503 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position **Syntax Notes:** If either EMS05 or EMS06 is present, then the other is required. If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. EMS03 defines the occupation category. EMS04 defines the status of employment. EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAH and NM102 is code 1, use of **Notes:** this segment is REQUIRED to identify the occupation of the contributor.

Data Element Summary					
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	EMS01	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd th	eir content
			1. Use this data element to identify the occupation of the co	ontril	outor, in text.
			2. This data element is limited to 60 characters. Exceeding	this	limit runs
			the risk of the Secretary of State's office not receiving all of		
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3
			Code indicating category of employee		
Not Used	EMS03	1149	Occupation Code	O	<b>ID 4/6</b>
			Code identifying the occupation of an individual		
Not Used	EMS04	584	<b>Employment Status Code</b>	O	ID 2/2
			Code showing the general employment status of an employe	e/clai	mant
Not Used	EMS05	128	Reference Identification Qualifier	$\mathbf{X}$	<b>ID</b> 2/3
			Code qualifying the Reference Identification		
Not Used	EMS06	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set (	or as
Not Used	<b>EMS07</b>	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as
Not Used	EMS08	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		

Segment: AWD Amount with Description

**Position:** 2703

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 AWD03 is used when information source provides non-numeric values.

When NM101 is code EAH, use this segment to provide amounts applicable to a transferred contribution.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in

AWD01 (AWD01-01 through AWD01 - 03) to qualify the following amounts:

Amount transferred from Contributor:

AWD01-01 code 93 AWD01-02 code AGH

Cumulative Amount of Contributions Received - Special Election:

AWD01-01 code 93 AWD01-02 code EAI AWD01-03 code 2

2/LQ/3300, LQ02 code 06-SE

Cumulative Amount of Contributions Received - Special Runoff Election:

AWD01-01 code 93 AWD01-02 code EAI AWD01-03 code 2

2/LQ/3300, LQ01 code 06-SRE

#### **Data Element Summary**

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>	
$\mathbf{M}$	$\overline{AWD01}$	C007	Amount Qual	Amount Qualifying Description			
			To qualify an	To qualify an amount			
			Use this comp amount cited i	osite data element to provide multiple qual in AWD02.	ifies tha	it identify the	
$\mathbf{M}$	C00701	522	<b>Amount Qual</b>	lifier Code	$\mathbf{M}$	ID 1/3	
			Code to qualif	y amount			
			93	Contribution			
				Use this code to indicate a contribut	ion amo	ount.	
	C00702	522	Amount Qualifier Code		0	ID 1/3	
			Code to qualif	Code to qualify amount			
			AGH	Transferred Amount			
			EAI	Amount Received			
	C00703	1638	Value Detail	Code	0	ID 1/1	
			Code identifyi	ng extent of an amount or quantity			
			2	Cumulative			
Not Used	C00704	935	Measurement	Significance Code	O	ID 2/2	
				penchmark, qualify or further define a measu	ırement	value	
Not Used	C00705	344	<b>Unit of Time</b>	Period or Interval	O	ID 2/2	
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			Code indicating the time period or interval				
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1		
			Code indicating whether monetary values are net or gross				
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2		
			Code used to benchmark, qualify or further define a measurer	ment	value		
Not Used	C00708	352	Description	O	AN 1/80		
			A free-form description to clarify the related data elements ar	nd the	eir content		
Not Used	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
	AWD02	782	Monetary Amount	$\mathbf{X}$	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associated qualifier codes cited in the AWD01 composite data element.		h the		
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
			Code (Standard ISO) for country in whose currency the charges a				

Segment: **DTM** Date/Time Reference

**Position:** 2803

**Loop:** AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the original receipt date of the contribution.

	Ref.	Data		•			
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>	
M	DTM01	374	Date/Time Qualific	er	$\mathbf{M}$	ID 3/3	
			Code specifying typ	e of date or time, or both date and time			
			922	Original Receipt			
				Use this code to indicate the original recontribution.	ceipt	date of a	
	DTM02	373	Date		X	<b>DT 8/8</b>	
			Date expressed as C	CCYYMMDD			
			Use this data eleme	nt to identify the date of the contribution	ı.		
Not Used	DTM03	337	Time		X	TM 4/8	
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)				
Not Used	DTM04	623	Time Code		O	ID 2/2	
			Organization standa in hours in relation restricted character,	e time. In accordance with International S rd 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and a	an indication ce + is a	
Not Used	DTM05	1250	Date Time Period	Format Qualifier	X	ID 2/3	
			Code indicating the	date format, time format, or date and time	e forr	nat	
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35	
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes	

Segment: N9 Reference Identification

Position: 3103

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code EAH, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a contributor. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with a contributor. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data	·		
	Des.	Element	Name		ributes
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the same amounts within the same monetary transaction identifier MUST be used to where the monetary transaction is propagate to make the monetary transaction is propagate.	nis mon nme for ansact moneto in all s	netary r all tion ary schedules
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), No 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre	1 = mi ; decir	nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
			Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tin restricted character, + and - are substituted by P and M in the	- and ne; sin e code	an indication ce + is a
Not Used	N907	C040	Reference Identifier	0	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as

Segment: LQ Industry Code

Position: 3303

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes:** Comments:

**Notes:** 

1. When AWD01-03 in the 2/AWD/2700 segment is code 2, use this segment to identify the type of election.

- 2. The type of election is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data		
Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
LQ01	1270	Code List Qualifier Code	O ID 1/3
		Code identifying a specific industry code list	
		ins the code specified	
		EAF California Campaign Disclosure Re	port Codes
LQ02	1271	Industry Code	X AN 1/30
		Code indicating a code from a specific industry code list	
		Enter a code from section 06 of the California Campaig List.	n Disclosure Code

Segment: MTX Text

Position: 3503

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	ne note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the rece	iving p	printer
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: HL Hierarchical Level

Position: 0104

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule B, Part 1, Loans Received.

# **Data Element Summary**

			Data Elem	ent Summary		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<b>Attributes</b>	
M	HL01	628	Hierarchical ID N	umber	M AN 1/12	
			A unique number as	ssigned by the sender to identify a particular	lar data segment	
			in a hierarchical structure			
			Use this data eleme	Use this data element to provide a unique number that can identify each HL		
			loop. It is recommo	ended that the first HL loop be identified	by the number 1	
			in this data elemen	t and that each successive HL loop be in	cremented by 1.	
Not Used	HL02	734	Hierarchical Paren	nt ID Number	O AN 1/12	
			Identification numb	er of the next higher hierarchical data seg	ment that the data	
			segment being desc	ribed is subordinate to		
M	HL03	735	Hierarchical Level	Code	M ID 1/2	
			Code defining the characteristic of a level in a hierarchical structure  1. This data element is used to identify the type of data that is being			
			transmitted within the current HL loop.			
			2. Each of the listed codes MUST be used only one time.			
			EB	Filer		
				1. Use this code to indicate the submitt	ter of the data	
				on the form 460, Schedule B, Part 1.	v	
				2. When this code is used, the 2/NM1/0	0700 segment	
			MUST be used to identify the filer and the			
			2/AWD/2700 loop also MUST be used to identify			
			summary amounts for form 460, Schedule B, Part 1.		lule B, Part 1.	
			LN	Loan Data		
				1. Use this code to indicate data applic	able to a	

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received loan.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the lenders and guarantors, and all associated entities; and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

Report

RP

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0204 N9 Loop: Optional (Must Use) Level: Detail Usage: Optional (Must Use) Max Use: To transmit identifying information as specified by the Reference Identification Qualifier **Purpose: Syntax Notes:** At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data	Data Exement Summary		
	Des.	Element	Name Attributes		ributes
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to cite a code that qualifies i	he data carrie	d in N902.
			FQ Form Number		
			Use this code to indicate the transmitted.	number of the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular T specified by the Reference Identification Qualifier	Reference information as defined for a particular Transaction Set or as	
			Use this data element to cite the form number, e.g	., F460B-1.	
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHHMMSSD, or HHMMSSDD, where H = hours (6 59), S = integer seconds (00-59) and DD = decimal are expressed as follows: D = tenths (0-9) and DD = <b>Time Code</b>	00-23), M = mi seconds; decir	nutes (00- mal seconds
Not Used	N907	C040	Code identifying the time. In accordance with Inter Organization standard 8601, time can be specified in hours in relation to Universal Time Coordinate (restricted character, + and - are substituted by P and <b>Reference Identifier</b>	by a + or - and UTC) time; sin	an indication ace + is a
			To identify one or more reference numbers or ident	ification numb	ers as
			specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular T specified by the Reference Identification Qualifier	cansaction Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as		

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

Position: 0604

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

Position: 0704

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

2 If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

1 NM110 and NM111 further define the type of entity in NM101.

Notes: 1. When HL03 cites code EB, use this loop to identify the filer of the form 460B-1. There can only be 1 iteration of this segment when HL03 cites code EB.

lenders and guarantors of a loan.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the
- filer information was already provided in form 460CP.

  3. When HL03 is code LN, use this loop as many times as necessary to identify all
- 4. Each identified lender (NM101 is code LN) or guarantor (NM101 is code EAM) and associated entities (NM101 is code 36 employer of a lender/guarantor, BUS business name of a self employed lender/guarantor, GG intermediary, or EBN treasurer of a committee) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the lender/guarantor and associated entity MUST be unique and the same for each group of related entities.
- 5. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

#### **Data Element Summary**

Data Element Summary	
Ref. Data	
Des. Element Name	Attributes
	M ID 2/3
i i	
Code identifying an organizational entity,	, a physical location, property or an
individual	
36 Employer	
1. When NM101 is	code EAM or LN and NM102 is
code 1, use this cod	e to indicate the employer of a
lender or guaranton	- · · · · ·
toliate of guaranto	•
2 When this and a	s used, NM102 should cite code 2
	segment MUST be used to provide
a cross reference nu	umber.
BUS Business	
1. When NM101 is	code EAM or LN and NM102 is
code 1, use this cod	e to indicate the business name of
a self employed lend	<del>_</del>
a seig employea tene	or or guarantor.
2 When this and a	s used, NM102 should cite code 2,
	ent MUST be used to provide a
cross reference nun	nber.
EAM Guarantor	
1. When HL03 in the	he 1/HL/0100 segment is code LN,
use this code to indi	

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2. When this code is used, NM102 may cite code 1, 2,

or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the guarantor's occupation, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state assigned committee identification number, if one has been received. If an identification number has not been received, use another iteration of this segment with NM101 citing code EBN.

EBN

Treasurer

- 1. When NM101 is code EAM or LN and NM102 is code 6, use this code, if no committee identification number has been assigned, to indicate the treasurer of the committee.
- 2. When this code is used, NM102 should cite code 1 and the 2/N9/1200 segment MUST be used to provide a cross reference number.

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 2. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify summary amounts.

GG

Intermediary

- 1. Use this code to indicate the intermediary through whom a loan was received.
- 2. When this code is used, the same information applicable to the loan MUST be provided for both the intermediary and the lender.
- 3. When this code is used, NM102 may cite code 1 or 2, the 2/N9/1200 segment MUST be used to provide a cross reference number, and 2/AWD/2700 loop MUST be used to identify applicable amounts.

Lender

- 1. When HL03 in the 1/HL/0100 segment is code LN, use this code to indicate the lender of a loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the lender's occupation, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state

LN

assigned committee identification number, if one has been received. If an identification number has not been received, use another iteration of this segment with NM101 citing code EBN.

				with NM101 citing coae EBN.				
M	NM102	1065	<b>Entity Type Qual</b>	ifier	$\mathbf{M}$	<b>ID</b> 1/1		
			Code qualifying th	e type of entity				
			1. Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.					
				is code EAM or LN, the listed codes are f guarantor or lender.	specif	ically used to		
			1	Person				
				Use this code to indicate an individua	l.			
			2	Non-Person Entity				
			6	Use this code to indicate an entity oth individual or committee.	er tha	n an		
			6	Organization				
N T.	NIN#102	1025	N I ( )	Use this code to indicate a committee.		A N. 1/60		
Must Use	NM103	1035	Name Last or Org	_	О	AN 1/60		
				ne or organizational name				
			1. Use of this data	a element is REQUIRED to provide the i	iame (	of an entity.		
				is code 1 and the person has a moniker ( at to provide the moniker.	nickn	ame), use the		
			3 When NM102 i	is code 2 or 6 and the name of the organ	izatio	n is greater		
				s, use the 2/N2/0800 segment to provide				
			name organization	,		J		
	NM104	1036	Name First		O	AN 1/35		
			Individual first nar	me				
	NM105	1037	Name Middle		O	AN 1/25		
			Individual middle	name or initial				
	NM106	1038	Name Prefix		O	AN 1/10		
			Prefix to individua	l name				
	NM107	1039	Name Suffix		O	AN 1/10		
			Suffix to individua	ıl name				
	NM108	66	<b>Identification Cod</b>	de Qualifier	$\mathbf{X}$	ID 1/2		
				he system/method of code structure used	for Id	entification		
			Code (67)	•				
				ode EAM or LN, and NM102 is code 6, the identification number in NM109.  State or Province Assigned Number	use th	is data		
			13	State or Province Assigned Number  1. When NM101 is code EAM or LN	and N	JM102 ÷a		
				code 6, use this code to indicate the st				
				committee identification number, if of assigned.				
				2. If no identification number has be	en ass	rioned.		
				there MUST be another iteration of the with NM101 citing code EBN.		11 segment		
	NM109	67	Identification Cod		X	AN 2/80		
			Code identifying a	party or other code				
				ent to provide the state assigned commit	tee ide	entification		
NI-ATI T	NTR#440	<b>5</b> 07	number, if one has		¥7	ID 2/2		
Not Used	NM110	706	Entity Relationsh		X	ID 2/2		
			Code describing en	ntity relationship				

Not Used NM111 98 Entity Identifier Code O ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

Segment: N2 Additional Name Information

**Position:** 0804

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2 Purpose: 7

**Notes:** 

**ose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data	·	
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0904

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data	N	
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N301	166	<b>Address Information</b>	M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1004

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref. <u>Des.</u> N401	Data Element 19	Name City Name	Attr O	ributes AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate gov	ernn	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punct (zip code for United States)	uatio	n and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3
			Code identifying the country subdivision		

Segment: N9 Reference Identification

Position: 1204

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Notes: 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

2. When NM101 in the 2/NM1/0700 segment is code 36, BUS, EAM, EBN, GG, or LN, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>
M	N901	128	Reference Identif	_	M	ID 2/3
			Code qualifying th	Code qualifying the Reference Identification		
			6O	Cross Reference Number		
				Use this code to indicate a cross refere is used to associate entities identified in 2/NM1/0700 segment.		umber that
			FI	File Identifier		
				Use this code to provide a file identified identified in NM101 of the 2/NM1/070		ment.
	N902	127	Reference Identif	ication	X	AN 1/50
			specified by the Re	tion as defined for a particular Transaction eference Identification Qualifier	ı Set o	or as
Not Used	N903	369	Free-form Descri	ption	X	AN 1/45
			Free-form descript	ive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
Not Used	N906	623	HHMMSSD, or H 59), S = integer see	24-hour clock time as follows: HHMM, o HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; ollows: D = tenths (0-9) and DD = hundred	= min decin	nutes (00- nal seconds
110t Oscu	11200	023		ne time. In accordance with International S	•	
			Organization stand in hours in relation restricted character	ard 8601, time can be specified by a + or to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the	and a	an indication ce + is a
Not Used	N907	C040	Reference Identif		O	
			specified by the Ro			
Not Used	C04001	128	Reference Identif		M	ID 2/3
			Code qualifying th	e Reference Identification		

Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

EMS Employment Position **Segment: Position:** 1504 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position **Syntax Notes:** If either EMS05 or EMS06 is present, then the other is required. If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. EMS03 defines the occupation category. EMS04 defines the status of employment. EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAM or LN, use of this segment is **Notes:** REQUIRED to identify the occupation of the guarantor or lender.

	Ref.	Data	Data Element Summary		
	Des.	<b>Element</b>	Name	Att	<u>ributes</u>
	EMS01	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd the	eir content
			1. Use this data element to identify the occupation of the leguarantor, in text.	nder	or
			2. This data element is limited to 60 characters. Exceeding	this	limit runs
			the risk of the Secretary of State's office not receiving all o	f the c	
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3
			Code indicating category of employee		
Not Used	EMS03	1149	Occupation Code	O	<b>ID</b> 4/6
			Code identifying the occupation of an individual		
Not Used	EMS04	584	<b>Employment Status Code</b>	O	<b>ID 2/2</b>
			Code showing the general employment status of an employe	e/clai	mant
Not Used	EMS05	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	EMS06	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	EMS07	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	EMS08	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		

Segment: AWD Amount with Description

Position: 2704

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

**1** AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code EAM, GG, or LN, use this loop to provide loan information applicable to the guarantor, intermediary, or lender. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts applicable to form 460, Schedule B, Part 1 and Part 2.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount of Loan: (NM101 is code EAM, GG or LN)

AWD01-01 code NO

AWD01-09 code 05-A4 (Only when NM101 is code GG)

Lender Information Cumulative Calendar Year to Date:

AWD01-01 code N0 AWD01-03 code 2 AWD01-05 code EA

Lender Information Cumulative to Date - Other:

AWD01-01 code N0 AWD01-03 code 2 AWD01-05 code EE

Amount Guaranteed: AWD01-01 code EAD

Guarantor Information Cumulative Calendar Year to Date:

AWD01-01 code EAD AWD01-03 code 2 AWD01-05 code EA

Guarantor Information Cumulative to Date - Other:

AWD01-01 code EAD AWD01-03 code 2 AWD01-05 code EE

Schedule B - Part 1, Summary, line 1:

AWD01-01 code N0 AWD01-09 code 05-A1

Schedule B - Part 1 Summary, line 2:

AWD01-01 code N0 AWD01-09 code 05-A2 Schedule B - Part 1 Summary, line 3: AWD01-01 code N0 AWD01-03 code 8 Schedule B - Part 2 Summary, line 4: AWD01-01 code 97 AWD01-09 code 05-A1 Schedule B - Part 2 Summary, line 5: AWD01-01 code 97 AWD01-09 code 05-A2 Schedule B - Part 2 Summary, line 6: AWD01-01 code 97 AWD01-03 code 8 Schedule B - Part 2 Summary, line 7: AWD01-01 code N0 AWD01-02 code CH

	Ref.	Data		·		
3.5	Des.	Element	<u>Name</u>	Wa t - 5 - 1 - 1		<u>ributes</u>
M	AWD01	C007	_	alifying Description	M	
3.5	G00 <b>=</b> 04		To qualify an		3.5	TD 4/2
M	C00701	522	Amount Qua		M	ID 1/3
			Code to quali	•		
			97	Loan Repayment		
				Use this code to indicate loans repaid by a third party.	l, forgi	ven or paid
			EAD	Amount Guaranteed		
			N0	Loans or Financial Borrowings		
				Use this code to indicate a loan recei	ved.	
	C00702	522	Amount Qua	alifier Code	O	ID 1/3
			Code to quali	fy amount		
			CH	Change Amount		
	C00703	1638	Value Detail	Code	O	<b>ID</b> 1/1
			Code identify	ing extent of an amount or quantity		
			2	Cumulative		
			8	Total		
Not Used	C00704	935		t Significance Code	O	ID 2/2
			Code used to	benchmark, qualify or further define a measu	rement	value
	C00705	344	Unit of Time	Period or Interval	O	ID 2/2
			Code indicati	ng the time period or interval		
			EA	Calendar Year-to-Date		
			EE	To Date		
Not Used	C00706	1637	Net/Gross Co	ode	O	<b>ID</b> 1/1
				ng whether monetary values are net or gross		
Not Used	C00707	935		t Significance Code	O	ID 2/2
				benchmark, qualify or further define a measu	rement	value
Not Used	C00708	352	Description		O	AN 1/80
			A free-form d	lescription to clarify the related data elements	and the	eir content
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	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
			<ol> <li>Use this data element to cite a code from the California Disclosure Code List Section 05.</li> <li>Cite code 05-A1, 05-A2, or 05-A4.</li> </ol>	Camp	oaign		
	AWD02	782	Monetary Amount	X	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element		h the		
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
		Code (Standard ISO) for country in whose currency the charges are s					

Segment: **DTM** Date/Time Reference

Position: 2804

**Loop:** AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes: Comments:

Notes: Use this segment to identify the date the loan was received and the due date of the loan.

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ibutes</u>
M	DTM01	374	Date/Time Qualific	er	M	ID 3/3
			Code specifying typ	be of date or time, or both date and time		
			050	Received		
				Use this code to indicate the date the lo received.	an w	as
			AAG	Due Date		
				Use this code to indicate the due date of	f the	loan.
	<b>DTM02</b>	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
			HHMMSSD, or HH 59), S = integer seco	24-hour clock time as follows: HHMM, of IMMSSDD, where H = hours (00-23), M ands (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mi decin	nutes (00- nal seconds
Not Used	DTM04	623	Time Code		0	ID 2/2
NI-4 VII J	DEMOS	1250	Organization standa in hours in relation restricted character,	e time. In accordance with International S and 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and and e; sind	an indication ce + is a s that follow
Not Used	DTM05	1250	Date Time Period	_	X	ID 2/3
	D	4.5.	•	date format, time format, or date and tim		
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: PCT Percent Amounts

Position: 2904

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To qualify percent amounts and supply percent amounts

Syntax Notes: Semantic Notes:

**Comments:** 

Notes: Use this segment to identify the interest rate applicable to the loan.

**Data Element Summary** 

Ref. Data **Attributes** Des. Element Name M PCT01 1004 **Percent Qualifier** M ID 1/2 Code to qualify percent X1 Use this code to indicate the interest rate applicable to the loan. M PCT02 954 **Percent** M R 1/10

Percentage expressed as a decimal

Segment: N9 Reference Identification

Position: 3104

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Not Used** 

**Not Used** 

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N903

N904

369

373

**Date** 

**Notes:** 

- 1. When NM101 is code EAM, GG, or LN, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a guarantor, intermediary, or lender. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with a guarantor, intermediary, or lender. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.
- 3. When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., a loan received through an intermediary where the amount must be associated with both the lender and the intermediary, use this segment to provide a cross reference number that will associate the amounts.

# **Data Element Summary**

			Data Eleme	ent Summary			
M	Ref. <u>Des.</u> N901	Data Element 128	Name Reference Identific	eation Qualifier	_	ributes ID 2/3	
				Reference Identification			
			60	Cross Reference Number			
				When NM101 is code GG, use this code cross reference number that associates with the amount in which it is included code is used, cite the unique monetary identification number of the amount in amount identified in the AWD segmen i.e., the number associated with the length amount.	an and and and and and and and and and a	mount hen this action ch the cluded,	
			TN	Transaction Reference Number			
				Use this code to indicate a monetary transaction identifier applicable to an amount. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with an entity. This same monetary transaction identifier MUST be used in all scheduled where the monetary transaction is provided and in amendments.			
	N902	127	Reference Identific	eation	X	AN 1/50	
			Reference informati	on as defined for a particular Transaction	Set o	or as	

specified by the Reference Identification Qualifier

122

 $\mathbf{X}$ 

AN 1/45

**DT 8/8** 

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**Free-form Description** 

Free-form descriptive text

			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
	Nood	(00	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred	= mindecing decing ths (0	nutes (00- nal seconds 00-99)
Not Used	N906	623	Time Code	0	ID 2/2
			Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	and e; sin	an indication ce + is a
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification r specified by the Reference Qualifier	ıumb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set o	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set o	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set o	or as

Segment: MTX Text

Position: 3504

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	N	<b>A</b> 44.	
	Des.	Element	Name		<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID $3/3$
			Code identifying the functional area or purpose for which the	e note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: HL Hierarchical Level

Position: 0105

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule B, Part 2, Repayments made on Loans Received. Loans Forgiven, and Loans Repaid by a Third Party.

#### **Data Element Summary**

			Data Eleme	ent Summary	
	Ref.	Data	NT.		A 44 91 4
3.4	Des.	<u>Element</u>	Name	,	Attributes
M	HL01	628	Hierarchical ID Nu		M AN 1/12
			A unique number as in a hierarchical stru	signed by the sender to identify a particulature	lar data segment
			Use this data elemen	nt to provide a unique number that can	identify each HL
			loop. It is recomme	nded that the first HL loop be identified	by the number 1
			in this data element	and that each successive HL loop be in	cremented by 1.
Not Used	HL02	734	Hierarchical Paren	t ID Number	O AN 1/12
			Identification number	er of the next higher hierarchical data seg	ment that the data
				ribed is subordinate to	,
M	HL03	735	Hierarchical Level		M ID 1/2
			Code defining the cl	naracteristic of a level in a hierarchical st	ructure
			1. This data elemen	nt is used to identify the type of data that	t is being
				he current HL loop.	
				•	
			2. Each of the listed	d codes MUST be used only one time.	
			EB	Filer	
				1. Use this code to indicate the submitted	ter of the data
				on the form 460, Schedule B, Part 2.	
				,	
				2. When this code is used, the 2/NM1/	0700 segment
				MUST be used to identify the filer and	the
				2/AWD/2700 loop also MUST be used	to identify total
				amounts for form 460, Schedule B, Par	rt 2.
			LN	Loan Data	
				1. Use this code to indicate data applic	able to a

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received loan.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the lenders and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification Position: 0205

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.
4 If either C04005 or C04006 is present, then the other is required.

1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Semantic Notes:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

		_	Data Element Summary				
	Ref.	Data	NT.	A 44	•1		
M	<u>Des.</u> N901	Element 128	Name  Reference Identification Qualifier		<u>ributes</u> ID 2/3		
1 <b>V1</b>	11901	120	Reference Identification Qualifier		ID 2/3		
			Code qualifying the Reference Identification	• .	1 : NO02		
			Use this data element to cite a code that qualifies the data	carrie	a in N902.		
			FQ Form Number				
			Use this code to indicate the number of transmitted.	of the j	form being		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		or as		
			Use this data element to cite the form number, e.g., F460B	-2.			
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)				
Not Useu	11900	023	Time Code	O	ID 2/2		
Not Used	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow  Reference Identifier  O				
			To identify one or more reference numbers or identification	numb	ers as		
NI 4 TI 1	C0 4001	100	specified by the Reference Qualifier	3.7	ID 4/2		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
NI 4 TI 1	C0 4002	105	Code qualifying the Reference Identification	3.7	A D. 1 /FO		
Not Used	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set (	or as		
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction	n Set			

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

Position: 0605

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>		
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which th	e note	applies	
			REP Report			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the received	ving p	printer	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

Segment: NM1 Individual or Organizational Name

Position: 0705

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Notes:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460B-2. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code LN, use this loop as many times as necessary to identify all lenders of a loan.
- 4. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	NM101	98	<b>Entity Identifier Code</b>	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide total amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify total amounts.

LN Lender

- 1. When HL03 is code LN, use of this code is REQUIRED to indicate the lender of a loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/109 may be used to provide the state assigned committee identification number, if one has been received.

M NM102 1065 Entity Type Qualifier

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an

			individual, a com	mittee, or an entity other than a person or Person	· com	mittee.
				Use this code to indicate an individual		
			2	Non-Person Entity		
				Use this code to indicate an entity othe individual or committee.	r tha	n an
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Or	ganization Name	O	AN 1/60
			Individual last nar	ne or organizational name		
			2. When NM102	a element is REQUIRED to provide the n is code 1 and the person has a moniker (i nt to provide the moniker.		•
				is code 2 or 6 and the name of the entity of the entity of the country of the cou		
	NM104	1036	Name First		О	AN 1/35
			Individual first na	me		
	NM105	1037	Name Middle		O	AN 1/25
			Individual middle	name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individua	al name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individua	al name		
	NM108	66	<b>Identification Co</b>	de Qualifier	X	ID 1/2
			Code (67)	the system/method of code structure used		
				code LN and NM102 is code 6, use this da fication number in NM109.	ta ele	ement to
			75	State or Province Assigned Number		
				When NM101 is code LN and NM102 this code to indicate the state assigned identification number, if one has been	comi	nittee
	NM109	67	<b>Identification Co</b>		X	AN 2/80
			Code identifying a	a party or other code		
			Use this data elem number, if one ha	nent to provide the state assigned committ as been assigned.	ee ide	entification
Not Used	NM110	706	Entity Relationsh		X	ID 2/2
			Code describing e	ntity relationship		
Not Used	NM111	98	<b>Entity Identifier</b>	Code	O	ID 2/3
			Code identifying a individual	an organizational entity, a physical location	ı, pro	perty or an

Segment: N2 Additional Name Information

**Position:** 0805

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2

**Notes:** 

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an

organization name that is greater than 60 characters.

			· · · · · · · · · · · · · · · · · · ·	
	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the n numeric 1, 2, or 3.	noniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

**Segment: Reference Identification Position:** 1205 NM1 Loop: Optional (Must Use) Level: Detail Usage: Optional Max Use: >1**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier **Syntax Notes:** At least one of N902 or N903 is required. If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. If either C04005 or C04006 is present, then the other is required. 4 **Semantic Notes:** N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902. **Comments:** Use of this segment is REQUIRED a minimum of one time with code FI cited in N901. **Notes: Data Element Summary** Ref. Data Element Des. Name Attributes N901  $\mathbf{M}$ 128 **Reference Identification Qualifier** M ID 2/3 Code qualifying the Reference Identification FI File Identifier Use this code to provide a file identifier for each entity identified in NM101 of the 2/NM1/0700 segment. N902 127 **Reference Identification** X AN 1/50 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier 369 Not Used N903 **Free-form Description** X AN 1/45 Free-form descriptive text Not Used N904 373 **DT 8/8** Date  $\mathbf{O}$ Date expressed as CCYYMMDD N905 337  $\mathbf{X}$ TM 4/8 Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal secondsare expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)N906 623 **Time Code** O ID 2/2 Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication

**Not Used Not Used** in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Not Used N907 C040 **Reference Identifier** To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier Not Used C04001 128 **Reference Identification Qualifier** M ID 2/3Code qualifying the Reference Identification **Not Used** C04002 127 **Reference Identification** M AN 1/50 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Not Used C04003 128 **Reference Identification Qualifier** X ID 2/3Code qualifying the Reference Identification Not Used C04004 127 **Reference Identification** X AN 1/50

Code qualifying the Reference Identification

**Reference Identification Qualifier** 

Not Used

C04005

128

specified by the Reference Identification Qualifier

Reference information as defined for a particular Transaction Set or as

X ID 2/3

Not Used C04006 127 Reference Identification X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Segment: **AWD** Amount with Description

**Position:** 2705

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes: Comments:** 

1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code LN, use this loop to provide loan information applicable to the lender. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this loop to provide total amounts for the form 460, Schedule B, Part 2.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount Repaid or Forgiven on Principal:

AWD01-01 code 97 or EAC (depending on purpose of amount)

Outstanding Principal: AWD01-01 code OA

Interest Paid: AWD01-01 code I

Total Interest Paid This Period:

AWD01-01 code I AWD01-03 code 8

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	AWD01	C007	<b>Amount Qualifyin</b>	g Description	$\mathbf{M}$	
			To qualify an amou	nt		
M	C00701	522	<b>Amount Qualifier</b>	Code	$\mathbf{M}$	ID 1/3
			Code to qualify amo	ount		
			97	Loan Repayment		
				Use this code to indicate a loan amoun	t repo	aid.
			EAC	Amount Forgiven		
			I	Interest		
				Use this code to indicate interest paid.		
			OA	Principal Balance Amount		
Not Used	C00702	522	<b>Amount Qualifier</b>	Code	O	ID 1/3
			Code to qualify amo	ount		
	C00703	1638	Value Detail Code		O	ID 1/1

			Code identifying extent of an amount or quantity		
			8 Total		
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	ID 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd the	eir content
Not Used	C00709	1271	Industry Code	O	AN 1/30
			Code indicating a code from a specific industry code list		
	AWD02	782	Monetary Amount	$\mathbf{X}$	R 1/18
			Monetary amount		
			Use this segment to identify the monetary amount associate		h the
			qualifier codes cited in the AWD01 composite data element		
Not Used	AWD03	61	Free-Form Message	X	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	ID 3/3
			Code (Standard ISO) for country in whose currency the char	ges ar	re specified

Segment: DTM Date/Time Reference

**Position:** 2805

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date of the loan repayment or forgiveness and the

original date of the loan.

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>	
$\mathbf{M}$	DTM01	374	Date/Time Qualifi	er	M	ID 3/3	
			Code specifying typ	be of date or time, or both date and time			
			666	Date Paid			
				Use this code to indicate the date paid.			
			915	Loan			
				Use this code to indicate the original de	ate of	the loan.	
			EDF	Forgiven			
				Use this code to indicate the date of for	giver	iess.	
	<b>DTM02</b>	373	Date		X	<b>DT 8/8</b>	
			Date expressed as C	CCYYMMDD			
Not Used	DTM03	337	Time		X	TM 4/8	
Not Used	DTM04	623	HHMMSSD, or HF 59), S = integer sec	24-hour clock time as follows: HHMM, on HMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= mindecin	nutes (00- nal seconds	
Not Used	D 1 M104	023			•		
Not Used	DTM05	1250	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow				
noi Osea	D 1 M102	1250	Date Time Period		X o form	ID 2/3	
NI-4 TI I	DTMO	1051	•	date format, time format, or date and time			
Not Used	DTM06	1251	Date Time Period		X	AN 1/35	
			Expression of a date, a time, or range of dates, times or dates and times				

Segment: PCT Percent Amounts

Position: 2905

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To qualify percent amounts and supply percent amounts

Syntax Notes: Semantic Notes:

Comments: Notes:

Use this segment to identify the interest rate applicable to the loan if it has changed

since the time of the original loan.

**Data Element Summary** 

Ref. Data Des. Element Name **Attributes** PCT01 1004 **Percent Qualifier** M ID 1/2  $\mathbf{M}$ Code to qualify percent X1 Interest Use this code to indicate the current interest rate applicable to the loan.  $\mathbf{M}$ PCT02 954 **Percent** M R 1/10

Percentage expressed as a decimal

Segment: N9 Reference Identification

Position: 3105

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.
2 If N906 is present, then N905 is required.

- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.
- **Semantic Notes:** 1 N906 reflects the time zone which the time reflects.
  - 2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. When NM101 is code LN, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to ALL amounts associated with a lender. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the lender. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the sate amounts within the same monetary transaction identifier MUST be used in the monetary transaction is propagate amendments.	is moi me foi ansact nonet in all s	netary r all tion ary cchedules
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre	I = mi ; decir	nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tin restricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 3505

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	Name	<b>Attributes</b>		
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which th	e note	applies	
			ADD Additional Information			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the receiving printer			
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

**HL** Hierarchical Level **Segment:** 

0106 **Position:** 

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule B, Part 3, Annual Report of Outstanding Loans Received.

Data Element Summary						
	Ref.	Data		•		
	Des.	<b>Element</b>	Name		<b>Attributes</b>	
M	$\overline{\text{HL0}}$ 1	628	Hierarchical ID N	umber	M AN 1/12	
			A unique number as	ssigned by the sender to identify a particul	ar data segment	
			in a hierarchical structure  Use this data element to provide a unique number that can identify each HL loop. It is recommended that the first HL loop be identified by the number 1 in this data element and that each successive HL loop be incremented by 1.			
Not Used	HL02	734	Hierarchical Paren	nt ID Number	O AN 1/12	
			Identification numb	er of the next higher hierarchical data seg-	ment that the data	
			segment being described is subordinate to			
M	HL03	735	Hierarchical Level	Code	M ID 1/2	
			Code defining the characteristic of a level in a hierarchical structure  1. This data element is used to identify the type of data that is being transmitted within the current HL loop.			
			2. Each of the liste	d codes MUST be used only one time.		
			EB	Filer  1. Use this code to indicate the submitter of the data		
				on the form 460, Schedule B, Part 3.		
			2. When this code is used, the 2/NM1/0700 so			
			MUST be used to identify the filer and the			
				2/AWD/2700 loop also MUST be used to		
			T. 3.7	amounts for form 460, Schedule B, Par	<i>t</i> 3.	
			LN	Loan Data		
				1. Use this code to indicate data application	able to	

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outstanding loans.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the lenders and the 2/AWD/2700 loop also MUST be used to identify applicable amounts for form 460, schedule B, Part 3. Report

RP

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0206 N9 Loop: Optional (Must Use) Level: Detail Usage: Optional (Must Use) Max Use: To transmit identifying information as specified by the Reference Identification Qualifier **Purpose: Syntax Notes:** At least one of N902 or N903 is required. If N906 is present, then N905 is required. If either C04003 or C04004 is present, then the other is required. 3

If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

4

When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data	Data Diement Sammary				
	Des.	Element	Name	Attri	Attributes		
M	N901	128	Reference Identification Qualifier		ID 2/3		
			Code qualifying the Reference Identification				
			Use this data element to cite a code that qualifies the data carried in N9				
			FQ Form Number				
			Use this code to indicate t transmitted.	he number of the fo	orm being		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier  Use this data element to cite the form number, e.g., F460B-3.				
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2				
Not Used	N907	C040	Code identifying the time. In accordance with I Organization standard 8601, time can be specifi in hours in relation to Universal Time Coordina restricted character, + and - are substituted by P Reference Identifier	ied by a + or - and ante (UTC) time; since	n indication e + is a		
			To identify one or more reference numbers or identification numbers as				
			specified by the Reference Qualifier				
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04002	127	Reference Identification		AN 1/50		
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier				
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction Set or as				

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

MTX Text **Segment:** 

**Position:** 0606

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	$\mathbf{o}$	ID 3/3
			Code identifying the functional area or purpose for which the	note	applies
			REP Report		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

Position: 0706

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

Semantic Notes: 1 NN

Notes:

1 NM102 qualifies NM103.

**Comments:** 

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460B-3. There can only be 1 iteration of this segment when HL03 cites code EB.

2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.

- 3. When HL03 is code LN, use this loop as many times as necessary to identify all lenders of a loan.
- 4. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

### **Data Element Summary**

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	ributes
$\mathbf{M}$	NM101	98	<b>Entity Identifier Code</b>	$\mathbf{M}$	ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide total amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify total amounts.

LN

Lender

- 1. When HL03 is code LN, use of this code is REQUIRED to indicate the lender of a loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state assigned committee identification number, if one has been received.

M

NM102

1065 Entity Type Qualifier

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an

			individual, a comn	nittee, or an entity other than a person or	comi	mittee.
			1	Person		
				Use this code to indicate an individual.		
			2	Non-Person Entity		
				Use this code to indicate an entity other	r thai	n an
				individual or committee.		
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Org	ganization Name	O	AN 1/60
			Individual last nam	ne or organizational name		
			1. Use of this data	i element is REQUIRED to provide the no	ime d	of an entity.
				is code 1 and the person has a moniker (n at to provide the moniker.	ickno	ame), use the
				is code 2 or 6 and the name of the entity of aracters, use the 2/N2/0800 segment to pune.		
	NM104	1036	Name First		O	AN 1/35
			Individual first nar	me		
	NM105	1037	Name Middle		O	AN 1/25
			Individual middle	name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individua	l name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individua	l name		
	NM108	66	<b>Identification Cod</b>	de Qualifier	$\mathbf{X}$	ID 1/2
			Code designating to Code (67)	he system/method of code structure used f	or Ide	entification
			qualify the identifi	ode LN and NM102 is code 6, use this da ication number in NM109.	ta ele	ment to
			75	State or Province Assigned Number		
				When NM101 is code LN and NM102		
				this code to indicate the state assigned identification number, if one has been		
	NM109	67	<b>Identification Cod</b>		ussig X	AN 2/80
	11112209	0.		party or other code		111 ( 2, 00
				ent to provide the state assigned committee	e ide	entification
			number, if one has			J
Not Used	NM110	706	<b>Entity Relationsh</b>	ip Code	X	ID 2/2
			Code describing en	ntity relationship		
Not Used	NM111	98	<b>Entity Identifier</b> (	Code	O	ID 2/3
			Code identifying a individual	n organizational entity, a physical location	, proj	perty or an

Segment: N2 Additional Name Information

**Position:** 0806

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Notes:** 

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			<ol> <li>When providing a moniker, insert a space after the monumeric 1, 2, or 3.</li> <li>= moniker is before the first name</li> </ol>	niker and cite the
			2 = moniker is before the first and middle name 3 = moniker is between the middle and last name	
	N202	93	Name Free-form name	O AN 1/60

**Segment: Reference Identification Position:** 1206 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier **Syntax Notes:** At least one of N902 or N903 is required. If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. If either C04005 or C04006 is present, then the other is required. 4 **Semantic Notes:** N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902. **Comments:** Use of this segment is REQUIRED a minimum of one time with code FI cited in N901. **Notes: Data Element Summary** Ref. Data Element Des. Name Attributes N901  $\mathbf{M}$ 128 **Reference Identification Qualifier** M ID 2/3 Code qualifying the Reference Identification FI File Identifier Use this code to provide a file identifier for each entity identified in NM101 of the 2/NM1/0700 segment. N902 127 **Reference Identification** X AN 1/50 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier 369 Not Used N903 **Free-form Description** X AN 1/45 Free-form descriptive text Not Used N904 373 **DT 8/8** Date  $\mathbf{O}$ Date expressed as CCYYMMDD **Not Used** N905 337  $\mathbf{X}$ TM 4/8 Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal secondsare expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)**Not Used** N906 623 **Time Code** O ID 2/2 Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Not Used N907 C040 **Reference Identifier** To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier Not Used C04001 128 **Reference Identification Qualifier** M ID 2/3

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Code qualifying the Reference Identification

Code qualifying the Reference Identification

Code qualifying the Reference Identification

specified by the Reference Identification Qualifier

specified by the Reference Identification Qualifier

Reference information as defined for a particular Transaction Set or as

Reference information as defined for a particular Transaction Set or as

M AN 1/50

X ID 2/3

X AN 1/50

X ID 2/3

**Reference Identification** 

**Reference Identification** 

**Reference Identification Qualifier** 

**Reference Identification Qualifier** 

**Not Used** 

Not Used

Not Used

Not Used

C04002

C04003

C04004

C04005

127

128

127

128

Not Used C04006 127 Reference Identification X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Segment: **AWD** Amount with Description

**Position:** 2706

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes: Comments:** 

Notes:

1. When NM101 in the 2/NM1/0700 segment is code LN, use this loop to provide loan information applicable to the lender. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

AWD03 is used when information source provides non-numeric values.

- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this loop to identify total amounts for the form 460, Schedule B, Part 3.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount of Original Loan: AWD01-01 code EBX

Unpaid Principal: AWD01-01 code UB

Unpaid Interest: AWD01-01 code 04

Total Unpaid Principal: AWD01-01 code UB AWD01-03 code 8

	Ref.	Data				
	Des.	<b>Element</b>	<b>Name</b>		Attr	ibutes
M	$\overline{AWD01}$	C007	Amount Qu	alifying Description	$\mathbf{M}$	
			To qualify a	n amount		
M	C00701	522	Amount Qu	alifier Code	$\mathbf{M}$	ID 1/3
			Code to qual	lify amount		
			EBX	Original Loan Amount		
			O4	Interest Payable		
			UB	Unpaid Principal Balance		
Not Used	C00702	522	Amount Qu	alifier Code	O	ID 1/3
			Code to qual	lify amount		
	C00703	1638	Value Detai	l Code	O	ID 1/1
			Code identify	ying extent of an amount or quantity		
			8	Total		
Not Used	C00704	935	Measureme	nt Significance Code	O	ID 2/2

			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00705	344	Unit of Time Period or Interval	O	<b>ID</b> 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	ID 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements at	nd the	eir content
Not Used	C00709	1271	Industry Code	O	AN 1/30
			Code indicating a code from a specific industry code list		
	AWD02	782	Monetary Amount	$\mathbf{X}$	R 1/18
			Monetary amount		
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element.		h the
Not Used	AWD03	61	Free-Form Message	$\mathbf{X}$	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	<b>ID</b> 3/3
			Code (Standard ISO) for country in whose currency the charge	ges ar	e specified

Segment: **DTM** Date/Time Reference

**Position:** 2806

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the original date of the loan.

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>
M	DTM01	374	Date/Time Qualif	ier	M	ID 3/3
			Code specifying ty	pe of date or time, or both date and time		
			915	Loan		
				Use this code to indicate the original de	ate of	the loan.
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	DTM03	337	Time		X	TM 4/8
Not Used	DTM04	623	HHMMSSD, or H. 59), $S = integer second$	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; ollows: D = tenths (0-9) and DD = hundred	= miı decin	nutes (00- nal seconds
		10.00	Organization stand in hours in relation restricted character	ne time. In accordance with International S and 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) times, + and - are substituted by P and M in the	and a e; sind code	an indication ce + is a s that follow
Not Used	DTM05	1250	Date Time Period	Format Qualifier	X	ID 2/3
			Code indicating the	e date format, time format, or date and time	e forn	nat
Not Used	DTM06	1251	Date Time Period		$\mathbf{X}$	AN 1/35
			Expression of a da	te, a time, or range of dates, times or dates	and t	imes

Segment: N9 Reference Identification

**Position:** 3106

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
  4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code LN, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a lender. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the lender. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

		_	Data Element Summary		
	Ref.	Data	N.T.	A 44	
3.4	Des.	Element 120	Name		ributes
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the same amounts within the same monetary transaction identifier MUST be used to where the monetary transaction is progressing transaction.	nis mon nme for ansact moneto in all s	netary r all tion ary schedules and in
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, 6 HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre <b>Time Code</b>	1 = mi ; decir	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

**Position:** 3506

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	ne note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the rece	iving p	printer
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISo		

Segment: HL Hierarchical Level

**Position:** 0107

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule C, Non-Monetary Contributions Received.

#### **Data Element Summary**

			Data Eleme	ent Summary		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>
M	HL01	628	Hierarchical ID Nu	ımber	M	AN 1/12
			A unique number as	signed by the sender to identify a particu	lar da	ıta segment
			in a hierarchical stru			C
			Use this data eleme	nt to provide a unique number that can	identi	ify each HL
			loop. It is recomme	nded that the first HL loop be identified	by th	e number 1
			in this data element	and that each successive HL loop be in	cremo	ented by 1.
Not Used	HL02	734	Hierarchical Paren	t ID Number	O	AN 1/12
			Identification numb	er of the next higher hierarchical data seg	ment	that the data
			segment being descr	ribed is subordinate to		
M	HL03	735	Hierarchical Level	Code	$\mathbf{M}$	ID 1/2
			Code defining the cl	naracteristic of a level in a hierarchical st	ructui	re
			1. This data elemen	nt is used to identify the type of data that	is be	ing
				he current HL loop.		
			2. Each of the lister	d codes MUST be used only one time.		
			EB	Filer		
				1. Use this code to indicate the submitted	ter of	the data
				on form 460, Schedule C.		
				2. When this code is used, the 2/NM1/		segment
				MUST be used to identify the filer and		
				2/AWD/2700 loop also MUST be used		
				subtotal and summary amounts for for	m 460	U,
			EC	Schedule C. Receipts		
			LC	1		• 1
				1. Use this code to indicate contribution	ns re	ceivea.

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2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all the contributors and the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0207 Loop: N9 Optional (Must Use) Level: Detail Usage: Optional (Must Use) Max Use: To transmit identifying information as specified by the Reference Identification Qualifier **Purpose: Syntax Notes:** At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data <u>Element</u>	<u>Name</u>		ributes		
M	N901	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification	• .	1 : NO02		
			Use this data element to cite a code that qualifies the data of FO Form Number	arrie	a in N902.		
				£ 410 0	form boing		
			Use this code to indicate the number of transmitted.	j ine	jorm veing		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction	n Set	or as		
			specified by the Reference Identification Qualifier				
			Use this data element to cite the form number, e.g., F460C.				
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT</b> 8/8		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-59)$ , $S = integer seconds (00-59)$ and $DD = decimal seconds$ ; decimal seconds are expressed as follows: $D = tenths (0-9)$ and $DD = hundredths (00-99)$ Time Code  O ID 2/2				
Not Used	N907	C040	Code identifying the time. In accordance with International Stream Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a		
			To identify one or more reference numbers or identification	numb	ers as		
NT 4 TT 1	G0 4004	100	specified by the Reference Qualifier	3.5	ID 2/2		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
NI 4 TI 1	C0 4003	105	Code qualifying the Reference Identification	3.4	A D. 1 /FO		
Not Used	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction	n Set			
			1				

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set o	or as

MTX Text **Segment:** 

**Position:** 0607

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	$\mathbf{o}$	ID 3/3
			Code identifying the functional area or purpose for which the	note	applies
			REP Report		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

**Position:** 0707

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

1 NM110 and NM111 further define the type of entity in NM101.

Notes: 1. When HL03 cites code EB, use this loop to identify the filer of the form 460C. There can only be 1 iteration of this segment when HL03 cites code EB.

filer information was already provided in form 460CP.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the
- 3. When HL03 is code EC, use this loop as many times as necessary to identify all contributors and associated entities of monetary contributions.
- 4. Each identified contributor, (NM101 is code EAH) and associated entities (NM101 is code 36 employer of a contributor, BUS business name of a self employed contributor, code GG an intermediary, or EBN treasurer of a committee) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the contributor and associated entity MUST be unique and the same for each group of related entities.
- 5. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

#### **Data Element Summary**

Data Element Summary						
	Ref.	Data				
	Des.	Element	Name		Attributes	
M	NM101	98		oho	M ID 2/3	
IVI	MMITOI	90	<b>Entity Identifier C</b>		• •	
			Code identifying an	organizational entity, a physical location	, property or an	
			individual			
			36	Employer		
				1. When NM101 is code EAH and NM use this code to indicate the employer of contributor.		
			2. When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number.			
			BUS	Business		
				1. When NM101 is code EAH and NM use this code to indicate the business n employed contributor.		
				2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.		
			EAH	Contributor		
				1. When HL03 is code EC, use of this REQUIRED to indicate an entity who		

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2. When this code is used, NM102 may cite code 1, 2,

or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the contributor's occupation, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state assigned committee identification number, if one has been received. If an identification number has not been received, use another iteration of this segment with NM101 citing code EBN.

EBN

Treasurer

- 1. When NM101 is code EAH and NM102 is code 6, use this code, if no committee identification number has been assigned, to indicate the treasurer of the committee.
- 2. When this code is used, NM102 should cite code 1 and the 2/N9/1200 segment MUST be used to provide a cross reference number.

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

GG

Intermediary

- 1. When HL03 is code EC, use this code to indicate the intermediary through whom a nonmonetary contribution was received.
- 2. When this code is used, the same information applicable to the contribution MUST be provided for both the intermediary and the actual contributor.
- 3. When this code is used, NM102 may cite code 1 or 2, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

M

NM102

1065 Entity Type Qualifier

M ID 1/1

Code qualifying the type of entity

- 1. Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.
- 2. When NM101 is code EAH, the listed codes are specifically used to identify the type of contributor.

Use this code to indicate an individual.

2 Non-Person Entity

Person

Use this code to indicate an entity other than an individual or committee.

			6	Organization		
				Use this code to indicate a committe	e.	
Must Use	NM103	1035	Name Last or	Organization Name	O	AN 1/60
			Individual last	name or organizational name		
			1. Use of this	data element is REQUIRED to provide the	e name o	f an entity.
				102 is code 1 and the person has a moniker gment to provide the moniker.	r (nickna	ime), use the
			greater than 6	102 is code 2 or 6 and the name of the entit 60 characters, use the 2/N2/0800 segment to		
	272.540.4	1006	balance of the	e name.		127.4/25
	NM104	1036	Name First		0	AN 1/35
	NIN #40#	1025	Individual firs		0	4 3 1 4 10 F
	NM105	1037	Name Middle		0	AN 1/25
	NIM 106	1020	Name Prefix	Idle name or initial	0	ANI 1/10
	NM106	1038	- 100	dest none	О	AN 1/10
	NM107	1039	Prefix to indiv	riduai name	0	AN 1/10
	INIVITU	1039	Suffix to indiv	idual nama	U	AN 1/10
	NM108	66		a Code Qualifier	X	ID 1/2
	14141100	00		ing the system/method of code structure use		-
			Code (67)	ing the system/method of code structure use	od for fac	mineation
			When NM101	is code EAH and NM102 is code 6, use th	is data e	lement to
				entification number in NM109.		
			75	State or Province Assigned Number	XXX 100 .	2 1. (
				1. When NM101 is code EAH and I use this code to indicate the state as		
				identification number, if one has be		
				2. If no identification number has be there MUST be another iteration of with NM101 citing code EBN.		
	NM109	67	Identification	· · · · · · · · · · · · · · · · · · ·	X	AN 2/80
			Code identifyi	ng a party or other code		
				element to provide the state assigned comm	iittee ide	ntification
NI 4 TI I	NIN#110	<b>5</b> 0.6		e has been assigned.	<b>3</b> 7	TD 2/2
Not Used	NM110	706	Entity Relation	_	X	ID 2/2
Not Hand	NIM111	00		ng entity relationship	Ω	ID 2/2
Not Used	NM111	98	<b>Entity Identif</b>	ner Code	0	ID 2/3

Code identifying an organizational entity, a physical location, property or an

individual

Segment: N2 Additional Name Information

**Position:** 0807

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

Purpose:

**Notes:** 

To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 in the 2/NM1/0700 segment is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			<ol> <li>When providing a moniker, insert a space after the monumeric 1, 2, or 3.</li> <li>= moniker is before the first name</li> </ol>	niker and cite the
			2 = moniker is before the first and middle name 3 = moniker is between the middle and last name	
	N202	93	Name Free-form name	O AN 1/60

Segment: N3 Address Information

**Position:** 0907

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data Element 166	Name Address Information	Attributes M AN 1/55
171	11001	100	Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1007

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

		<b>_</b> .	Duta Element Sammary					
	Ref. Des.	Data <u>Element</u>	Jame		<u>ributes</u>			
	N401	19	City Name	O	AN 2/30			
			Free-form text for city name					
	N402	156	State or Province Code	X	ID 2/2			
			Code (Standard State/Province) as defined by appropriate a	governr	nent agency			
Must Use	N403	116	Postal Code	O	ID 3/15			
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)					
			This data element MUST be used to provide a ZIP code.					
Not Used	N404	26	Country Code	X	ID 2/3			
			Code identifying the country					
Not Used	N405	309	Location Qualifier	X	ID 1/2			
			Code identifying type of location					
Not Used	N406	310	Location Identifier	O	AN 1/30			
			Code which identifies a specific location					
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3			
			Code identifying the country subdivision					

Segment: N9 Reference Identification

Position: 1207

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Notes: 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

2. When NM101 in the 2/NM1/0700 segment is code 36, BUS, EAH, EBN, or GG, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data						
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>		
$\mathbf{M}$	N901	128	Reference Iden	Reference Identification Qualifier				
			Code qualifying	the Reference Identification				
			6O	Cross Reference Number				
				Use this code to indicate a cross refere is used to associate entities identified i 2/NM1/0700 segment.		umber that		
			FI	File Identifier				
				Use this code to provide a file identifie identified in NM101 of the 2/NM1/070		_		
	N902	127	Reference Iden	tification	$\mathbf{X}$	AN 1/50		
			specified by the	nation as defined for a particular Transaction Reference Identification Qualifier				
Not Used	N903	369	Free-form Desc	cription	X	AN 1/45		
			Free-form descr	iptive text				
Not Used	N904	373	Date		O	<b>DT 8/8</b>		
			Date expressed	as CCYYMMDD				
Not Used	N905	337	Time		X	TM 4/8		
Not Used	N906	623	HHMMSSD, or $59$ ), $S = integer$	in 24-hour clock time as follows: HHMM, of HHMMSSDD, where H = hours (00-23), M seconds (00-59) and DD = decimal seconds; follows: D = tenths (0-9) and DD = hundred	I = mi ; decir	nutes (00- nal seconds		
			Organization sta in hours in relati restricted characteristics	g the time. In accordance with International Standard 8601, time can be specified by a + or ion to Universal Time Coordinate (UTC) time ter, + and - are substituted by P and M in the	- and ne; sin	an indication ce + is a		
Not Used	N907	C040	Reference Iden	tifier	O			
				or more reference numbers or identification Reference Qualifier	numb	ers as		
Not Used	C04001	128	Reference Iden	tification Qualifier	M	ID 2/3		
			Code qualifying	Code qualifying the Reference Identification				

Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

EMS Employment Position **Segment: Position:** 1507 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position **Syntax Notes:** If either EMS05 or EMS06 is present, then the other is required. If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. EMS03 defines the occupation category. EMS04 defines the status of employment. EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAH and NM102 is code 1, use of **Notes:** this segment is REQUIRED to identify the occupation of the contributor.

			Data Element Summary		
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	EMS01	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd th	eir content
			1. Use this data element to identify the occupation of the co	ontril	outor, in text.
			2. This data element is limited to 60 characters. Exceeding	this	limit runs
			the risk of the Secretary of State's office not receiving all of	f the d	data.
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3
			Code indicating category of employee		
Not Used	EMS03	1149	Occupation Code	O	<b>ID</b> 4/6
			Code identifying the occupation of an individual		
Not Used	EMS04	584	<b>Employment Status Code</b>	O	ID 2/2
			Code showing the general employment status of an employe	e/clai	mant
Not Used	EMS05	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	EMS06	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as
Not Used	<b>EMS07</b>	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set (	or as
Not Used	EMS08	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		

Segment: AWD Amount with Description

**Position:** 2707

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code EAH or GG, use this segment to provide amounts applicable to a contribution. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts for the form 460, Schedule C.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount/Fair Market Value: (NM101 is code EAH or GG) AWD01-01 code EBG AWD01-09 code 05-A4 (Only when NM101 is code GG)

Cumulative Calendar Year to Date:

AWD01-01 code EBG AWD01-03 code 2 AWD01-05 code EA

Cumulative to Date Other AWD01-01 code EBG AWD01-03 code 2 AWD01-05 code EE

Schedule C Summary - line 1: AWD01-01 code EBG

AWD01-09 code 05-A1

Schedule C Summary - line 2:

*AWD01-01 code EBG AWD01-09 code 05-A2* 

Schedule C Summary - line 3: AWD01-01 code EBG

AWD01-01 code EBG AWD01-03 code 8

Administrative overhead and start-up expenses paid by a sponsoring organization for its sponsored committee should also be carried in this segment as follow:

AWD01-01 code 7A

	Ref. Des.	Data <u>Element</u>	<u>Name</u>		Att	<u>ributes</u>		
M	AWD01	C007		<b>Amount Qualifying Description</b>				
			To qualify an am		-			
			amount cited in A					
M	C00701	522	Amount Qualifie		M	ID 1/3		
			Code to qualify a					
			7A	Organizational Expenses				
				Use this code to indicate administrative start-up expenses.	e ovei	rhead and		
			EBG	In-Kind Contribution				
				Use this code to indicate a nonmonetar	ry coi	ntribution,		
				i.e., the fair market value of the goods	-			
Not Used	C00702	522	Amount Qualific		O	ID 1/3		
			Code to qualify a					
	C00703	1638	Value Detail Cod		O	ID 1/1		
				extent of an amount or quantity				
			2	Cumulative				
	~~~~	005	8	Total		ID 2/2		
Not Used	C00704	935		Measurement Significance Code O				
	C00505	244		Code used to benchmark, qualify or further define a measurement val  Unit of Time Period or Interval  O II				
	C00705	344		Unit of Time Period or Interval				
			EA	Code indicating the time period or interval				
			EE EE	Calendar Year-to-Date To Date				
			EE	Use this code to indicate "To date othe	DW!!			
Not Used	C00706	1637	Net/Gross Code	est mis cout to material 10 and one	0	ID 1/1		
110t Obcu	200700	1007		whether monetary values are net or gross	O	10 1/1		
Not Used	C00707	935	Measurement Si	-	O	ID 2/2		
				chmark, qualify or further define a measure	ment			
Not Used	C00708	352	Description	, 1	O	AN 1/80		
			-	ription to clarify the related data elements a	nd the	eir content		
	C00709	1271	<b>Industry Code</b>		O	AN 1/30		
			Code indicating a	code from a specific industry code list				
			1. Use this data of Disclosure Code	element to cite a code from the California ( List Section 05.	Camp	paign		
			2. Cite code 05-A	A1, 05-A2, 05-A4.				
	AWD02	782	Monetary Amou	nt	X	R 1/18		
			Monetary amount					
				to identify the monetary amount associate ted in the AWD01 composite data element.		h the		
Not Used	AWD03	61	Free-Form Mess		X	AN 1/30		
			Free-form inform	ation				
Not Used	AWD04	100	<b>Currency Code</b>		O	ID 3/3		
			Code (Standard IS	Code (Standard ISO) for country in whose currency the charges are specifie				

Segment: DTM Date/Time Reference

Position: 2807

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date the non-monetary contribution was received.

	Ref.	Data		·		
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>
M	DTM01	374	Date/Time Qualifi	er	M	ID 3/3
			Code specifying typ	be of date or time, or both date and time		
			050	Received		
				Use this code to indicate the receipt dat monetary contribution.	e of a	non-
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CCYYMMDD		
			Use this data eleme	ent to identify the date of the contribution	ı.	
Not Used	DTM03	337	Time		X	TM 4/8
			HHMMSSD, or HF 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= miı decin	nutes (00- nal seconds
Not Used	DTM04	623	Time Code		O	ID 2/2
			Organization standa in hours in relation	e time. In accordance with International S ard 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time . + and - are substituted by P and M in the	and a	an indication ce + is a
Not Used	DTM05	1250	<b>Date Time Period</b>	Format Qualifier	X	ID 2/3
			Code indicating the	date format, time format, or date and time	e forr	nat
Not Used	<b>DTM06</b>	1251	<b>Date Time Period</b>		$\mathbf{X}$	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: N9 Reference Identification

**Position:** 3107

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Syntax Notes: To transmit identifying information as specified by the Reference Identification Qualifier

1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

N903

N904

**Not Used** 

**Not Used** 

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**Date** 

- 1. When NM101 is code EAH or GG, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a contributor or an intermediary. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the contributor or intermediary. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.
- 3. When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., a contribution received through an intermediary where the amount must be associated with both the contributor and the intermediary, use this segment to provide a cross reference number that will associate the amounts.

AN 1/45

**DT 8/8** 

December 23, 1999

	Ref.	Data	Data Elem	ent Summary	
3.6	Des.	Element	Name D. 6		Attributes
M	N901	128	Reference Identific	_	M ID 2/3
			Code qualifying the	Reference Identification	
			6O	Cross Reference Number	
			TN	When NM101 is code GG, use this code cross reference number that associates with the amount in which it is included code is used, cite the unique monetary identification number of the amount is amount identified in the AWD segment i.e., the number associated with the cocontribution amount.  Transaction Reference Number	s an amount d. When this transaction n which the it is included,
				Use this code to indicate a monetary tridentifier applicable to an amount. The transaction identifier MUST be the same amounts within the same monetary transaction identifier MUST be used in where the monetary transaction is proamendments.	is monetary me for all insaction nonetary n all schedules
	N902	127	Reference Identific	cation	X AN 1/50
				ion as defined for a particular Transaction ference Identification Qualifier	n Set or as

**Free-form Description** 

Free-form descriptive text

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			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= min	nutes (00- nal seconds
Not Osea	11900	023	Code identifying the time. In accordance with International S	•	
			Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	and	an indication ce + is a
Not Used	N907	C040	Reference Identifier	0	
N T 1	G0 4004	100	To identify one or more reference numbers or identification a specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set o	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set o	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set o	or as

Segment: MTX Text

Position: 3507

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

- 1 MTX05 is the number of lines to advance before printing.
- 1 If MTX04 is "AA Advance the specific number of lines before print", then MTX05 is required.

Notes: 1. Use ti

- 1. Use this segment to provide a description of the in-kind contribution or additional text information related to the amount cited in the 2/AWD/2700 segment.
- 2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 3. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attributes</u>	
	MTX01	363	Note Reference Code		ID 3/3	
			Code identifying the functional area or purpose for which th	e note	e note applies	
			ADD Additional Information			
			EBC Description of Goods and Services			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
			<ol> <li>When MTX01 is code ADD, the full 4096 characters medata element.</li> <li>When MTX01 is code EBC, this data element is limited Exceeding this limit runs the risk of the Secretary of State receiving the data.</li> </ol>	to 90	characters.	
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the recei	ving p	orinter	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

Segment: HL Hierarchical Level

Position: 0108

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule D, Summary of Expenditures Supporting/Opposing Other Candidates, Measures, and Committees.

			Duta Licino	one Summary				
	Ref.	Data						
	Des.	<b>Element</b>	Name		Attr	<u>ibutes</u>		
M	HL01	628	Hierarchical ID Nu	ımber	M	AN 1/12		
			A unique number as	signed by the sender to identify a particul	ar da	ta segment		
			in a hierarchical stru	• •		U		
			Use this data elemen	nt to provide a unique number that can i	denti	fy each HL		
				nded that the first HL loop be identified				
			in this data element	and that each successive HL loop be inc	reme	ented by 1.		
Not Used	HL02	734	Hierarchical Paren		O	AN 1/12		
				er of the next higher hierarchical data segribed is subordinate to	ment	that the data		
M	HL03	735	Hierarchical Level		$\mathbf{M}$	ID 1/2		
			Code defining the cl	naracteristic of a level in a hierarchical str	uctur	·e		
			1. This data element is used to identify the type of data that is being transmitted within the current HL loop.					
			nansmutea wanin ine carreni IIL 100p.					
			2. Each of the lister	d codes MUST be used only one time.				
			EB	Filer				
				1. Use this code to indicate the submitt	or of	the data		
				on form 460, Schedule D.	ci oj	ine uaia		
				on form 100, seneaute D.				
				2. When this code is used, the 2/NM1/0700 segment				
				MUST be used to identify the filer and the				
				2/AWD/2700 loop also MUST be used to		ntify the		
				summary amounts for form 460, Sched				
			EF	Expenditures				
				Use this code to indicate expenditure do	ıta is	being		

RP provided.

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification
Position: 0208

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.
4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref. <u>Des.</u>	Data <u>Element</u>	<u>Name</u>	Att	<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to cite a code that qualifies the data of	carrie	d in N902.
			FQ Form Number		
			Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
			Use this data element to cite the form number, e.g., F460D		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	I = mi ; decir	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
1100 0500	201001	120	Code qualifying the Reference Identification	.,,	12 2/0
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	$\mathbf{X}$	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04006	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier			

MTX Text **Segment:** 

**Position:** 0608

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>	
	MTX01	363	Note Reference Code	$\mathbf{o}$	ID 3/3	
			Code identifying the functional area or purpose for which the	note	applies	
			REP Report			
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2	
			A field to be used for the control of the line feed of the recei	ving p	orinter	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0708

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

Notes:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1

NM102 qualifies NM103.

**Comments:** NM110 and NM111 further define the type of entity in NM101.

> 1. When HL03 cites code EB, use this loop to identify the filer of the form 460D. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code EF, use this loop as many times as necessary to identify all entities on whose behalf an expenditure was made, i.e., the entity that was supported or opposed by an expenditure.
- 4. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	NM101	98	<b>Entity Identifier Code</b>	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

**EAW** 

Party to Benefit

- 1. When HL03 is code EF, use of this code is REQUIRED to indicate the entity, i.e., candidate, ballot measure, or committee, for whom an expenditure was intended to support or oppose.
- 2. When this code is used, NM102 may cite code 1, 2, or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. If the entity who was supported or opposed by an expenditure is a candidate, use the 2/LO/2100 segment to identify the office sought or held, the jurisdiction, and whether the expenditure was made to support or oppose the candidate.
- 4. If the entity who was supported or opposed by an expenditure is a ballot measure, use the 2/N9/1200 segment to identify the ballot measure number or letter and the 2/LQ/2100 segment to identify the jurisdiction and whether the expenditure was made to support or oppose the ballot measure.
- 5. When this code is used and NM102 cites code 6, NM108/09 should be used to provide the state assigned committee identification number.

Filer Name

1. When HL03 is code EB, use of this code is REOUIRED to indicate the submitter of the data.

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FN

This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop. 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop. 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts. **Entity Type Qualifier** M ID 1/1 Code qualifying the type of entity Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee. Person Use this code to indicate an individual. Non-Person Entity Use this code to indicate an entity other than an individual or a committee. Organization Use this code to indicate a committee. Name Last or Organization Name  $\mathbf{o}$ AN 1/60

			0	
			Individual last name or organizational name	
			1. Use of this data element is REQUIRED to	provide the name of an entity.
			2. When NM102 is code 1 and the person has 2/N2/0800 segment to provide the moniker.	s a moniker (nickname), use th
			3. When NM102 is code 2 or 6 and the name greater than 60 characters, use the 2/N2/0800 balance of the name.	-
	NM104	1036	Name First	O AN 1/35
			Individual first name	
	NM105	1037	Name Middle	O AN 1/25
			Individual middle name or initial	
	NM106	1038	Name Prefix	O AN 1/10
			Prefix to individual name	
	NM107	1039	Name Suffix	O AN 1/10
			Suffix to individual name	
	NM108	66	Identification Code Qualifier	X ID 1/2
			Code designating the system/method of code s Code (67)	tructure used for Identification
			When NM101 is code EAW and NM102 is coqualify the identification number in NM109.	
			75 State or Province Assigned	
			When NM101 is code EA this code to indicate the s identification number, if	
	NM109	67	Identification Code	X AN 2/80
			Code identifying a party or other code	
			Use this data element to provide the state assignumber, if one has been assigned.	gned committee identification
Not Used	NM110	706	Entity Relationship Code	X ID 2/2
			Code describing entity relationship	
lot Used	NM111	98	<b>Entity Identifier Code</b>	O ID 2/3
			Code identifying an organizational entity, a ph	ysical location, property or an

NM102

NM103

1065

1035

1

2

6

 $\mathbf{M}$ 

**Must Use** 

Segment: N2 Additional Name Information

**Position:** 0808

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

Purpose:

**Notes:** 

**Se:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an

organization name that is greater than 60 characters.

	Ref.	Data	·	
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0908

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes:

Comments: Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1008

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

Comments: 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Data Element Summary				
	Des.	<b>Element</b>	t Name		<u>Attributes</u>		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	<b>ID 2/2</b>		
			Code (Standard State/Province) as defined by appropriate go	overnr	ment agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding pun (zip code for United States)	ctuatio	on and blanks		
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	$\mathbf{X}$	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3		
			Code identifying the country subdivision				

N9 Reference Identification **Segment:** 

**Position:** 1208

> Loop: NM1 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** At least one of N902 or N903 is required.

number or letter.

- If N906 is present, then N905 is required.
- If either C04003 or C04004 is present, then the other is required. 3
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

2. When NM101 is code EAW, and the entity who was supported or opposed by an expenditure was a ballot measure, use this segment to identify the ballot measure

			Data	a Element Summary		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attı</u>	<u>ibutes</u>
M	N901	128	Reference Io	dentification Qualifier	M	ID $2/3$
			Code qualify	ing the Reference Identification		
			ECB	Ballot Identifier		
			FI	File Identifier		
				Use this code to provide a file identified identified in NM101 of the 2/NM1/070	•	_
	N902	127	Reference Io	dentification	X	AN 1/50
				formation as defined for a particular Transaction the Reference Identification Qualifier	Set	or as
Not Used	N903	369	Free-form I		$\mathbf{X}$	AN 1/45
			Free-form de	escriptive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date express	ed as CCYYMMDD		
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8
Not Used	N906	623	HHMMSSD 59), S = integ	sed in 24-hour clock time as follows: HHMM, or, or HHMMSSDD, where H = hours (00-23), M ger seconds (00-59) and DD = decimal seconds; d as follows: D = tenths (0-9) and DD = hundred	= mi decin	nutes (00- nal seconds
Not Used	N907	C040	Organization in hours in re	ying the time. In accordance with International S standard 8601, time can be specified by a + or elation to Universal Time Coordinate (UTC) time tracter, + and - are substituted by P and M in the clentifier	and a	an indication ce + is a
	G0.4004	100	specified by	one or more reference numbers or identification rethe Reference Qualifier		
Not Used	C04001	128		dentification Qualifier	M	ID 2/3
				ing the Reference Identification		
Not Used	C04002	127	Reference Io		M	AN 1/50
			specified by	formation as defined for a particular Transaction the Reference Identification Qualifier		
Not Used	C04003	128		dentification Qualifier	X	ID 2/3
			Code qualify	ing the Reference Identification		

Not Used	C04004	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as	
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04006	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier			

Segment: LQ Industry Code

Position: 2108

Loop: LQ Optional

Level: Detail
Usage: Optional

Max Use: 1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

- 1. When NM101 is code EAW, this segment is used to identify the office sought/held, the jurisdiction of the candidate, and whether the expenditure was made to support or oppose the candidate.
- 2. When NM101 is code EAW and N901 in the 2/N9/1200 segment is code ECB, use this segment to identify the jurisdiction in which the ballot measure is being voted upon and whether the expenditure was made to support or oppose the ballot measure.
- 3. The office sought/held, jurisdiction, and support/oppose indicator are identified by codes contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 4. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data							
Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>					
$\overline{\text{LQ0}}$ 1	1270	Code List Qualifier Code	$\overline{O}$ ID $1/3$					
		Code identifying a specific industry code list						
		Use this data element to identify the code list that coin LQ02.	ntains the code specified					
		EAF California Campaign Disclosure	Report Codes					
LQ02	1271	Industry Code	X AN 1/30					
		Code indicating a code from a specific industry code list						
		1. When NM101 is code EAW, enter codes from secthe California Campaign Disclosure Code List.	tions 03, 04 and/or 08 of					
		2. When code 03-OTH is cited in this data element, segment to identify the office, in text.	use the 2/MTX/2600					
		3. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH element, use the 2/MTX/2600 segment to identify the other jurisdiction, in text.						
		4. When code 08-SEN, 08-ASM, or 08-BOE is cited the 2/N9/2200 segment to identify the district.	in this data element, use					

Segment: N9 Reference Identification

Position: 2208

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
  4 If either C04005 or C04006 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

			Data Element Summary		
	Ref.	Data			
	Des.	Element	Name		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to qualify the code cited in N902.		
			DIS District Number		
			Use this code to indicate the district of	the o	ffice
			sought or the ballot measure.		
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
Not Hand	N903	260	specified by the Reference Identification Qualifier	X	A NI 1/45
Not Used	N903	369	Free-form Description	Λ	AN 1/45
	27004	2=2	Free-form descriptive text	_	D. F. 0.40
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, o		
			HHMMSSD, or HHMMSSDD, where H = hours (00-23), M		
			59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre		
Not Used	N906	623	Time Code	) emi	ID 2/2
110t Caca	11,500	020	Code identifying the time. In accordance with International		
			Organization standard 8601, time can be specified by a + or		
			in hours in relation to Universal Time Coordinate (UTC) tim		
			restricted character, + and - are substituted by P and M in the	e code	es that follow
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification	numb	ers as
	G0.4004	400	specified by the Reference Qualifier		TD 4/2
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
Not Used	C04003	120	specified by the Reference Identification Qualifier	X	ID 2/3
riot Osea	C04003	128	Reference Identification Qualifier	Λ	111 4/3
NI 4 TI - 1	CO 400 4	105	Code qualifying the Reference Identification	<b>3</b> 7	A NI 4/50
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
CA 460D1 (0	0.4021)		specified by the Reference Identification Qualifier	ъ	22 1000

Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as		
			specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 2608

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

- 2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.
- 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 4. This implementation convention limits the use of this segment to one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference	e Code	O	ID 3/3
			Code identifyin	g the functional area or purpose for which the	e note	applies
			EAM	Jurisdiction		
			EAQ	Description of Position		
	MTX02	1551	Message Text		$\mathbf{X}$	AN 1/4096
			To transmit larg	ge volumes of message text		
			This data eleme	ent is limited to 40 characters. Exceeding th	is lin	it runs the
			risk of the Secr	etary of State's office not receiving the data		
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit larg	ge volumes of message text		
Not Used	MTX04	934	Printer Carria	ge Control Code	X	<b>ID 2/2</b>
			A field to be use	ed for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic numb	per		
Not Used	MTX06	819	Language Cod	e	O	ID 2/3
				ng the language used in text, from a standard he International Standards Organization (ISC		

Segment: AWD Amount with Description

Position: 2708

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code EAW, use this segment to provide amounts applicable to an expenditure. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts for the form 460, Schedule D.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount this Period:

AWD01-01 code 93, EBB, or EBG (depending on type of payment)

AWD01-02 code 4L (only when AWD01-01 is code 93)

Cumulative Calendar Year to Date:

AWD01-01 code 93, EBB, or EBG (depending on type of amount)

AWD01-02 code 4L (only when AWD01-01 is code 93)

AWD01-03 code 2 AWD01-05 code EA

Cumulative to Date Other:

AWD01-01 code 93, EBB, or EBG (depending on type of amount)

AWD01-02 code 4L (only when AWD01-01 is code 93)

AWD01-03 code 2 AWD01-05 code EE

Schedule D Summary, Line 1:

*AWD01-01 code C0 AWD01-09 code 05-A1* 

Schedule D Summary, Line 2:

AWD01-01 code C0 AWD01-09 code 05-A2

Schedule D Summary, Line 3:

AWD01-01 code C0 AWD01-03 code 8

#### **Data Element Summary**

Ref. DataDes. Des.Element Des.Name AWD01Amount Qualifying DescriptionAttributes M

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			To qualify an a			
			_	site data element to provide multiple qualific	es tha	t identify the
M	C00701	522	amount cited in Amount Quali		M	ID 1/3
141	C00701	344	Code to qualify		171	ID 1/3
			93	Contribution		
			73	Use this code in conjunction with AWI	D01-0	2 code AI
				to indicate a cash contribution.	JU1-U	2 coue 4L
			C0	Current Expenditures		
				Use this code to indicate contributions	and	
			EDD	independent expenditures.		
			EBB	Expenditure Amount		7.,
				Use this code to indicate an independe amount.	nt exp	penditure
			EBG	In-Kind Contribution		
			-	Use this code to indicate a non-moneto	ırv co	entribution.
				i.e., the fair market value of the goods		
	C00702	522	Amount Quali	fier Code	O	ID 1/3
			Code to qualify	amount		
			4L	Cash		
	C00703	1638	Value Detail C		O	ID 1/1
				g extent of an amount or quantity		
			2	Cumulative		
			8	Total		
Not Used	C00704	935		Significance Code	О	ID 2/2
	~~~-			enchmark, qualify or further define a measure		
	C00705	344		Period or Interval	O	ID 2/2
			_	g the time period or interval		
			EA	Calendar Year-to-Date		
N - 4 T I 3	C00706	1/25	EE Nat/Common Cond	To Date	0	ID 1/1
Not Used	C00706	1637	Net/Gross Cod		0	ID 1/1
Not Hand	C00707	025	-	whether monetary values are net or gross	0	ID 2/2
Not Used	C00707	935		Significance Code enchmark, qualify or further define a measure	_	
Not Used	C00708	352	Description	chemiark, quality of further define a measure	0	AN 1/80
Not Oscu	C00700	332	-	scription to clarify the related data elements a	_	
	C00709	1271	Industry Code		0	AN 1/30
	000707	12/1	•	g a code from a specific industry code list	O	111 1/00
				a element to cite a code from the California	Camr	อลเฐก
				le List Section 05.	- ····· <b>F</b>	
	A 33/D02	702		ode 05-A1 or 05-A2 only.	v	D 1/10
	AWD02	782	Monetary Amo		X	R 1/18
			Monetary amou		d wit	h tha
				nt to identify the monetary amount associate cited in the AWD01 composite data element		n ine
Not Used	AWD03	61	Free-Form Me		X	AN 1/30
			Free-form infor	_		
Not Used	AWD04	100	<b>Currency Code</b>	e	O	ID 3/3
			Code (Standard	ISO) for country in whose currency the charge	ges ar	re specified

Segment: DTM Date/Time Reference

**Position:** 2808

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date of the expenditure or the possession date of an in-

kind contribution.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ibutes</u>
M	DTM01	374	Date/Time Quali	fier	$\mathbf{M}$	ID 3/3
			Code specifying ty	ype of date or time, or both date and time		
			529	Date of Possession		
				Use this code to indicate the date a can committee or an agent of the candidate obtained possession or control of the g	or c	ommittee
			666	Date Paid		201710020
	DTM02	373	Date		$\mathbf{X}$	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
			HHMMSSD, or H 59), S = integer se are expressed as fe	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; bllows: D = tenths (0-9) and DD = hundred	= mindecin	nutes (00- nal seconds
Not Used	DTM04	623	Time Code		O	ID 2/2
Not Used	DTM05	1250	Organization stand in hours in relation restricted characte	he time. In accordance with International S dard 8601, time can be specified by a + or - n to Universal Time Coordinate (UTC) time r, + and - are substituted by P and M in the d Format Qualifier	and and a	an indication ce + is a
1,00 0,000	211100	120		e date format, time format, or date and time		
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
1101 0500	ממוזות	1431				
			Expression of a da	ite, a time, or range of dates, times or dates	anu t	mes

Segment: N9 Reference Identification

Position: 3108

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
  3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

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**Notes:** 

1. When NM101 is code EAW, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a candidate, ballot measure, or committee who benefited from an expenditure. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the candidate, ballot measure, or committee who benefited from an expenditure. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

### **Data Element Summary**

	Ref.	Data			
	Des.	<u>Element</u>	Name		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the same amounts within the same monetary transaction identifier. This same to transaction identifier MUST be used to where the monetary transaction is progressing transaction.	nis mon nme for ansact monete in all s	netary r all tion ary schedules
	N902	127	Reference Identification	X	AN 1/50
Not Used	N903	369	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Free-form Description</b>	on Set o	or as AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre <b>Time Code</b> Code identifying the time. In accordance with International	f = min; deciment deths (0 O Standa	nutes (00- nal seconds 00-99) ID 2/2 ards
Not Used	N907	C040	Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	ne; sin	ce + is a

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			To identify one or more reference numbers or identification number specified by the Reference Qualifier			
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04002	127	Reference Identification	M	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set o	or as	
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set o	or as	
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set o	or as	

Segment: MTX Text

**Position:** 3508

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide a description of the in-kind contribution or additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attı</u>	<u>ributes</u>
	MTX01	363	Note Reference Cod	e	O	ID 3/3
			Code identifying the	functional area or purpose for which the	note	applies
			ADD	Additional Information		
			ECA	In-Kind Contribution		
	MTX02	1551	Message Text		$\mathbf{X}$	AN 1/4096
			To transmit large vol	umes of message text		
			<ul><li>data element.</li><li>2. When MTX01 is a</li></ul>	code ADD, the full 4096 characters ma code ECA, this data element is limited t runs the risk of the Secretary of State's	o 90	characters.
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large vol	umes of message text		
Not Used	MTX04	934	Printer Carriage Co	ontrol Code	$\mathbf{X}$	ID 2/2
			A field to be used for	the control of the line feed of the receiv	ing p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				language used in text, from a standard dernational Standards Organization (ISO		

Segment: HL Hierarchical Level

**Position:** 0109

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule E, Payments Made.

#### **Data Element Summary**

			Data Eleme	ent Summary		
	Ref.	Data				
	Des.	<b>Element</b>	Name		Attribut	tes
M	HL01	628	Hierarchical ID Nu	ımber	M AN	J 1/12
			A unique number as	signed by the sender to identify a particu	lar data se	egment
			in a hierarchical stru			
			Use this data elemen	nt to provide a unique number that can	identify ed	ach HL
				nded that the first HL loop be identified		
				and that each successive HL loop be in	cremented	<i>l by 1</i> .
Not Used	HL02	734	Hierarchical Paren	t ID Number	O AN	N 1/12
				er of the next higher hierarchical data seg	ment that	the data
				ribed is subordinate to		
M	HL03	735	Hierarchical Level	Code	M ID	1/2
			Code defining the cl	naracteristic of a level in a hierarchical st	ructure	
			1. This data elemen	nt is used to identify the type of data that	is being	
			transmitted within t	he current HL loop.		
				d codes MUST be used only one time.		
			EB	Filer		
				1. Use this code to indicate the submit	ter of the c	data
				on form 460, Schedule E.		
					(0 <b>=</b> 00	
				2. When this code is used, the 2/NM1/		rent
				MUST be used to identify the filer and		. 4la a
				2/AWD/2700 loop also MUST be used summary amounts for form 460, Sched		ine
			EF	Expenditures	uie E.	
			LI			
				1. Use this code to indicate Payments.		

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2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the payees and all associated entities; and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

### Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0209

N9 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:** 

**Syntax Notes:** At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref. Des.	Data <u>Element</u>	<u>Name</u>	<u>Att</u>	<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to cite a code that qualifies the data of	arrie	d in N902.
			FQ Form Number		
			Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as
			Use this data element to cite the form number, e.g., F460E.		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	l = mi decir	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International Stream Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	a Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

MTX Text **Segment:** 

**Position:** 0609

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 1. Use this segment to provide additional text information related to the report being

filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

Position: 0709

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

1 NM110 and NM111 further define the type of entity in NM101.

**Notes:** 

1. When HL03 cites code EB, use this loop to identify the filer of the form 460E. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code EF, use this loop as many times as necessary to identify all payees and associated entities.
- 4. Each payee (NM101 is code PE) and associated entity (NM101 is code EBN treasurer of a committee, or NM101 is code CC Credit Card Company, or NM101 is code AG Agent), MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the payee and associated entity MUST be the same.
- 5. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	NM101	98	Entity Identifier Code	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

EAX Party Holding Interest

- 1. When NM101 is code PE and the payee is a business entity in which a candidate or person controlling the committee; or an officer or employee of the committee; or a spouse of any of the above; has a 50% ownership; or is an officer partner, consultant or employee of the business; use this code to indicate that party.
- 2. When this code is used, use NM110 to identify the ownership interest or position with the payee, and NM111 to identify the relationship to the filer (ballot measure committee). Additionally, the 2/N9/1200 segment MUST be used to provide a cross reference number.

FN Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.

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3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

PE Payee

- 1. When HL03 in the 2/HL/0100 segment is code EF, use this code to indicate the payee or creditor.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and the payee is a credit card company, additional iterations of this segment are necessary, with NM101 citing code VN, to identify the vendors paid \$100 or more by the credit card company and the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the credit card company with the vendor.
- 4. When this code is used and the payee is an agent or independent contractor, additional iterations of this segment MAY be used, with NM101 citing code VN, to identify the subvendors paid by the agent or independent contractor. In this case the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the agent with the vendor.
- 5. When this code is used and the filer is a ballot measure committee (LQ02 in the 2/LQ/2100 segment of the form F460CP loop cites code 02-BMP, 02-BMC, or 02-BMS) and the payee is a business entity (NM102 is code 2), in which a candidate or person controlling the committee; or an officer or employee of the committee; or a spouse of any of the above; has a 50% ownership; or is an officer partner, consultant or employee of the business; an additional iteration of the segment, with NM101 citing code EAX, is necessary.
- 6. When this code is used and NM102 is code 6,

NM108/09 MUST be used if a state assigned identification number has been received. Vendor

- 1. When NM101 is code PE and the payee is a credit card company; or an agent or independent contractor; use this code to indicate the vendors who were paid by the credit card company or agent/independent contractor.
- 2. When this code is used, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 segment MUST be used to provide the amount of the payment applicable to the

NM102 M 1065 **Entity Type Qualifier** 

VN

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

				** .* *		
			2	Use this code to indicate an individual	•	
			2	Non-Person Entity	.1	
				Use this code to indicate an entity othe individual or a committee.	er thai	n an
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Org		0	AN 1/60
Wast Csc	1111100	1000		ne or organizational name	O	1111 1/00
				a element is REQUIRED to provide the la	ist na	me of a
				ne of an organization.		e oj u
				is code 1 and the person has a moniker (r tt to provide the moniker.	iickne	ame), use the
			3 When NM102	is code 2 or 6 and the name of the organi	zation	n is areater
				s, use the 2/N2/0800 segment to provide t		
			name organization	,		on the same
	NM104	1036	Name First		O	AN 1/35
			Individual first nar	me		
	NM105	1037	Name Middle		O	AN 1/25
			Individual middle	name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individua	l name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individua	l name		
	NM108	66	Identification Cod	_	X	ID 1/2
				he system/method of code structure used	for Id	entification
			Code (67)  When NM101 is c	ode PE and NM102 is code 6, use this da	ita olo	ment to
				ication number in NM109.	iu cic	ment to
			75	State or Province Assigned Number		
				When NM101 is code PE and NM102		
				this code to indicate the state assigned		
	NM109	67	Identification Cod	identification number, if one has been	assig X	nea. AN 2/80
	1411107	07		party or other code	21	7111 2/00
				ent to provide the state assigned committ	ee ide	entification
			number, if one has	-	ce iuc	migication
	NM110	706	<b>Entity Relationsh</b>	ip Code	X	ID 2/2
			Code describing en	ntity relationship		
				is code EAX, use this data element to iden		
			relationship (owner the entity to the pa	ership interest or position within the paye tyee.	e org	anization) of
			2 If no listed and	a adaguataly specifies the volationship of	ta aar	lo 65 and use
			•	e adequately specifies the relationship, ci egment to describe the relationship in tex		ie 05 una use
			11	Partner		
			38	Owner		
			45	Business Associate		
				Use this code to indicate a relationship		
				e.g., a supplier, a consultant, a lender,		
				code is used, the 2/MTX/2000 segment	may	be used to
			48	further describe the relationship. Employee		
			65	Other		
				1. Use this code when no other code c	an ad	lequately
					wa	1

specify a relationship to the payee.

2. When this code is used, use the 2/MTX/2000 segment to describe the relationship in text.

78 Stockholder 91 Officer 99 Director

### NM111 98 Entity Identifier Code

O ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

- 1. When NM101 is code EAX, use this data element to identify the relationship of the entity to the filer (ballot measure committee).
- 2. If no listed code adequately specifies the relationship, cite code IAV and use the 2/MTX/2000 segment to describe the relationship in text.

AAP Employee IAG Director

Use this code to indicate a person controlling a

committee.

IAV Other Related Party

- 1. Use this code when no other code can adequately specify a relationship to the payee.
- 2. When this code is used, use the 2/MTX/2000 segment to describe the relationship in text.

OL Officer VB Candidate X4 Spouse

Use this code to indicate the spouse of an entity who has a relationship to the filer (ballot measure

committee).

Segment: N2 Additional Name Information

**Position:** 0809

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes: 1. When

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data	·	
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0909

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N301	166	Address Information	M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1009

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Nome	A 44.	wihartaa
	<u>Des.</u> N401	Element 19	Name City Name	O	ributes AN 2/30
	11101	17	Free-form text for city name	O	111 2/00
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernn	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)		
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	<b>Country Subdivision Code</b>	$\mathbf{X}$	ID 1/3
			Code identifying the country subdivision		

Segment: N9 Reference Identification

Position: 1209

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Ref

Data

Notes: 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

2. When NM101 in the 2/NM1/0700 segment is code EAX, PE or VN, use of this segment may be required to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data	NT.		A 44	•1
M	<u>Des.</u> N901	Element 128	Name Reference Identification Qualifier			<u>ributes</u> ID 2/3
IVI	11701	120	Code qualifying the Reference Identification		IVI	11) 2/3
			60	Cross Reference Number		
			00	Use this code to indicate a cross refere	maa r	number that
				is used to associate entities identified		
				2/NM1/0700 segment.		
			FI	File Identifier		
				Use this code to provide a file identifie identified in NM101 of the 2/NM1/070		=
	N902	127	Reference Identi		X	AN 1/50
				ation as defined for a particular Transaction Reference Identification Qualifier	n Set	or as
Not Used	N903	369	Free-form Descr	iption	X	AN 1/45
			Free-form descrip	Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD			
Not Used	N905	337	Time			TM 4/8
Not Used	N906	623	HHMMSSD, or I 59), S = integer se	an 24-hour clock time as follows: HHMM, of HHMMSSDD, where H = hours (00-23), M econds (00-59) and DD = decimal seconds; follows: D = tenths (0-9) and DD = hundred	l = mi decir	nutes (00- nal seconds
110t Obcu	11,700	020	Code identifying the time. In accordance with International Standards			
				dard 8601, time can be specified by $a + or$		
				on to Universal Time Coordinate (UTC) time		
NI 4 FI 1	N1007	C0.40		er, + and - are substituted by P and M in the		es that follow
Not Used	N907	C040	Reference Identifier O			
			•	r more reference numbers or identification Reference Qualifier	numb	ers as
Not Used	C04001	128	•	fication Qualifier	M	ID 2/3
			Code qualifying the Reference Identification			-

Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier		or as

Segment: MTX Text

Position: 2009

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When NM110 in the 2/NM1/0700 segment is code 45 or 65, use this segment to identify the entity's relationship to the payee.

- 2. When NM111 in the 2/NM1/0700 segment is code IAV, use this segment to identify the entity's relationship to the filer (ballot measure committee).
- 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 4. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per NM1 loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
	MTX01	363	Note Reference Code		O	ID 3/3
			Code identifying the functional area or purpose for which the		note	applies
			CAC	Relationship Information		
			EBL	When NM111 in the 2/NM1/0700 segn IAV, use this code to indicate the relatifiler (ballot measure committee).  Other Type of Relationship		
				When NM110 is code 45 or 65, use this indicate the relationship to the payee.	s cod	e to
	MTX02	1551	Message Text	•	X	AN 1/4096
			To transmit large volumes of message text			
				t is limited to 90 characters. Exceeding th ary of State's office not receiving the data.		it runs the
Not Used	MTX03	1551	Message Text	, , , , , , , , , , , , , , , , , , ,	O	AN 1/4096
			To transmit large	volumes of message text		
Not Used	MTX04	934	<b>Printer Carriage</b>	<b>Control Code</b>	$\mathbf{X}$	ID 2/2
			A field to be used	for the control of the line feed of the receiv	ing p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

Segment: **AWD** Amount with Description

Position: 2709

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes: Comments:** 

1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code PE or VN, use this segment to provide amounts applicable to a payment. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts for the form 460, Schedule E.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount Paid: (NM101 is code PE or VN)

AWD01-01 code EBZ

AWD01-09 code 05-A4 (Only when NM101 is code VN)

Schedule E Summary - Line 1:

AWD01-01 code EBZ AWD01-09 code 05-A1

Schedule E Summary - Line 2:

AWD01-01 code EBZ AWD01-09 code 05-A2

Schedule E Summary - Line 3:

AWD01-01 code I AWD01-03 code 8

Schedule E Summary - Line 4:

AWD01-01 code EBZ AWD01-03 code 8

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### **Data Element Summary**

M	Des. AWD01	Element C007	Name Amount Qualifying Description	<u>Attributes</u> M
			To qualify an amount	
			Use this composite data element to provide amount cited in AWD02.	e multiple qualifies that identify the
M	C00701	522	<b>Amount Qualifier Code</b>	M ID 1/3
			Code to qualify amount	
			EBZ Payment	

Interest

			Use this code to indicate interest paid.		
Not Used	C00702	522	Amount Qualifier Code	O	ID 1/3
			Code to qualify amount		
	C00703	1638	Value Detail Code	O	<b>ID</b> 1/1
			Code identifying extent of an amount or quantity		
			8 Total		
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ement	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ement	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	and th	eir content
	C00709	1271	Industry Code	O	AN 1/30
			Code indicating a code from a specific industry code list		
			1. Use this data element to cite a code from the California Disclosure Code List Section 05.	Camp	paign
	AWD02	782	2. Cite code 05-A1, 05-A2, or 05-A4.  Monetary Amount	X	R 1/18
	A W DUZ	702	Monetary amount	А	K 1/10
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element		h the
Not Used	AWD03	61	Free-Form Message	X	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	ID 3/3
			Code (Standard ISO) for country in whose currency the char	rges a	re specified

Segment: DTM Date/Time Reference

Position: 2809

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When LQ02 in the 2/LQ/3300 segment cites code TRC or TRS, use this segment to

identify the date or dates of travel.

M	Ref. <u>Des.</u> DTM01	Data Element 374	Name Date/Time Qualific	er	Attr M	ributes ID 3/3
			Code specifying typ	be of date or time, or both date and time		
			193	Period Start		
				Use this code to indicate the travel start	t date	2.
			194	Period End		
				Use this code to indicate the travel end	date.	
			AB5	Travel		
				Use this code to indicate a discrete trav	el da	te.
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as C			
				nt to identify the date of the contribution	l.	
Not Used	DTM03	337	Time		X	TM 4/8
				4-hour clock time as follows: HHMM, or		
				IMMSSDD, where $H = \text{hours } (00\text{-}23)$ , $M = \text{hours } (00\text{-}59)$ and $DD = \text{decimal seconds}$ ;		`
				lows: $D = tenths (0.9)$ and $DD = hundred$		
Not Used	DTM04	623	Time Code		O	ID 2/2
			Organization standa in hours in relation	e time. In accordance with International S rd 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and a	an indication ce + is a
Not Used	<b>DTM05</b>	1250	<b>Date Time Period</b>		X	ID 2/3
			Code indicating the	date format, time format, or date and time	e fori	nat
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: N9 Reference Identification

Position: 3109

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
  3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. When NM101 is code PE or VN, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a payee or a vendor. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the payee or vendor. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.
- 3. When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., a vendor's distributed payment from an agent, use this segment to provide a cross reference number that will associate the amounts.

			Data Elei	ment Summary		
	Ref.	Data				
3.5	Des.	Element	Name Name	or 11 0 110		ributes
M	N901	128	Reference Identif	fication Qualifier	M	ID $2/3$
			Code qualifying the	ne Reference Identification		
			6O	Cross Reference Number		
			TN	When NM101 is code VN, use this coc cross reference number that associate with the amount in which it is include code is used, cite the unique monetary identification number of the amount i amount identified in the AWD segmen Transaction Reference Number	s an a d. W trans n whi	mount Then this saction ch the
				Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the sa amounts within the same monetary transaction identifier MUST be used it transaction identifier MUST be used it where the monetary transaction is proamendments.	is mo me fo insaci nonet n all s	netary r all tion ary schedules
	N902	127	Reference Identif	fication	X	AN 1/50
				ation as defined for a particular Transactio eference Identification Qualifier	n Set	or as
Not Used	N903	369	Free-form Descri	iption	X	AN 1/45
			Free-form descrip	tive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8
			Time expressed in	24-hour clock time as follows: HHMM, o	or HH	MMSS, or
			1			· · · · · · · · · · · · · · · · · · ·

Not Used	N906	623	HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b> Code identifying the time. In accordance with International Structure of the condition of the con	decirulths (Good of the Control of t	mal seconds 00-99) ID 2/2 ards an indication ace + is a
Not Used	N907	C040	Reference Identifier	O	
Not Used	C04001	128	To identify one or more reference numbers or identification a specified by the Reference Qualifier <b>Reference Identification Qualifier</b>	numb <b>M</b>	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as

**Industry Code Segment:** 

**Position:** 3309

**AWD** Loop: **Optional** 

Level: Detail Usage: Optional Max Use: >1

**Purpose:** Code to transmit standard industry codes **Syntax Notes:** 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes: Comments:** 

**Notes:** 

- 1. Use this segment to identify the type of payment. More than one payment code may be used to describe the type of payment. If no code adequately describes a payment, use the 2/MTX/3500 segment to provide a text description of the payment.
- 2. The type of payment is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

#### **Data Element Summary**

Ref.	Data		·		
Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>
LQ01	1270	Code List Qualific	er Code	O	ID 1/3
		Code identifying a	specific industry code list		
		Use this data elem in LQ02.	ent to identify the code list that contains t	he co	de specified
		EAF	California Campaign Disclosure Report	Code	es
LQ02	1271	<b>Industry Code</b>		X	AN 1/30

Code indicating a code from a specific industry code list

- 1. Use this data element to cite a code from Section 07 of the California Campaign Disclosure Code List.
- 2. When this data element cites code CTB and the contribution was an In-Kind Contribution, the candidate or committee on whose behalf the payment was made MUST be identified in the 2/N1/3400 segment; and a description of the goods or service or payment MUST be provided in the 2/MTX/3500 segment.
- 3. When this data element cites code IND, another iteration of this segment is necessary, citing a code from section 04 of the California Election Campaign Code List, to identify if the independent expenditure was to support or oppose a candidate or ballot measure. Additionally, identify the candidate or ballot measure supported or opposed in the 2/N1/3400 segment and provide a description of the payment in the 2/MTX/3500 segment.
- 4. When this data element cites code TRC or TRS, a full description of the payment MUST be provided in the 2/MTX/3500 segment, the destination of the trip MUST be specified in the 2/N1/3400 segment, and a date or dates of the travel MUST be provided in the 2/DTM/2800 segment.

Segment: N1 Name

Position: 3409

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** 1 At least one of N102 or N103 is required.

If either N103 or N104 is present, then the other is required.

# **Semantic Notes:** Comments:

1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

N105 and N106 further define the type of entity in N101.

Notes: 1. When LQ02 in the 2/LQ/3300 segment cites code CTB or IND, use of this segment

is REQUIRED to identify the candidate, committee or measure on whose behalf the

payment was made.

2. When LQ02 in the 2/LQ/3300 segment cites code TRC or TRS, use of this segment is REQUIRED to identify the destination of the travel.

#### Data Element Summary

			Data Eleme	ent Summary					
M	Ref. <u>Des.</u> N101	Data Element 98	<u>Name</u> Entity Identifier C	ode		ibutes ID 2/3			
			Code identifying an	organizational entity, a physical location	, prop	erty or an			
			individual						
			Use this data eleme	nt to qualify the entity identified in N102	<b>?</b> .				
			EAF	Committee					
			EBQ	Lodging Location					
			When LQ02 in the 2/LQ/3300 segment cite or TRS, use this code to indicate the destin trip.						
			VB	Candidate					
			ZZ	Mutually Defined					
				Use this code to indicate a ballot measu	ıre.				
	N102	93	Name		X	AN 1/60			
			Free-form name	orm name					
	NIO		<ol> <li>If the entity is an first name, middle i</li> <li>If the entity is an If the full name is gabbreviations, e.g.,</li> </ol>	ment to provide the full name of the enting individual, use the following format: I initial, suffix (if applicable).  In organization or a ballot measure, proving reater than 60 characters, use understance of the committee.	Prefix, ide the	e full name. e			
	N103	66	<b>Identification Code</b>	•		ID 1/2			
			Code designating th Code (67)	e system/method of code structure used for State or Province Assigned Number	or Ide	ntification			
				When N101 is code EAF, use this code state assigned committee identification has been assigned.		ber, if one			
	N104	<b>67</b>	<b>Identification Code</b>	e	X	AN 2/80			
			Code identifying a p	party or other code					
			Use this data eleme	nt to provide the state assigned committee	e ider	ıtification			

number, if one has been assigned.

Not Used	N105	706	Entity Relationship Code	$\mathbf{o}$	ID 2/2
			Code describing entity relationship		
Not Used	N106	98	<b>Entity Identifier Code</b>	0	ID 2/3
			Code identifying an organizational entity, a physical location, individual	proj	perty or an

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Segment: MTX Text

Position: 3509

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LQ02 in the 2/LQ/3300 segment cites code IND, TRC, or TRS, or if no code is cited in LQ02, this segment may be used to provide a description of the payment.

- 2. When LQ02 in the 2/LQ/3300 segment cites code CTB and the contribution is an In-Kind Contribution, use of this segment may be used to provide a description of the goods or services purchased.
- 3. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
- 4. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 5. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	Data Eki	ent Summary		
	Des.	Element	Name		Att	<u>ributes</u>
	$\overline{MTX}01$	363	Note Reference C	ode	O	ID 3/3
			Code identifying th	ne functional area or purpose for which the	e note	applies
			ADD	Additional Information		
			ECA	In-Kind Contribution		
			PMT	Payment		
				Use this code to indicate a description	of a p	payment.
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large v	rolumes of message text		
			data element.  2. When MTX01 is	is code ADD, the full 4096 characters ma is code ECA or PMT, this data element is ling this limit runs the risk of the Secreta lata.	i limii	ted to 90
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large v	rolumes of message text		
Not Used	MTX04	934	Printer Carriage	Control Code	X	ID 2/2
			A field to be used to	for the control of the line feed of the recei-	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				he language used in text, from a standard International Standards Organization (ISC		

**HL** Hierarchical Level **Segment:** 

0110 **Position:** 

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule F, Accrued Expenses (Unpaid Bills).

			Data Eleme	ent Summary		
	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ibutes</u>
M	HL01	628	Hierarchical ID Nu	ımber	M	AN 1/12
			A unique number as	signed by the sender to identify a particul	ar da	ta segment
			in a hierarchical stru			C
			Use this data elemen	nt to provide a unique number that can i	denti	ify each HL
			loop. It is recomme	nded that the first HL loop be identified	by th	e number 1
			in this data element	and that each successive HL loop be inc	reme	ented by 1.
Not Used	HL02	734	Hierarchical Paren	t ID Number	O	AN 1/12
				er of the next higher hierarchical data segn	ment	that the data
			0	ibed is subordinate to		
M	HL03	735	<b>Hierarchical Level</b>		M	ID 1/2
			Code defining the cl	naracteristic of a level in a hierarchical str	uctur	re
			1. This data elemen	at is used to identify the type of data that	is be	ing
			transmitted within t	he current HL loop.		
			2. Each of the lister	d codes MUST be used only one time.		
			EB	Filer		
				1. Use this code to indicate the submitted	er of	the data
				on form 460, Schedule F.		
				2. When this code is used, the 2/NM1/0		segment
				MUST be used to identify the filer and		
				2/AWD/2700 loop also MUST be used to		
			THE STATE OF THE S	summary amounts for form 460, Sched	ule F	<b>.</b>
			EH	Payables		

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1. Use this code to indicate Accrued Expenses.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the creditors and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

#### Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification

**Position:** 0210

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref. <u>Des.</u>	Data <u>Element</u>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to cite a code that qualifies the data of	arrie	d in N902.
			FQ Form Number		
			Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as
			Use this data element to cite the form number, e.g., F460F.		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	l = mi decir	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International Stream Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a
			To identify one or more reference numbers or identification	numb	ers as
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3
110t Obcu	201001	120	Code qualifying the Reference Identification	.,,	10 2/0
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction	a Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

**Position:** 0610

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- **3** If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0710

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

Notes:

1. When HL03 cites code EB, use this loop to identify the filer of the form 460F. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code EH, use this loop as many times as necessary to identify all the creditors.
- 4. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
$\mathbf{M}$	NM101	98	<b>Entity Identifier Code</b>	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

VN Vendor

- 1. When NM101 is code XA and the creditor is a credit card company; or an agent or independent contractor; use this code to indicate the vendors who were paid by the credit card company or agent/independent contractor.
- 2. When this code is used, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 segment MUST be used to provide the amount of the payment applicable to the vendor.

XA Creditor

> 1. When HL03 in the 2/HL/0100 segment is code EH of this code is REQUIRED to indicate a creditor

230 CA460R1 (004021) December 23, 1999 (which includes agents and credit card companies.)

- 2. When this code is used and the creditor is a credit card company, additional iterations of this segment may be used, with NM101 citing code VN, to identify the vendors paid \$100 or more by the credit card company and the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the credit card company with the vendor.
- 3. When this code is used and the creditor is an agent or independent contractor, additional iterations of this segment MAY be used, with NM101 citing code VN, to identify the subvendors paid by the agent or independent contractor. In this case the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the agent with the vendor.
- 4. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 5. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

				identification number has been receive	0	ı
M	NM102	1065	<b>Entity Type Qua</b>			ID 1/1
			Code qualifying the	ne type of entity		
				nent to identify the entity qualified in NM		
				mittee, or an entity other than a person or	com	mittee.
			1	Person		
			•	Use this code to indicate an individual	•	
			2	Non-Person Entity	-	
				Use this code to indicate an entity othe individual or a committee.	r tha	n an
			6	Organization		
				Use this code to indicate a committee.	_	
Must Use	NM103	1035		ganization Name	O	AN 1/60
				ne or organizational name a element is REQUIRED to provide the la		
			2/N2/0800 segme.  3. When NM102	is code 1 and the person has a moniker (not to provide the moniker.  is code 2 or 6 and the name of the organics, use the 2/N2/0800 segment to provide to the name.	zatior	ı is greater
	NM104	1036	Name First		O	AN 1/35
			Individual first na	me		
	NM105	1037	Name Middle		O	AN 1/25
			Individual middle	name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individua	al name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individu	al name		
	NM108	66	<b>Identification Co</b>	de Qualifier	X	ID 1/2
			Code designating Code (67)	the system/method of code structure used to	or Id	entification

#### qualify the identification number in NM109. State or Province Assigned Number When NM101 is code XA and NM102 is code 6, use this code to indicate the state assigned committee identification number, if one has been assigned. **Identification Code** X AN 2/80 NM109 **67** Code identifying a party or other code Use this data element to provide the state assigned committee identification number, if one has been assigned. **Not Used** NM110 706 **Entity Relationship Code** X ID 2/2 Code describing entity relationship **Not Used** NM111 98 **Entity Identifier Code** O ID 2/3 Code identifying an organizational entity, a physical location, property or an individual

When NM101 is code XA and NM102 is code 6, use this data element to

N2 Additional Name Information **Segment:** 

0810 **Position:** 

NM1 Loop: Optional (Must Use)

Level: Detail Usage: Optional Max Use:

**Purpose:** To specify additional names

**Syntax Notes: Semantic Notes: Comments:** 

**Notes:** 1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an

organization name that is greater than 60 characters.

	Ref.	Data	·	
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0910

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1010

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Nome	A 44.	wihartaa	
	<u>Des.</u> N401	Element 19	Name City Name	O	ributes AN 2/30	
	11101	17	Free-form text for city name	O	111 2/00	
	N402	156	State or Province Code	X	ID 2/2	
			Code (Standard State/Province) as defined by appropriate go	vernn	nent agency	
Must Use	N403	116	Postal Code	O	ID 3/15	
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)			
			This data element MUST be used to provide a ZIP code.			
Not Used	N404	26	Country Code	X	ID 2/3	
			Code identifying the country			
Not Used	N405	309	Location Qualifier	X	ID 1/2	
			Code identifying type of location			
Not Used	N406	310	Location Identifier	O	AN 1/30	
			Code which identifies a specific location			
Not Used	N407	1715	<b>Country Subdivision Code</b>	$\mathbf{X}$	ID 1/3	
			Code identifying the country subdivision			

Segment: N9 Reference Identification

Position: 1210

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Notes: 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

2. When NM101 in the 2/NM1/0700 segment is code VN or XA, use of this segment may be required to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data		•				
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>		
M	N901	128	Reference Identif	_	M	ID 2/3		
			Code qualifying th	e Reference Identification				
			6O	Cross Reference Number				
				Use this code to indicate a cross refere is used to associate entities identified in 2/NM1/0700 segment.		umber that		
			FI	File Identifier				
				Use this code to provide a file identified identified in NM101 of the 2/NM1/070		ment.		
	N902	127	Reference Identif	ication	X	AN 1/50		
			specified by the Re	tion as defined for a particular Transaction eference Identification Qualifier				
Not Used	N903	369	Free-form Descri	ption	X	AN 1/45		
			Free-form descript	ive text				
Not Used	N904	373	Date		O	<b>DT 8/8</b>		
			Date expressed as	CCYYMMDD				
Not Used	N905	337	Time		X	TM 4/8		
Not Used	N906	623	HHMMSSD, or H 59), S = integer see	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours$ (00-23), $M = minutes$ (00-59), $S = integer$ seconds (00-59) and $DD = decimal$ seconds; decimal seconds are expressed as follows: $D = tenths$ (0-9) and $DD = hundredths$ (00-99)  Time Code  O ID 2/2				
110t Osca	11700	020		ne time. In accordance with International S	•			
			Organization stand in hours in relation restricted character	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indicat in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that foll				
Not Used	N907	C040	Reference Identif	ier	O			
			specified by the Ro					
Not Used	C04001	128	Reference Identif		M	ID 2/3		
			Code qualifying th	e Reference Identification				

Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: AWD Amount with Description

**Position:** 2710

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code XA, use this segment to provide amounts applicable to an accrued expense. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 is code VN, use this segment to identify the amounts paid by a creditor on behalf of the filer.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Outstanding balance beginning of this Period: (NM101 is code XA) AWD01-01 code J9

Amount Paid: (Only use when NM101 is code VN) AWD01-01 code EBZ AWD01-09 code 05-A4

Amount Incurred this Period:

AWD01-01 code EBH (Amount should be negative if it was forgiven, reduced or paid by a third party)

Amount Paid this Period: AWD01-01 code EBZ

Outstanding Balance at the Close of this Period:

AWD01-01 code J8

Schedule F Summary - Line 1:

AWD01-01 code EBH AWD01-03 code 8

Schedule F Summary - Line 2:

AWD01-01 code EBZ AWD01-03 code 8

Schedule F Summary - Line 3:

AWD01-01 code CH

	Ref.	Data		
	Des.	<b>Element</b>	Name	<u>Attributes</u>
M	AWD01	C007	<b>Amount Qualifying Description</b>	M

Γα	Q	ua.	lify	an	amo	unt

				posite data element to provide multiple qualifies th	at identify the
M	C00701	522	amount cited Amount Qu		ID 1/3
141	C00701	344	Code to qual		10 1/3
			COGC to quan	Change Amount	
			CH		4
			EBH	Use this code to indicate a net change amount	unt.
			EBZ	Payment	• 1•
				When NM101 is code VN, use this code to payment made by a creditor on behalf of the	
			J8	Ending Balance	ic filer.
			J9	Beginning Balance	
Not Used	C00702	522	Amount Qu		ID 1/3
			Code to qual	ify amount	
	C00703	1638	Value Detail	l Code O	ID 1/1
			Code identify	ying extent of an amount or quantity	
			8	Total	
Not Used	C00704	935	Measuremen	nt Significance Code O	ID 2/2
			Code used to	benchmark, qualify or further define a measuremen	t value
Not Used	C00705	344	Unit of Time	e Period or Interval O	ID 2/2
			Code indicat	ing the time period or interval	
Not Used	C00706	1637	Net/Gross C	Code O	ID 1/1
			Code indicat	ing whether monetary values are net or gross	
Not Used	C00707	935	Measuremen	nt Significance Code O	ID 2/2
			Code used to	benchmark, qualify or further define a measurement	t value
Not Used	C00708	352	Description	0	AN 1/80
			A free-form	description to clarify the related data elements and the	neir content
	C00709	1271	Industry Co	ode O	AN 1/30
			Code indicat	ing a code from a specific industry code list	
				lata element to cite a code from the California Can Code List Section 05. 05-A4.	paign
	AWD02	782	Monetary A		R 1/18
			Monetary an	nount	
			Use this segn	ment to identify the monetary amount associated wi	th the
				les cited in the AWD01 composite data element.	
Not Used	AWD03	61	Free-Form	8	AN 1/30
			Free-form in		
Not Used	AWD04	100	Currency C		ID 3/3
			Code (Standa	ard ISO) for country in whose currency the charges a	are specified

Segment: DTM Date/Time Reference

**Position:** 2810

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When LQ02 in the 2/LQ/3300 segment cites code TRC or TRS, use this segment to

identify the date or dates of travel.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	DTM01	374	Date/Time Qualifie	er	$\mathbf{M}$	ID 3/3
			Code specifying typ	e of date or time, or both date and time		
			193	Period Start		
				Use this code to indicate the travel star	t date	<b>2.</b>
			194	Period End		
				Use this code to indicate the travel end	date.	
			AB5	Travel		
				Use this code to indicate a discrete trav	el da	te.
	<b>DTM02</b>	373	Date		X	DT 8/8
			Date expressed as C	CYYMMDD		
			Use this data eleme	nt to identify the date of the contribution	ı.	
Not Used	DTM03	337	Time		X	TM 4/8
				4-hour clock time as follows: HHMM, or		
				MMSSDD, where $H = \text{hours } (00-23)$ , $M$		
				onds (00-59) and DD = decimal seconds; ows: D = tenths (0-9) and DD = hundred		
Not Used	DTM04	623	Time Code	lows. $D = \text{tenths}(0.9)$ and $DD = \text{number}$	) ams	ID 2/2
1100 0500	2111101	020		e time. In accordance with International S	•	
			, ,	rd 8601, time can be specified by a + or -		
			_	to Universal Time Coordinate (UTC) time		
				+ and - are substituted by P and M in the		
Not Used	DTM05	1250	Date Time Period 1	Format Qualifier	X	ID 2/3
			Code indicating the	date format, time format, or date and time	e fori	mat
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35
			Expression of a date	, a time, or range of dates, times or dates	and t	times

**Reference Identification Segment:** 

**Position:** 3110

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier **Syntax Notes:** 

At least one of N902 or N903 is required.

- If N906 is present, then N905 is required. If either C04003 or C04004 is present, then the other is required. 3
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. When NM101 is code XA or VN, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a creditor. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the creditor. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.
- 3. When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., payments received by a vendor from a creditor, use this segment to provide a cross reference number that will associate the amounts.

		_	Data ER	ment Summary		
M	Ref. <u>Des.</u> N901	Data <u>Element</u> 128	Name Reference Identi	fication Qualifier		ributes ID 2/3
171	1001	120		he Reference Identification	111	10 2/0
			60	Cross Reference Number		
			TN	When NM101 is code VN, use this cocross reference number that associate with the amount in which it is include code is used, cite the unique monetar identification number of the amount amount identified in the AWD segment Transaction Reference Number	es an a ed. W y trans in whi	mount Then this saction ch the
				Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the same amounts within the same monetary transaction identifier MUST be used the where the monetary transaction is proamendments.	his mo ume fo ansact monet in all s	netary r all tion ary schedules
	N902	127	Reference Identi	fication	X	AN 1/50
				ation as defined for a particular Transaction Reference Identification Qualifier	n Set	or as
Not Used	N903	369	Free-form Descr	iption	X	AN 1/45
			Free-form descrip	otive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
			Time expressed in	a 24-hour clock time as follows: HHMM,	or HH	MMSS, or

Not Used	N906 N907	623 C040	HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b> Code identifying the time. In accordance with International Stream Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the <b>Reference Identifier</b>	decir dths (( O Standa - and ne; sin	nal seconds 00-99) ID 2/2 ards an indication ce + is a
1,00 0,00	1,50.	0010	To identify one or more reference numbers or identification	-	ers as
Not Used	C04001	128	specified by the Reference Qualifier Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	ı Set	or as
Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
110t escu	001002	120	Code qualifying the Reference Identification	21	10 2/0
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as

Segment: LQ Industry Code

**Position:** 3310

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

Dof

Data

- 1. Use this segment to identify the type of payment. More than one payment code may be used to describe the type of payment. If no code adequately describes a payment, use the 2/MTX/3500 segment to provide a text description of the payment.
- 2. The type of payment is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

#### **Data Element Summary**

Des. LQ01	Element 1270	<u>Name</u> Code List Qualifi	er Code	Attı O	ributes ID 1/3
		Code identifying a	specific industry code list		
		Use this data elem	ent to identify the code list that contains	the co	ode specified
		in LQ02.			
		EAF	California Campaign Disclosure Repor	rt Code	es
LQ02	1271	<b>Industry Code</b>		X	AN 1/30

Code indicating a code from a specific industry code list

- 1. Use this data element to cite a code from Section 07 of the California Campaign Disclosure Code List.
- 2. When this data element cites code CTB and the contribution was an In-Kind Contribution, the candidate or committee on whose behalf the payment was made MUST be identified in the 2/N1/3400 segment; and a description of the goods or service or payment MUST be provided in the 2/MTX/3500 segment.
- 3. When this data element cites code IND, another iteration of this segment is necessary, citing a code from section 04 of the California Election Campaign Code List, to identify if the independent expenditure was to support or oppose a candidate or ballot measure. Additionally, identify the candidate or ballot measure supported or opposed in the 2/NI/3400 segment and provide a description of the payment in the 2/MTX/3500 segment.
- 4. When this data element cites code TRC or TRS, a full description of the payment MUST be provided in the 2/MTX/3500 segment, the destination of the trip MUST be specified in the 2/N1/3400 segment, and a date or dates of the travel MUST be provided in the 2/DTM/2800 segment.

Segment: N1 Name

**Position:** 3410

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** 1 At least one of N102 or N103 is required.

If either N103 or N104 is present, then the other is required.

# **Semantic Notes:**

**Comments:** 

- 1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
- 2 N105 and N106 further define the type of entity in N101.

**Notes:** 

- 1. When LQ02 in the 2/LQ/3300 segment cites code CTB or IND, use of this segment is REQUIRED to identify the candidate, committee or measure on whose behalf the payment was made.
- 2. When LQ02 in the 2/LQ/3300 segment cites code TRC or TRS, use of this segment is REQUIRED to identify the destination of the travel.

#### **Data Element Summary**

		Data Element Summary									
M	Ref. <u>Des.</u> N101	Data Element 98	<u>Name</u> Entity Identifier C	Code		ributes ID 2/3					
				n organizational entity, a physical location	, prop	erty or an					
			individual								
				ent to qualify the entity identified in N102	2.						
			EAF	Committee							
			EBQ	Lodging Location							
			When LQ02 in the 2/LQ/3300 segment cites code The or TRS, use this code to indicate the destination of the trip.								
			VB	Candidate							
			ZZ	Mutually Defined							
				Use this code to indicate a ballot measu	ure.						
	N102	93	Name		X	AN 1/60					
			Free-form name								
	N102		<ol> <li>If the entity is a first name, middle</li> <li>If the entity is a If the full name is abbreviations, e.g.,</li> </ol>	ement to provide the full name of the enting in individual, use the following format: I initial, suffix (if applicable).  In organization or a ballot measure, proving reater than 60 characters, use understand the Overlifter.	Prefix ide th ndabl	e full name. le					
	N103	66	<b>Identification Cod</b>	•	X	ID 1/2					
			Code designating the Code (67)	he system/method of code structure used f State or Province Assigned Number	or Ide	entification					
				When N101 is code EAF, use this code state assigned committee identification has been assigned.							
	N104	67	<b>Identification Cod</b>	le	X	AN 2/80					
			Code identifying a	party or other code							
			Use this data eleme	ent to provide the state assigned committee	e ide	ntification					

number, if one has been assigned.

Not Used	N105	706	Entity Relationship Code	O	ID 2/2
			Code describing entity relationship		
Not Used	N106	98	<b>Entity Identifier Code</b>	0	ID 2/3
			Code identifying an organizational entity, a physical location individual	, proj	perty or an

**Segment:** 

**Position:** 3510

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes: Comments:** 

- 1 MTX05 is the number of lines to advance before printing.
- If MTX04 is "AA Advance the specific number of lines before print", then MTX05 is required.

**Notes:** 

- 1. When LQ02 in the 2/LQ/3300 segment cites code IND, TRC, or TRS, or if no code is cited in LO02, this segment may be used to provide a description of the accrued expense.
- 2. When LQ02 in the 2/LQ/3300 segment cites code CTB and the contribution is an In-Kind Contribution, use of this segment may be used to provide a description of the goods or services purchased.
- 3. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
- 4. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 5. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>
	MTX01	363	Note Reference C	Code	O	ID 3/3
			Code identifying t	he functional area or purpose for which the	note	applies
			ADD	Additional Information		
			EAY	Description of Debt		
				Use this code to indicate a description of expense.	of the	accrued
			ECA	In-Kind Contribution		
	MTX02	1551	Message Text		$\mathbf{X}$	AN 1/4096
			To transmit large	volumes of message text		
			data element.  2. When MTX01 characters. Excee	is code ADD, the full 4096 characters may is code EAY or ECA, this data element is ding this limit runs the risk of the Secreta.	limite	ed to 90
Not Used	MTX03	1551	office not receiving Message Text	ig the aata.	0	AN 1/4096
1101 0500	11111100	1001	_	volumes of message text	•	111 1/40/0
Not Used	MTX04	934	Printer Carriage	<u> </u>	X	ID 2/2
1100 0500		,,,,	_	for the control of the line feed of the receiv		
Not Used	MTX05	1470	Number		0	N0 1/9
			A generic number		-	
Not Used	MTX06	819	Language Code		0	ID 2/3
				the language used in text, from a standard of	ode l	ist

maintained by the International Standards Organization (ISO 639)

Segment: HL Hierarchical Level

**Position:** 0111

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule G, Payments Made by an Agent or Independent Contractor (on Behalf of This Committee).

#### **Data Element Summary**

	Data Element Summary							
	Ref.	Data		•				
	Des.	Element	Name		Attı	ributes		
M	$\overline{\text{HL0}}$ 1	628	Hierarchical ID N	umber	M	AN 1/12		
			A unique number a	ssigned by the sender to identify a particu	ılar da	ata segment		
			in a hierarchical str		iui uc	ita segment		
				ent to provide a unique number that can	ident	ifv each HL		
			loop. It is recommended that the first HL loop be identified by the number 1					
			in this data element and that each successive HL loop be incremented by 1.					
Not Used	HL02	734	Hierarchical Paren		О	AN 1/12		
			Identification numb	er of the next higher hierarchical data seg	ment	that the data		
				ribed is subordinate to	,			
$\mathbf{M}$	HL03	735	Hierarchical Level		$\mathbf{M}$	ID 1/2		
			Code defining the characteristic of a level in a hierarchical structure			re		
			1. This data element is used to identify the type of data that is being					
				the current HL loop.	is be	ing		
			or constitution with the constitution of the c	те синети 112 гоор.				
			2. Each of the liste	d codes MUST be used only one time.				
			EF	Expenditures				
				1. Use this code to indicate Payments.				
				, and the second				
				2. When this code is used, the 2/NM1/	0700	segment		
				MUST be used to identify the agent an	d all	the payees,		
				and the 2/AWD/2700 loop also MUST	be us	ed to		
				identify applicable amounts.				
			RP	Report				
				1. Use this code to indicate that the da	ta wh	ich		
			EF	Expenditures 1. Use this code to indicate Payments. 2. When this code is used, the 2/NM1/MUST be used to identify the agent an and the 2/AWD/2700 loop also MUST identify applicable amounts. Report	d all i be us	the payees, red to		

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follows in this HL loop is information applicable to the

form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification

**Position:** 0211

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

		_	Data Element Summary		
	Ref.	Data	NT	A 44	•1 4
M	<u>Des.</u> N901	Element 128	Name Pafarana Identification Qualifier		<u>ributes</u> ID 2/3
1 <b>V1</b>	11901	120	Reference Identification Qualifier	171	ID 2/3
			Code qualifying the Reference Identification	• .	1 MO03
			Use this data element to cite a code that qualifies the data	carrie	a in N902.
			FQ Form Number		
			Use this code to indicate the number of transmitted.	of the j	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
			Use this data element to cite the form number, e.g., F460G	ł.	
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre <b>Time Code</b>	I = mi ; decir	nutes (00- nal seconds
Not Oseu	11900	023		_	-
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tin restricted character, + and - are substituted by P and M in th <b>Reference Identifier</b>	- and ne; sin	an indication ace + is a
			To identify one or more reference numbers or identification	numb	ers as
NI 4 TI 1	C0 4001	100	specified by the Reference Qualifier	3.7	ID 2/2
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
NI 4 TI 1	C0 4002	105	Code qualifying the Reference Identification	3.7	A D. 1 /FO
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

**Position:** 0611

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being

filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0711

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1

NM102 qualifies NM103.

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

Notes:

1. When HL03 is code EF, use this loop as many times as necessary to identify the agent and all payees.

2. Only one agent can be identified on this schedule 460G

3. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

#### **Data Element Summary**

Ref. Data Des. **Element** Name Attributes  $\mathbf{M}$ NM101 98 **Entity Identifier Code** M ID 2/3

> Code identifying an organizational entity, a physical location, property or an individual

AG Agent/Agency

> 1. When HL03 is code EF, use of this code is REQUIRED to indicate the agent or independent contractor (to include credit card companies) who made payments on behalf of the committee. This code may only be used one time.

- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable total amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

PΕ Payee

- 1. Use of this code is REQUIRED to indicate the payee.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

1065 **Entity Type Qualifier** Code qualifying the type of entity M ID 1/1

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

Use this code to indicate an individual.

2 Non-Person Entity

> Use this code to indicate an entity other than an individual or a committee.

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M

NM102

			6	Organization					
				Use this code to indicate a committee.					
Must Use	NM103	1035	Name Last or Or	ganization Name	O	AN 1/60			
			Individual last nan	ne or organizational name					
			1. Use of this date	1. Use of this data element is REQUIRED to provide the name of an entity.					
				2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.					
			3. When NM102	is code 2 or 6 and the name of the entity	or coi	nmittee is			
				naracters, use the 2/N2/0800 segment to p	rovid	e the			
	NTN #10.4	1026	· ·	balance of the name.					
	NM104	1036	Name First		O	AN 1/35			
	NT 540 F	4025	Individual first na	me					
	NM105	1037	Name Middle		O	AN 1/25			
	NT 5406	1020	Individual middle	name or initial		137440			
	NM106	1038	Name Prefix		O	AN 1/10			
			Prefix to individua	al name					
	NM107	1039	Name Suffix		O	AN 1/10			
			Suffix to individua						
	NM108	66	<b>Identification Co</b>	_	X	ID 1/2			
			Code designating (Code (67)	the system/method of code structure used	for Id	entification			
				ode AG or PE and NM102 is code 6, use atification number in NM109.	this d	lata element			
			75	State or Province Assigned Number					
				When NM101 is code AG or PE and N use this code to indicate the state assignmentification number, if one has been	gned c	committee			
	NM109	67	<b>Identification Co</b>			AN 2/80			
			Code identifying a	party or other code					
			Use this data elem	ent to provide the state assigned committ	tee ide	entification			
			number, if one ha						
Not Used	NM110	706	Entity Relationsh	-	X	ID 2/2			
			Code describing e	-	_				
Not Used	NM111	98	<b>Entity Identifier</b>	Code	O	ID 2/3			

Code identifying an organizational entity, a physical location, property or an

individual

Segment: N2 Additional Name Information

**Position:** 0811

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes: 1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an

organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			<ul> <li>1. When providing a moniker, insert a space after the numeric 1, 2, or 3.</li> <li>1 = moniker is before the first name</li> </ul>	moniker and cite the
			2 = moniker is between the first and middle name 3 = moniker is between the middle and last name	
	N202	93	Name Free-form name	O AN 1/60

Segment: N3 Address Information

**Position:** 0911

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1011

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	2 4 4 4 2 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1				
	Des.	Element	Name Attribut		ributes		
	N401	19	City Name	0	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate go	overnr	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3		
			Code identifying the country subdivision				

N9 Reference Identification **Segment: Position:** 1211 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier **Syntax Notes:** At least one of N902 or N903 is required. If N906 is present, then N905 is required. If either C04003 or C04004 is present, then the other is required. 3 4 If either C04005 or C04006 is present, then the other is required. **Semantic Notes:** N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902. **Comments:** Use of this segment is REQUIRED a minimum of one time with code FI cited in N901. **Notes: Data Element Summary** Ref. Data Des. **Element Name Attributes** M N901 128 **Reference Identification Qualifier** M ID 2/3 Code qualifying the Reference Identification

			Code qualifying the Reference Identification		
			FI File Identifier		
			Use this code to provide a file identifie identified in NM101 of the 2/NM1/070		
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decir	nutes (00- nal seconds
			Code identifying the time. In accordance with International Strandard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	Standa - and ne; sin	ards an indication ace + is a
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		

Not Used C04006 127 Reference Identification X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Segment: AWD Amount with Description

**Position:** 2711

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code PE, use this segment to provide amounts applicable to a payment. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code AG, use this segment to provide the total amount for the form 460, Schedule G.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount Paid:

AWD01-01 code EBZ AWD01-09 code 05-A4

Total Amount Paid: AWD01-01 code EBZ AWD01-03 code 8

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
M	AWD01	C007	Amount Qualifying Description	$\mathbf{M}$	
			To qualify an amount		
			Use this composite data element to provide multiple qualif amount cited in AWD02.	ies tha	t identify the
M	C00701	522	Amount Qualifier Code	M	ID 1/3
			Code to qualify amount		
			EBZ Payment		
Not Used	C00702	522	Amount Qualifier Code	O	ID 1/3
			Code to qualify amount		
	C00703	1638	Value Detail Code	O	<b>ID</b> 1/1
			Code identifying extent of an amount or quantity		
			8 Total		
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measur	ement	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
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			Code used to benchmark, qualify or further define a measurer	nent	value			
Not Used	C00708	352	Description	0	AN 1/80			
			A free-form description to clarify the related data elements ar	nd the	eir content			
	C00709	1271	Industry Code	0	AN 1/30			
			Code indicating a code from a specific industry code list  1. Use this data element to cite a code from the California Campaign Disclosure Code List Section 05.					
			2. Cite code 05-A4.					
	AWD02	<b>782</b>	Monetary Amount	$\mathbf{X}$	R 1/18			
			Monetary amount					
			Use this segment to identify the monetary amount associated with qualifier codes cited in the AWD01 composite data element.					
Not Used	AWD03	61	Free-Form Message	$\mathbf{X}$	AN 1/30			
			Free-form information					
Not Used	AWD04	100	Currency Code	0	ID 3/3			
			Code (Standard ISO) for country in whose currency the charges are specified					

**Reference Identification Segment:** 

**Position:** 3111

> Loop: **AWD** Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

#### **Comments:**

Notes:

- 1. When NM101 is code PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a payee. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the payee. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.
- 3. When amounts identified in the 2/AWD/2700 segment are included in other amounts, e.g., vendor's distributed payment from an agent/credit card company, use this segment to provide a cross reference number that will associate the amounts and to identify the schedule on which the amount was reported. In this situation, the cross reference number is the monetary transaction identifier of the payment made to the agent/credit card company reported on schedule E or the unpaid amount owed to the agent/credit card company reported on schedule F.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N901	128	Reference Identification Qualifier	M ID 2/3

Code qualifying the Reference Identification

60 Cross Reference Number

> When NM101 is code PE, use this code to indicate a cross reference number that associates an amount with the amount in which it is included. When this code is used, cite the unique monetary transaction identification number of the amount in which the amount identified in the AWD segment is included, i.e., the monetary transaction identifier of the agent

payment reported on schedule E.

FQ Form Number

> When NM101 is code PE, use this code to indicate the schedule on which the unique monetary transaction identification number of the agent's payment amount

was reported.

TN Transaction Reference Number

> Use this code to indicate a monetary transaction identifier applicable to an amount. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with an entity. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in

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			amendments.		
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
		2.0	specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	I = mi ; deci	nutes (00- mal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the <b>Reference Identifier</b>	- and ne; sir	an indication ace + is a
			To identify one or more reference numbers or identification	numb	ers as
			specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as

Segment: LQ Industry Code

**Position:** 3311

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

Dof

Data

- 1. Use this segment to identify the type of payment. More than one payment code may be used to describe the type of payment. If no code adequately describes a payment, use the 2/MTX/3500 segment to provide a text description of the payment.
- 2. The type of payment is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

#### **Data Element Summary**

Des. LQ01	Element 1270	<u>Name</u> Code List Qualifi	er Code	Attı O	ributes ID 1/3			
		Code identifying a	specific industry code list					
		Use this data element to identify the code list that contains the code specified						
		in LQ02.						
		EAF	California Campaign Disclosure Report	rt Code	es			
LQ02	1271	<b>Industry Code</b>		X	AN 1/30			

Code indicating a code from a specific industry code list

- 1. Use this data element to cite a code from Section 07 of the California Campaign Disclosure Code List.
- 2. When this data element cites code CTB and the contribution was an In-Kind Contribution, the candidate or committee on whose behalf the payment was made MUST be identified in the 2/N1/3400 segment; and a description of the goods or service or payment MUST be provided in the 2/MTX/3500 segment.
- 3. When this data element cites code IND, another iteration of this segment is necessary, citing a code from section 04 of the California Election Campaign Code List, to identify if the independent expenditure was to support or oppose a candidate or ballot measure. Additionally, identify the candidate or ballot measure supported or opposed in the 2/NI/3400 segment and provide a description of the payment in the 2/MTX/3500 segment.
- 4. When this data element cites code TRC or TRS, a full description of the payment MUST be provided in the 2/MTX/3500 segment, the destination of the trip MUST be specified in the 2/N1/3400 segment, and a date or dates of the travel MUST be provided in the 2/DTM/2800 segment.

Segment: N1 Name

**Position:** 3411

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** 1 At least one of N102 or N103 is required.

If either N103 or N104 is present, then the other is required.

# **Semantic Notes:** Comments:

1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

N105 and N106 further define the type of entity in N101.

Notes: 1. When LQ02 in the 2/LQ/3300 segment cites code CTB or IND, use of this segment

is REQUIRED to identify the candidate, committee or measure on whose behalf the

payment was made.

2. When LQ02 in the 2/LQ/3300 segment cites code TRC or TRS, use of this segment is REQUIRED to identify the destination of the travel.

#### Data Element Summary

			Data Elem	ent Summary				
M	Ref. <u>Des.</u> N101	Data Element 98	Name Entity Identifier C	ode	Attr M	ributes ID 2/3		
				organizational entity, a physical location	, prop	erty or an		
			individual		_			
				nt to qualify the entity identified in N102	2.			
			EAF	Committee				
			EBQ	Lodging Location				
				When LQ02 in the 2/LQ/3300 segment or TRS, use this code to indicate the detrip.				
			VB	Candidate				
			ZZ	Mutually Defined				
				Use this code to indicate a ballot measu	ıre.			
	N102	93	Name		X	AN 1/60		
			Free-form name					
			<ol> <li>Use this data element to provide the full name of the entity.</li> <li>If the entity is an individual, use the following format: Prefix first name, middle initial, suffix (if applicable).</li> </ol>					
			If the full name is g	n organization or a ballot measure, provi greater than 60 characters, use understa		•		
	N1102	((		COM for committee.	<b>V</b>	ID 1/2		
	N103	66	<b>Identification Code</b>	•	X	ID 1/2		
			Code (67)	e system/method of code structure used f	or Ide	entification		
			75	State or Province Assigned Number				
				When N101 is code EAF, use this code state assigned committee identification has been assigned.				
	N104	67	<b>Identification Code</b>	e	X	AN 2/80		
			Code identifying a p	party or other code				
			Use this data eleme	nt to provide the state assigned committee	e ide	ntification		

number, if one has been assigned.

Not Used	N105	706	Entity Relationship Code	O	ID 2/2
			Code describing entity relationship		
Not Used	N106	98	Entity Identifier Code	0	ID 2/3
			Code identifying an organizational entity, a physical location individual	, pro	perty or an

MTX Text **Segment:** 

**Position:** 3511

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LO02 in the 2/LO/3300 segment cites code IND, TRC, or TRS, or if no code is cited in LQ02, this segment may be used to provide a description of the payment.

- 2. When LQ02 in the 2/LQ/3300 segment cites code CTB and the contribution is an In-Kind Contribution, use of this segment may be used to provide a description of the goods or services purchased.
- 3. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
- 4. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 5. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	Data Elen	ilent Summary		
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	$\overline{\text{MTX}}01$	363	Note Reference C	ode	0	ID 3/3
			Code identifying th	he functional area or purpose for which the	e note	applies
			ADD	Additional Information		
			ECA	In-Kind Contribution		
			PMT	Payment		
				Use this code to indicate a description	of a p	payment.
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large v	volumes of message text		
			data element.  2. When MTX01	is code ADD, the full 4096 characters ma is code ECA or PMT, this data element is ding this limit runs the risk of the Secreta g the data.	s limit	ted to 90
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large v	volumes of message text		
Not Used	MTX04	934	<b>Printer Carriage</b>	Control Code	X	ID 2/2
			A field to be used	for the control of the line feed of the recei	ving p	printer
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				he language used in text, from a standard International Standards Organization (ISC		

Segment: HL Hierarchical Level

**Position:** 0112

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule H, Part 1, Loans Made to Others.

# **Data Element Summary**

			Data Elem	ent Summary			
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		<b>Attributes</b>		
M	HL01	628	Hierarchical ID N	umber	M AN 1/12		
			A unique number a	ssigned by the sender to identify a particular	lar data segment		
			in a hierarchical str	ucture	•		
			Use this data eleme	ent to provide a unique number that can i	identify each HL		
				ended that the first HL loop be identified			
				t and that each successive HL loop be in	•		
Not Used	HL02	734	Hierarchical Pare	nt ID Number	O AN 1/12		
				per of the next higher hierarchical data seg	ment that the data		
			segment being desc	cribed is subordinate to			
M	HL03	735	Hierarchical Leve	l Code	M ID 1/2		
			Code defining the	characteristic of a level in a hierarchical str	ructure		
			1. This data element is used to identify the type of data that is being				
			transmitted within	the current HL loop.	_		
			2. Each of the list	ed codes MUST be used only one time.			
			EB	Filer			
				1. Use this code to indicate the submitt	ter of the data		
				on the form 460, Schedule H, Part 1.	er oj ine aaia		
				on the form 100, senemble 11, 1 and 1.			
				2. When this code is used, the 2/NM1/	0700 segment		
				MUST be used to identify the filer and	3		
				2/AWD/2700 loop also MUST be used			
				summary amounts for form 460, Sched			
			LN	Loan Data			
				1. Use this code to indicate data applic	able to a		

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received loan.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all recipients of loans, and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

Report

RP

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment:** 

**Position:** 0212

> N9 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:** 

At least one of N902 or N903 is required. **Syntax Notes:** 

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

3.6	<b>Ref. Des.</b>	Data Element	Name Name		ributes
M	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification	M	ID 2/3
			Use this data element to cite a code that qualifies the data of	carrie	d in N902.
			FQ Form Number		<i></i>
			Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	
			Use this data element to cite the form number, e.g., F460H	<i>-1</i> .	
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	I = mi ; decir	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as

MTX Text **Segment:** 

**Position:** 0612

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:** 

MTX05 is the number of lines to advance before printing. 1

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the received	ving p	printer
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

**Position:** 0712

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460H-1. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code LN, use this loop as many times as necessary to identify all recipients of a loan.
- 4. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	NM101	98	<b>Entity Identifier Code</b>	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

BW

Borrower

- 1. Use this code to indicate the recipient of a loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify summary amounts.

M NM102 1065 Entity Type Qualifier

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

1

				Use this code to indicate an individual.		
			2	Non-Person Entity		
			_	Use this code to indicate an entity other	thar	n an
				individual or committee.		
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Org	ganization Name	o	AN 1/60
			Individual last nam	ne or organizational name		
			•	element is REQUIRED to provide the last ne of an organization.	nar	ne of a
				s code 1 and the person has a moniker (nic t to provide the moniker.	ckna	me), use the
				s code 2 or 6 and the name of the organiza s, use the 2/N2/0800 segment to provide the a's name.		
	NM104	1036	Name First		o	AN 1/35
			Individual first nan	ne		
	NM105	1037	Name Middle		o	AN 1/25
			Individual middle	name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individua	l name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individua	l name		
	NM108	66	<b>Identification Cod</b>	le Qualifier	X	ID 1/2
			Code designating to Code (67)	he system/method of code structure used for	r Ide	entification
				ode BW and NM102 is code 6, use this data	ı ele	ment to
				cation number in NM109.		
			75	State or Province Assigned Number		
				When NM101 is code BW and NM102 is this code to indicate the state assigned co identification number, if one has been a	omn	ittee
	NM109	67	<b>Identification Cod</b>		X	AN 2/80
			Code identifying a	party or other code		
			Use this data elem	ent to provide the state assigned committee	ide	ntification
			number, if one has			
Not Used	NM110	706	Entity Relationsh	-	X	ID 2/2
			Code describing er	•		
Not Used	NM111	98	Entity Identifier (		O	ID 2/3
			Code identifying an individual	n organizational entity, a physical location,	prop	erty or an

Segment: N2 Additional Name Information

**Position:** 0812

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes: 1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an

organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			<ul> <li>1. When providing a moniker, insert a space after the numeric 1, 2, or 3.</li> <li>1 = moniker is before the first name</li> </ul>	moniker and cite the
			2 = moniker is between the first and middle name 3 = moniker is between the middle and last name	
	N202	93	Name Free-form name	O AN 1/60

N3 Address Information **Segment:** 

**Position:** 0912

> NM1 Loop: Optional (Must Use)

Level: Detail Usage: Optional Max Use:

**Purpose:** 

To specify the location of the named party

**Syntax Notes: Semantic Notes: Comments:** 

> **Notes:** Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1012

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data Element	Nama	A 44.	
	<u>Des.</u> N401	Element 19	Name City Name	0	ributes AN 2/30
	11401	19	·	U	AIN 2/30
	37.40.0	4 = 4	Free-form text for city name	<b>T</b> 7	TD 4/4
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernn	nent agency
	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punc (zip code for United States)	tuatic	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	$\mathbf{X}$	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	$\mathbf{o}$	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	<b>Country Subdivision Code</b>	X	ID 1/3
			Code identifying the country subdivision		

**Segment: Reference Identification Position:** 1212 NM1 Loop: Optional (Must Use) Level: Detail Usage: Optional Max Use: >1**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier **Syntax Notes:** At least one of N902 or N903 is required. If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. If either C04005 or C04006 is present, then the other is required. 4 **Semantic Notes:** N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902. **Comments:** Use of this segment is REQUIRED a minimum of one time with code FI cited in N901. **Notes: Data Element Summary** Ref. Data Element Des. Name Attributes N901  $\mathbf{M}$ 128 **Reference Identification Qualifier** M ID 2/3 Code qualifying the Reference Identification FI File Identifier Use this code to provide a file identifier for each entity identified in NM101 of the 2/NM1/0700 segment. N902 127 **Reference Identification** X AN 1/50 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier 369 Not Used N903 **Free-form Description** X AN 1/45 Free-form descriptive text Not Used N904 373 **DT 8/8** Date  $\mathbf{O}$ Date expressed as CCYYMMDD **Not Used** N905 337  $\mathbf{X}$ TM 4/8

Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)

Not Used N906 623 Time Code O ID 2/2

Code identifying the time. In accordance with International Standards

Organization standard 8601, time can be specified by a + organization indication.

Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Not Used N907 C040 Reference Identifier O

To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier

Not Used C04001 128 Reference Identification Qualifier M ID 2/3

Not Used C04002 127 Reference Identification M AN 1/50
Reference information as defined for a particular Transaction Set or as

Code qualifying the Reference Identification

specified by the Reference Identification Qualifier

Not Used C04003 128 Reference Identification Qualifier X ID 2/3

Code qualifying the Reference Identification

Not Used C04004 127 Reference Identification X AN 1/50
Reference information as defined for a particular Transaction Set or as

specified by the Reference Identification Qualifier

Reference Identification Qualifier

X ID 2/3

Code qualifying the Reference Identification

Not Used

C04005

128

Not Used C04006 127 Reference Identification X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Segment: AWD Amount with Description

Position: 2712

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code BW, use this loop to provide loan information applicable to the recipient. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts applicable to form 460, Schedule H, Part 1 and Part 2.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount of Loan: AWD01-01 code R0

Schedule H - Part 1 Summary - line 1:

AWD01-01 code R0 AWD01-09 code 05-A1

Schedule H - Part 1 Summary - line 2:

*AWD01-01 code R0 AWD01-09 code 05-A2* 

Schedule H - Part 1 Summary - line 3:

AWD01-01 code R0 AWD01-03 code 8

Schedule H - Part 2 Summary - line 4:

*AWD01-01 code LF AWD01-09 code 05-A1* 

Schedule H - Part 2 Summary - line 5:

*AWD01-01 code LF AWD01-09 code 05-A2* 

Schedule H - Part 2 Summary - line 6:

AWD01-01 code LF AWD01-03 code 8

Schedule H - Part 2 Summary - line 7:

AWD01-01 code CH

#### **Data Element Summary**

Ref. Data

Des. Element Name Attributes

M	AWD01	C007	<b>Amount Qualifying Description</b>	M	
			To qualify an amount		
M	C00701	522	Amount Qualifier Code	M	ID 1/3
			Code to qualify amount		
			CH Change Amount		
			LF Loan Remittance or Repayment		
			Use this code to indicate a loan repays	nent	received.
			R0 Loans to Participants		
			Use this code to indicate loans made.		
Not Used	C00702	522	Amount Qualifier Code	O	<b>ID 1/3</b>
			Code to qualify amount		
	C00703	1638	Value Detail Code	O	<b>ID</b> 1/1
			Code identifying extent of an amount or quantity		
			8 Total		
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd th	eir content
	C00709	1271	Industry Code	O	AN 1/30
			Code indicating a code from a specific industry code list		
			1. Use this data element to cite a code from the California Disclosure Code List Section 05.	Cam	paign
	4 TT/D 0.5	<b>-</b> 00	2. Cite either code 05-A1 or 05-A2 only.		D 4/40
	AWD02	782	Monetary Amount	X	R 1/18
			Monetary amount		
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element		
Not Used	AWD03	61	Free-Form Message	X	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	ID 3/3
			Code (Standard ISO) for country in whose currency the char	ges a	re specified

Segment: **DTM** Date/Time Reference

**Position:** 2812

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date of the loan and the due date of the loan.

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
$\mathbf{M}$	DTM01	374	Date/Time Qualifi	er	M	ID 3/3
			Code specifying typ	be of date or time, or both date and time		
			547	Date of Loan		
				Use this code to indicate the date loan	was n	nade.
			AAG	Due Date		
				Use this code to indicate the due date of	of the	loan.
	<b>DTM02</b>	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CCYYMMDD		
Not Used	DTM03	337	Time		X	TM 4/8
			HHMMSSD, or HF 59), S = integer sec	24-hour clock time as follows: HHMM, o HMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= mi decir	nutes (00- nal seconds
Not Used	DTM04	623	Time Code		O	ID 2/2
N . W	DEL 405	1250	Organization standa in hours in relation restricted character,	e time. In accordance with International S ard 8601, time can be specified by a + or to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the	and e; sin code	an indication ce + is a es that follow
Not Used	DTM05	1250	Date Time Period	•	X	ID 2/3
			· ·	date format, time format, or date and time	e for	
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35
			Expression of a dat	e, a time, or range of dates, times or dates	and t	times

Segment: PCT Percent Amounts

**Position:** 2912

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To qualify percent amounts and supply percent amounts

Syntax Notes: Semantic Notes:

**Comments:** 

Notes: Use this segment to identify the interest rate applicable to the loan.

**Data Element Summary** 

Ref. Data **Attributes** Des. Element Name M PCT01 1004 **Percent Qualifier** M ID 1/2 Code to qualify percent X1 Use this code to indicate the interest rate applicable to the loan. M PCT02 954 **Percent** M R 1/10

Percentage expressed as a decimal

Segment: N9 Reference Identification

**Position:** 3112

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code BW, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a recipient of a loan. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the recipient of a loan. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data				
	Des.	<b>Element</b>	Name			ributes
M	N901	128	Reference Identification Qualifier M ID 2/3 Code qualifying the Reference Identification			ID 2/3
			TN T	ransaction Reference Number		
			Use this code to indicate a monetary transaction identifier applicable to an amount. This mone transaction identifier MUST be the same for amounts within the same monetary transaction associated with an entity. This same monetary transaction identifier MUST be used in all sowers the monetary transaction is provided a amendments.			etary all on ry chedules
	N902	127	Reference Identificat	ion	X	AN 1/50
			specified by the Refere	as defined for a particular Transaction ence Identification Qualifier		
Not Used	N903	369	Free-form Description		$\mathbf{X}$	AN 1/45
			Free-form descriptive	text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as CC	YYMMDD		
Not Used	N905	337	Time		X	TM 4/8
			HHMMSSD, or HHM 59), S = integer second	hour clock time as follows: HHMM, or MSSDD, where H = hours (00-23), M = ls (00-59) and DD = decimal seconds; ovs: D = tenths (0-9) and DD = hundredt	= mii decin	nutes (00- nal seconds
Not Used	N906	623	Time Code		O	ID 2/2
Not Used	N907	C040	Organization standard in hours in relation to	me. In accordance with International St 8601, time can be specified by a + or - Universal Time Coordinate (UTC) time and - are substituted by P and M in the	and a	an indication ce + is a
			To identify one or more specified by the Reference	re reference numbers or identification nence Qualifier	umb	ers as

Not Used	C04001	128	Reference Identification Qualifier		ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

**Position:** 3512

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	Name		<b>Attributes</b>	
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which the		e note applies	
			ADD Additional Information			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the receiving printer			
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

**HL** Hierarchical Level **Segment:** 

0113 **Position:** 

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule H, Part 2, Repayments on Loans Made to Others and Loans Forgiven.

Data Element Summary						
	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ibutes</u>
M	HL01	628	Hierarchical ID No	umber	M	AN 1/12
			A unique number as	ssigned by the sender to identify a particul	ar da	ta segment
			in a hierarchical stru	acture		
			Use this data element to provide a unique number that can identify each HL loop. It is recommended that the first HL loop be identified by the number 1			fy each HL
			in this data element and that each successive HL loop be incremented by 1.			
Not Used	HL02	734	Hierarchical Parer	nt ID Number	O	AN 1/12
			Identification number of the next higher hierarchical data segment			
			segment being described is subordinate to			
M	HL03	735	Hierarchical Level	Code	M	ID 1/2
			Code defining the c	haracteristic of a level in a hierarchical str	uctur	e
			1. This data element is used to identify the type of data that is being			
			transmitted within the current HL loop.			
			2. Each of the listed codes MUST be used only one time.			
			EB	Filer		
				1. Use this code to indicate the submitt	er of	the data
				on the form 460, Schedule H, Part 2.	c. oj	
				2. When this code is used, the 2/NM1/0	0700 s	segment
				MUST be used to identify the filer and	the	
				2/AWD/2700 loop also MUST be used t	o ide	ntify total
				amounts for form 460, Schedule H, Par	rt 2.	
			LN	Loan Data		
				1. Use this code to indicate data applicate	able t	to a loan

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repayment.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the payers of loan repayments and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

Report

RP

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment:** 

**Position:** 0213

N9 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:** 

**Syntax Notes:** At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

3.6	Ref. <u>Des.</u>	Data Element	Name Name		ributes		
M	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification	M	ID 2/3		
			Use this data element to cite a code that qualifies the data of	carrie	d in N902.		
			FQ Form Number		<i></i>		
			Use this code to indicate the number of transmitted.	f the	form being		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set			
			Use this data element to cite the form number, e.g., F460H	-2.			
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2				
Not Used	N907	C040	Code identifying the time. In accordance with International organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a		
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction	n Set	or as		

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

**Position:** 0613

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being

filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0713

> Loop: NM1 Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

To supply the full name of an individual or organizational entity **Purpose: Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

Notes: 1. When HL03 cites code EB, use this loop to identify the filer of the form 460H-2.

There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code LN, use this loop as many times as necessary to identify all payers of loans repayments.
- 4. Each identified payer (NM101 is code PR) and associated entities (NM101 is code RM - Loan Remitter) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the payer and associated entity MUST be the same.
- 5. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

### **Data Element Summary**

	Kei.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
M	NM101	98	<b>Entity Identifier Code</b>	M	ID 2/3
			Code identifying an organizational entity, a physical location individual	ı, proj	perty or an

FN Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide subtotal and total amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify total amounts.

PR Payer

- 1. When HL03 in the 2/HL/0100 segment is code LN, use this code to indicate the payer of a loan repayment. This is the same entity who received the loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received. RM Party that remits payment 1. Use this code to indicate a third party who submitted a loan repayment. 2. When this code is used, there MUST be another iteration of this segment with NM101 citing code PR. DO NOT repeat the amount of the loan repayment. 3. When this code is used, NM102 may cite code 1, 2 or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 loop MUST be used to identify applicable amounts. 4. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.  $\mathbf{M}$ NM102 1065 **Entity Type Qualifier** M ID 1/1 Code qualifying the type of entity Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee. Person 1 Use this code to indicate an individual. 2 Non-Person Entity Use this code to indicate an entity other than an individual or a committee. 6 Organization Use this code to indicate a committee. **Must Use NM103** 1035 Name Last or Organization Name 0 AN 1/60 Individual last name or organizational name 1. Use of this data element is REQUIRED to provide the last name of a person, or the name of an organization. 2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker. 3. When NM102 is code 2 or 6 and the name of the organization is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name organization's name. NM104 1036 Name First 0 AN 1/35 Individual first name 1037 Name Middle NM105 AN 1/25 Individual middle name or initial **NM106** 1038 **Name Prefix** AN 1/10 Prefix to individual name **NM107** 1039 Name Suffix AN 1/10 Suffix to individual name **NM108** 66 **Identification Code Oualifier** X ID 1/2 Code designating the system/method of code structure used for Identification

use this code to indicate the state assigned committee

When NM101 is code PR or RM and NM102 is code 6, use this data element

State or Province Assigned Number

When NM101 is code PR or RM and NM102 is code 6,

to qualify the identification number in NM109.

Code (67)

75

			identification number, if one has been assigned.					
	NM109	67	Identification Code	X	AN 2/80			
			Code identifying a party or other code					
			Use this data element to provide the state assigned committee identificat number, if one has been assigned.					
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2			
			Code describing entity relationship					
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3			
			Code identifying an organizational entity, a physical location, property or individual					

Segment: N2 Additional Name Information

**Position:** 0813

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2

**Notes:** 

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

			· · · · · · · · · · · · · · · · · · ·	
	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the n numeric 1, 2, or 3.	noniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

N3 Address Information **Segment:** 

**Position:** 0913

NM1 Loop: Optional (Must Use)

Level: Detail Usage: Optional Max Use:

**Purpose:** 

To specify the location of the named party

**Syntax Notes: Semantic Notes: Comments:** 

> **Notes:** Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N301	166	Address Information	M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1013

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party

Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Nome	A 44.	wihartaa		
	<u>Des.</u> N401	Element 19	Name City Name	O	ributes AN 2/30		
	11101	17	Free-form text for city name	O	111 2/00		
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate go	vernn	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3		
			Code identifying the country subdivision				

Segment: N9 Reference Identification

Position: 1213

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Notes: 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in

*N901*.

2. When NM101 in the 2/NM1/0700 segment is code PR or RM, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data		•			
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>	
M	N901	128	Reference Identif	_	M	ID 2/3	
			Code qualifying th	e Reference Identification			
			6O	Cross Reference Number			
				Use this code to indicate a cross refere is used to associate entities identified in 2/NM1/0700 segment.		umber that	
			FI	File Identifier			
				Use this code to provide a file identified identified in NM101 of the 2/NM1/070		ment.	
	N902	127	Reference Identif	ication	X	AN 1/50	
			specified by the Re	tion as defined for a particular Transaction eference Identification Qualifier	Set o		
Not Used	N903	369	Free-form Descri	Free-form Description		AN 1/45	
			Free-form descript	Free-form descriptive text			
Not Used	N904	373	Date	Date		<b>DT 8/8</b>	
			Date expressed as	CCYYMMDD			
Not Used	N905	337	Time		X	TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2				
110t Osca	11700	020		ne time. In accordance with International S	•		
			Organization stand in hours in relation restricted character	ard 8601, time can be specified by a + or to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the	and a	an indication ce + is a	
Not Used	N907	C040	Reference Identif	ier	O		
			specified by the Ro				
Not Used	C04001	128	Reference Identif		M	ID 2/3	
			Code qualifying th	e Reference Identification			

Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction	on Set	or as
Not Used	C04003	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
1,00 0,000	001000	120	Code qualifying the Reference Identification		22 270
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: **AWD** Amount with Description

**Position:** 2713

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes: Comments:** 

Notes:

1. When NM101 in the 2/NM1/0700 segment is code PR or RM, use this loop to provide loan repayment information. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

AWD03 is used when information source provides non-numeric values.

- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this loop to provide total amounts for the form 460, Schedule H, Part 2.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount Repaid or Forgiven on Principal:

AWD01-01 code EAC or LF (depending on purpose of amount)

Outstanding Principal: AWD01-01 code OA

Interest Received: AWD01-01 code I

Total Interest Received This Period:

AWD01-01 code I AWD01-03 code 8

	Ref. Des.	Data Element	Name	·	Attı	ributes
M	$\overline{AWD01}$	C007	Amount Qualifying	g Description	M	
			To qualify an amou	nt		
M	C00701	522	<b>Amount Qualifier</b>	Code	M	ID 1/3
			Code to qualify amo	ount		
			EAC	Amount Forgiven		
			I	Interest		
				Use this code to indicate interest recei	ved.	
			LF	Loan Remittance or Repayment		
			OA	Principal Balance Amount		
Not Used	C00702	522	<b>Amount Qualifier</b>	Code	O	ID 1/3
			Code to qualify amo	ount		
	C00703	1638	Value Detail Code		O	<b>ID</b> 1/1
			Code identifying ex	tent of an amount or quantity		
			8	Total		

Not Used	C00704	935	Measurement Significance Code O ID		ID 2/2			
			Code used to benchmark, qualify or further define a measurer	Code used to benchmark, qualify or further define a measurement value				
Not Used	C00705	344	Unit of Time Period or Interval	0	ID 2/2			
			Code indicating the time period or interval					
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1			
			Code indicating whether monetary values are net or gross					
Not Used	C00707	935	Measurement Significance Code	0	ID 2/2			
			Code used to benchmark, qualify or further define a measurer	nent	value			
Not Used	C00708	352	Description	0	AN 1/80			
			A free-form description to clarify the related data elements ar	d the	eir content			
Not Used	C00709	1271	Industry Code	0	AN 1/30			
			Code indicating a code from a specific industry code list					
	AWD02	<b>782</b>	Monetary Amount	X	R 1/18			
			Monetary amount					
			Use this segment to identify the monetary amount associated qualifier codes cited in the AWD01 composite data element.	l wit	h the			
Not Used	AWD03	61	Free-Form Message	X	AN 1/30			
			Free-form information					
Not Used	AWD04	100	Currency Code	0	ID 3/3			
			Code (Standard ISO) for country in whose currency the charges are specified					

Segment: DTM Date/Time Reference

**Position:** 2813

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date of the loan repayment or forgiveness and the

original date of the loan.

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>	
M	DTM01	374	Date/Time Qualifi	er	$\mathbf{M}$	ID 3/3	
			Code specifying typ	pe of date or time, or both date and time			
			547	Date of Loan			
				Use this code to indicate the date the lo	an w	as made.	
			666	Date Paid			
				Use this code to indicate the date paid.			
			EDF	Forgiven			
				Use this code to indicate the date of for	giver	ness.	
	<b>DTM02</b>	373	Date		X	<b>DT 8/8</b>	
			Date expressed as C	CCYYMMDD			
Not Used	DTM03	337	Time		X	TM 4/8	
	DED 10.4	(00	HHMMSSD, or HF 59), S = integer sec are expressed as fol	24-hour clock time as follows: HHMM, or HMMSSDD, where $H = hours (00-23)$ , $M = hours (00-59)$ and $DD = hourded =$	= mindecing this (0	nutes (00- nal seconds 00-99)	
Not Used	DTM04	623	Time Code		O	ID 2/2	
Not Ugod	DTM05	1250	Organization standa in hours in relation restricted character,	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow			
Not Used	DTM05	1250	Date Time Period	•	X	ID 2/3	
			•	e date format, time format, or date and tim			
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35	
			Expression of a dat	Expression of a date, a time, or range of dates, times or dates and times			

Segment: PCT Percent Amounts

**Position:** 2913

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To qualify percent amounts and supply percent amounts

Syntax Notes: Semantic Notes:

**Comments:** 

Notes: Use this segment to identify the interest rate applicable to the loan if it has changed

since the time of the original loan.

**Data Element Summary** 

Ref. Data Des. Element Name **Attributes** PCT01 1004 **Percent Qualifier** M ID 1/2  $\mathbf{M}$ Code to qualify percent X1 Interest Use this code to indicate the interest rate applicable to the loan.  $\mathbf{M}$ PCT02 954 **Percent** M R 1/10

Percentage expressed as a decimal

**Reference Identification Segment:** 

**Position:** 3113

> Loop: **AWD** Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** At least one of N902 or N903 is required.

- If N906 is present, then N905 is required.
- If either C04003 or C04004 is present, then the other is required. 3
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

#### **Comments:**

**Not Used** 

N907

C040

**Notes:** 

1. When NM101 is code PR or RM, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a loan payer or third party who repaid a loan. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the loan payer or third party who repaid a loan. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

			Data Element Summary		
	Ref.	Data			
	Des.	Element	Name		ributes
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the sate amounts within the same monetary transaction identifier MUST be used it where the monetary transaction is proamendments.	is moi me foi ansact nonet in all s	netary r all tion ary schedules
	N902	127	Reference Identification	X	AN 1/50
		• 10	Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
	N00 (	(22	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	I = mi; decir dths ((	nutes (00- mal seconds 00-99)
Not Used	N906	623	Time Code	0	ID 2/2
			Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or		

**Reference Identifier** 

in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow

To identify one or more reference numbers or identification numbers as

NI 4 TI 1	C0 4001	120	specified by the Reference Qualifier	3.6	ID 2/2
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	on Set	or as

Segment: MTX Text

**Position:** 3513

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	ne note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the rece	iving p	printer
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: HL Hierarchical Level

**Position:** 0114

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule H, Part 3, Annual Report of Outstanding Loans Made.

# **Data Element Summary**

			Data Elem	ent Summary				
	Ref.	Data						
	Des.	<b>Element</b>	<u>Name</u>		<b>Attributes</b>			
M	HL01	628	Hierarchical ID N	umber	M AN 1/12			
			A unique number a	ssigned by the sender to identify a particular	lar data segment			
			in a hierarchical str	in a hierarchical structure				
			Use this data eleme	ent to provide a unique number that can i	identify each HL			
				ended that the first HL loop be identified				
				t and that each successive HL loop be in	-			
Not Used	HL02	734	Hierarchical Pare	nt ID Number	O AN 1/12			
				per of the next higher hierarchical data seg	ment that the data			
			segment being desc	ribed is subordinate to				
M	HL03	735	Hierarchical Level	l Code	M ID 1/2			
			Code defining the c	haracteristic of a level in a hierarchical str	ructure			
			1. This data element is used to identify the type of data that is being					
			transmitted within	the current HL loop.	_			
			2. Each of the liste	ed codes MUST be used only one time.				
			EB	Filer				
				1. Use this code to indicate the submitt	ter of the data			
				on the form 460, Schedule H, Part 3.	or of the time			
				, , , , , , , , , , , , , , , , , , , ,				
				2. When this code is used, the 2/NM1/	0700 segment			
				MUST be used to identify the filer and	S			
				2/AWD/2700 loop also MUST be used				
				amounts for form 460, Schedule H, Pa	rt 3.			
			LN	Loan Data				
				1. Use this code to indicate data applic	able to			

outstanding loans.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the recipients of loans and the 2/AWD/2700 loop also MUST be used to identify applicable amounts for form 460, schedule H, Part 3.

RP

Report

- 1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.
- 2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

## Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification
Position: 0214

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

M	<b>Ref. Des.</b>	Data Element	Name Defended to the Continue		ributes
M	N901	128	Reference Identification Qualifier Code qualifying the Reference Identification	M	ID 2/3
			Use this data element to cite a code that qualifies the data of	carrie	d in N902.
			FQ Form Number		0.000
			Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		or as
			Use this data element to cite the form number, e.g., F460H	-3.	
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	I = mi ; decir	nutes (00- mal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ace + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set o	or as

Segment: MTX Text

Position: 0614

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

 ${f NM1}$  Individual or Organizational Name **Segment:** 

**Position:** 0714

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

Notes:

1. When HL03 cites code EB, use this loop to identify the filer of the form 460H-3. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code LN, use this loop as many times as necessary to identify all recipients of loans.
- 4. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

			Data Elem	ent Summary	
M	Ref. <u>Des.</u> NM101	Data Element 98	<u>Name</u> Entity Identifier C	ode	Attributes M ID 2/3
			Code identifying an individual BW	organizational entity, a physical location Borrower	, property or an
				1. When HL03 in the 2/HL/0100 segmuse this code to indicate the recipient of	
				2. When this code is used, NM102 ma or 6, and the 2/AWD/2700 loop MUST identify applicable amounts.	•
				3. When this code is used and NM102 NM108/09 MUST be used if a state ass identification number has been receive	signed
			FN	Filer Name	

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify total amounts.

M NM102 1065 **Entity Type Qualifier**  M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

1

				Use this code to indicate an individual.		
			2	Non-Person Entity		
				Use this code to indicate an entity other	r tha	n an
				individual or a committee.		
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Or	ganization Name	O	AN 1/60
			Individual last nan	ne or organizational name		
			•	n element is REQUIRED to provide the lass ne of an organization.	st na	me of a
				is code 1 and the person has a moniker (n at to provide the moniker.	ickn	ame), use the
				is code 2 or 6 and the name of the organiz s, use the 2/N2/0800 segment to provide th		-
	NM104	1036	Name First	is nume.	О	AN 1/35
			Individual first nar	me		
	NM105	1037	Name Middle		o	AN 1/25
			Individual middle	name or initial		
	NM106	1038	Name Prefix		o	AN 1/10
			Prefix to individua	l name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individua	l name		
	NM108	66	<b>Identification Co</b>	de Qualifier	X	ID 1/2
			Code designating t Code (67)	he system/method of code structure used for	or Id	entification
			When NM101 is c	ode BW and NM102 is code 6, use this da ication number in NM109.	ta el	ement to
			75	State or Province Assigned Number		
				When NM101 is code BW and NM102 this code to indicate the state assigned identification number, if one has been a	comi	nittee
	NM109	67	<b>Identification Co</b>	, ,	X	AN 2/80
			Code identifying a	party or other code		
			Use this data elem	ent to provide the state assigned committe	e ide	entification
			number, if one ha			
Not Used	NM110	706	<b>Entity Relationsh</b>	-	X	ID 2/2
			Code describing en	•		
Not Used	NM111	98	Entity Identifier		0	ID 2/3
			Code identifying a individual	n organizational entity, a physical location	, pro	perty or an

Segment: N2 Additional Name Information

**Position:** 0814

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2 Purpose: 7

**Notes:** 

se: To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

			· · · · · · · · · · · · · · · · · · ·	
	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the n numeric 1, 2, or 3.	noniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N9 Reference Identification

**Position:** 1214

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

	T. 6	<b>D</b> 4	Data Element Summary		
	Ref.	Data	N		• • •
3.5	Des.	Element	Name		ributes
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			6O Cross Reference Number		
			FI File Identifier		
			Use this code to provide a file identifie identified in NM101 of the 2/NM1/070		
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
			specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decii	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and e; sin	an indication ce + is a
110t Osca	11707	C040	To identify one or more reference numbers or identification	•	erc ac
			specified by the Reference Qualifier	iiuiiio	CIS dS
Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
			specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
			specified by the Reference Identification Qualifier		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
~				_	

Code qualifying the Reference Identification

Not Used C04006 127 Reference Identification X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Segment: **AWD** Amount with Description

**Position:** 2714

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

**1** AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code BW, use this loop to provide loan information. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this loop to identify total amounts for the form 460, Schedule H, Part 3.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount of Original Loan: AWD01-01 code EBX

Unpaid Principal: AWD01-01 code UB

Unpaid Interest: AWD01-01 code 04

Total Unpaid Principal: AWD01-01 code UB AWD01-03 code 8

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		Att	ributes
M	AWD01	C007	Amount Qu	nalifying Description	$\mathbf{M}$	
			To qualify a	n amount		
M	C00701	522	Amount Qu	ıalifier Code	$\mathbf{M}$	ID 1/3
			Code to qua	lify amount		
			EBX	Original Loan Amount		
			O4	Interest Payable		
			UB	Unpaid Principal Balance		
Not Used	C00702	522	Amount Qu	ıalifier Code	O	ID 1/3
			Code to qua	lify amount		
	C00703	1638	Value Detai	il Code	O	ID 1/1
			Code identif	fying extent of an amount or quantity		
			8	Total		
Not Used	C00704	935	Measureme	ent Significance Code	O	ID 2/2
			Code used to	o benchmark, qualify or further define a measu	rement	value

Not Used	C00705	344	Unit of Time Period or Interval	o	ID 2/2		
			Code indicating the time period or interval				
Not Used	C00706	1637	Net/Gross Code	O	<b>ID 1/1</b>		
			Code indicating whether monetary values are net or gross				
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2		
			Code used to benchmark, qualify or further define a measure	ment	value		
Not Used	C00708	352	Description	O	AN 1/80		
			A free-form description to clarify the related data elements a	nd the	eir content		
Not Used	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
	AWD02	782	Monetary Amount	X	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associated with the				
			qualifier codes cited in the AWD01 composite data element.				
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
			Code (Standard ISO) for country in whose currency the charges are specified				

Segment: **DTM** Date/Time Reference

**Position:** 2814

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the original date of the loan.

	Ref. Des.	Data Element	Name	A 441	ributes
M	DES. DTM01	374	Date/Time Qualifier	M	ID 3/3
			Code specifying type of date or time, or both date and time		
			547 Date of Loan		
	DTM02	373	Date	X	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	DTM03	337	Time	X	TM 4/8
Not Used	DTM04	623	Time expressed in 24-hour clock time as follows: HHMM, o HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= min	nutes (00- nal seconds
			Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	and and e; sin	an indication ce + is a
Not Used	DTM05	1250	Date Time Period Format Qualifier	X	ID 2/3
			Code indicating the date format, time format, or date and time	e fori	nat
Not Used	DTM06	1251	Date Time Period	$\mathbf{X}$	AN 1/35
			Expression of a date, a time, or range of dates, times or dates	and t	imes

Segment: N9 Reference Identification

**Position:** 3114

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.
2 If N906 is present, then N905 is required.

- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

Notes:

1. When NM101 is code BW, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a loan recipient. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the loan recipient. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı M	<u>ributes</u>
M	N901	128	Reference Identification Qualifier			ID 2/3
			Code qualifying the Reference Identification			
			TN T	ransaction Reference Number		
			io ti a a ti M	Use this code to indicate a monetary tradentifier applicable to an amount. This ransaction identifier MUST be the same mounts within the same monetary transsociated with an entity. This same mansaction identifier MUST be used in where the monetary transaction is provenendments.	s mon ne fon nsact oneto all s	netary r all tion ary cchedules
	N902	127	Reference Identificat	ion	X	AN 1/50
			specified by the Refer	as defined for a particular Transaction ence Identification Qualifier	Set	
Not Used	N903	369	Free-form Description		$\mathbf{X}$	AN 1/45
			Free-form descriptive	text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as CC	YYMMDD		
Not Used	N905	337	Time		X	TM 4/8
			HHMMSSD, or HHM 59), S = integer second	hour clock time as follows: HHMM, or MSSDD, where H = hours (00-23), M ds (00-59) and DD = decimal seconds; ws: D = tenths (0-9) and DD = hundreds	= mi decin	nutes (00- nal seconds
Not Used	N906	623	Time Code		O	ID 2/2
Not Used	N907	C040	Organization standard in hours in relation to	me. In accordance with International Sta601, time can be specified by a + or - Universal Time Coordinate (UTC) time and - are substituted by P and M in the	and ;; sin	an indication ce + is a
			To identify one or mos specified by the Refer	re reference numbers or identification n ence Qualifier	umb	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as

Segment: MTX Text

**Position:** 3514

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited

in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	•			
	Des.	<b>Element</b>	<u>Name</u>		<u>Attributes</u>	
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which the	e note	applies	
			ADD Additional Information			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the recei	ving J	printer	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC			

**HL** Hierarchical Level **Segment:** 

**Position:** 0115

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule I, Miscellaneous Increases to Cash.

			Data Eleme	ent Summary			
	Ref.	Data		·			
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>	
M	HL01	628	Hierarchical ID Nu	ımber	M	AN 1/12	
			A unique number as	signed by the sender to identify a particular	lar da	ita segment	
			in a hierarchical structure				
			Use this data elemen	nt to provide a unique number that can i	identi	ify each HL	
			loop. It is recomme	nded that the first HL loop be identified	by th	e number 1	
			in this data element	and that each successive HL loop be in	creme	ented by 1.	
Not Used	HL02	734	Hierarchical Paren	t ID Number	O	AN 1/12	
			Identification number	er of the next higher hierarchical data seg	ment	that the data	
			segment being descr	ribed is subordinate to			
M	HL03	735	<b>Hierarchical Level</b>	Code	$\mathbf{M}$	ID 1/2	
			Code defining the cl	naracteristic of a level in a hierarchical str	ructui	re	
			1. This data element is used to identify the type of data that is being				
			transmitted within the current HL loop.				
				d codes MUST be used only one time.			
			EB	Filer			
				1. Use this code to indicate the submitt	ter of	the data	
				on the form 460, Schedule I.			
			2. When this code is used, the 2/NM1/0700 segment				
			MUST be used to identify the filer and the				
				2/AWD/2700 loop also MUST be used to			
			EC	summary amounts for form 460, Sched	iuie I.	•	
			LC	1	1.1	4.	
				1. Use this code to indicate data applic	able i	to	

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miscellaneous increases to cash.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the sources of the increases, and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

Report

RP

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification

Position: 0215

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref. <u>Des.</u>	Data <u>Element</u>	<u>Name</u>	<u>Att</u>	<u>ributes</u>		
M	N901	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
			Use this data element to cite a code that qualifies the data of	arrie	d in N902.		
			FQ Form Number				
			Use this code to indicate the number of transmitted.	f the	form being		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as		
			Use this data element to cite the form number, e.g., F460I.				
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2				
Not Used	N907	C040	Code identifying the time. In accordance with International Strandard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a		
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction	a Set	or as		

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

Position: 0615

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

 ${
m NM1}$  Individual or Organizational Name **Segment:** 

**Position:** 0715

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** NM102 qualifies NM103. 1

**Comments:** NM110 and NM111 further define the type of entity in NM101.

Notes: 1. When HL03 cites code EB, use this loop to identify the filer of the form 460I. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code EC, use this loop as many times as necessary to identify all sources of increases to cash.
- 4. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

			Data Elem	ent Summary	
M	Ref. <u>Des.</u> NM101	Data <u>Element</u> 98	Name Entity Identifier C		Attributes M ID 2/3
			Code identifying an individual EBI	i, property or an	
				1. Use this code to indicate the source increase.	of a cash
				2. When this code is used, NM102 may or 6, and the 2/AWD/2700 loop MUST identify applicable amounts.	
			FN	3. When this code is used and NM102 NM108/09 MUST be used if a state assidentification number has been received Filer Name	signed
				1. When HL03 is code EB, use of this REQUIRED to indicate the submitter This code is used as the means to provamounts in the 2/AWD/2700 loop.	of the data.
				2. When this code is used, only NM10 NM103 should be used. The filer info	

M NM102 1065 **Entity Type Qualifier** 

1

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

summary amounts.

provided in the form 460CP loop.

3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify

Person

				Use this code to indicate an individual.		
			2	Non-Person Entity		
			_	Use this code to indicate an entity other t.	hav	an an
				individual or a committee.	iui	i un
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Or	rganization Name	o	AN 1/60
			Individual last nar	ne or organizational name		
				a element is REQUIRED to provide the last me of an organization.	nan	ne of a
				is code 1 and the person has a moniker (nic nt to provide the moniker.	kna	me), use the
				is code 2 or 6 and the name of the organizates, use the 2/N2/0800 segment to provide the n's name.		
	NM104	1036	Name First		o	AN 1/35
			Individual first na	me		
	NM105	1037	Name Middle		O	AN 1/25
			Individual middle	name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individua	al name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individu	al name		
	NM108	66	<b>Identification Co</b>	de Qualifier	X	ID 1/2
			Code designating Code (67)	the system/method of code structure used for	r Identification	
				code EBI and NM102 is code 6, use this data fication number in NM109.	ı ele	ement to
			75	State or Province Assigned Number		
				When NM101 is code EBI and NM102 is this code to indicate the state assigned co identification number, if one has been as	mn	ittee
	NM109	67	<b>Identification Co</b>			AN 2/80
			Code identifying	a party or other code		
			Use this data elem number, if one ho	nent to provide the state assigned committee as been assigned.	ide	ntification
Not Used	NM110	706	Entity Relationsl		X	ID 2/2
			Code describing e	ntity relationship		
Not Used	NM111	98	<b>Entity Identifier</b>	Code	0	ID 2/3
			Code identifying a individual	an organizational entity, a physical location, p	orop	erty or an

Segment: N2 Additional Name Information

**Position:** 0815

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

Purpose: 7

**ose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data <u>Element</u> 93	Name Name Free-form name	Attributes M AN 1/60
			1. When providing a moniker, insert a space after the monumeric 1, 2, or 3.	oniker and cite the
			<ul> <li>1 = moniker is before the first name</li> <li>2 = moniker is between the first and middle name</li> <li>3 = moniker is between the middle and last name</li> </ul>	
	N202	93	Name Free-form name	O AN 1/60

Segment: N3 Address Information

**Position:** 0915

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N301	166	Address Information	M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1015

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

		<b>_</b> .	Duta Element Sammary					
	Ref. Des.	Data <u>Element</u>	Name	Att	<u>ributes</u>			
	N401	19	City Name	O	AN 2/30			
			Free-form text for city name					
	N402	156	State or Province Code	X	ID 2/2			
			Code (Standard State/Province) as defined by appropriate a	governr	nent agency			
Must Use	N403	116	Postal Code	O	ID 3/15			
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)					
			This data element MUST be used to provide a ZIP code.					
Not Used	N404	26	Country Code	X	ID 2/3			
			Code identifying the country					
Not Used	N405	309	Location Qualifier	X	ID 1/2			
			Code identifying type of location					
Not Used	N406	310	Location Identifier	O	AN 1/30			
			Code which identifies a specific location					
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3			
			Code identifying the country subdivision					

Segment: N9 Reference Identification

**Position:** 1215

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

	Def	Doto	Data Element Summary		
	Ref. Des.	Data Element	Name	A tt	ributes
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			60 Cross Reference Number		
			FI File Identifier		
			Use this code to provide a file identific identified in NM101 of the 2/NM1/07		
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
	37000	260	specified by the Reference Identification Qualifier	•	
Not Used	N903	369	Free-form Description	X	AN 1/45
	27004	2=2	Free-form descriptive text		D. II. 0.10
Not Used	N904	373	Date	O	DT 8/8
	>700 F	22=	Date expressed as CCYYMMDD	•	TTD 5 4/0
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre <b>Time Code</b>	I = mi ; decir	nutes (00- nal seconds
			Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tin restricted character, + and - are substituted by P and M in the	Standa - and ne; sin e code	ards an indication ce + is a
Not Used	N907	C040	Reference Identifier	0	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
			specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
No4 II 3	C04005	120	specified by the Reference Identification Qualifier	₹7	ID 2/2
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3

Code qualifying the Reference Identification

Not Used C04006 127 Reference Identification X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Segment: AWD Amount with Description

**Position:** 2715

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** 1 AWD03 is used when information source provides non-numeric values.

Comments:

Notes:

1. When NM101 in the 2/NM1/0700 segment is code EBI, use this loop to provide information applicable to the source. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts applicable to form 460, Schedule I.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount of Increase to Cash:

AWD01-01 code ZR

Schedule I Summary - Line 1:

AWD01-02 code ZR AWD01-09 code 05-A1

Schedule I Summary - Line 2

AWD01-01 code ZR AWD01-09 code 05-A2

Schedule I Summary - Line 3:

AWD01-01 code I AWD01-03 code 8

Schedule I Summary - Line 4:

AWD01-02 code ZR AWD01-03 code 8

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	$\overline{AWD01}$	C007	Amount Qualifying	ng Description	M	
			To qualify an amo	ount		
M	C00701	522	Amount Qualifier	Amount Qualifier Code		ID 1/3
			Code to qualify an	nount		
			I	Interest		
			ZR	Increase		
				Use this code to indicate increases to c	ash.	
Not Used	C00702	522	Amount Qualifier	r Code	0	ID 1/3
			Code to qualify an			

	C00703	1638	Value Detail Code	0	ID 1/1
			Code identifying extent of an amount or quantity		
			8 Total		
Not Used	C00704	935	Measurement Significance Code	O	<b>ID 2/2</b>
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	<b>ID</b> 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements as	nd th	eir content
	C00709	1271	Industry Code	O	AN 1/30
			Code indicating a code from a specific industry code list		
			1. Use this data element to cite a code from the California Disclosure Code List Section 05.	Camp	oaign
	4 33/D02	702	2. Cite either code 05-A1 or 05-A2 only.	v	D 1/10
	AWD02	782	Monetary Amount Monetary amount	X	R 1/18
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element.		h the
Not Used	AWD03	61	Free-Form Message	X	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	ID 3/3
			Code (Standard ISO) for country in whose currency the charge	ges aı	re specified

Segment: **DTM** Date/Time Reference

**Position:** 2815

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the receipt date of the cash increase.

	Ref.	Data		-		
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>
M	DTM01	374	Date/Time Qualifi	ier	$\mathbf{M}$	ID 3/3
			Code specifying ty	pe of date or time, or both date and time		
			050	Received		
				Use this code to indicate the receipt data increase.	te of i	the cash
	DTM02	373	Date		$\mathbf{X}$	<b>DT 8/8</b>
			Date expressed as 0	CCYYMMDD		
Not Used	DTM03	337	Time		X	TM 4/8
Not Used	DTM04	623	HHMMSSD, or HI 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= min decin	nutes (00- nal seconds
Not Used	DTM05	1250	Organization stands in hours in relation	the time. In accordance with International S and 8601, time can be specified by a + or to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the Format Qualifier	and e; sin	an indication ce + is a
1101 Osea	DIMIUS	1230		e date format, time format, or date and time		
NI 4 TI I	DEN TO	1051	•			
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a dat	e, a time, or range of dates, times or dates	and t	ames

Segment: N9 Reference Identification

**Position:** 3115

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes: 1 At least one of N902 or N903 is required.

yntax Notes: 1 At least one of N902 or N903 is required.
2 If N906 is present, then N905 is required.

- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

1. When NM101 is code EBI, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a source of a cash increase. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the source of a cash increase. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data				
	Des.	Element	Name			<u>ributes</u>
M	N901	128	Reference Identif	_	M	ID 2/3
			Code qualifying the	ne Reference Identification		
			TN	Transaction Reference Number		
				Use this code to indicate a monetary tridentifier applicable to an amount. This transaction identifier MUST be the same amounts within the same monetary transacciated with an entity. This same monetary transaction identifier MUST be used in where the monetary transaction is provamendments.	is moi ne foi nsact ioneta i all s	netary r all tion ary cchedules
	N902	127	Reference Identif	fication	X	AN 1/50
Not Used	N903	369		ation as defined for a particular Transactior eference Identification Qualifier	Set o	or as AN 1/45
Not Oscu	11703	307	Free-form descrip	-	Λ	AN 1/43
Not Used	N904	373	Date	iive text	0	DT 8/8
Not Oscu	11704	313		CCVVMMDD	U	D1 6/6
No4 Tland	NIOO E	227	Date expressed as <b>Time</b>	CCTTMMDD	v	TM 4/0
Not Used	N905	337		041 1 1 d CH HIDOA	X	TM 4/8
Not Used	N906	623	HHMMSSD, or H 59), S = integer se	24-hour clock time as follows: HHMM, of HMMSSDD, where H = hours (00-23), M econds (00-59) and DD = decimal seconds; follows: D = tenths (0-9) and DD = hundred	= min decin	nutes (00- nal seconds
Not Used	N907	C040	Organization stand in hours in relation	the time. In accordance with International Stard 8601, time can be specified by a + or - in to Universal Time Coordinate (UTC) timer, + and - are substituted by P and M in the fier	and e; sin	an indication ce + is a
			To identify one or	more reference numbers or identification in	numb	ers as

NI 4 TI 1	C0 4001	120	specified by the Reference Qualifier	3.6	ID 2/2
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	on Set	or as

Segment: MTX Text

**Position:** 3515

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. When the 2/AWD/2700 segment is used to identify a receipt, use this segment to

describe the receipt.

2. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

3. Use of text is discouraged if the information can be provided in a codified manner elsewhere within the transaction set.

4. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
	MTX01	363	Note Reference	ee Code	O	<b>ID</b> 3/3
			Code identifying	ng the functional area or purpose for which the	note	applies
			ADD	Additional Information		
			ECG	Description of Receipt		
	MTX02	1551	Message Text		$\mathbf{X}$	AN 1/4096
			To transmit lar	ge volumes of message text		
			data element.  2. When MTX	[01 is code ADD, the full 4096 characters mag [01 is code ECG, this data element is limited to climit runs the risk of the Secretary of State's lata.	to 90	characters.
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit lar	ge volumes of message text		
Not Used	MTX04	934	Printer Carria	age Control Code	X	ID 2/2
			A field to be us	sed for the control of the line feed of the receive	ing p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic num	ber		
Not Used	MTX06	819	Language Coo	le	O	ID 2/3
			_	ng the language used in text, from a standard of the International Standards Organization (ISO		

Segment: HL Hierarchical Level

Position: 0116

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 495, Supplemental Pre-election Campaign Statement.

### **Data Element Summary**

			Data Elem	ient Summary			
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ibutes</u>	
M	$\overline{\text{HL0}}$ 1	628	Hierarchical ID N	umber	M	AN 1/12	
			A unique number a	ssigned by the sender to identify a particu	lar dat	ta segment	
			in a hierarchical str	ucture		_	
			Use this data eleme	ent to provide a unique number that can	identij	fy each HL	
			loop. It is recomm	ended that the first HL loop be identified	by the	e number 1	
			in this data elemen	t and that each successive HL loop be in	creme	ented by 1.	
Not Used	HL02	734	Hierarchical Pare	nt ID Number	O	AN 1/12	
			Identification numb	per of the next higher hierarchical data seg	ment	that the data	
			segment being desc	cribed is subordinate to			
M	HL03	735	Hierarchical Leve	l Code	M	ID 1/2	
			Code defining the o	characteristic of a level in a hierarchical st	ructur	e	
			1. This data element is used to identify the type of data that is being				
				the current HL loop.		•	
			2 Fach of the list	ed codes MUST be used only one time.			
			EB	Filer			
			LD	1. Use this code to indicate that the da	4 1	: . 1.	
				follows in this HL loop is applicable to		-	
				is submitting the data contained in this	jorm	493.	
				2. When this code is used, the 2/NM1/	0700 1	loon	
				MUST be used to identify the filer and		_	
				entities and the 2/AWD/2700 loop also			
				to identify the contributions amount fo			
			RP	Report	Join		
			=	· I · · ·			

1. Use of this code is REQUIRED to indicate that the

data which follows in this HL loop is information applicable to the form being submitted (e.g., form number, associated form number, applicable jurisdiction, election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment:** 

**Position:** 0216

> N9 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

1. When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number; and the form to which this form 495 applies.

2. When LQ02 in the 2/LQ/0500 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

	Data Element Summary								
N/I	Ref.	Data Element	Name D. S. III 4:5	4 O 10	Attributes				
M	N901	128	Reference Identific		M ID 2/3				
			1 , 0	Reference Identification					
				nt to cite a code that qualifies the data co	arried in N902.				
			DIS	District Number					
				Use this code to indicate the district in election is held.	which an				
ECF Related Form Number  Use this code to indicate the form with which this 495 is associated, e.g., 450.									
				Use this code to indicate the number of transmitted, i.e., F495.	the form being				
			O8	Original Filing					
			OJ	When BGN01 in the 1/BGN/0200 segm 00, use of this code is REQUIRED to it original filing number. When this code always cite 000 in N902. If the require provided, the Secretary of State's office filing of the form 495.  Amendment Filing	dentify the e is used, d number is not				
			OJ.	When BGN01 in the 1/BGN/0200 segmuse of this code is REQUIRED to indicamendment number. When this code is amended filing should cite 001. Subsectilings should increment the amended j	ate the filing s used, the first quent amended				

### N902 127 **Reference Identification**

X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

1. When N901 is code FQ, use this data element to cite the form number, e.g., F495.

filing of the amended form 495.

by 1, e.g., 002, 003, etc. If the required number is not provided, the Secretary of State's office will reject the

- 2. When N901 is code DIS, use this data element to identify the district.
- 3. When N901 is code EFC, use this data element to identify the form with which this form 495 is associated.
- 4. When N901 is code O8 or OJ, use this data element to provide the original or the amendment filing number.

			original or the amenament futing number.		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, o HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds;	= mi	nutes (00-
			are expressed as follows: $D = tenths (0-9)$ and $DD = hundred$	iths (	
Not Used	N906	623	Time Code	O	ID 2/2
Not Used	N907	C040	Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the <b>Reference Identifier</b>	- and e; sin	an indication ace + is a
			To identify one or more reference numbers or identification	numb	ers as
			specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	ı Set	or as

specified by the Reference Identification Qualifier

Segment: DTM Date/Time Reference

**Position:** 0316

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED

to identify the date of the election.

	Ref.	Data	Duta Litin	San Summary		
	Des.	Element	Name		Attr	ibutes
M	$\overline{DTM01}$	374	Date/Time Qualifie	er	M	
			Code specifying typ	e of date or time, or both date and time		
			Use this data element DTM02	nt to cite a code that qualifies the date id	lentifi	ied in
			840	Election		
				Use this code to indicate the date of an	elect	ion.
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CYYMMDD		
			When DTM01 is co	de 840, use this data element to identify	the el	lection date.
Not Used	DTM03	337	Time		X	TM 4/8
			HHMMSSD, or HH 59), S = integer seco	4-hour clock time as follows: HHMM, or MMSSDD, where H = hours (00-23), M ands (00-59) and DD = decimal seconds; ows: D = tenths (0-9) and DD = hundred	= miı decin	nutes (00- nal seconds
Not Used	DTM04	623	Time Code		O	ID 2/2
Not Used	DTM05	1250	Organization standarin hours in relation t	time. In accordance with International S rd 8601, time can be specified by a + or - o Universal Time Coordinate (UTC) time + and - are substituted by P and M in the Format Qualifier	and a	an indication ce + is a
				date format, time format, or date and tim	e forr	nat
Not Used	DTM06	1251	Date Time Period		$\mathbf{X}$	AN 1/35
			Expression of a date	, a time, or range of dates, times or dates	and t	imes

Segment: LQ Industry Code

**Position:** 0516

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes:** Comments:

**Notes:** 

- 1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED to identify the jurisdiction of the election or the Secretary of State's office will reject this filing of the form 495.
- 2. The jurisdiction is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

### **Data Element Summary**

other jurisdiction, in text.

Ref.	Data		
Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
LQ01	1270	Code List Qualifier Code	O ID 1/3
		Code identifying a specific industry code list	
		Use this data element to identify the code list that contains to in LQ02.	the code specified
		EAF California Campaign Disclosure Report	Codes
LQ02	1271	Industry Code	X AN 1/30
		Code indicating a code from a specific industry code list	
		1. When N901 is code FQ, enter a code from section 08 of Campaign Disclosure Code List.	the California
		2. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cite element, use the 2/MTX/0600 segment to identify the city.	

Segment: MTX Text

**Position:** 0616

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

D. T.

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

- 1 MTX05 is the number of lines to advance before printing.
- 1 If MTX04 is "AA Advance the specific number of lines before print", then MTX05 is required.

**Notes:** 

- 1. When LQ02 in the 2/LQ/0500 segment cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city, county, local or other jurisdiction.
- 2. Use this segment to provide additional text information related to the report being filed.
- 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 4. This implementation convention limits the use of this segment to one time for each code listed in MTX01 per N9 loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	<u>Attr</u>	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	note	applies
			EAM Jurisdiction		
			REP Report		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
			1. When MTX01 is code REP, the full 4096 characters magdata element.	y be u	ised in this
			2. When MTX01 is code EAM, this data element is limited Exceeding this limit runs the risk of the Secretary of State's receiving the data.		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISO		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0716

> Loop: NM<sub>1</sub> Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** NM110 and NM111 further define the type of entity in NM101.

Notes: 1. When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the entity filing form 495 and all entities associated with the

2. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

### **Data Element Summary**

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
M	NM101	98	Entity Identifier Code	M	ID 2/3
			Code identifying an organizational entity, a physical location individual	, prop	perty or an
			Use this segment to qualify the entity identified in the follow	ving a	lata

elements. 31 Postal Mailing Address

> 1. When NM101 is code FN, use this code to indicate the mailing address of the filer if different than the address of the committee.

> 2. When this code is used, NM102 should cite code 2.

**EAA** Assistant

> 1. When NM101 is code EBN, use this code to indicate an assistant treasurer, if applicable.

2. When this code is used, NM102 should cite code 1, and the following segments MUST be used if the assistant treasurer is signing the form 495: the 2/N9/1200 to provide a PIN number that represents the entity's signature, the 2/DTM/1300 to provide a date of the signature, and 2/LQ/2100 to provide a

verification statement.

**EBN** Treasurer

> 1. When NM101 is code FN and NM102 is code 6, use of this code is REQUIRED to indicate the treasure of a committee.

2. When this code is used, NM102 should cite code 1 and the following segments MUST be used if the treasurer is signing the form 495: the 2/N9/1200 to provide a PIN number that represents the entity's signature, the 2/DTM/1300 to provide a date of the signature, and 2/LQ/2100 to provide a verification statement.

FN Filer Name

> 1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the entity submitting the data.

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- 2. When this code is used, NM102 may cite code 1 or 6, the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer identification number, and the 2/AWD/2700 segment MUST be used to provide the contribution amount.
- 3. When this code is used and NM102 is code 1, the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification, 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.
- 4. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned committee identification number has been received. Signer
- 1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the additional officeholders/candidates/proponents, who control the committee and must sign the form 495, or a responsible officer who is signing the form 450.
- 2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, and a verification statement: 2/N9/1200, 2/DTM/1300, and 2/LO/2100.

M NM102 1065 Entity Type Qualifier

UZ

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as a person or a committee.

1 Person

Use this code to indicate an individual.

6 Organization

Use this code to indicate a committee.

Must Use NM103 1035 Name Las

Name Last or Organization Name

O AN 1/60

Individual last name or organizational name

- 1. Use of this data element is REQUIRED to provide the last name of a person, or the name of an organization.
- 2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.
- 3. When NM102 is code 6 and the name of the organization is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name organization's name.

NM104	1036	Name First	О	AN 1/35
		Individual first name		
NM105	1037	Name Middle	0	AN 1/25
		Individual middle name or initial		
NM106	1038	Name Prefix	0	AN 1/10
		Prefix to individual name		
NM107	1039	Name Suffix	0	AN 1/10
		Suffix to individual name		
NM108	66	Identification Code Qualifier	X	ID 1/2

Code designating the system/method of code structure used for Identification Code (67)

When NM101 is code FN and NM102 is code 6, use this data element to qualify the identification number in NM109.

75 State or Province Assigned Number

When NM101 is code FN and NM102 is code 6, use this code to indicate the state assigned committee identification number.

			identification number.		
	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		
			Use this data element to provide the state assigned committ number, if one has been assigned.	ee ide	entification
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

Segment: N2 Additional Name Information

**Position:** 0816

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

Purpose:

**Notes:** 

**se:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
$\mathbf{M}$	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the numeric 1, 2, or 3.	moniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0916

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1016

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	2400 210110110 801111141		
	Des.	Element	Tame Attribut		ributes
	N401	19	City Name	0	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	overnr	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding pund (zip code for United States)	ctuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3
			Code identifying the country subdivision		

PER Administrative Communications Contact **Segment: Position:** 1116 NM1 Loop: Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To identify a person or office to whom administrative communications should be directed **Syntax Notes:** If either PER03 or PER04 is present, then the other is required. If either PER05 or PER06 is present, then the other is required. If either PER07 or PER08 is present, then the other is required. **Semantic Notes: Comments: Notes:** Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment. **Data Element Summary** 

	Ref.	Data	Data Element Summar y					
	Des.	Element	<u>Name</u>	Att	<u>ributes</u>			
$\mathbf{M}$	PER01	366	Contact Function Code	M	ID 2/2			
			Code identifying the major duty or responsibility of the personal	on or group named				
			Use this data element to qualify the party associated with the	ie con	nmunication			
			numbers provided in the following data elements. Use only	the l	isted code.			
			IC Information Contact	_				
Not Used	PER02	93	Name	O	AN 1/60			
			Free-form name					
	PER03	365	Communication Number Qualifier	X	ID 2/2			
			Code identifying the type of communication number					
			TE Telephone					
	PER04	364	Communication Number	X	AN 1/256			
			Complete communications number including country or area applicable	omplete communications number including country or area code onlicable				
			The telephone number shall be transmitted as a continuous number. Include					
			the area code and extension, if applicable. Concatenate the letter X plus the					
	PER05	365	extension at the end of the number, e.g., 7035551212X123. Communication Number Qualifier	X	ID 2/2			
	I EKUS	303	_	Λ	ID 2/2			
			Code identifying the type of communication number  EM Electronic Mail					
			Use to indicate an e-mail address.					
	PER06	364	Communication Number	X	AN 1/256			
	1 LX00	304	Complete communications number including country or area					
			applicable	i couc	WIICH			
	PER07	365	Communication Number Qualifier	X	<b>ID</b> 2/2			
			Code identifying the type of communication number					
			FX Facsimile					
	PER08	364	<b>Communication Number</b>	X	AN 1/256			
			Complete communications number including country or area	a code	when			
			applicable					
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20			
			Additional reference number or description to clarify a contact number					

Segment: N9 Reference Identification

**Position:** 1216

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

Dof

Data

Notes: 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.

- 2. When NM101 in the 2/NM1/0700 segment is code EAA, EBN (the treasurer of the reporting committee), UZ, or FN (and NM102 is code 1), use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 495.
- 3. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.

	Ref.	Data						
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u> ID 2/3		
M	N901	128	Reference Idea	eference Identification Qualifier				
			Code qualifying	g the Reference Identification				
			Use this data e	lement to qualify the number cited in N902.				
			1W	Member Identification Number				
				Use this code to indicate the Secretary assigned electronic filer identification	•			
			4A	Personal Identification Number (PIN)				
			FI	File Identifier				
				Use this code to provide a file identifier for each entity identified in NM101 of the 2/NM1/0700 segment.				
	N902	127	Reference Idea	ntification	X	AN 1/50		
			specified by the	rmation as defined for a particular Transaction Reference Identification Qualifier				
Not Used	N903	369	Free-form Des	scription	X	AN 1/45		
			Free-form desc	riptive text				
Not Used	N904	373	Date		O	<b>DT 8/8</b>		
			Date expressed	as CCYYMMDD				
Not Used	N905	337	Time		X	TM 4/8		
Not Used	N906	623	HHMMSSD, of $59$ ), $S = integer$	I in 24-hour clock time as follows: HHMM, or HHMMSSDD, where H = hours (00-23), M seconds (00-59) and DD = decimal seconds; s follows: D = tenths (0-9) and DD = hundred	I = mi decir	nutes (00- nal seconds		
			Organization st in hours in rela- restricted chara	ng the time. In accordance with International standard 8601, time can be specified by a + or tion to Universal Time Coordinate (UTC) time cter, + and - are substituted by P and M in the	- and ne; sin e code	an indication ace + is a		
Not Used	N907	C040	Reference Idea		O			
			To identify one or more reference numbers or identification numbers as					

NI 4 TI 1	C0 4001	120	specified by the Reference Qualifier	3.4	ID 0/2
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as

Segment: DTM Date/Time Reference

**Position:** 1316

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date

the form 495 was signed.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ibutes</u>
M	DTM01	374	Date/Time Qualifi	ier	M	ID 3/3
			Code specifying ty	pe of date or time, or both date and time		
			EDE	Executed		
				Use this code to indicate the date the fo signed.	rm 4	95 was
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as 0	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
Not Used	DTM04	623	HHMMSSD, or HI 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= mii decin	nutes (00- nal seconds
Not Used	DTM05	1250	Organization stand in hours in relation	the time. In accordance with International S and 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the Format Qualifier	and a	an indication ce + is a
				e date format, time format, or date and time	e forr	nat
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a dat	e, a time, or range of dates, times or dates	and t	imes

Segment: LQ Industry Code

**Position:** 2116

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use:

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes:** Comments:

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code EBN, UZ, or FN (and NM102 is code 1), use this segment to provide a verification statement regarding the content of the data submitted in the form 495.
- 2. The verification statement is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data						
Des.	<b>Element</b>	<u>Name</u>	<u>Attı</u>	<u>ributes</u>			
LQ01	1270	Code List Qualifier Code	O	ID 1/3			
		Code identifying a specific industry code list					
		Use this data element to identify the code list that contains in LQ02.	the co	ode specified			
		EAF California Campaign Disclosure Report	t Code	es			
LQ02	1271	Industry Code	$\mathbf{X}$	AN 1/30			
		Code indicating a code from a specific industry code list					
		When NM101 is code EBN, UZ, or FN (and NM102 is code 6), use this data element to provide a verification statement regarding the content of the data submitted in the form 495. Enter a code from section 04 of the California					
		Campaign Disclosure Code List.					

Segment: AWD Amount with Description

**Position:** 2716

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code FN, use of this segment is REQUIRED to identify the total contributions made to or on behalf of candidates and/or measures being voted upon in the election. If the contribution amount is not provided, the Secretary of State's office will reject the filing of this form 495.
- 2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of a code cited in the composite data element AWD01. The following should be used in AWD01 to qualify the contribution amount:

Contributions Made: AWD01-01 code 93

	Def	Data	Data Element Summary		
	Ref. <u>Des.</u>	Data <u>Element</u>	Name Attributes		
M	AWD01	C007	Amount Qualifying Description	M	<u> ibutes</u>
171	1111111111	2007	To qualify an amount	171	
M	C00701	522	Amount Qualifier Code	M	ID 1/3
IVI	C00701	344	Code to qualify amount	141	ID 1/3
			93 Contribution		
Not Used	C00702	522		0	ID 1/3
Not Usea	C00702	522	Amount Qualifier Code	O	ID 1/3
	G00 <b>=</b> 03	4.620	Code to qualify amount	_	TD 4/4
Not Used	C00703	1638	Value Detail Code	O	ID 1/1
			Code identifying extent of an amount or quantity		
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code		ID 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd the	eir content
Not Used	C00709	1271	Industry Code	0	AN 1/30
			Code indicating a code from a specific industry code list		
	AWD02	782	Monetary Amount	X	R 1/18
	111111111111111111111111111111111111111	702	Monetary amount	21	K 1/10
			Use this segment to identify the monetary amount associate	d wit	h the
			qualifier codes cited in the AWD01 composite data element		n ine
Not Used	AWD03	61	Free-Form Message	· X	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	ID 3/3
1,00 00 <b>00</b>		100	Code (Standard ISO) for country in whose currency the charge	_	
			Code (Sanidard 150) for country in whose currency the char	503 ai	e specifica

Segment: MTX Text

**Position:** 3516

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	ote Reference Code		ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: HL Hierarchical Level

**Position:** 0117

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 465, Supplemental Independent Expenditure Report.

#### **Data Element Summary**

			Data Elen	ient Summary			
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>	
$\mathbf{M}$	HL01	628	Hierarchical ID N	umber	M	AN 1/12	
			A unique number a	ssigned by the sender to identify a particu	ılar da	nta segment	
			in a hierarchical str	ucture			
				ent to provide a unique number that can			
				ended that the first HL loop be identified			
				t and that each successive HL loop be in		•	
Not Used	HL02	734	Hierarchical Pare	nt ID Number	O	AN 1/12	
				per of the next higher hierarchical data seg	gment	that the data	
			segment being desc	cribed is subordinate to			
M	HL03	735	Hierarchical Leve	l Code	$\mathbf{M}$	ID 1/2	
			Code defining the	characteristic of a level in a hierarchical st	ructu	re	
			1. This data element is used to identify the type of data that is being				
				the current HL loop.		G	
			2. Each of the list	ed codes MUST be used only one time.			
			EB	Filer			
				1. Use this code to indicate that the da	ıta wh	ich	
				follows in this HL loop is applicable to	the e	entity that	
				is submitting the data contained in this	s forn	ı 465.	
				2. When this code is used, the 2/NM1/		_	
				MUST be used to identify the filer and			
				entities and the 2/AWD/2700 loop also		ST be used	
				to identify summary amounts for form	<i>465</i> .		
			EF	Expenditures			

1. Use this code to indicate Independent

## Expenditures.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the payees; and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use of this code is REQUIRED to indicate that the data which follows in this HL loop is administrative information (e.g., form number, period covered, election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment:** 

**Position:** 0217

> Loop: N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:** 

At least one of N902 or N903 is required. **Syntax Notes:** 

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

If either C04005 or C04006 is present, then the other is required.

N906 reflects the time zone which the time reflects. **Semantic Notes:** 

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number.

			Data E	lement Summary		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>
M	N901	128		tification Qualifier	M	ID 2/3
			Code qualifying	the Reference Identification		
			Use this data el	ement to cite a code that qualifies the data	carrie	d in N902.
			FQ	Form Number		
				Use this code to indicate the number of	of the	form being
				transmitted, i.e., F465.		
			O8	Original Filing		
				When BGN01 in the 1/BGN/0200 seg		
				00, use of this code is REQUIRED to		
				original filing number. When this coalways cite 000 in N902. If the requir		
				provided, the Secretary of State's office		
				filing of the form 465.		rejectine
			OJ	Amendment Filing		
				When BGN01 in the 1/BGN/0200 segr	ment i	s code CO,
				use of this code is REQUIRED to indi	cate t	he filing
				amendment number. When this code		
				amended filing should cite 001. Subs	_	
				filings should increment the amended		
				by 1, e.g., 002, 003, etc. If the require provided, the Secretary of State's offic		
				filing of the amended form 465.	c wiii	rejectine
	N902	127	Reference Iden		X	AN 1/50
			Reference infor	mation as defined for a particular Transactio	n Set	or as
				Reference Identification Qualifier		
Not Used	N903	369	Free-form Desc	cription	$\mathbf{X}$	AN 1/45
			Free-form descri	riptive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed	as CCYYMMDD		
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8
			Time expressed	in 24-hour clock time as follows: HHMM, of	or HH	MMSS, or
			HHMMSSD, or	HHMMSSDD, where $H = hours (00-23)$ , M	I = mi	nutes (00-
				seconds (00-59) and DD = decimal seconds		
	3700			s follows: $D = tenths (0-9)$ and $DD = hundre$		
Not Used	N906	623	Time Code		. O	ID 2/2
				g the time. In accordance with International		
				andard 8601, time can be specified by a + or		
			m nours in relat	ion to Universal Time Coordinate (UTC) tin	ie, sin	ce + is a

Not Used	N907	C040	restricted character, + and - are substituted by P and M in the c Reference Identifier	ode O	es that follow
			To identify one or more reference numbers or identification nu specified by the Reference Qualifier	mb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set (	or as

Segment: DTM Date/Time Reference

**Position:** 0317

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When HL03 cites code RP, use this segment is REQUIRED to identify the reporting

period for the data contained in form 465 and the date of the election (if applicable).

	Def	Data	Data Eleme	ent Summary				
M	Ref. <u>Des.</u> DTM01	Data Element 374	Name Date/Time Qualifie	er		ributes ID 3/3		
			Code specifying typ	e of date or time, or both date and time				
			Use this data elemen	re this data element to cite a code that qualifies the date identified				
			193					
				Use this code to indicate the "from" do reporting period.	ite fo	r a		
			194	Period End				
				Use this code to indicate the 'through' reporting period.	'' date	e for a		
			840	Election				
				Use this code to indicate the date of an applicable.	elect	tion, if		
	DTM02	373	Date		X	<b>DT 8/8</b>		
			Date expressed as C					
			Use this data element cited in DTM01.	nt to identify the date applicable to the q	ualif	ier code		
Not Used	DTM03	337	Time		X	TM 4/8		
			HHMMSSD, or HH 59), S = integer seco	4-hour clock time as follows: HHMM, or MMSSDD, where H = hours (00-23), M ands (00-59) and DD = decimal seconds; ows: D = tenths (0-9) and DD = hundred	= mi decin	nutes (00- nal seconds		
Not Used	DTM04	623	Time Code		O	ID 2/2		
			Organization standar in hours in relation t restricted character,	e time. In accordance with International S rd 8601, time can be specified by a + or - o Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and e; sin code	an indication ce + is a es that follow		
Not Used	DTM05	1250	Date Time Period I	_	X	ID 2/3		
NI-4 TI I	DTM04	1051	•	date format, time format, or date and tim				
Not Used	DTM06	1251	Date Time Period	a time an nonce of datas times and datas	X	AN 1/35		
			Expression of a date	, a time, or range of dates, times or dates	and t	imes		

MTX Text **Segment:** 

**Position:** 0617

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

**Notes:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

If MTX03 is present, then MTX02 is required.

If MTX05 is present, then MTX04 is required. 3

**Semantic Notes:** 1 MTX05 is the number of lines to advance before printing. **Comments:** 

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

 ${
m NM1}$  Individual or Organizational Name **Segment:** 

**Position:** 0717

> NM1 Loop: Optional (Must Use)

Level: Detail

Optional (Must Use) Usage:

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

NM102 qualifies NM103. 1

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

Notes:

1. When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the entity filing form 465 and all entities associated with the filer.

- 2. When HL03 in the 2/HL/0100 segment is code EF, use this segment as many times as necessary to identify all payees of independent expenditures.
- 3. Each identified payee (NM101 is code PE) and associated entity (NM101 is code VN - Vendor) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the payee and associated entity MUST be the same.
- 4. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

Data Element Summary								
M	Ref. <u>Des.</u> NM101	Data <u>Element</u> 98	•	Entity Identifier Code  Code identifying an organizational entity, a physical location				
			Use this segment to elements.	qualify the entity identified in the follow	ving data			
			7F	Filing Address				
			EBN	<ol> <li>When HL03 in the 2/HL/0100 segm use this code to indicate a filing officer most recent campaign statements have</li> <li>When this code is used, NM102 sho Treasurer</li> </ol>	with whom the been filed.			
			1. When NM101 is code FN and NM1 use of this code is REQUIRED to indic of a committee.					
			FN	2. When this code is used, NM102 sho and the following segments MUST be a PIN number that represents the entity date of the signature, the location of the signing, and a verification: 2/N9/1200, 2/NX2/1400, and 2/LQ/2100. Filer Name	used to provide y's signature, a e document			
				<ol> <li>When HL03 in the 2/HL/0100 segments of this code is REQUIRED to indicate submitting the data.</li> <li>When this code is used, NM102 may 6, the 2/N9/1200 segment should be used.</li> </ol>	ate the entity of the code 1 or			

Secretary of State assigned electronic filer

identification number, and the 2/AWD/2700 segment MUST be used to provide summary amounts.

- 3. When this code is used and NM102 is code 1, the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification, 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LO/2100.
- 4. When this code is used and NM102 is code 6, NM108 MUST be used if a committee identification number has been assigned. If an identification number has not been assigned, the 2/LQ/2100 segment MUST be used.

PE Payee

- 1. When HL03 in the 2/HL/0100 segment is code EF, use of this code is REQUIRED to indicate the payee of an independent expenditure.
- 2. If the payee is different than the vendor who provided the goods or services, another iteration of this NM1 loop is necessary citing code VN in NM101, and the 2/N9/1200 segment MUST be used to provide a cross reference number.
- 3. When this code is used, NM102 may cite code 1, 2, or 6, and the 2/AWD/2700 segment MUST be used to provide the expenditure amount.

Signer

- 1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the additional officeholders/candidates/proponents, who control the committee and must sign the form 465, or a responsible officer who is signing the form 465.
- 2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, and a verification: 2/N9/1200, 2/DTM/1300, and 2/LO/2100.

Candidate Candidate

- 1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the candidate that was supported or opposed by the independent expenditures.
- 2. When this code is used, NM102 should cite code 1, and the 2/LQ/2100 segment MUST be used to identify the office sought by the candidate and to indicate whether the candidate was supported or opposed. Vendor
- 1. When NM101 is code PE, use this code to indicate the vendor who provided the goods or service if different than the payee.
- 2. When this code is used, NM102 may be code 1, 2, or 6, and the 2/N9/1200 segment MUST be used to provide a cross reference number.

Mutually Defined

1. When HL03 in the 2/HL/0100 segment is code EB,

UZ

VB

VN

ZZ

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use this code to indicate the ballot measure that was supported or opposed by the independent expenditure.

2. When this code is used, NM102 should cite code 2, and the 2/N9/1200 segment Must be used to identify the ballot measure number or letter, and the 2/LQ/2100 segment MUST be used to identify the jurisdiction in which the ballot measure will be voted upon and to indicate whether the ballot measure was opposed or supported.

			opposed or supported.	M	
M	NM102	1065	Entity Type Qualifier		ID 1/1
			Code qualifying the type of entity		
			Use this data element to identify the entity qualified in NM	101 as	s an
			individual, a committee, or an entity other than a person or	mittee.	
			1 Person		
			Use this code to indicate an individual	•	
			2 Non-Person Entity		
			Use this code to indicate an entity other	er thai	n an
			individual or a committee.		
			6 Organization		
			Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Organization Name	O	AN 1/60
			Individual last name or organizational name		
			1. Use of this data element is REQUIRED to provide the le	ıst nai	me of a
			person, or the name of an organization.		
			2 W/L NM102 : d- 1 d- d		
			2. When NM102 is code 1 and the person has a moniker (12/N2/0800 segment to provide the moniker.	искис	ime), use ine
			2/142/0000 segment to provide the montker.		
			3. When NM102 is code 2 or 6 and the name of the organi	zation	is greater
			than 60 characters, use the 2/N2/0800 segment to provide t		
			name organization's name.		
	NM104	1036	Name First	O	AN 1/35
			Individual first name		
	NM105	1037	Name Middle	O	AN 1/25
			Individual middle name or initial		
	NM106	1038	Name Prefix	O	AN 1/10
			Prefix to individual name		
	NM107	1039	Name Suffix	O	AN 1/10
			Suffix to individual name		
	NM108	66	Identification Code Qualifier	$\mathbf{X}$	ID 1/2
			Code designating the system/method of code structure used	for Ide	entification
			Code (67)		
			1. When NM101 is code FN and NM102 is code 6, use of the RECURED if a state project of the state		
			is REQUIRED if a state assigned identification number ha	s been	i receivea.
			2. If a state identification number has been assigned and t	iot pre	ovided in this
			data element, the Secretary of State's office will reject the f		
			<i>465</i> .		
			75 State or Province Assigned Number		
			Use to indicate the state assigned com	mittee	
	NM109	67	identification number.  Identification Code	X	A NI 2/QA
	14141103	U/		Λ	AN 2/80
			Code identifying a party or other code	400 - I	ratification
			Use this data element to provide the state assigned committ number, if one has been assigned.	ee iae	nujicanon
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
CA ACODA (O			260		

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Code describing entity relationship

Not Used NM111 98 Entity Identifier Code

O ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

N2 Additional Name Information **Segment:** 

**Position:** 0817

NM1 Loop: Optional (Must Use)

Level: Detail Usage: Optional Max Use:

**Purpose:** 

To specify additional names

**Syntax Notes: Semantic Notes: Comments:** 

**Notes:** 

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the moninumeric 1, 2, or 3.	ker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0917

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1017

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party

Syntax Notes: 1 Only one of N402 or N407 may be present.

Only one of N402 or N407 may be present.
 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Nome	A 44.	wihartaa
	<u>Des.</u> N401	Element 19	Name City Name	O	ributes AN 2/30
	11101	17	Free-form text for city name	O	111 2/00
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernn	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punc (zip code for United States)	tuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3
			Code identifying the country subdivision		

PER Administrative Communications Contact **Segment: Position:** 1117 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To identify a person or office to whom administrative communications should be directed **Syntax Notes:** If either PER03 or PER04 is present, then the other is required. If either PER05 or PER06 is present, then the other is required. If either PER07 or PER08 is present, then the other is required. **Semantic Notes: Comments: Notes:** Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment. **Data Element Summary** 

	Ref.	Data	Data Element Summar y		
	Des.	Element	<u>Name</u>	Att	<u>ributes</u>
$\mathbf{M}$	PER01	366	Contact Function Code	M	ID 2/2
			Code identifying the major duty or responsibility of the personal	on or	group named
			Use this data element to qualify the party associated with the	ie con	nmunication
			numbers provided in the following data elements. Use only	the l	isted code.
			IC Information Contact	_	
Not Used	PER02	93	Name	O	AN 1/60
			Free-form name		
	PER03	365	Communication Number Qualifier	X	ID 2/2
			Code identifying the type of communication number		
			TE Telephone		
	PER04	364	Communication Number	X	AN 1/256
			Complete communications number including country or area applicable	ı code	when
			The telephone number shall be transmitted as a continuous	s num	ber. Include
			the area code and extension, if applicable. Concatenate th		r X plus the
	PER05	365	extension at the end of the number, e.g., 7035551212X123. Communication Number Qualifier	X	ID 2/2
	I EKUS	303	_	Λ	ID 2/2
			Code identifying the type of communication number  EM Electronic Mail		
			Use to indicate an e-mail address.		
	PER06	364	Communication Number	X	AN 1/256
	1 LX00	304	Complete communications number including country or area		
			applicable	i couc	WIICH
	PER07	365	Communication Number Qualifier	X	<b>ID</b> 2/2
			Code identifying the type of communication number		
			FX Facsimile		
	PER08	364	<b>Communication Number</b>	X	AN 1/256
			Complete communications number including country or area	a code	when
			applicable		
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20
			Additional reference number or description to clarify a conta	ict nu	mber

Segment: N9 Reference Identification

Position: 1217

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- **3** If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.
- 2. When NM101 in the 2/NM1/0700 segment is code EBN (the treasurer of the reporting committee), UZ, or FN (and NM102 is code 1), use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 465.
- 3. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.
- 4. When NM101 is code PE or VN, use this segment to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.
- 5. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the ballot measure number or letter.

# **Data Element Summary**

			Data Elei	ment Summary			
	Ref.	Data	NT	•	A 44	9 4	
3.5	Des.	<u>Element</u>	Name	or 11 0 110	_	<u>ributes</u> ID 2/3	
M	N901	128		ference Identification Qualifier			
			Code qualifying the	Code qualifying the Reference Identification			
			Use this data elen	nent to qualify the number cited in N902.			
			1W	1W Member Identification Number			
				Use this code to indicate the Secretary of State assigned electronic filer identification number.			
			4A	Personal Identification Number (PIN)			
			6O	6O Cross Reference Number			
				Use this code to indicate a cross reference is used to associate entities identified a 2/NM1/0700 segment.		umber that	
			DIS	District Number			
			ECB	Ballot Identifier			
			FI	File Identifier			
				Use this code to provide a file identificidentified in NM101 of the 2/NM1/07	•		
	N902	127	Reference Identif	fication	$\mathbf{X}$	AN 1/50	
				ation as defined for a particular Transactio eference Identification Qualifier	n Set	or as	
Not Used	N903	369	Free-form Descri		X	AN 1/45	
			Free-form descrip	tive text			

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Not Used	N904	373	Date	o	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred	l = mi decir dths ((	nutes (00- mal seconds 00-99)
Not Used	N906	623	Time Code	О	ID 2/2
			Code identifying the time. In accordance with International Strandard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) times restricted character, + and - are substituted by P and M in the	- and ne; sin e code	an indication ce + is a
Not Used	N907	C040	Reference Identifier	0	
N T 1	C0 4004	120	To identify one or more reference numbers or identification specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
NI-4 II J	C04002	107	Code qualifying the Reference Identification	N	A NI 1/50
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı set (	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	a Set	or as

Segment: DTM Date/Time Reference

**Position:** 1317

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date

the form 465 was signed.

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	DTM01	374	Date/Time Qualifi	ier	$\mathbf{M}$	<b>ID</b> 3/3
			Code specifying ty	pe of date or time, or both date and time		
			EDE	Executed		
				Use this code to indicate the date the fo signed.	rm 4	65 was
	DTM02	373	Date		$\mathbf{X}$	<b>DT 8/8</b>
			Date expressed as 0	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
Not Used	DTM04	623	HHMMSSD, or HE 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= mi decin	nutes (00- nal seconds
Not Used	DTM05	1250	Organization stands in hours in relation	the time. In accordance with International S and 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the Format Qualifier	and e; sin	an indication ce + is a
110t Oscu	DIMIUS	1230		e date format, time format, or date and time		
NI-4 II J	DTMO	1051	•			
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a date, a time, or range of dates, times or dates and times			

Segment: LQ Industry Code

Position: 2117

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use:

Purpose: Code to transmit standard industry codesax Notes: 1 If LQ01 is present, then LQ02 is required.

Syntax Notes: Semantic Notes: Comments:

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code is code FN and NM102 is code 6, use this segment to indicate a state assigned identification number HAS NOT been received.
- 2. When NM101 is code VB, three iterations of this segment are necessary. One to identify the office, a second one to identify whether it is sought or held, and a third one to identify whether the contribution or independent expenditure was made to support or oppose the entity.
- 3. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the jurisdiction where the ballot measure will be voted upon and whether the contribution or independent expenditure was made to support or oppose the ballot measure.
- 4. When NM101 is code EBN, UZ, or FN (and NM102 is code 1), use this segment to provide a verification statement regarding the content of the data submitted in the form 465.
- 5. The type of committee, office held, verification statement, and notation that a state assigned identification number has not been received, are identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 6. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data						
Des.	<b>Element</b>	Name	Attr	<u>ributes</u>			
$\overline{\text{LQ0}}$ 1	1270	Code List Qualifier Code	O	ID 1/3			
		Code identifying a specific industry code list					
		Use this data element to identify the code list that contains to in LQ02.	the co	ode specified			
		EAF California Campaign Disclosure Report	Code	es			
LQ02	1271	Industry Code	$\mathbf{X}$	AN 1/30			
		Code indicating a code from a specific industry code list					
		1. When NM101 is code FN and NM102 is code 6, use this indicate that a state assigned identification number HAS Not by means of a code from section 04 of the California Camp. Code List.	OT be	een received			
		2. When NM101 is code VB, enter codes from sections 03 of California Campaign Disclosure Code List.	and 04	4 of the			
		3. When NM101 is code ZZ, enter a code from section 08 of Campaign Disclosure Code List.	f the	California			
		4. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited	d in t	his data			

element, use the 2/MTX/0600 segment to identify the city. county, local or other jurisdiction, in text.

- 4. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/0200 segment to identify the district.
- 6. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office in text.
- 7. When NM101 is code EBN or UZ, use this data element to provide a verification statement regarding the content of the data submitted in the form 465. Enter a code from section 04 of the California Campaign Disclosure Code List.

**Reference Identification Segment:** 

**Position:** 2217

> Loop: LQ Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** At least one of N902 or N903 is required.

- If N906 is present, then N905 is required.
- If either C04003 or C04004 is present, then the other is required. 3
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

			Data Element Summary		
	Ref.	Data			
	Des.	<b>Element</b>	Name		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to qualify the code cited in N902.		
			DIS District Number		
			Use this code to indicate the district of	the o	ffice
			sought or the ballot measure.		
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	ı Set	or as
	21002	2.60	specified by the Reference Identification Qualifier		1374/4
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, o		
			HHMMSSD, or HHMMSSDD, where H = hours (00-23), M		
			59), S = integer seconds (00-59) and DD = decimal seconds;		
Not Used	N906	623	are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	uns (t O	JU-99) ID 2/2
Not Osea	11900	023			
			Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or		
			in hours in relation to Universal Time Coordinate (UTC) tim		
			restricted character, + and - are substituted by P and M in the		
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification	numb	ers as
			specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction	ı Set	or as
			specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	ı Set	or as
CIA 460D1 (0)	0.4021)		specified by the Reference Identification Qualifier	ъ	1 22 1000

Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as

**Segment:** 

**Position:** 2617

> Loop: LQ Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

- 2. When LOO2 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.
- 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	note	applies
			Use this data element to qualify the text carried in MTX02.		
			EAM Jurisdiction		
			EAO Description of Office		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
			This data element is limited to a maximum of 40 characters, limit runs the risk of the data not being received by the Secroffice.		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the receiv	ing r	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard of maintained by the International Standards Organization (ISO		

Segment: AWD Amount with Description

**Position:** 2717

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

**Notes:** 

1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to identify summary amounts for the form 465.

- 2. When NM101 in the 2/NM1/0700 segment is code PE, use this segment to identify the expenditure amount and the cumulative calendar year to date amount for each payee. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Independent Expenditure Amount:

AWD01-01 code EBB

Cumulative Calendar Year to Date:

AWD01-01 code EBB AWD01-03 code 2

Summary - Line 1 AWD01-01 code EBB AWD01-09 code 05-A1

Summary - Line 2 AWD01-01 code EBB AWD01-09 code 05-A2

Summary - Line 3 AWD01-01 code EBB AWD01-03 code 8

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Att	ributes
M	AWD01	C007	Amount Qua	Amount Qualifying Description		
			To qualify an	amount		
M	C00701	522	Amount Qua	llifier Code	M	ID 1/3
			Code to quali	fy amount		
			EBB	Expenditure Amount		
Not Used	C00702	522	Amount Qua	llifier Code	0	ID 1/3
			Code to quali	fy amount		
	C00703	1638	Value Detail	Code	O	ID 1/1

			Code identifying extent of an amount or quantity					
			2 Cumulative					
			8 Total					
Not Used	C00704	935	Measurement Significance Code	0	ID 2/2			
			Code used to benchmark, qualify or further define a measuren	nent	value			
Not Used	C00705	344	Unit of Time Period or Interval	0	ID 2/2			
			Code indicating the time period or interval					
Not Used	C00706	1637	Net/Gross Code	0	<b>ID</b> 1/1			
			Code indicating whether monetary values are net or gross					
Not Used	C00707	935	Measurement Significance Code	0	ID 2/2			
			Code used to benchmark, qualify or further define a measuren	nent	value			
Not Used	C00708	352	Description	0	AN 1/80			
			A free-form description to clarify the related data elements an	d the	eir content			
	C00709	1271	Industry Code	0	AN 1/30			
			Code indicating a code from a specific industry code list					
			1. Use this data element to cite a code from the California C Disclosure Code List Section 05.	'amp	paign			
		<b>-</b> 0.0	2. Cite either code 05-A1 or 05-A2 only.	<b>T7</b>	D 4/40			
	AWD02	782	Monetary Amount	X	R 1/18			
			Monetary amount	1 .,	1 ,1			
			Use this segment to identify the monetary amount associated qualifier codes cited in the AWD01 composite data element.	Witi	n tne			
Not Used	AWD03	61	Free-Form Message	X	AN 1/30			
			Free-form information					
Not Used	AWD04	100	Currency Code	o	ID 3/3			
			Code (Standard ISO) for country in whose currency the charge	es ar	re specified			

Segment: **DTM** Date/Time Reference

**Position:** 2817

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the expenditure date.

	Ref. Des.	Data Element	Name	A 441	ributes
M	DES. DTM01	374	Date/Time Qualifier	M	ID 3/3
			Code specifying type of date or time, or both date and time		
			Date Paid		
	DTM02	373	Date	$\mathbf{X}$	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	DTM03	337	Time	X	TM 4/8
Not Used	DTM04	623	Time expressed in 24-hour clock time as follows: HHMM, o HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi	nutes (00- nal seconds
			Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	and e; sin	an indication ce + is a
Not Used	DTM05	1250	Date Time Period Format Qualifier	X	ID 2/3
			Code indicating the date format, time format, or date and time	e fori	mat
Not Used	DTM06	1251	Date Time Period	$\mathbf{X}$	AN 1/35
			Expression of a date, a time, or range of dates, times or dates	and t	times

Segment: N9 Reference Identification

**Position:** 3117

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 in the 2/NM1/0700 segment is code PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a payee. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the payee. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 465 if this transaction identifier is not a provided.

	Ref.	Data	•		
	Des.	Element	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the sa amounts within the same monetary transaction identifier. This same transaction identifier MUST be used in where the monetary transaction is propagate amendments.	is moi me foi ansact nonete n all s	netary r all tion ary schedules
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	I = mi ; decir	nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
			Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the	- and ne; sin e code	an indication ce + is a
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as

Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

**Position:** 3517

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

Dof

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment also to provide additional text information related to the amount

cited in the 2/AWD/2700 segment (e.g. a description of the expenditure, or other

information).

Data

2. The use of text is discouraged if the information can be provided in a codified

manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time with each listed MTX01 code per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>
	MTX01	363	Note Reference Co	ode	O	ID 3/3
			Code identifying th	ne functional area or purpose for which the	note	applies
			ADD	Additional Information		
			PMT	Payment		
				Use this code to indicate an expenditur	e des	cription.
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large v	olumes of message text		
			data element.  2. When MTX01 i Exceeding this lim receiving the data.	is code ADD, the full 4096 characters ma is code PMT, this data element is limited t it runs the risk of the Secretary of State's	to 90 offic	characters. Se not
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			•	olumes of message text		
Not Used	MTX04	934	Printer Carriage	Control Code	X	ID 2/2
			A field to be used f	for the control of the line feed of the receive	ing p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				he language used in text, from a standard of International Standards Organization (ISO		

Segment: HL Hierarchical Level

**Position:** 0118

**Loop:** HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 497, Late Contribution Report.

Data Element Summary						
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attributes</u>	
M	HL01	628	Hierarchical ID No	umber	M AN 1/12	
			A unique number as in a hierarchical stru	ssigned by the sender to identify a particul acture	ar data segment	
			Use this data eleme	nt to provide a unique number that can i	dentify each HL	
				ended that the first HL loop be identified		
			in this data element	t and that each successive HL loop be inc	remented by 1.	
Not Used	HL02	734	Hierarchical Parer	nt ID Number	O AN 1/12	
			Identification number of the next higher hierarchical data segment that the data segment being described is subordinate to			
M	HL03	735	Hierarchical Level	Code	M ID 1/2	
			Code defining the characteristic of a level in a hierarchical structure			
			1. This data element is used to identify the type of data that is being			
			transmitted within the current HL loop.			
			2. Each of the liste	d codes MUST be used only one time.		
			EB Filer			
				1. Use this code to indicate the submitted	er of the data	
			on form 497.			
			2. When this code is used, the 2/NM1/0700 segment			
			MUST be used to identify the filer.  EC Receipts			
			1. Use this code to indicate contributions received.			
		2. When this code is used, the 2/NM1/0700 loop				

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MUST be used to identify all of the contributors and

the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

EF Expenditures

- 1. Use this code to indicate contributions made.
- 2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all of the recipients of contributors and the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

RP Report

- 1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.
- 2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number, the report or amendment number and previous report number, as applicable; and the 2/DTM/300 segment MUST be used to identify the filing date.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment:** 

**Position:** 0218

> N9 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted; the original or amended filing identification number,

and a unique report or amendment number.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
$\mathbf{M}$	N901	128	Reference Identification Qualifier	M ID 2/3

Code qualifying the Reference Identification

Use this data element to cite a code that qualifies the data carried in N902.

92 Change Document Number

- 1. When BGN01 in the 1/BGN/0200 segment is code C0, and HL03 in the 2/HL/0100 segment cites code RP, use of this code is REQUIRED to provide a unique identifying number for each form 497 that is being amended.
- 2. Although any number format may be used, it is recommended that the assigned number be related to the original unique report number by using the original unique report number and adding -1 for the first amendment, -2 for the second amendment, etc.
- 3. When this code is used, N901 MUST be used with N907-01 citing code PJC to identify the unique number of the report being amended. If this is the first amendment, the number cited in N907-02 should be the original unique report number. If this is the second amendment, the number cited in N907-02 should be the number assigned to the first amendment.

FQ Form Number

> Use this code to indicate the number of the form being transmitted.

08 **Original Filing** 

> When BGN01 in the 1/BGN/0200 segment cites code 00, use of this code is REQUIRED to identify the original filing number. When this code is used, always cite 000 in N902. If the required number is not provided, the Secretary of State's office will reject the filing of the form 497.

OJ Amendment Filing

> When BGN01 in the 1/BGN/0200 segment is code CO, use of this code is REQUIRED to indicate the filing amendment number. When this code is used, the first amended filing should cite 001. Subsequent amended

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filings should increment the amended filing number
by 1, e.g., 002, 003, etc. If the required number is not
provided, the Secretary of State's office will reject the
filing of the amended form 497.
D 1

RPT Report Number

1. When BGN01 in the 1/BGN/0200 segment is code 00, and HL03 in the 2/HL/0100 segment cites code RP, use of this code is REQUIRED to provide a unique identifying number for each form 497 that is filed.

2. Any numbering scheme may be used (e.g., 1, 2, 3, PR-1, PR-2, PR-3, etc.) and the report numbers do not have to be sequential.

			nave to be sequential.				
	N902	127	Reference Identification		AN 1/50		
			Reference information as defined for a particular Transaction Set or				
			specified by the Reference Identification Qualifier  When Na01 is code FO use this data element to cite the for	m n11	mhor o a		
			When N901 is code $FQ$ , use this data element to cite the form number, e.g., $F497$ .				
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred: <b>Time Code</b>	= miı decin	nutes (00- nal seconds		
Not Usea	N900	023		-			
	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Reference Identifier  O				
			To identify one or more reference numbers or identification numbers as				
			specified by the Reference Qualifier				
M	C04001	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
			PJC Previous Report Number				
			When N901 is code 92, use this code to indicate the				
			unique number of the report that is bei	-			
M	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction	Set o	or as		
Not Used	C04003	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3		
Not Oseu	C04003	120	Code qualifying the Reference Identification	А	11) 2/3		
Not Hand	C04004	127	1 , 5	v	A NI 1/50		
Not Used	C04004	14/	Reference Identification X AN 1/50				
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier				
Not Used	C04005	128					
			Code qualifying the Reference Identification				
Not Used	C04006	127					
-100 0000	20.000		Reference information as defined for a particular Transaction Set or as				
			Reference information as defined for a particular Transaction Set of as				

specified by the Reference Identification Qualifier

Segment: DTM Date/Time Reference

**Position:** 0318

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

# **Semantic Notes:** Comments:

**Notes:** 

1. When HL03 in the 2/HL/0100 segment is code RP, use this segment to identify the filing date. If the required date is not provided, the Secretary of State's office will reject the filing of this form 497.

2. If the filer's filing officer is the Secretary of State and a late contribution was made to a city or county committee, use this segment to identify the date of the election.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ributes</u>
M	DTM01	374	Date/Time Qualifier	M	ID 3/3
			Code specifying type of date or time, or both date and time		
			270 Date Filed		
			840 Election		
	<b>DTM02</b>	373	Date	X	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	DTM03	337	Time	X	TM 4/8
Not Used	DTM04	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= min	nutes (00- nal seconds
			Code identifying the time. In accordance with International Standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	Standa - and a e; sind e code	ards an indication ce + is a s that follow
Not Used	DTM05	1250	Date Time Period Format Qualifier	X	ID 2/3
			Code indicating the date format, time format, or date and time	ie fori	nat
Not Used	<b>DTM06</b>	1251	Date Time Period	X	AN 1/35
			Expression of a date, a time, or range of dates, times or dates	and t	imes

Segment: MTX Text

**Position:** 0618

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code		ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

**Position:** 0718

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 497. There can only be Literation of this segment when HL03 cites code EB

can only be 1 iteration of this segment when HL03 cites code EB.

2. When HL03 is code EC, use this loop as many times as necessary to identify all contributors and associated entities of contributions received.

- 3. When HL03 is code EF, use this loop as many times as necessary to identify all recipients of contributions made.
- 4. Each identified contributor, (NM101 is code EAH) and associated entities (NM101 is code 36 employer of a contributor, or code BUS business name of a self employed contributor) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the contributor and associated entity MUST be unique and the same for each group of related entities.
- 5. For each NM1 segment there MUST be a corresponding 2/N9/1200 segment that cites code FI in N901.

1. When HL03 is code EC, use of this code is REQUIRED to indicate a contributor.

2. When this code is used, NM102 may cite code 1, 2, or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment

#### **Data Element Summary**

	Ref.	Data		-		
	Des.	<b>Element</b>	Name		<b>Attributes</b>	
$\mathbf{M}$	NM101	98	<b>Entity Identifier C</b>	ode	M ID 2/3	
			Code identifying an individual 36	organizational entity, a physical location  Employer	, property or an	
				<ol> <li>When NM101 is code EAH and use this code to indicate the employ contributor.</li> <li>When this code is used, NM102 and the 2/N9/1200 segment MUST a cross reference number.</li> </ol>		
			BUS	Business		
				1. When NM101 is code EAH and NM use this code to indicate the business neemployed contributor.		
				2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.		
			EAH	Contributor		

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may be used to identify the contributor's occupation, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

3. When this code is used and NM102 cites code 6, NM108/09 should be used to provide the state assigned committee identification number.

FN

Filer Name

Payee

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data.
- 2. When this code is used, NM102 may cite code 1 or 6.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used to provide the state assigned committee identification number and the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer identification number.

PE

- 1. When HL03 is code EF, use of this code is REQUIRED to indicate a recipient of a contribution.
- 2. When this code is used, NM102 may cite code 1 or 6, the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. If the contribution was made to a candidate, use the 2/LQ/2100 segment to identify the office sought or held and the jurisdiction.
- 4. If the contribution was made to a committee for a candidate, use the 2/N1/3400 segment to identify the candidate and the 2/LQ/3300 segment to identify the office sought and the jurisdiction.
- 5. If the contribution was made for a ballot measure, use the 2/N9/3100 segment to identify the ballot measure number or letter and name of the ballot measure and use the 2/LQ/3300 segment to identify the jurisdiction where the ballot measure will be voted upon.
- 6. When this code is used and NM102 cites code 6, NM108/09 should be used to provide the state assigned committee identification number.

M NM102 1065 Entity Type Qualifier

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person
Use this c

Use this code to indicate an individual.

2 Non-Person Entity

Use this code to indicate an entity other than an individual or a committee.

6 Organization

Use this code to indicate a committee.

Must Use NM103 1035 Name Last or Organization Name

O AN 1/60

Individual last name or organizational name

			1. Use of this data element is REQUIRED to provide the name of an entity.					
			2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.					
			2/112/0000 segmen	to provide the monner.				
			3. When NM102 i	s code 2 or 6 and the name of the entity of	r con	nmittee is		
				aracters, use the 2/N2/0800 segment to p	rovid	e the		
	NM104	1036	balance of the name Name First	ne.	0	AN 1/35		
	11111114	1030	Individual first nar	ma	U	AN 1/33		
	NM105	1037	Name Middle		0	AN 1/25		
	MINITUS	1037	Individual middle	name or initial	U	AN 1/23		
	NM106	1038	Name Prefix	name of mittar	0	AN 1/10		
	MINITOU	1030	Prefix to individua	l nama	U	AN 1/10		
	NM107	1039	Name Suffix	i name	0	AN 1/10		
	14141107	1037	Suffix to individua	l name	O	AN 1/10		
	NM108	66	<b>Identification Cod</b>		X	ID 1/2		
	11111100	00		he system/method of code structure used t	•			
			Code (67)	no systems memod of code structure used i	or ra	entification		
			When NM101 is co	ode EAH, FN, or PE and NM102 is code	6, us	e this data		
				the identification number in NM109.				
			75	State or Province Assigned Number	DE 1	71.51.00 1		
				1. When NM101 is code EAH, FN or code 6, use this code to indicate the sta				
				committee identification number.	ie uss	agneu		
				2 When NM101 is and EN and NM1	02 ia	ando 6		
				2. When NM101 is code FN and NM1 use of this code is REQUIRED if an id				
				number been assigned. Failure to pro				
				identification number will be cause for	the S	Secretary		
				of State's office to reject the filing of the				
				an identification number has not yet b				
	NM109	67	Identification Cod	use the 2/LQ/2100 segment to identify de	ınaı c X	AN 2/80		
	11112209	0.		party or other code		111 ( 2,00		
				ent to provide the state assigned committ	ee ide	entification		
			number.			<b>-</b>		
Not Used	NM110	706	<b>Entity Relationsh</b>	-	X	ID 2/2		
			Code describing en	•				
Not Used	NM111	98	<b>Entity Identifier</b> (		O	ID 2/3		
			Code identifying a individual	n organizational entity, a physical location	, prop	perty or an		

Segment: N2 Additional Name Information

**Position:** 0818

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

Purpose:

**Notes:** 

**ose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			<ol> <li>When providing a moniker, insert a space after the monumeric 1, 2, or 3.</li> <li>= moniker is before the first name</li> </ol>	niker and cite the
			2 = moniker is before the first and middle name 3 = moniker is between the middle and last name	
	N202	93	Name Free-form name	O AN 1/60

Segment: N3 Address Information

**Position:** 0918

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data Element 166	Name Address Information	Attributes M AN 1/55
141	14301	100	Address information	W AN 1/33
	N302	166	Address Information	O AN 1/55
			Address information	

N4 Geographic Location **Segment:** 

**Position:** 1018

> NM1 Loop: Optional (Must Use)

Level: Detail Usage: Optional Max Use: 1

To specify the geographic place of the named party **Purpose:** Only one of N402 or N407 may be present. **Syntax Notes:** 

If N406 is present, then N405 is required. 3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

**Notes:** Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

		<b>_</b> .	Duta Element Sammary				
	Ref. Des.	Data <u>Element</u>	Name	Att	<u>ributes</u>		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate a	governr	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3		
			Code identifying the country subdivision				

PER Administrative Communications Contact **Segment: Position:** 1118 NM1 Loop: Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To identify a person or office to whom administrative communications should be directed **Syntax Notes:** If either PER03 or PER04 is present, then the other is required. If either PER05 or PER06 is present, then the other is required. If either PER07 or PER08 is present, then the other is required. **Semantic Notes: Comments: Notes:** Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment. **Data Element Summary** 

			Data Element Summary				
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>		
M	PER01	366	<b>Contact Function Code</b>	M	ID 2/2		
			Code identifying the major duty or responsibility of the personal	on or	group named		
			Use this data element to qualify the party associated with th	e con	nmunication		
			numbers provided in the following data elements. Use only	the l	isted code.		
			IC Information Contact				
Not Used	PER02	93	Name	O	AN 1/60		
			Free-form name				
	PER03	365	Communication Number Qualifier	$\mathbf{X}$	ID 2/2		
			Code identifying the type of communication number				
			TE Telephone				
	PER04	364	Communication Number	$\mathbf{X}$	AN 1/256		
			Complete communications number including country or area applicable	code			
			The telephone number shall be transmitted as a continuous the area code and extension, if applicable. Concatenate the extension at the end of the number, e.g., 7035551212X123.				
	PER05	365	Communication Number Qualifier	X	ID 2/2		
			Code identifying the type of communication number				
			EM Electronic Mail				
			Use to indicate an e-mail address.				
	PER06	364	Communication Number	X	AN 1/256		
			Complete communications number including country or area applicable	code	when		
	PER07	365	Communication Number Qualifier	X	ID 2/2		
			Code identifying the type of communication number				
			FX Facsimile				
	PER08	364	Communication Number	X	AN 1/256		
		-	Complete communications number including country or area applicable	code			
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20		
00 0000			Additional reference number or description to clarify a conta	_			
			Additional reference number of description to clarify a contact number				

Segment: N9 Reference Identification

**Position:** 1218

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. Use of this segment is REQUIRED a minimum of one time with code FI cited in N901.
- 2. When NM101 in the 2/NM1/0700 segment is code 36, BUS, or EAH, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.
- 3. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.

M	Ref. <u>Des.</u> N901	Data Element 128	Name Reference Ident	ification Qualifier		ributes ID 2/3	
			Code qualifying	the Reference Identification			
			1W	Member Identification Number			
			6O	Use this code to indicate the Secretary assigned electronic filer identification Cross Reference Number			
			FI	Use this code to indicate a cross refere is used to associate entities identified in 2/NM1/0700 segment.  File Identifier		umber that	
				Use this code to provide a file identifie identified in NM101 of the 2/NM1/070	•		
	N902	127	Reference Ident	ification	X	AN 1/50	
Not Used	N903	369		nation as defined for a particular Transaction Reference Identification Qualifier ription	Set o	or as AN 1/45	
			Free-form descri	ptive text			
Not Used	N904	373	Date		O	<b>DT 8/8</b>	
			Date expressed a	s CCYYMMDD			
Not Used	N905	337	Time		X	TM 4/8	
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)				
Not Used	N906	623	Time Code		O	ID 2/2	
			e; sin	ands an indication ce + is a sthat follow			

Not Used	N907	C040	Reference Identifier		
			To identify one or more reference numbers or identification n specified by the Reference Qualifier	ıumb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as

EMS Employment Position **Segment: Position:** 1518 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position **Syntax Notes:** If either EMS05 or EMS06 is present, then the other is required. If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. EMS03 defines the occupation category. EMS04 defines the status of employment. EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAH and NM102 is code 1, use of **Notes:** this segment is REQUIRED to identify the occupation of the contributor.

			Data Element Summary		
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	EMS01	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd th	eir content
			1. Use this data element to identify the occupation of the co	ontrib	outor, in text.
			2. This data element is limited to 60 characters. exceeding	this	limit runs
			the risk of the Secretary of State's office not receiving all of		
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3
			Code indicating category of employee		
Not Used	EMS03	1149	Occupation Code	O	<b>ID 4/6</b>
			Code identifying the occupation of an individual		
Not Used	EMS04	584	<b>Employment Status Code</b>	O	ID 2/2
			Code showing the general employment status of an employe	e/clai	mant
Not Used	EMS05	128	Reference Identification Qualifier	$\mathbf{X}$	<b>ID</b> 2/3
			Code qualifying the Reference Identification		
Not Used	EMS06	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set (	or as
Not Used	<b>EMS07</b>	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set (	or as
Not Used	EMS08	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		

Segment: LQ Industry Code

**Position:** 2118

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use: 1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes:** Comments:

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code FN, if applicable, this segment should be used to identify that a state assigned identification number HAS NOT been received.
- 2. When NM101 is code PE, and the contribution was made to a candidate, this segment is used to identify the office sought/held, whether the candidate is supported or opposed, and the jurisdiction of the candidate.
- 3. The office sought, jurisdiction, support or oppose indicators, and an indication that a state assigned identification number has not been received are identified by codes contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 4. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data			
Des.	<b>Element</b>	Name	Attr	<u>ributes</u>
$\overline{\text{LQ0}}$ 1	1270	Code List Qualifier Code	O	ID 1/3
		Code identifying a specific industry code list		
		Use this data element to identify the code list that contains t in LQ02.	he co	de specified
		EAF California Campaign Disclosure Report	Code	es
LQ02	1271	Industry Code	$\mathbf{X}$	AN 1/30
		Code indicating a code from a specific industry code list		
		<ol> <li>When NM101 is code FN, enter a code from section 04 of Campaign Disclosure Code List.</li> <li>When NM101 is code PE and the contribution was made enter codes from sections 03 and/or 08 of the California Ca Disclosure Code List.</li> <li>When code 03-OTH is cited in this data element, use the</li> </ol>	e to a mpai	candidate, gn
		segment to identify the office, in text.	<b>2</b> ,1,1	2000
		4. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited element, use the 2/MTX/2600 segment to identify the city. coother jurisdiction, in text.		
		5. When code 08-SEN, 08-ASM, or 08-BOE is cited in this the 2/N9/2200 segment to identify the district.	data	element, use

Segment: N9 Reference Identification

**Position:** 2218

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

## **Data Element Summary**

			Data Element Summary		
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to qualify the code cited in N902.		
			DIS District Number		
			Use this code to indicate the district of sought or the ballot measure.	the o	ffice
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b> Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or	I = mi decindths (I O Standa	nutes (00- mal seconds 00-99) ID 2/2 ards an indication
			in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the		
Not Used	N907	C040	Reference Identifier	O	os that follow
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
	G0 4000	100	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
Q1.462=1:-	0.40.04\		Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier		
CA 460D1 (0	11/11/2711		406	11000	mbor 23 1000

CA460R1 (004021) 406 December 23, 1999

Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as

MTX Text **Segment:** 

**Position:** 2618

> Loop: LQ Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required. If MTX03 is present, then MTX02 is required.

- If MTX05 is present, then MTX04 is required.

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

- 2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.
- 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data						
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>		
	MTX01	363	<b>Note Reference</b>	Code	O	ID 3/3		
			Code identifying	the functional area or purpose for which the	e note	applies		
			EAM	Jurisdiction				
			EAQ	Description of Position				
	MTX02	1551	Message Text		$\mathbf{X}$	AN 1/4096		
			To transmit large	e volumes of message text				
				nt is limited to a maximum of 40 characters is the data not being received by the Section 1.		~		
Not Used	MTX03	1551	Message Text		O	AN 1/4096		
			To transmit large	e volumes of message text				
Not Used	MTX04	934	<b>Printer Carriag</b>	e Control Code	X	ID 2/2		
			A field to be used	d for the control of the line feed of the recei-	ving p	orinter		
Not Used	MTX05	1470	Number		O	N0 1/9		
			A generic number	er				
Not Used	MTX06	819	Language Code		O	ID 2/3		
				ode designating the language used in text, from a standard code list aintained by the International Standards Organization (ISO 639)				

Segment: AWD Amount with Description

**Position:** 2718

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 AWD03 is used when information source provides non-numeric values.

When NM101 is code EAH or PE, use this segment to provide amounts applicable to a contribution. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of a code cited in the composite data element AWD01. The following should be used in AWD01 to qualify the amount:

Amount:

AWD01-01 code 93

	Ref. Des.	Data Element	Name		A 44	ributes	
M	AWD01	C007		Name Amount Qualifying Description			
			To qualify ar	• •			
				this composite data element to provide multiple qualifies ount cited in AWD02.			
M	C00701	522	Amount Qua	alifier Code	M	ID 1/3	
			Code to qual	de to qualify amount			
			93	Contribution			
				Use this code to indicate a contribution received or made.	n amo	ount, either	
Not Used	C00702	522	Amount Qua	alifier Code	O	ID 1/3	
			Code to qual	Code to qualify amount			
Not Used	C00703	1638	Value Detail	Value Detail Code			
			Code identify	Code identifying extent of an amount or quantity			
Not Used	C00704	935	Measuremen	Measurement Significance Code			
			Code used to	Code used to benchmark, qualify or further define a measure			
Not Used	C00705	344	Unit of Time	Init of Time Period or Interval			
			Code indicati	ing the time period or interval			
Not Used	C00706	1637	Net/Gross C	ode	O	<b>ID</b> 1/1	
				ing whether monetary values are net or gross			
Not Used	C00707	935		nt Significance Code	O	ID 2/2	
			Code used to	Code used to benchmark, qualify or further define a measurement value			
Not Used	C00708	352	Description		O	AN 1/80	
			A free-form	description to clarify the related data elements a	and th	eir content	
Not Used	C00709	1271	<b>Industry Co</b>	de	O	AN 1/30	
			Code indicati	ing a code from a specific industry code list			
	AWD02	782	Monetary A	mount	X	R 1/18	
			Monetary am	nount			

			Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.			
Not Used	AWD03	61	Free-Form Message	X	AN 1/30	
			Free-form information			
Not Used	AWD04	100	Currency Code	O	ID 3/3	
			Code (Standard ISO) for country in whose currency the charges are specified			

Segment: DTM Date/Time Reference

**Position:** 2818

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the receipt date or payment date of the contribution.

	Ref.	Data		·				
M	<u>Des.</u> DTM01	Element 374	<u>Name</u> Date/Time Qualifie			ributes ID 3/3		
IVI	DIMINI	3/4	_	Code specifying type of date or time, or both date and time		ID 3/3		
			050	Received				
				When NM101 in the 2/NM1/0700 segment is code EAH, use this code to indicate the receipt date of a contribution.				
			666	Date Paid				
				When NM101 in the 2/NM1/0700 segment is code PE, use this code to indicate the date the contribution was made.				
	DTM02	373	Date		X	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD					
			Use this data element to identify the date of the contribution.					
Not Used	DTM03	337	Time		X	TM 4/8		
Not Used	DTM04	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-59)$ , $S = integer seconds (00-59)$ and $DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2$					
,				e time. In accordance with International S	Stands			
			Organization standard 8601, time can be specified by a $+$ or $-$ and an indication in hours in relation to Universal Time Coordinate (UTC) time; since $+$ is a restricted character, $+$ and $-$ are substituted by P and M in the codes that follow					
Not Used	DTM05	1250	Date Time Period I	•	X	ID 2/3		
			Code indicating the	date format, time format, or date and time	ie fori	mat		
Not Used	<b>DTM06</b>	1251	<b>Date Time Period</b>		X	AN 1/35		
			Expression of a date	, a time, or range of dates, times or dates	and t	times		

Segment: N9 Reference Identification

**Position:** 3118

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code EAH or PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a contributor or a recipient of a contribution. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the contributor or recipient of a contribution. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 497 if this transaction identifier is not a provided.
- 3. When NM101 is code PE and the contribution was made for a ballot measure, use this segment to identify the ballot measure number or letter and its name.
- 4. When LQ02 in the 2/LQ/3300 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

#### **Data Element Summary**

Data Element Summary						
М	Ref. <u>Des.</u> N901	Data <u>Element</u> 128	Name	atification Ovalifion	Attributes M ID 2/3	
IVI	11901	120		ntification Qualifier	WI ID 2/3	
			Code qualifying	g the Reference Identification		
			DIS	District Number		
			ECB	Ballot Identifier		
			TN	Use this code to indicate the ballot letter. Specify the ballot measure nature Transaction Reference Number		
				Use this code to indicate a monetary identifier applicable to an amount. monetary transaction identifier MUALL amounts associated with an entire monetary transaction identifier MU schedules where the monetary transand in amendments.	The same VST be assigned to ntity. This same VST be used in all	
	N902	127	Reference Idea	ntification	X AN 1/50	
			specified by the	mation as defined for a particular Transac Reference Identification Qualifier		
	N903	369	Free-form Des	cription	X AN 1/45	
			Free-form desc	riptive text		
				code ECB, use this data element to identi . Abbreviate if necessary.	fy the name of the	
Not Used	N904	373	Date		O DT 8/8	

Date expressed as CCYYMMDD

Not Used	N905	337	Time Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	I = mi decir	nutes (00- nal seconds
Not Used	N906	623	Time Code  Code identifying the time. In accordance with International a Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the	- and ne; sin	an indication ce + is a
Not Used	N907	C040	<b>Reference Identifier</b> To identify one or more reference numbers or identification specified by the Reference Qualifier	O numb	ers as
Not Used	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification	M	ID 2/3
Not Used	C04002	127	Reference Identification Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	M n Set	<b>AN 1/50</b> or as
Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04004	127	Reference Identification Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	X n Set	<b>AN 1/50</b> or as
Not Used	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3
Not Used	C04006	127	Reference Identification Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	X n Set	<b>AN 1/50</b> or as

Segment: LQ Industry Code

**Position:** 3318

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code PE, and the contribution was made for a ballot measure, this segment is used to identify the jurisdiction in which the ballot measure will be voted upon and whether it was supported or opposed.
- 2. When NM101 is code PE, and the contribution was made for a candidate, this segment is used to identify the office sought/held, whether the candidate is supported or opposed, and the jurisdiction of the candidate.
- 3. The jurisdiction and support or oppose indicators are identified by codes contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 4. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data			
Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ibutes</u>
$\overline{LQ0}1$	1270	Code List Qualifier Code	O	ID 1/3
		Code identifying a specific industry code list		
		EAF California Campaign Disclosure Report	Code	es
LQ02	1271	Industry Code	$\mathbf{X}$	AN 1/30
		Code indicating a code from a specific industry code list		
		<ol> <li>When NM101 in the 2/NM1/0700 segment is code PE an was made for a ballot measure, use this data element to cite section 04 and 08 of the California Campaign Disclosure C</li> <li>When NM101 is code PE and the contribution was made enter codes from sections 03 and/or 08 of the California Ca Disclosure Code List.</li> <li>When code 03-OTH is cited in this data element, use the segment to identify the office, in text.</li> </ol>	a cod lode L e for d empai	de from .ist. ı candidate, gn
		4. When 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in tuse the 2/MTX/3500 segment to identify the city, county, loc jurisdiction, in text.		· · · · · · · · · · · · · · · · · · ·
		5. When code 08-SEN, 08-ASM, 08-BOE is cited in this dathe 2/N9/3100 segment to identify the district.	ta ele	ment, use

Segment: N1 Name

**Position:** 3418

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** 1 At least one of N102 or N103 is required.

If either N103 or N104 is present, then the other is required.

**Semantic Notes:** Comments:

1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

N105 and N106 further define the type of entity in N101.

Notes: When NM101 in the 2/NM1/0700 segment is code PE and the contribution was made

for a candidate, use this data element to identify the candidate.

	Ref.	Data	Data Element Summary		
	Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ibutes</u>
M	N101	98	Entity Identifier Code	M	ID 2/3
			Code identifying an organizational entity, a physical location individual	, prop	perty or an
			VB Candidate		
Must Use	N102	93	Name	X	AN 1/60
			Free-form name		
Not Used	N103	66	Identification Code Qualifier	$\mathbf{X}$	ID 1/2
			Code designating the system/method of code structure used for Code (67)	or Ide	entification
Not Used	N104	67	<b>Identification Code</b>	$\mathbf{X}$	AN 2/80
			Code identifying a party or other code		
Not Used	N105	706	Entity Relationship Code	O	ID 2/2
			Code describing entity relationship		
Not Used	N106	98	<b>Entity Identifier Code</b>	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	, prop	perty or an

Segment: MTX Text

**Position:** 3518

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

- 2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.
- 3. Use this segment also to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
- 4. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 5. This implementation convention limits the use of this segment to one time for each code listed in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	Duta Elei	Ment Summary		
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference (	Note Reference Code		ID 3/3
			Code identifying t	he functional area or purpose for which th	e note	applies
			ADD	Additional Information		
			EAM	Jurisdiction		
			EAQ	Description of Position		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large	volumes of message text		
				is code EAM or EAQ, this data element i ding this limit runs the risk of the data no f State's office.		ng received
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large	volumes of message text		
Not Used	MTX04	934	Printer Carriage		X	ID 2/2
			A field to be used	for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				the language used in text, from a standard International Standards Organization (ISC		

Segment: **SE** Transaction Set Trailer

**Position:** 3600

Loop:

Level: Detail Usage: Mandatory

Max Use: 1

**Purpose:** To indicate the end of the transaction set and provide the count of the transmitted

segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes: Semantic Notes:

**Comments:** 1 SE is the last segment of each transaction set.

Ref. <u>Des.</u> SE01	Data Element 96	Name Number of Included Segments	Attr M	ributes N0 1/10		
		Total number of segments included in a transaction set including ST and SE segments				
		This data element identifies the total number of segments included in the transaction set being transmitted. This number includes the ST (header) and SE (trailer) segments				
<b>SE02</b>	329	Transaction Set Control Number	M	AN 4/9		
		Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set  This data element MUST cite the same number as is cited in ST02 of the				
	Des. SE01	Des. Element SE01 96	Des.   SE01   Po	Des.   SE01   96   Number of Included Segments   M		