

## **POLITICAL REFORM AUDIT REPORTS**

### **State Campaigns**

1. California Apartment Association Independent Expenditure Committee (1294628)
2. California Hispanic Chamber of Commerce Independent Expenditure Committee (1351612)
3. California Taxpayer Protection Committee (1287571)
4. Consumers for Choice (1341965)
5. Leticia Perez  
Perez for Senate 2013 (1356258)
6. Property Casualty Insurers Association of America Political Account I (1342334)
7. San Mateo County Democratic Central Committee (882509)



State of California  
**Franchise Tax Board**

POLITICAL REFORM AUDIT PROGRAM MS F387  
PO BOX 651  
SACRAMENTO CA 95812-0651

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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

San Mateo County Democratic Central Committee  
(882509)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose political party committee.

**TREASURERS:** Rita Copeland  
River City Business Services

Xavier R. Martinez (10/09/07 – 06/26/14)  
Martinez & Associates, Inc.

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 325,999

Total Expenditures: \$ 330,434

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

Two Preelection Statements were not filed with the Secretary of State prior to the June 5, 2012, Presidential Primary Election.

<u>Statement Period</u>	<u>Contributions Received</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Due Date</u>
01/01/12 – 03/17/12	\$ 3,940	\$ 5,231	\$ 8,670	03/22/12
03/18/12 – 05/19/12	68,225	<u>64,285</u>	<u>4,249</u>	05/24/12
Totals		\$ 69,516	\$ 12,919	

The activity for these periods was reported on the Preelection Statement filed on July 30, 2012, for the period January 1 through May 19, 2012.

### TREASURER'S COMMENT

Mr. Martinez stated that he tried his best to comply with all of the filing requirements.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

California Taxpayer Protection Committee  
(1287571)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose committee.

**TREASURER:** Thomas Nowlen Hudson

**FINANCIAL ACTIVITY**

Total Contributions Received: \$ 303,100

Total Expenditures: \$ 289,425

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

Late Independent Expenditure Reports (LIERs) were not timely filed with the Secretary of State for expenditures totaling \$46,520 made to support or oppose three state candidates. The LIERs were filed on September 28, 2012, after the Presidential Primary Election. These expenditures were reported on Supplemental Independent Expenditure Reports filed in electronic format on May 28, 2012. In addition, two contributions received, totaling \$70,000, required to have been disclosed on the LIERs, were disclosed on Late Contribution Reports filed in electronic format on May 18 and May 23, 2012. LIERs were timely filed for six other independent expenditures made totaling \$151,803.

#### Reference Exhibit A

Mr. Hudson stated that he used the incorrect forms when filing the reports. Mr. Hudson further stated that the information was provided to the public electronically within the 24-hour filing deadline.

### EXHIBIT A – LATE INDEPENDENT EXPENDITURE REPORTS FILED ON SEPTEMBER 28, 2012

	<u>Candidate</u>	<u>Office Sought</u>	<u>Amount</u>	<u>Date Expenditure Made</u>	<u>Date Report Due</u>	
1.	Leslie Daigle	Assembly District 74	\$ 14,999	05/28/12	05/29/12	(1)
2.	Dan Logue	Assembly District 3	16,572	05/28/12	05/29/12	(2)
3.	Robert 'Bob' Rush	Assembly District 74	<u>14,949</u>	05/28/12	05/29/12	(2)
		Total	\$ 46,520			

Presidential Primary Election: 06/05/12

Late Reporting Period: 05/20/12 – 06/04/12

(1) Opposed

(2) Supported



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

California Apartment Association Independent Expenditure Committee  
(1294628)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee qualified as a general purpose committee on March 14, 2012, and is sponsored by the California Apartment Association.

**TREASURER:** David Bauer

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 1,266,289

Total Expenditures: \$ 887,296

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

Late Independent Expenditure Reports (LIERs) were not filed with the Secretary of State for expenditures of \$35,944 and \$25,944 on October 27 and October 31, 2012, respectively. The expenditures were for mailers supporting Jeff Miller for Senate in the 2012 General Election. The expenditures were reported on the Semi-annual Statement filed for the period ending December 31, 2012. LIERs were timely filed for 33 other expenditures totaling \$647,726.

Mr. Bauer did not provide comment.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Consumers for Choice (1341965)

January 1, 2012 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee qualified as a general purpose committee on October 2, 2012.

**TREASURER:** John E. Stoos

**FINANCIAL ACTIVITY**

Total Contributions Received: \$ 70,000

Total Expenditures: \$ 63,490

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.



## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

A Late Independent Expenditure Report filed with the Secretary of State (SOS) on October 29, 2012, disclosed expenditures totaling \$27,803 for “design, production, & mailing of district mailer” opposing Ken Cooley, a candidate for Assembly, Eighth District, in the 2012 General Election. These expenditures were not reported on the Semi-annual Statement filed with the SOS for the period ending December 31, 2012. Records were not provided.

Mr. Stoos stated that he doesn’t recall these expenditures being made. He further stated that he doesn’t have records of an additional mailer being sent, but he thought that the report was filed due to discussions for a possible second mailer that never made it to production.

Activity for the period October 1 through October 20, 2012, was duplicated on the Semi-annual Statement filed with the SOS on January 25, 2013. The duplication resulted in a \$35,000 overstatement of reported contributions received and a \$27,888 overstatement of reported expenditures.

Mr. Stoos did not provide comment.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Property Casualty Insurers Association of America Political Account I  
(1342334)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee qualified as a general purpose committee on November 28, 2011, and was sponsored by the Property Casualty Insurance Association of America. The Committee terminated effective April 30, 2013.

**TREASURER:** June T. Holmes  
Property Casualty Insurers Association of  
America

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 108,750

Total Expenditures: \$ 108,750

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

The Preelection Statement in paper format for the period ending May 19, 2012, due at the Secretary of State (SOS) on May 24, 2012, was filed 162 days late on November 2, 2012. In addition, the Semi-annual Statement in paper format for the period ending June 30, 2012, due at the SOS on July 31, 2012, was filed 94 days late on November 2, 2012. These statements were timely filed electronically.

Statement Period	Contributions Made	Receipts	Expenditures
01/01/12 – 05/19/12	\$ 20,000	\$ 21,000	\$ 21,000
05/20/12 – 06/30/12	\$ 0	\$ 0	\$ 0

Ms. Holmes did not provide comment.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

California Hispanic Chamber of Commerce Independent  
Expenditure Committee (1351612)

January 1, 2012 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee qualified as a general purpose committee on October 2, 2012, and is sponsored by the California Hispanic Chamber of Commerce.

**TREASURER:** J. Richard Eichman, CPA

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 68,000

Total Expenditures: \$ 67,904

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **NONMONETARY CONTRIBUTIONS RECEIVED**

Nonmonetary contributions totaling \$18,000 received from Reform California Now Independent Expenditure Committee were not disclosed on the initial Preelection Statement filed for the period October 1 through October 20, 2012. The nonmonetary contributions were for the use of State Senate District 27 polling data on October 2 and October 11, 2012. These contributions were reported on an amendment filed on November 13, 2012.

Mr. Eichman stated that, due to server issues, his office did not receive notification of these contributions in a timely manner. He added that the amendment was filed as soon as possible after receiving the notification.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Leticia Perez  
Perez for Senate 2013 (1356258)

January 1, 2013 through December 6, 2013

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Legislative candidates in a special primary or special runoff election who raised or spent \$15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on March 20, 2013, and was controlled by Kern County Supervisor Leticia Perez, a candidate for State Senate, Sixteenth District, in the July 23, 2013 Special General Election. The Committee terminated effective December 6, 2013.

**TREASURER:** Leticia Perez

**REPRESENTATIVE:** Shawnda Deane  
Deane & Company

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 2,568,218

Total Expenditures: \$ 2,595,607

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

Form 497 24-Hour Contribution Reports were not timely filed with the Secretary of State for four contributions received totaling \$17,604. These reports were filed after the May 21, 2013 Special Primary Election.

#### Reference Exhibit A

In addition, a record of the date received was not maintained for a \$4,100 contribution reported as received from DRIVE Committee on May 9, 2013. The lack of this record precluded the determination of whether the report filed on May 28, 2013, was timely.

Reports were timely filed for 315 other contributions received totaling \$2,486,914.

Ms. Deane stated that a software glitch caused the late reporting of two of the contributions, while notification of a third contribution was not received until June 13, 2013, after the pertinent election. She added that she filed the reports as soon as she realized the omission and noted that a review process has been implemented to ensure this does not happen again. She further stated that the contributions reported late not only represent a very small amount in comparison with overall contributions received, but were also timely disclosed by the donors.

**EXHIBIT A – FORM 497 24-HOUR CONTRIBUTION REPORTS NOT TIMELY FILED WITH THE  
SECRETARY OF STATE**

	<u>Date Received</u>	<u>Name of Contributor</u>	<u>Amount</u>	<u>Due Date</u>	<u>Filed Date</u>	<u>Days Late</u>	
1.	04/30/13	International Union of Operating Engineers	\$ 4,300	05/02/13	06/13/13	42	* (1)
2.	05/09/13	Edison International	4,100	05/10/13	06/10/13	31	
3.	05/09/13	Faculty for Our University's Future, A Committee Sponsored by the California Faculty Association	8,200	05/10/13	06/10/13	31	
4.	05/19/13	Garcia for Assembly 2014	<u>1,004</u>	05/21/13	05/24/13	3	*
			Total: \$ 17,604				

\* Nonmonetary contribution received. Report due within 48 hours.

(1) Reported on the amended Preelection Statement filed on July 11, 2013, for the period ending May 4, 2013.

Special Primary Election: 05/21/13

24-Hour Reporting Period: 03/08/13 – 05/21/13





**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR  
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS**

**AUDIT AUTHORITY, SCOPE, AND RESULTS**

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 30, 2015.

<u>CAMPAIGNS</u>	<u>PERIOD COVERED</u>
1. Californians Against Wasteful Spending (1352446)	01/01/12 - 12/31/12
2. California Alliance of Taxpayer Advocates Political Action Committee (CATA PAC) (1345580)	01/01/12 - 12/31/12
3. California Taxpayers Advocate (1327218)	01/01/11 - 12/31/12
4. Californians for Truth in Labeling-Yes on 37, sponsored by the Center for Food Safety Action Fund (1351240)	01/01/12 - 12/31/12
5. Committee to Defend Prop. 38, Sponsored by The Advancement Project and Education Advocates, with Financial Support From Molly Munger and Stephen English (1352407)	01/01/12 - 12/31/12
6. Environmental Working Group Yes on 37 Committee (1352976)	01/01/12 - 12/21/12
7. Hispanic 100 (1247996)	01/01/11 - 12/31/12
8. International Association of Fire Fighters Opposed to the Special Exemptions Act No on Measure 32 (1347975)	01/01/12 - 12/31/12
9. International Brotherhood of Electrical Workers Local 413 PAC (1319321)	01/01/11 - 12/31/12

**CAMPAIGNS****PERIOD COVERED**

- |   |                     |
|---|---------------------|
| 10. Los Angeles Police Protective League Issues PAC (1336580)<br>(formerly Los Angeles Police Protective League's (LAPPL) Public Safety<br>First Political Action Committee – No on 32) | 02/21/12 – 12/31/12 |
| 11. MIEC Political Action Committee of California, sponsored by the Medical<br>Insurance Exchange of California (1323065)   | 01/01/11 – 12/31/12 |
| 12. Personal Insurance Federation of CA Agents & Employees PAC (1338487)  | 01/01/11 – 12/31/12 |

## POLITICAL REFORM AUDIT REPORTS

### State Campaigns

1. Reclaim California's Future – Yes on Prop. 30, No on Prop. 32 (1347688)
2. Yes on Prop. 30 – to Protect our Schools and Public Safety, a broad coalition of teachers, labor, business, law enforcement, and Governor Brown (1343257)  
(currently Yes on Props 1 and 2 a bipartisan coalition of business labor  
Republicans Democrats and Governor Brown)
3. Yes on 37 Lake County (1349283)
4. Yes on 40 – Hold Politicians Accountable (1321618)



State of California  
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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Yes on 40 – Hold Politicians Accountable  
(1321618)

January 1, 2012 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on February 27, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was a primarily formed ballot measure committee supporting Proposition 40 in the 2012 General Election. The Committee terminated effective April 9, 2014.

**TREASURER:**

Steven S. Lucas  
Nielsen Merksamer Parrinello Gross & Leoni  
LLP

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 593,618

Total Expenditures: \$ 1,089,735

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

A \$5,000 Report for a \$253,119 nonmonetary contribution of stock received from Charles T. Munger, Jr. on July 27, 2012, was filed with the Secretary of State 28 days late on September 7, 2012. One other \$5,000 Report was timely filed.

Mr. Lucas stated that the Committee was not aware of the receipt of the stock until September 6, 2012. He explained that the Committee and its agents were not provided any notice (written or otherwise) from the Merrill Lynch office transferring the securities. Mr. Lucas further stated that as soon as the Committee became aware of the transfer, they promptly filed a report, well in advance of the due date of the related preelection statement.



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## **AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Yes on Prop. 30 – to Protect our Schools and Public Safety, a broad coalition of teachers, labor, business, law enforcement, and Governor Brown (1343257)  
(currently Yes on Props 1 and 2 a bipartisan coalition of business labor Republicans Democrats and Governor Brown)

January 1, 2011 through December 31, 2012

### **AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on February 27, 2015.

### **ABOUT THE COMMITTEE**

#### **BACKGROUND INFORMATION**

The Committee was formed on December 14, 2011, as a primarily formed ballot measure committee supporting Proposition 30 in the 2012 General Election. The Committee is controlled by Governor Edmund G. Brown, Jr.

**TREASURER:** Rubeena Singh

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 42,243,644

Total Expenditures: \$ 39,641,034

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

\$5,000 Reports were not filed with the Secretary of State for two contributions received totaling \$1,546,427. These contributions were reported on the Preelection Statement filed on October 5, 2012, for the period ending September 30, 2012. \$5,000 Reports were filed for 164 other contributions received totaling \$9,289,752.

Reference Exhibit A

Ms. Singh stated that only two of the 166 contributions were not timely reported, but both were subsequently reported well before the election so the public was not deprived of any information.

### EXHIBIT A - \$5,000 REPORTS NOT FILED WITH THE SECRETARY OF STATE

	<u>Name of Contributor</u>	<u>Date Received</u>	<u>Amount</u>
1.	Californians Working Together to Restore and Protect Public Schools, Universities and Public Safety	07/16/12	\$ 1,531,427
2.	California State Council of Service Employees Issues Committee (SEIU)	07/20/12	<u>15,000</u>
		Total	\$ 1,546,427



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Reclaim California's Future – Yes on Prop. 30, No on Prop. 32  
(1347688)  
c/o Kaufman Legal Group

January 1, 2012 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on February 27, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on May 31, 2012, as a primarily formed ballot measure committee supporting Proposition 30 and opposing Proposition 32 in the 2012 General Election. The Committee terminated effective December 31, 2013.

**TREASURER:** Anthony Thigpenn  
Kaufman Legal Group

**REPRESENTATIVE:** Joseph A. Guardarrama  
Kaufman Legal Group



## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 81,518

Total Expenditures: \$ 69,580

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **ACCRUED EXPENSES**

Accrued expenses totaling \$22,191 were not disclosed on the initial Preelection Statements filed for the period July 1 through October 20, 2012. The expenses were reported when paid on the Semi-annual Statement filed for the period ending December 31, 2012. Amendments were filed on February 11, 2013, to correct the misreporting.

Mr. Guardarrama stated that the Committee took reasonable steps to report its debts timely; however, a number of invoices were not timely provided by the vendors. Mr. Guardarrama further stated that amendments were filed once the Committee discovered the error.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Yes on 37 Lake County (1349283)

January 1, 2012 through December 7, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on February 27, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on July 27, 2012, as a primarily formed ballot measure committee supporting Proposition 37 in the 2012 General Election. The Committee terminated effective December 7, 2012.

**TREASURER:** Linda Annelle Durham

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 24,877

Total Expenditures: \$ 35,024

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **RECORDKEEPING**

Copies of contributors' checks and/or duplicate deposit slips were not maintained for contributions received totaling \$6,964. Bank statements and receipt journals were provided. For four of these contributions totaling \$3,020, contributor response cards or envelopes were provided.

Ms. Durham stated that she did not believe it was necessary to keep copies of the contributors' checks since she maintained contributor envelopes, response cards, and receipts journals.

Canceled checks were not maintained for expenditures made totaling \$7,750. Invoices were not maintained for three of these expenditures totaling \$554. Bank statements and a check register were provided.

Ms. Durham stated that she did not believe it was necessary to keep copies of the canceled checks since she maintained bank statements, a check register, and a majority of the invoices.

### **MONETARY CONTRIBUTIONS RECEIVED**

An \$8,000 contribution received from Mark Squire on October 2, 2012, was not disclosed on the Preelection Statement filed for the period ending October 20, 2012. In addition, a major donor notification letter was not sent and an Election Cycle Report was not filed with the Secretary of State for this contribution.

Ms. Durham stated that the lack of disclosure and notification was due to an inadvertent oversight.



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## AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

### AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on February 27, 2015.

<u>CAMPAIGNS</u>	<u>PERIOD COVERED</u>
1. Californians Against Special Interests (1337891) (formerly CALIFORNIANS AGAINST SPECIAL INTERESTS supported by Citizens Power Campaign, L. T. Smith, W. E. Bloomfield, C. Munger, Jr., Lincoln Club of OC, F. E. Baxter, T. Draper, B. W. Hughes, Jr., Protect Prop 13, and R. J. Riordan)	01/01/11 – 06/30/12
2. Californians for Clean Energy and Jobs, sponsored by environmental organizations and business for clean energy and jobs (1324059) (formerly Californians for Clean Energy and Jobs, sponsored by environmental organizations and business for clean energy and jobs – Yes on 39)	07/01/11 – 12/31/12
3. Fresno Police Officers Association Political Action Committee (861499)	07/01/12 – 12/31/12
4. Northern California Chapter, National Electrical Contractors Association Political Action Committee (960734)	01/01/11 – 12/31/12
5. Solar Energy Industries Association California PAC (1327739)	01/01/11 – 12/31/12
6. Working Families for our Future and Better Schools Yes on 30, Sponsored and Major Funding by California State Council of Service Employees Issues Committee (1351817)	01/01/12 – 12/31/12

## POLITICAL REFORM AUDIT REPORTS

### State Campaigns

1. California Retailers Association Issues Committee (1274157)  
(formerly California Retailers Association Issues Committee, A  
Committee to Support Proposition 30 and Promote Retailers' Interests  
in Education and Public Safety)  
AMENDED AUDIT REPORT
2. Residents for Good Government (1251809)
3. Yes on 30 to Save Our Schools & No on 32 to Stop Campaign Finance  
Loopholes for Corporate Interests, Sponsored by Good Jobs Safe  
Communities LA (1349099)
4. Vote Yes on 35: Stop Human Trafficking in CA, a coalition of survivors, children  
& human rights advocates, law enforcement & community groups, Sponsored  
by CA Against Slavery and Safer CA Foundation (1321216)



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Residents for Good Government (1251809)  
c/o David L. Gould Company

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on March 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose committee.

**TREASURER:** David L. Gould  
David L. Gould Company

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 42,000

Total Expenditures: \$ 56,830

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

Independent Expenditure Reports were not filed with the Secretary of State (SOS) within 24 hours for three independent expenditures totaling \$13,646 made in support of Rudy Bermudez.

Reference Exhibit A

In addition, a Supplemental Independent Expenditure Report was not filed with the SOS for the period January 1 through May 19, 2012, for these independent expenditures made.

Mr. Gould stated that these errors were inadvertent.

### EXHIBIT A – INDEPENDENT EXPENDITURE REPORTS NOT FILED WITHIN 24 HOURS

	<u>Date Made</u>	<u>Amount</u>	<u>Date Filed</u>	<u>Days Late</u>
1.	03/27/12	\$ 6,277	04/30/12	33
2.	04/10/12	2,890	04/30/12	19
3.	04/11/12	<u>4,479</u>	04/30/12	18
	Total	\$ 13,646		



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## **AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

California Retailers Association Issues Committee (1274157)  
(formerly California Retailers Association Issues Committee, A  
Committee to Support Proposition 30 and Promote Retailers' Interests  
in Education and Public Safety)

January 1, 2012 through December 31, 2012

### **AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on March 30, 2015.

### **PURPOSE OF AMENDED REPORT**

This amended audit report supersedes the report issued on November 26, 2014, and is being issued because an error was identified with the amount stated on the prior audit report for the contribution received from Wal-Mart Stores, Inc. on February 21, 2012. The correct amount of the contribution received is \$50,000.

### **ABOUT THE COMMITTEE**

#### **BACKGROUND INFORMATION**

The Committee is a general purpose committee sponsored by the California Retailers Association. During the period November 8, 2012 through March 13, 2013, the Committee was primarily formed to support Proposition 30 in the 2012 General Election.



**TREASURER:** Thomas S. Knox  
Knox Lemmon & Anapolsky, LLP

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 235,000

Total Expenditures: \$ 250,000

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

A Preelection Statement was not filed with the Secretary of State for the period January 1 through March 17, 2012. A \$25,000 contribution was made to Californians to Protect Schools, Universities, & Public Safety on February 27, 2012. A \$50,000 contribution was received from Wal-Mart Stores, Inc. on February 21, 2012. The activity for this period was reported on the Semi-annual Statement filed on July 31, 2012, for the period ending June 30, 2012.

Mr. Knox stated that the \$25,000 payment was not a “contribution” based on his interpretation of how the term “contribution” is defined.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Vote Yes on 35: Stop Human Trafficking in CA, a coalition of survivors, children & human rights advocates, law enforcement & community groups, Sponsored by CA Against Slavery and Safer CA Foundation (1321216)  
c/o Padilla & Associates

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on March 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was a primarily formed ballot measure committee supporting Proposition 35 in the 2012 General Election. The Committee was sponsored by California Against Slavery and Safer California Foundation. The Committee terminated effective April 30, 2013.

**TREASURERS:** Jane Leiderman  
Padilla & Associates

Karen Yee (09/08/09 – 11/20/11)

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 2,915,998

Total Expenditures: \$ 2,950,966

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **RECORDKEEPING**

Receipts and/or invoices were not maintained for expenditures made totaling \$58,590. Of this amount, \$2,596 was paid by checks, which were not maintained. The rest of the expenditures were made via debit card purchases. Bank statements were provided.

Copies of contributors' checks or credit card authorization forms and duplicate deposit slips were not maintained for contributions received totaling \$66,045. In addition, valuation letters or invoices for three nonmonetary contributions totaling \$1,366 were not maintained.

Ms. Leiderman stated that records for the year 2011, before she became treasurer, were lost.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Yes on 30 to Save Our Schools & No on 32 to Stop Campaign Finance  
Loopholes for Corporate Interests, Sponsored by Good Jobs Safe  
Communities LA (1349099)

January 1, 2012 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on March 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on July 6, 2012, as a primarily formed ballot measure committee supporting Proposition 30 and opposing Proposition 32 in the 2012 General Election. The Committee was sponsored by Good Jobs Safe Communities LA. The Committee terminated effective June 29, 2014.

**TREASURERS:** Noyan Eyigor  
Service Employees International Union

Gail Gabler (07/06/12 – 01/29/14)  
Good Jobs Safe Communities LA

**REPRESENTATIVE:** Andy Lim  
Sed Quaere, LP

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 887,156

Total Expenditures: \$ 877,865

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

## OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

## REPORTS AND STATEMENTS

\$5,000 Reports were not filed with the Secretary of State for two contributions received totaling \$66,866. No other \$5,000 Reports were required.

<u>Name of Contributor</u>	<u>Date Received</u>	<u>Amount</u>
Service Employees International Union	11/16/12	\$ 6,366 *
California State Council of Service Employees Issues Committee	12/18/12	<u>60,500</u>
Total		\$ 66,866

\* Nonmonetary contribution received

Mr. Lim stated that the reports were not filed due to miscommunications and the reduction of the staff after the election. He stated that the Committee and staff recognize the importance of timely and accurate disclosure, and strive to comply with the reporting requirements.



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## AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

### AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on March 30, 2015.

<u>CAMPAIGNS</u>	<u>PERIOD COVERED</u>
1. American Beverage Association California PAC (Non-Profit 501(c)(6)) (1344506) (formerly American Beverage Association California PAC)	01/01/12 – 12/31/12
2. California Nurses Association Initiative Political Action Committee (941597) (formerly California Nurses Association Initiative Political Action Committee (Yes on 30 and No on 32))	07/01/12 – 12/31/12
3. Californians for Good Schools & Good Jobs (1352702)	01/01/12 – 12/31/12
4. Citizen Power Campaign (1322497) (formerly Citizen Power Campaign Supported by the Lincoln Club of Orange County and Californians for Paycheck Protection)	01/01/11 – 03/27/12
5. Mi Familia Vota – Yes on 30 and 39 for Tax Fairness and No on 32 to Stop Special Exemptions for Corporate Political Spending, sponsored by the California State Council of Service Employees (1350885)	01/01/12 – 12/31/12
6. RPM International Inc Manufacturing in America PAC DBA RPM Manufacturing in America PAC (1339212)	01/01/11 – 12/31/12

**CAMPAIGNS****PERIOD COVERED**

- |  |                     |
|--|---------------------|
| 7. Southern California Fund (1352262)  | 01/01/12 - 12/31/12 |
| 8. Working for Working Americans Sponsored by United Brotherhood of Carpenters (MPO) (1332378) | 01/01/11 - 12/31/12 |
| 9. Xerox Corporation Political Action Committee (XPAC) (1352729)                               | 01/01/12 - 12/31/12 |

## POLITICAL REFORM AUDIT REPORTS

### State Campaigns

1. Dennis DeYoung  
DeYoung for Assembly 2013 (1356406)
2. No on 29 – Californians Against Out-of-Control Taxes and Spending. Major Funding by Philip Morris USA and R.J. Reynolds Tobacco Company, with a Coalition of Taxpayers, Small Businesses, Law Enforcement and Labor (1336203)





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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

No on 29 - Californians Against Out-of-Control Taxes and Spending. Major Funding by Philip Morris USA and R.J. Reynolds Tobacco Company, with a Coalition of Taxpayers, Small Businesses, Law Enforcement and Labor (1336203)

January 1, 2011 through June 30, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on April 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on February 3, 2011, as a primarily formed ballot measure committee opposing Proposition 29 in the 2012 Presidential Primary Election. The Committee terminated effective June 30, 2014.

**TREASURER:**                      Vigo G. Nielsen, Jr.  
Nielsen Merksamer Parrinello Gross & Leoni LLP

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 47,724,244

Total Expenditures: \$ 47,596,253

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **ACCRUED EXPENSES**

Accrued expenses totaling \$103,553 were not disclosed prior to the election on the initial Preelection Statement for the period March 18 through May 19, 2012. Of this amount, \$81,778 was disclosed on an amendment filed June 6, 2012, and \$21,185 on an amendment filed on August 1, 2012. Accrued expenses totaling \$1,178,408 were reported prior to the election.

Mr. Nielson stated that the accrued expenses were not timely disclosed because he was not informed of the expenses until after the Preelection Statement was due to be filed at the Secretary of State. He added that amendments were promptly filed to incorporate the missing information, that most of these vendors had been disclosed on prior campaign statements, and that the expenses represented a small fraction of the Committee's total expenditures.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Dennis DeYoung  
DeYoung for Assembly 2013 (1356406)  
c/o Financial West Group

January 1, 2013 through October 11, 2013

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Legislative candidates in a special primary or special runoff election who raised or spent \$15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on April 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on July 9, 2013, and was controlled by Dennis DeYoung, a candidate for Assembly, Forty-fifth District, in the September 17, 2013 Special Primary Election. The Committee terminated effective October 11, 2013.

**TREASURER:** Dennis DeYoung

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 8,396

Total Expenditures: \$ 8,396

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

Two Preelection Statements were not filed with the Secretary of State.

<u>Period</u>	<u>Receipts</u>	<u>Expenditures</u>
01/01/13 – 08/03/13	\$ 75,550	\$ 8,396
08/04/13 – 08/31/13	\$ 450	\$ 0

The activity was reported on the Termination Statement filed on October 17, 2013 for the period July 1 through October 11, 2013. In addition, a \$75,000 loan received from the candidate on July 9, 2013, was reported on a Form 497 24-Hour Contribution Report filed on July 12, 2013.

Mr. DeYoung did not provide a comment.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR  
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS**

**AUDIT AUTHORITY, SCOPE, AND RESULTS**

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on April 30, 2015.

**CAMPAIGNS**

**PERIOD COVERED**

- |   |                     |
|---|---------------------|
| 1. Farmers Group, Inc. Employees and Agents PAC – Small Contributor Committee (1343619)                           | 01/01/11 – 12/31/12 |
| 2. Good Jobs Los Angeles Committee to Support the Schools and Public Safety Act of 2012 (1348512)                 | 01/01/12 – 06/30/12 |
| 3. Pasadena Firefighters Local 809 Issues (1322884)   | 01/01/11 – 12/31/12 |
| 4. Yes on 42, The Public's Right to Know Act, Sponsored by Firefighters & Building Trades Organizations (1365686) | 01/01/14 – 06/30/14 |

## **POLITICAL REFORM AUDIT REPORTS**

### **State Campaigns**

Republican Central Committee of San Luis Obispo County (841621)



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Republican Central Committee of San Luis Obispo County  
(841621)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on May 29, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose political party committee.

**TREASURERS:** Robert Huth

Patricia D. Smith (02/17/11 – 01/21/13)

Danielle Duboff (06/09/10 – 02/16/11)

**REPRESENTATIVE:** Bryan Burch  
Political Finance Solutions, Inc.

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 4,136,705

Total Expenditures: \$ 4,141,937

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

The Preelection Statement filed for the period January 1 through April 16, 2011, required in connection with the Special Election held on May 3, 2011, was not timely filed with the Secretary of State (SOS). The statement, due on April 21, 2011, was filed in paper format 102 days late on August 1, 2011, and was filed in electronic format 70 days late on June 30, 2011. Contributions received and expenditures made totaled \$10,702 and \$3,031, respectively. No contributions were made.

Late Contribution Reports (LCRs) were not filed or were not timely filed with the SOS for three contributions received totaling \$10,072. LCRs were timely filed for 37 other contributions received totaling \$452,500.

#### **Reference Exhibit A**

An LCR was not filed with the SOS for a \$5,000 nonmonetary contribution made to George Plescia for Senate 2012 on October 30, 2012. In addition, records were not maintained to verify the date consulting services were provided for three nonmonetary contributions made totaling \$11,275. The lack of these records precluded the determination of whether LCRs were required to be filed for these three contributions made.

#### **Reference Exhibit B**



## **SUMMARY OF EXPENDITURES**

A \$150,000 contribution made to Bill Berryhill for Senate 2012 on October 10, 2012, was not disclosed on the Summary of Expenditures, Schedule D, of the Preelection Statement filed for the period October 1 through October 20, 2012. The contribution was reported as an expenditure on Schedule E of the Preelection Statement.

## **REPRESENTATIVE'S COMMENT**

Mr. Burch stated that the Committee made significant strides in timely and accurately filing reports and statements since the prior audit. He added that the Committee hired a professional treasury service to be more compliant with the requirements.

## **LIST OF EXHIBITS**

EXHIBIT A – LATE CONTRIBUTION REPORTS NOT FILED OR NOT TIMELY FILED FOR CONTRIBUTIONS RECEIVED

EXHIBIT B – RECORD OF DATE NONMONETARY CONTRIBUTION MADE NOT MAINTAINED

**EXHIBIT A – LATE CONTRIBUTION REPORTS NOT FILED OR NOT TIMELY FILED FOR CONTRIBUTIONS RECEIVED**

	<u>Date Received</u>	<u>Contributor</u>	<u>Amount</u>	<u>Date Filed</u>	<u>Days Late</u>	
1.	03/02/11	Friends of Blakeslee 2008	\$ 8,052	06/30/11	119	*
2.	04/27/11	Capitol Consulting and Public Relations	1,000	06/30/11	63	
3.	11/01/12	Paso Robles Republican Women Federated	<u>1,020</u>	--		
Total			\$ 10,072			

\* Included in the Fair Political Practices Commission Stipulation, Decision and Order No. 11/441, approved on September 13, 2012.

**EXHIBIT B – RECORD OF DATE NONMONETARY CONTRIBUTION MADE NOT MAINTAINED**

	<u>Date Paid</u>	<u>Recipient</u>	<u>Amount</u>
1.	06/06/12	Jon McQuiston for 32nd Assembly 2012	\$ 1,775
2.	11/08/12	Matthew Lin for Assembly 2012	7,500
3.	11/15/12	Peter Tateishi for Assembly 2012	<u>2,000</u>
			\$ 11,275



POLITICAL REFORM AUDIT PROGRAM MS F387  
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## AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

### AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on May 29, 2015.

<u>CAMPAIGNS</u>	<u>PERIOD COVERED</u>
1. Alliance for a Better California, sponsored by Working Families and Labor Organizations (1340076) (formerly No on 32, Stop corporate special exemptions from campaign finance rules, sponsored by working families, John A. Perez and labor organizations)	01/01/11 – 12/31/12
2. American Federation of State, County & Municipal Employees-Council 57 PAC (1313474)	01/01/11 – 12/31/12
3. Association of Orange County Deputy Sheriffs Issues Committee (non-profit 501(c) 5) (1308278)	01/01/11 – 12/31/12
4. Californians Against Unaccountable Taxes, Sponsored by R.J. Reynolds Tobacco Company (1286420)	07/01/11 – 05/07/12
5. Clean Energy Jobs Coalition Committee (1344009) (formerly Yes on 39 – Californians to Close the Out-of-State Corporate Tax Loophole)	01/01/12 – 12/31/12

<u>CAMPAIGNS</u>	<u>PERIOD COVERED</u>
6. Golden California Committee sponsored by the California State Council of Service Employees (1339150) (formerly Golden California Committee, a Committee to Oppose Donnelly for State Assembly in 2012, sponsored by the California State Council of Service Employees International Union)	01/01/11 – 06/30/12
7. Holly J. Mitchell Holly J. Mitchell for Senate 2013 (1358812)	01/01/13 – 12/31/13
8. Freddie Rodriguez Rodriguez for Assembly 2013 (1357971)	01/01/13 – 12/31/13
9. Rodriguez for Assembly 2014 (1354975)	01/01/13 – 12/31/13
10. Service Employees International Union Local 1021 Issues PAC (1296947)	10/21/12 – 12/31/12
11. Sheet Metal Workers' International Association Local Union No. 104 Issues Committee (1351785)	01/01/12 – 12/31/12
12. Solid Waste Association of Orange County PAC (1255640)	01/01/11 – 12/31/12
13. Spirit of Democracy California (1346379)	01/01/12 – 12/31/12
14. Sycuan Citizens for Good Government (1320014)	01/01/12 – 12/31/12

## POLITICAL REFORM AUDIT REPORTS

### State Campaigns

1. Tehama County Republican Central Committee (744768)
2. Norma J. Torres  
Torres for Senate 2013 (1354389)
3. James Andrew "Andy" Vidak  
Vidak for Senate 2013 (1356181)



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Tehama County Republican Central Committee  
(744768)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on June 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose political party committee.

**TREASURERS:** Linda Alston

Roger J. Marsh (02/25/09 – 01/22/13)

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 64,189

Total Expenditures: \$ 62,750

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **EXPENDITURES**

Subvendor information for payments totaling \$29,008 made by Gilliard, Blanning & Associates, Inc. was not disclosed. The subvendors provided mail and radio advertising. No other subvendor information was required to be reported.

Ms. Alston stated that the payments to Gilliard, Blanning & Associates, Inc. were reported on the campaign statements; however, subvendor information disclosure forms were not included because the Committee was unaware of the reporting requirements.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Norma J. Torres  
Torres for Senate 2013 (1354389)

January 1, 2013 through January 22, 2014

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Legislative candidates in a special primary or special runoff election who raised or spent \$15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on June 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on January 11, 2013, and was controlled by current Congresswoman Norma J. Torres, Thirty-fifth District. Ms. Torres was elected to the State Senate, Thirty-second District, in the May 14, 2013, Special General Election. Prior to election to the State Senate, Ms. Torres represented the Fifty-second Assembly District. The Committee terminated effective January 22, 2014.

**TREASURER:** Yolanda Miranda  
Yolanda Miranda and Associates, Inc.



## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 848,334

Total Expenditures: \$ 856,331

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **MONETARY CONTRIBUTIONS RECEIVED**

Contributions raised after the Special General Election exceeded the Committee's net debt by \$4,722. The excess amount raised was used to pay \$3,722 of expenses for swearing-in events and to make a \$1,000 contribution to the Los Angeles County Democratic Party on December 31, 2013.

Ms. Miranda stated that she was unaware these types of expenses could not be paid from the campaign bank account with funds raised after the election.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

James Andrew "Andy" Vidak  
Vidak for Senate 2013 (1356181)

January 1, 2013 through December 31, 2013

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Legislative candidates in a special primary or special runoff election who raised or spent \$15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on June 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on March 13, 2013, and was controlled by State Senator James Andrew "Andy" Vidak, Fourteenth District. Mr. Vidak was elected to the State Senate, Sixteenth District in the Special General Election held on July 23, 2013, and was elected to the redistricted Fourteenth District in the 2014 General Election. The Committee terminated effective January 27, 2015.

**TREASURER:** James Andrew "Andy" Vidak

**REPRESENTATIVE:** Kelly Lawler  
KAL Group

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 2,219,239

Total Expenditures: \$ 2,202,669

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **RECORDKEEPING**

Records of the dates goods or services were received were not maintained for nonmonetary contributions totaling \$31,794 reported as received from Stanislaus Republican Central Committee on and after the day of the election. The contributions were consulting and online ads. The lack of records precluded the determination of whether Form 497 Contribution Reports filed on July 23 and August 8, 2013, were timely filed. Reports were timely filed for 425 other contributions received totaling \$1,842,424.

Ms. Lawler stated that the Committee reported the nonmonetary contributions as soon as the information was provided by the contributor. She also stated that she has already taken steps to improve the system for the future.



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## AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

### AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on June 30, 2015.

<u>CAMPAIGNS</u>	<u>PERIOD COVERED</u>
1. California Calls Action Fund: A Coalition of Social Justice Organizations for Prop. 30 and Against Prop. 32 (1344800)	01/01/12 – 12/31/12
2. California Coalition for Public Higher Education Issues Committee (1337625) (formerly California Coalition for Public Higher Education Issues Committee – Yes on Prop. 30)	07/01/12 – 12/31/12
3. California Coalition for Public Higher Education Political Action Committee (1337629)	01/01/11 – 12/31/12
4. Californians for Fiscal Accountability and Responsibility, sponsored by dentists, physicians, health care workers, REALTORS. and school employees (1339260)	01/01/11 – 12/31/12
5. Los Angeles Police Protective League Independent Expenditure Committee (1319104) (formerly California Law and Order Independent Expenditure Committee Sponsored by the Los Angeles Police Protective League)	01/01/11 – 12/31/12
6. Planned Parenthood of Orange and San Bernardino Counties' Community Action Fund PAC (1282464)	01/01/11 – 12/31/12

<u>CAMPAIGNS</u>	<u>PERIOD COVERED</u>
7. Service Employees International Union Local 521 Independent Expenditure Committee (1297707)	01/01/11 – 12/31/12
8. Service Employees International Union United Service Workers West (SEIU USWW) Candidate PAC (1278808)	01/01/11 – 12/31/12
9. Torres for Assembly 2012 (1333864)	01/01/13 – 04/27/13
10. Torres for Senate 2014 (1350311)	01/01/13 – 06/30/13
11. Working Californians Issues Committee, Supported by Labor Unions (1289844) (formerly Working Californians to Oppose Prop. 32, a Coalition of Labor Organizations, Working Men & Women)	07/01/12 – 12/31/12

## POLITICAL REFORM AUDIT REPORTS

### State Campaigns

1. San Diego County Democratic Party (State Acct.) (741906)
2. Yes on 38 – More Money for Our Local Schools, Not Sacramento. Endorsed by the CA State PTA, school districts, education advocates and the Advancement Project, with financial support from Molly Munger (1343629) (currently Our Children, Our Future CA)



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

San Diego County Democratic Party (State Acct.)  
(741906)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on July 31, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose political party committee.

**TREASURER:** Xavier R. Martinez  
Martinez & Associates, Inc.

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 2,070,173

Total Expenditures: \$ 2,018,113

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

Late Contribution Reports (LCRs) were not filed with the Secretary of State (SOS) for four contributions made totaling \$26,734. In addition, three other contributions made to Bob Filner for Mayor 2012 were understated by \$10,877 on LCRs filed. LCRs were filed for 119 other contributions made totaling \$931,246.

Reference Exhibit A and Exhibit B

LCRs were not filed or not timely filed with the SOS for five contributions received totaling \$12,478. LCRs were filed for 91 contributions received totaling \$485,016.

Reference Exhibit C

### **TREASURER'S COMMENT**

Mr. Martinez stated that he tried his best to comply with the requirements. He added that the supporting documentation was first sent to the Committee's office and occasionally was not sent to him on a timely basis.

## **LIST OF EXHIBITS**

EXHIBIT A – LATE CONTRIBUTION REPORTS NOT FILED WITH THE SECRETARY OF STATE FOR CONTRIBUTIONS MADE

EXHIBIT B – CONTRIBUTIONS MADE TO BOB FILNER FOR MAYOR 2012 UNDERSTATED ON LATE CONTRIBUTION REPORTS FILED WITH THE SECRETARY OF STATE

EXHIBIT C – LATE CONTRIBUTION REPORTS NOT FILED OR NOT TIMELY FILED WITH THE SECRETARY OF STATE FOR CONTRIBUTIONS RECEIVED



**EXHIBIT A – LATE CONTRIBUTION REPORTS NOT FILED WITH THE SECRETARY OF STATE  
FOR CONTRIBUTIONS MADE**

	<u>Date Made</u>	<u>Recipient</u>	<u>Amount</u>	
1.	05/29/12	Mat Kostrinsky for City Council 2012	\$ 1,234	(1)
2.	05/30/12	Mat Kostrinsky for City Council 2012	14,500	
3.	05/31/12	Mat Kostrinsky for City Council 2012	6,000	
4.	06/03/12	Bob Filner for Mayor 2012	<u>5,000</u>	
Total			\$ 26,734	

(1) Nonmonetary contribution

**EXHIBIT B – CONTRIBUTIONS MADE TO BOB FILNER FOR MAYOR 2012 UNDERSTATED ON LATE  
CONTRIBUTION REPORTS FILED WITH THE SECRETARY OF STATE**

	<u>Date Made</u>	<u>Understatement</u>	
1.	05/30/12	\$ 3,600	
2.	05/31/12	3,000	
3.	06/04/12	<u>4,277</u>	(1)
Total		\$ 10,877	

(1) Nonmonetary contribution

**EXHIBIT C– LATE CONTRIBUTION REPORTS NOT FILED OR NOT TIMELY FILED WITH THE SECRETARY  
OF STATE FOR CONTRIBUTIONS RECEIVED**

	<u>Date Received</u>	<u>Name of Contributor</u>	<u>Amount</u>		<u>Date Filed</u>	<u>Days Late</u>
1.	05/21/12	Friends of Christine Kehoe for Assembly 2016	\$ 5,000		–	
2.	05/31/12	Rancho North Coast Democratic Club	1,916	(2)	–	
3.	05/31/12	Rancho Santa Fe Democratic Club	1,000	(2)	–	
4.	10/27/12	International Brotherhood of Electrical Workers Local 569	3,300	(1)	11/05/12	7
5.	12/31/12	Democratic State Central Committee of Calif. (State Acct.)	<u>1,262</u>		01/08/13	6
Total			\$ 12,478			

(1) Nonmonetary contribution

(2) Received through intermediary North County Unity



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Yes on 38 – More Money for Our Local Schools, Not Sacramento.  
Endorsed by the CA State PTA, school districts, education advocates  
and the Advancement Project, with financial support from Molly Munger  
(1343629)  
(currently Our Children, Our Future CA)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on July 31, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on December 14, 2011, as a primarily formed ballot measure committee supporting Proposition 38 in the 2012 General Election. This Committee is sponsored by the Advancement Project.

**TREASURER:**

Stephen R. English

**REPRESENTATIVE:**

Jonathan S. Fuhrman

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 33,200,698

Total Expenditures: \$ 33,370,453

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **ACCRUED EXPENSES**

Expenses totaling \$284,555 were not reported as accrued expenses on the campaign statements filed for the period April 1 through October 20, 2012; instead, they were reported as current expenses when paid. Of this amount, \$83,620 was reported after the election on the Semi-annual Statement filed for the period ending December 31, 2012. The balance, \$200,935, accrued during the period ending September 30, 2012, was disclosed on the Preelection Statement filed for the period ending October 20, 2012. Other expenses totaling \$387,364 accrued prior to the election, were timely reported.

Mr. Fuhrman stated that a number of the bills were received after the billing period during which the services were rendered and he had no way of knowing what bills were in transit because for some it took time for the vendors' staff to determine the proper billing amounts. He further stated that the bills were paid on receipt.



## AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

### AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on July 31, 2015.

<u>CAMPAIGNS</u>	<u>PERIOD COVERED</u>
1. American Federation of State, County & Municipal Employees – Council 57 Issues PAC (1338455)	01/01/11 – 12/31/12
2. California Permanente Medical Groups PAC (This PAC is not affiliated with Kaiser Foundation Health Plan, Inc. or Kaiser Foundation Hospitals.) (1349508)	01/01/12 – 12/31/12
3. California Works: Speaker Toni Atkins Ballot Measure Committee (1357909) (formerly California Works: Yes on Prop. 41 for Veterans' Housing, supported by Speaker Toni Atkins Ballot Measure Committee.)	03/18/14 – 06/30/14
4. Californians Against Identity Theft and Ballot Fraud, supported by labor organizations (1340282)	01/01/11 – 02/16/12
5. Jeffrey Ebenstein Jeff Ebenstein for Assembly 2013 (1358814) Jeff Ebenstein for Assembly 2014 (1354442)	01/01/13 – 12/31/13

**CAMPAIGNS****PERIOD COVERED**

- |  |                     |
|--|---------------------|
| 6. Organic Consumer Organizations' Committee for the Right to Know About<br>GMOs – Yes on Prop. 37 (1346175) | 01/01/11 – 12/31/12 |
| 7. Tulare County Medical Society Political Action Committee (1307752)  | 01/01/11 – 12/31/12 |
| 8. Larry Walker<br>Walker for State Senate 2013 (1354086)  | 01/01/12 – 06/30/13 |
| 9. Yolo County Democratic Central Committee State Account (1308176)  | 01/01/11 – 12/31/12 |

# **POLITICAL REFORM AUDIT REPORT**

State Campaign

San Joaquin County Republican Central Committee/California Republican  
Victory Fund (742270)



State of California  
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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

San Joaquin County Republican Central Committee/California  
Republican Victory Fund (742270)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on August 31, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The committee is a general purpose political party committee.

**TREASURERS:** Charles R. Roots

Louis G. Lemos (06/10/08 - 02/01/15)

**REPRESENTATIVE:** Thomas E. Montgomery III

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 99,670

Total Expenditures: \$ 87,192

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

A Preelection Statement for the period November 25 through December 22, 2012, due at the Secretary of State on December 27, 2012, was filed 35 days late on January 31, 2013. This filing was required since contributions totaling \$6,000 were made during the preelection period for the Senate District 4 Special General Election held on January 8, 2013. Receipts and expenditures for the period totaled \$0 and \$14,589, respectively.

Mr. Montgomery stated that he was out of the office at the time the preelection statement was due because he underwent surgery on January 1, 2013, and was unaware of the deadline. He stated that the statement was filed when he returned to work about a month later.

### **MONETARY CONTRIBUTIONS RECEIVED**

A \$50,000 contribution received from Re-Elect Bill Emmerson for Senate 2012 on October 22, 2012, and deposited into an “all purpose” bank account, exceeded the contribution limit by \$17,500. In addition, \$7,620 of the excess was used to make a \$40,000 contribution to Bill Berryhill for Senate 2012 on October 29, 2012.

Mr. Montgomery stated that the deposit of the excess funds was an oversight and he learned of the error during the audit.





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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR  
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS**

**AUDIT AUTHORITY, SCOPE, AND RESULTS**

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on August 31, 2015.

**CAMPAIGNS**

**PERIOD COVERED**

- |  |                     |
|--|---------------------|
| 1. California Charter Schools Association Advocates Issues Committee (1343062) | 01/01/11 – 12/31/12 |
| 2. California State University Employees Union Issues PAC (1347106)            | 01/01/12 – 12/31/12 |
| 3. Los Angeles County Democratic Party-Issues and Advocacy Committee (744554)  | 07/01/11 – 12/31/12 |

## POLITICAL REFORM AUDIT REPORTS

### State Campaigns

1. California RoofPAC (1277668)
2. Consumer Advocates for Safe Food and Water, Sponsored by Food & Water Watch (1346493)
3. Humane Society Legislative Fund (1322539)
4. Liberty Mutual Insurance Company PAC (1337192)
5. Jason A. Rothman  
Rothman for Assembly 2013 (1358028)
6. United Public Employees Political Action Committee (1306273)
7. Dr. Shirley N. Weber  
Dr. Weber for Assembly 2014 (1354184)



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

California RoofPAC (1277668)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on September 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose committee sponsored by the Roofing Contractors Association of California.

**TREASURERS:**

Rex Souders  
Tecta America Southern California, Inc.

Steve Reardon (01/18/10 – 01/30/13)  
Enterprise Roofing Service, Inc.

**REPRESENTATIVE:** Marc Connerly  
Roofing Contractors Association of  
California

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 23,815

Total Expenditures: \$ 34,743

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

Preelection Statements were not filed with the Secretary of State (SOS) for the periods ending September 30 and October 20, 2012. The activity for these periods was disclosed on the Semi-annual Statement filed for the period July 1 through December 31, 2012.

<u>Period</u>	<u>Contributions Made</u>	<u>Receipts</u>	<u>Expenditures</u>
07/01/12 – 09/30/12	\$ 1,000	\$ 0	\$ 1,000
10/01/12 – 10/20/12	\$ 3,000	\$ 0	\$ 3,000

A similar finding was noted on an audit report issued on April 30, 2013.

Late Contribution Reports (LCRs) were not filed with the SOS for ten contributions made totaling \$10,000. No other LCRs were required to be filed. A similar finding was noted on an audit report issued on April 30, 2013.

Reference Exhibit A

### REPRESENTATIVE'S COMMENT

Mr. Connerly stated that the Committee became aware of the filing requirements during the prior audit and has since made internal changes.

**EXHIBIT A – LATE CONTRIBUTION REPORTS NOT FILED WITH THE SECRETARY OF STATE**

	<u>Date</u>	<u>Recipient</u>	<u>Amount</u>
1.	05/23/12	Craig Huey For Assembly	\$ 1,000
2.	10/29/12	Bill Batey for Assembly 2012	1,000
3.	10/29/12	Bill Berryhill for State Senate 2012	1,000
4.	10/29/12	Ted Gaines for Senate 2012	1,000
5.	10/29/12	Joe Gardner for State Assembly	1,000
6.	10/29/12	Dr. Matthew Lin for Assembly 2012	1,000
7.	10/29/12	Jeff Miller for Senate 2012	1,000
8.	10/29/12	Pedro Rios for State Assembly 2012	1,000
9.	10/29/12	Mike Stoker for State Senate 2012	1,000
10.	10/29/12	Peter Tateishi for Assembly 2012	<u>1,000</u>
		Total	\$ 10,000



State of California  
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POLITICAL REFORM AUDIT PROGRAM MS F387  
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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

United Public Employees Political Action Committee  
(1306273)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on September 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose committee.

**TREASURER:** Danette Shipley  
c/o Keeslar & Associates Government Relations

**REPRESENTATIVE:** Karen Keeslar  
Keeslar & Associates Government Relations

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 29,375

Total Expenditures: \$ 30,360

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

A Preelection Statement for the period ending October 20, 2012, was not filed with the Secretary of State (SOS). Three contributions totaling \$1,500 were made on October 17, 2012. Receipts and expenditures for the period totaled \$4,675 and \$1,500, respectively. The activity for this period was disclosed on the Semi-annual Statement filed for the period ending December 31, 2012.

A Ballot Measure Contribution Report was not filed with the SOS for a \$15,000 contribution made to Alliance for a Better California 2012, No on Paycheck Deception. At the time of the contribution, the recipient was a committee primarily formed to oppose Proposition 32. There were no other reports required to be filed.

### **REPRESENTATIVE'S COMMENT**

Ms. Keeslar stated that Committee personnel did not understand the filing requirements. She added that internal procedures have been updated to avoid these types of errors in the future.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Humane Society Legislative Fund (1322539)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on September 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose committee sponsored by The Humane Society.

**TREASURER:** G. Thomas Waite III  
The Humane Society

**ASSISTANT TREASURER:** Sara Amundson  
The Humane Society



## FINANCIAL ACTIVITY

Total Contributions Received: \$ 10,355

Total Expenditures: \$ 20,500

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

The filers, in our opinion, have not substantially complied with the disclosure and/or recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### CONTRIBUTIONS AND INDEPENDENT EXPENDITURES MADE

A \$10,000 contribution made to the California Democratic Party on November 1, 2012, and the contribution(s) received for making this contribution, were not disclosed on the Semi-annual Statement filed for the period ending December 31, 2012. The contribution made was disclosed on a Late Contribution Report filed on November 2, 2012, and on the Supplemental Independent Expenditure Report (SIER) (Form 465) filed on January 30, 2013.

Eighteen other contributions totaling \$30,500 made during the periods ending September 30 and October 20, 2012, were not reported as expenditures on the Schedules E of the campaign statements. These contributions were reported on the Schedules D of the campaign statements.

Independent expenditures made in support of two candidates and the contributions received for making these expenditures were not disclosed on the Semi-annual Statement filed for the period ending December 31, 2012. These expenditures were disclosed on Late Independent Expenditure Reports (LIERs) and the \$7,542 expenditure was also reported on the SIER (Form 465) filed on January 30, 2013. Records supporting the dates the independent expenditures were made were not maintained.

	<u>Date Paid</u>	<u>Candidate Supported</u>	<u>Amount</u>	<u>Date LIER Filed</u>
1.	11/02/12	Paul Fong AD 28	\$ 2,500	11/02/12
2.	11/05/12	Fran Pavley SD 27	<u>7,542</u>	10/26/12
		Total	\$ 10,042	

Ms. Amundson stated that the activity was disclosed on the Late Contribution Report and Late Independent Expenditure Reports. She added that she believed that the instructions did not clearly indicate that the activity needed to be reported again on the campaign statements.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Liberty Mutual Insurance Company PAC  
(1337192)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on September 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on April 8, 2011, as a general purpose committee. The Committee is sponsored by Liberty Mutual Insurance Company.

**TREASURER:** Laurance Yahia  
Liberty Mutual Insurance Company

**REPRESENTATIVE:** Brian Monteiro  
Liberty Mutual Insurance Company

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 85,901

Total Expenditures: \$ 95,401

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

Two Preelection Statements were not filed in paper format with the Secretary of State. The statements were timely filed in electronic format.

Statement Period	Receipts	Contributions Made
07/01/12 - 09/30/12	\$ 2,500	\$ 2,500
10/01/12 - 10/20/12	<u>9,500</u>	<u>9,500</u>
Total	\$ 12,000	\$ 12,000

Mr. Monteiro stated that the hard copies were mailed and may have been lost. He added that in the future, the PAC filings (paper version) will be sent overnight with a tracking number.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Consumer Advocates for Safe Food and Water, Sponsored by Food &  
Water Watch (1346493)

October 1, 2012 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on September 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose committee sponsored by Food & Water Watch.

**TREASURERS:** James R. Sutton  
The Sutton Law Firm

Jesse Andrew Mainardi (10/02/12 - 03/09/14)

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 356,658

Total Expenditures: \$ 493,562

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **EXPENDITURES**

Subvendor information for payments to Fortune Media, Inc. totaling \$303,000 was not disclosed on the Semi-annual Statement filed for the period ending December 31, 2012. The subvendors provided television advertisement. As a result of the audit, an amendment was filed on April 30, 2015, to report subvendor information totaling \$292,500.

Mr. Sutton stated that since the invoice from the media buying firm did not refer to any television stations, the Committee mistakenly believed that the purchase was for cable network time without subvendor payments.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Dr. Shirley N. Weber  
Dr. Weber for Assembly 2014 (1354184)

January 1, 2013 through December 31, 2014

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Assembly districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent \$15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on September 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on January 25, 2013, and was controlled by Assemblymember Shirley N. Weber, Seventy-ninth District. Dr. Weber was re-elected in the 2014 General Election. The Committee terminated effective December 31, 2014.

**TREASURER:** Xavier Martinez  
Martinez & Associates, Inc.

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 592,588

Total Expenditures: \$ 592,588

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

Form 497 Contribution Reports were not filed with the Secretary of State (SOS) for four contributions made totaling \$39,000. Reports were filed for 24 other contributions made totaling \$125,300.

Reference Exhibit A

Form 497 Contribution Reports were not filed with the SOS or were not filed until after the election for three contributions received totaling \$16,400. Reports were filed for 148 other contributions received totaling \$329,800.

Reference Exhibit B

Mr. Martinez stated that three of the Forms 497 not filed were for contributions made in connection with special elections and were inadvertently overlooked.

### **SUMMARY OF EXPENDITURES**

Ten contributions made totaling \$78,341 were not disclosed on the Summary of Expenditures, Schedule D, on the initial campaign statements filed. These contributions were reported as expenditures on Schedules E and coded as contributions. Five of these contributions made totaling \$37,491 were added to Schedules D with amendments filed on January 14, 2015.

Reference Exhibit C

Mr. Martinez stated that amendments were filed to add some of the contributions to Schedule D but some were inadvertently overlooked.



## **LIST OF EXHIBITS**

EXHIBIT A – FORMS 497 NOT FILED FOR CONTRIBUTIONS MADE

EXHIBIT B – FORMS 497 NOT FILED OR FILED AFTER THE ELECTION FOR CONTRIBUTIONS RECEIVED

EXHIBIT C – CONTRIBUTIONS MADE NOT REPORTED ON SCHEDULE D

# **EXHIBIT A – FORMS 497 NOT FILED FOR CONTRIBUTIONS MADE**

	<u>Date Made</u>	<u>Recipient</u>	<u>Amount</u>
1.	05/10/13	California Democratic Party	\$ 34,000
2.	11/27/13	Sebastian Ridley-Thomas for Assembly 2014	2,000
3.	02/28/14	San Diego County Democratic Party	1,500
4.	04/17/14	San Diego County Democratic Party	<u>1,500</u>
Total			\$ 39,000

# **EXHIBIT B – FORMS 497 NOT FILED OR FILED AFTER THE ELECTION FOR CONTRIBUTIONS RECEIVED**

	<u>Date Received</u>	<u>Contributor</u>	<u>Amount</u>	<u>Date Filed</u>
1.	04/20/13	United Domestic Workers of America Action Fund	\$ 8,200	-
2.	11/03/14	Laurene Powell Jobs	4,100	11/06/14
3.	11/04/14	Carrie W. Penner	<u>4,100</u>	-
Total			\$ 16,400	

# **EXHIBIT C – CONTRIBUTIONS MADE NOT REPORTED ON SCHEDULE D**

	<u>Date Made</u>	<u>Recipient</u>	<u>Amount</u>
1.	05/10/13	California Democratic Party	\$ 34,000
2.	01/30/14	California Democratic Party	241 *
3.	01/31/14	California Democratic Party	250 *
4.	02/28/14	San Diego County Democratic Party	1,500 *
5.	04/17/14	San Diego County Democratic Party	1,500 *
6.	05/13/14	California Democratic Party	34,000 *
7.	07/16/14	Rod Wright Legal Defense Fund	2,000
8.	08/06/14	Californians Against Waste	250
9.	09/23/14	Tony Thurmond for Assembly 2014	4,100
10.	10/07/14	Paul Fong for SJ City Council 2014	<u>500</u>
Total			\$ 78,341

\* added on Schedule D with an amendment filed on 01/14/15



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Jason A. Rothman  
Rothman for Assembly 2013 (1358028)

January 1, 2013 through December 28, 2013

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Legislative candidates in a special primary or special runoff election who raised or spent \$15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on September 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on June 1, 2013, and was controlled by Jason A. Rothman, a candidate for Assembly, Fifty-second District, in the July 23, 2013, Special Primary Election. Mr. Rothman also filed a Candidate Intention Statement for the same office for the 2014 Primary Election. However, he withdrew from that election and was not on the ballot. The Committee terminated effective December 28, 2013.

**TREASURER:** Stephanie Marin

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 74,985

Total Expenditures: \$ 80,206

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **MONETARY CONTRIBUTIONS RECEIVED**

Funds totaling \$31,940 received from Rothman for Assembly (1354680) were not attributable to specific contributors. This controlled committee disclosed contributions from 17 itemized contributors available for attribution.

Mr. Rothman stated that he was not aware that the individual contributors needed to be disclosed when the funds were transferred since the information could be obtained from the filings submitted by his other controlled committee.



## AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

### AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on September 30, 2015.

<u>LOBBYING ENTITIES</u>	<u>PERIOD COVERED</u>
1. California Land Title Association (E00235) Craig C. Page	01/01/13 - 12/31/14
2. California Resource Strategies, Inc. (1234564) Craig S. J. Johns	01/01/13 - 12/31/14
3. Leading Edge Investment Advisors, LLC (1337538) Dani J. McLeod	01/01/13 - 12/31/14
4. Western Asset Management Company (1341397) Willie O. Alford II Veronica A. Amici Joseph C. Carieri Frances L. Coombes Derek Fan Ivy W. Flores Kevin P. Gore Karlen R. Powell Susan R. Signori Marla A. Skeffington Barbara L. Ziegler	01/01/13 - 12/31/14

**LOBBYING ENTITIES****PERIOD COVERED**

- |   |                     |
|---|---------------------|
| 5. Law Offices of Timothy Yaryan (F22762)<br>Timothy H. B. Yaryan | 01/01/13 – 12/31/14 |
|---|---------------------|

**CAMPAIGNS****PERIOD COVERED**

- |  |   |
|--|---|
| 1. Steve Castaneda<br>Steve Castaneda for Assembly 2013 (1356682)<br>Steve Castaneda for Assembly 2014 (1353962)   | 01/01/13 – 06/30/13   |
| 2. Coalition for Veterans Housing – Yes on Prop. 41, supported by John A. Perez, Housing and Veterans Organizations, Businesses, and Labor Unions (1361700)  | 01/01/14 – 06/30/14   |
| 3. Committee for Honesty and Accountability Opposed to Castaneda for Assembly in 2013, sponsored by the California Teachers Association and the California Labor Federation (1357629)  | 01/01/13 – 06/30/13   |
| 4. Committee for the Right to Know (1337480)<br>(formerly The Committee for the Right to Know: Vote Yes on Prop 37!!!)   | 01/01/11 – 12/31/12   |
| 5. Jeffrey J. Denham<br>Denham for Lt. Governor 2014 (1334182)   | 01/01/10 – 02/28/14   |
| 6. Educators and Working Families to Restore California, a coalition of educators, community groups, and grassroots organizations (1344079)<br>(formerly Educators and Working Families to Restore California, a coalition of educators, community groups, and grassroots organizations – Yes on Prop. 30) | 01/01/12 – 12/31/12   |
| 7. Benjamin Hueso<br>Hueso for Senate 2014 (1353810)<br>Hueso for Senate 2013 (1354472)<br>Re-elect Ben Hueso for Assembly 2012 (1335042)  | 01/01/12 – 06/30/13<br>01/01/13 – 04/18/13<br>01/01/13 – 06/30/13 |
| 8. Independent Voter PAC (1347154)   | 01/01/12 – 12/31/12   |
| 9. Eric J. Korevaar<br>Eric Korevaar for Lieutenant Governor 2014 (1362075)  | 01/01/13 – 06/16/14   |

<u>CAMPAIGNS</u>	<u>PERIOD COVERED</u>
10. Napa County Democratic Central Committee (741985)	01/01/11 - 12/31/12
11. Rothman for Assembly 2014 (1354680)	01/01/13 - 12/28/13
12. Southern California Pipe Trades District Council 16 (nonprofit 501 (c) (5)) (1327642)	01/01/11 - 12/31/12
13. Teamsters Local 287 Democrat Republican Independent Voters Education (aka DRIVE) (910273)	01/01/11 - 12/31/12
14. United Firefighters of Los Angeles City Local 112 - Issues Committee (1248986)	07/01/12 - 12/31/12
15. Ventura County Democratic Central Committee (746162)	01/01/11 - 12/31/12

## POLITICAL REFORM AUDIT REPORTS

### Lobbying Entity

Sacramento Advocates Inc. (F00799)  
Barry S. Brokaw  
Donne Brownsey

### State Campaigns

1. California Black Political Action Committee (1347816)
2. Family Farmers Working for A Better California, with major support by Western Growers Association (1349220)
3. Orange County Dignity PAC, Sponsored by Orange County Federation of Labor, AFL-CIO (1344592)
4. San Joaquin County Democratic Central Committee (742165)





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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

San Joaquin County Democratic Central Committee  
(742165)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on October 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose political party committee.

**TREASURERS:**

Gregory E. Sanborn  
The Diablo Group

Dyane Burgos (11/01/11 – 03/02/13)

Katherine Schick (01/06/11 – 10/31/11)

Sylvia Low (08/06/10 – 01/05/11)

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 207,469

Total Expenditures: \$ 202,357

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

Three Preelection Statements were not filed with the Secretary of State (SOS) and 2 were filed after the pertinent election.

#### **Reference Exhibit A**

Late Contribution Reports (LCRs) were not filed with the SOS for 2 contributions received totaling \$33,000. Of this amount, \$8,000 was received from Service Employees International Union 1021 PAC on October 24, 2012, and \$25,000 was reported as received from Operating Engineers Local 3 on November 3, 2012. A copy of the contributor check for the \$25,000 contribution reported as received from Operating Engineers Local 3 was not provided. An LCR was filed for another contribution received in the amount of \$25,000.

### **TREASURER'S COMMENT**

Mr. Sanborn stated that the Committee relied on volunteers with no special training to complete campaign filings during the audit period. He further stated that the Committee decided to hire a professional treasurer after the 2012 election cycle to complete all filings and noted that the Committee is now aware of its filing obligations and has undertaken steps to fully comply with the Political Reform Act's requirements.

**EXHIBIT A – PREELECTION STATEMENTS NOT FILED WITH THE SECRETARY OF STATE OR FILED  
AFTER THE PERTINENT ELECTION**

<u>Statement Period</u>	<u>Due Date</u>	<u>Date Filed</u>	<u>Receipts</u>	<u>Expenditures</u>		
01/01/11 – 02/19/11	02/24/11	Not Filed	\$ 5,354	\$ 2,495	(1)	(5)
02/20/11 – 04/16/11	04/21/11	Not Filed	\$ 6,325	\$ 5,984	(2)	(5)
07/01/12 – 09/30/12	10/05/12	10/15/13	\$ 107,510	\$ 3,898	(3)	(6)
10/01/12 – 10/20/12	10/25/12	10/15/13	\$ 10,800	\$ 101,325	(3)	(7)
10/21/12 – 11/24/12	11/29/12	Not Filed	\$ 58,000	\$ 72,889	(4)	(7)

- (1) Assembly District 4 Special Primary Election held on 03/08/11
- (2) Assembly District 4 Special General Election held on 05/03/11
- (3) General Election held on 11/06/12
- (4) Senate District 4 Special General Election held on 01/08/13
- (5) Activity disclosed on the Semi-annual Statement filed for the period ending June 30, 2011.
- (6) Activity disclosed on the Preelection Statement filed in electronic format on October 23, 2012, prior to the pertinent election.
- (7) Activity disclosed on the Semi-annual Statement filed for the period ending December 31, 2012.



State of California  
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POLITICAL REFORM AUDIT PROGRAM MS F387  
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## **AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Orange County Dignity PAC, Sponsored by Orange County Federation  
of Labor, AFL-CIO (1344592)

January 1, 2012 through December 31, 2012

### **AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Sections 90001 and 90003 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on October 30, 2015.

### **ABOUT THE COMMITTEE**

#### **BACKGROUND INFORMATION**

The Committee was formed on February 9, 2012, as a primarily formed committee supporting Julio Perez, a candidate for Assembly, Sixty-ninth District, in the 2012 Primary Election. On October 1, 2012, the Committee registered as a general purpose committee. The Committee is sponsored by Orange County Federation of Labor, AFL-CIO.

**TREASURERS:** Julio Perez  
Orange County Labor Federation

Tefere Gebre (02/09/12 – 10/20/13)  
Orange County Labor Federation

**REPRESENTATIVE:** Joseph A. Guardarrama  
Kaufman Legal Group

**FINANCIAL ACTIVITY**

Total Contributions Received: \$ 392,300

Total Expenditures: \$ 386,896

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

**FINDINGS AND RESPONSES**

**OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

**REPORTS AND STATEMENTS**

Form 496 Independent Expenditure Reports (IERs) were not filed with the Secretary of State (SOS) for \$20,297 in independent expenditures made in support of Julio Perez for Assembly District 69. The expenditures were disclosed on the campaign statements and Supplemental Independent Expenditure Reports filed for the periods ending May 19 and June 30, 2012.

	<u>Date</u>		<u>Amount</u>
1.	03/29/12	\$	12,500
2.	05/21/12	*	1,416
3.	06/03/12		<u>6,381</u>
	Total	\$	20,297

\* As reported on the Semi-annual Statement  
filed for the period ending June 30, 2012

Initial IERs filed with the SOS for independent expenditures made totaling \$67,920 in support of Julio Perez for Assembly District 69 were understated by \$40,898. The understated amounts were reported on amendments filed on June 4, 2012, a day before the election. IERs were timely filed for other independent expenditures made totaling \$145,866.

	<u>Date Made</u>	<u>Total Amount</u>	<u>Understatement</u>
1.	04/20/12	\$ 24,500	\$ 14,954
2.	05/09/12	20,401	14,301
3.	05/19/12	<u>23,019</u>	<u>11,643</u>
	Total	\$ 67,920	\$ 40,898

A Late Contribution Report (LCR) was not filed with the SOS for a \$15,000 contribution received on June 3, 2012, from the Orange County Labor Federation, AFL-CIO COPE. LCRs were filed for four other contributions received totaling \$47,500.

#### REPRESENTATIVE'S COMMENT

Mr. Guardarrama stated that the Committee exercised reasonable diligence during the campaign to identify and timely report independent expenditures and late contributions.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

California Black Political Action Committee (1347816)  
c/o Ida E. Yarbrough, CPA

January 1, 2012 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on October 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on May 29, 2012, as a general purpose committee.

**TREASURERS:** Joyce Stewart

Joy Brown Price (05/29/12 – 05/31/12)

**REPRESENTATIVE:** Ida E. Yarbrough, CPA

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 174,943

Total Expenditures: \$ 181,727

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

Seven Supplemental Independent Expenditure Reports (SIERs) were not filed with the Secretary of State for independent expenditures totaling \$90,588. There were no other SIERs required.

	<u>Reporting Period</u>	<u>Candidate Supported</u>	<u>Amount</u>
1.	01/01/12 – 06/30/12	Cheryl Brown – AD 47	\$ 25,563
2.	01/01/12 – 06/30/12	Joel Young – AD 18	23,280
3.	07/01/12 – 09/30/12	Cheryl Brown – AD 47	3,581
4.	10/01/12 – 10/20/12	Cheryl Brown – AD 47	13,908
5.	10/01/12 – 10/20/12	Chris Holden – AD 41	3,500
6.	10/01/12 – 10/20/12	Shirley Weber – AD 79	3,500
7.	10/21/12 – 12/31/12	Cheryl Brown – AD 47	<u>17,256</u>
		Total	\$ 90,588

Ms. Yarbrough stated that she was not aware of this requirement.





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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Family Farmers Working for a Better California, with major  
support by Western Growers Association (1349220)

January 1, 2012 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on October 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee was formed on August 10, 2012, and is a general purpose committee sponsored by Western Growers Association.

**TREASURER:** Ward Kennedy  
Western Growers Association

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 655,093

Total Expenditures: \$ 651,841

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

Four Form 465 Supplemental Independent Expenditure Reports (SIERs) for mailers opposing two candidates were not timely filed with the Secretary of State (SOS) for independent expenditures totaling \$627,189. The SIERs, due on October 25, 2012, and January 31, 2013, were filed on May 3, 2013. This finding was agreed to in the Fair Political Practices Commission Stipulation, Decision and Order No. 13/660, approved on September 19, 2013.

	<u>Reporting Period</u>	<u>Candidate Opposed</u>	<u>Amount Reported</u>
1.	10/01/12 – 10/20/12	Michael Allen – AD 10	\$ 263,474
2.	10/01/12 – 10/20/12	Betsy Butler – AD 50	289,471
3.	10/21/12 – 12/31/12	Michael Allen – AD 10	63,688
4.	10/21/12 – 12/31/12	Betsy Butler – AD 50	<u>10,556</u>
		Total	\$ 627,189

Mr. Kennedy stated that the Committee brought this issue to the attention of the Fair Political Practices Commission. He added that this finding has been settled and resolved.

## **NONMONETARY CONTRIBUTIONS RECEIVED**

Nonmonetary contributions totaling \$99,993 received from JobsPAC were not reported on the initial campaign statements filed with the SOS. Of this amount, \$74,243 was received during the period ending September 30, 2012, and \$25,750 was received during the period ending December 31, 2012. The nonmonetary contributions consisted of polling data and research that was used for mailers opposing Michael Allen (AD 10) and Betsy Butler (AD 50). The value of these contributions was not initially reported as part of the cost of the mailers, thus independent expenditures were understated by \$99,993 on the initial Form 496 Independent Expenditure Reports (IERs) and initial campaign statements filed. Amendments to the IERs were filed on May 3, 2013, to correct the understatement. Amendments to the campaign statements to add these nonmonetary contributions received and independent expenditures made were filed on May 6, 2013.

Mr. Kennedy stated that the Committee received a letter from Jobs PAC on April 26, 2013, informing him of in-kind contributions of polling data and research, and since this information had been used on mailers opposing the two candidates, it triggered the reporting of independent expenditures. He added that amendments were promptly filed to correct the omissions.



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

**LOBBYING FIRM:**

Sacramento Advocates Inc. (F00799)

January 1, 2013 through December 31, 2014

**LOBBYISTS:**

Barry S. Brokaw  
Donne Brownsey

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Lobbying firms were randomly selected by the Fair Political Practices Commission. Individual lobbyists employed by selected firms were also subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on October 30, 2015.

**ABOUT THE LOBBYING FIRM**

**BACKGROUND INFORMATION**

Sacramento Advocates Inc. provides lobbying services to various clients.

**REPRESENTATIVE:**

Noelle Moon  
Sacramento Advocates  
Inc.

## **FINANCIAL ACTIVITY**

Total Payments Received:      \$ 3,471,264

The total for payments received was taken from the unaudited reports as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **LOBBYING FIRM SECTION**

#### **OPINION**

Except as indicated in this audit report, the filer has, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

#### **PAYMENTS MADE**

Reported payments made to lobbying firm Gilson Government Strategies were understated by \$64,000 on the initial Reports of Lobbying Firm filed for the audit period. Amendments were filed to correct the understatement on July 2, 2015 and August 24, 2015, as a result of the audit.

Ms. Moon stated that the error was inadvertent and the payments were correctly reported as received on the Forms 625 filed for Gilson Government Strategies.

### **LOBBYISTS SECTION**

#### **OPINION**

The filers have, in our opinion, substantially complied with the disclosure and/or recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.



**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR  
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS**

**AUDIT AUTHORITY, SCOPE, AND RESULTS**

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on October 30, 2015.

<u>LOBBYING ENTITIES</u>	<u>PERIOD COVERED</u>
1. Englander Knabe & Allen (1339161) Alex H. Cherin Juan F. Garza	01/01/13 - 12/31/14
2. Hawkins Strategic Advocacy (1370314) J. Michael Hawkins	07/01/14 - 12/31/14
3. Mudrick Capital Management, LP (1361757) Jae Hong	01/01/13 - 09/30/14
4. Prudential Investment Management, Inc. (1337920) Jocelyn S. Friel Michael B. Jameson Mark A. Oczkus Peter B. Taggart Edwin Wilches	01/01/13 - 12/31/14

**LOBBYING ENTITIES****PERIOD COVERED**

- |  |                     |
|--|---------------------|
| 5. Stefan/George Associates (F24871)<br>Sande George<br>Lauren De Valencia Y Sanchez   | 01/01/13 – 12/31/14 |
| 6. Strategic Advococation, Inc. (1315530)<br>Frank De Lima   | 01/01/13 – 07/15/13 |
| 7. The Blackstone Group, L.P. (1335769)<br>Michael H. Casey<br>John Dionne<br>Melanie Endo<br>Alexandra L. Hill<br>Christopher James<br>Brian Kriebel<br>Erik L. Lisher<br>Robert McMullan<br>Matthew Pedley<br>Michael S. Sotirhos<br>Christine Veschi<br>Kenneth Whitney<br>Courtney Wilkinson | 01/01/13 – 12/31/14 |
| 8. Wada Government Relations LLC (1294455)<br>Jennifer M. Wada   | 01/01/13 – 12/31/14 |

**CAMPAIGNS****PERIOD COVERED**

- |  |                     |
|--|---------------------|
| 1. Sam Blakeslee<br>Blakeslee for Treasurer 2014 Exploratory (1345077)   | 01/01/12 – 12/31/12 |
| 2. Kenneth W. Cooley<br>Re-Elect Ken Cooley for Assembly 2014 (1353588)  | 01/01/12 – 12/31/14 |
| 3. Derek D. Cressman<br>Cressman for Secretary of State 2014 (1358362)   | 01/01/13 – 06/30/14 |
| 4. Matthew M. Dababneh<br>Matt Dababneh for Assembly 2013 (1359007)<br>Matt Dababneh for Assembly 2014 (1357053) | 01/01/13 – 12/31/13 |
| 5. Lydia A. Gutiérrez<br>Parents & Teachers Elect Lydia Gutiérrez State SPI 2014 (1354414)                       | 01/01/13 – 12/31/14 |

**CAMPAIGNS****PERIOD COVERED**

- |  |                     |
|--|---------------------|
| 6. Mark W. Stone<br>Friends of Mark Stone for Assembly 2014 (1353830)  | 01/01/13 - 12/31/14 |
| 7. Yes on 37 For Your Right to Know if Your Food Has Been Genetically Engineered. Supported by Consumer Advocates, Makers of Organic Products and California Farmers (1342851) | 01/01/11 - 12/31/12 |



## **POLITICAL REFORM AUDIT REPORTS**

### **State Campaigns**

1. Associated Builders and Contractors Central California Chapter PAC (ABC-PAC)  
(1222327)
2. Yes on 34, A Coalition of Justice Orgs. (1341187)  
(currently SAFE CA Campaign, A Coalition of Justice Organizations)



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**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Associated Builders and Contractors Central California Chapter PAC  
(ABC-PAC) (1222327)

January 1, 2011 through December 31, 2012

**AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. General purpose committees were selected by the Fair Political Practices Commission. Selected committees that raised or spent more than \$10,000 supporting or opposing state candidates or state measures during any calendar year were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on November 30, 2015.

**ABOUT THE COMMITTEE**

**BACKGROUND INFORMATION**

The Committee is a general purpose committee.

**TREASURER:** Laura Barnes  
Associated Builders and Contractors, Inc.

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 54,513

Total Expenditures: \$ 70,026

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

The filers, in our opinion, have not substantially complied with the disclosure and/or recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **RECORDKEEPING**

Copies of contributors' checks, credit card authorizations, contributor cards, and duplicate deposit slips were not maintained for monetary contributions received totaling \$33,991. Bank statements and contributor listings were provided.

Ms. Barnes did not provide a comment.

### **REPORTS AND STATEMENTS**

Campaign statements from July 1, 2011 through December 31, 2012, were not timely filed with the Secretary of State. The statements for the period January 1 through October 20, 2012, were timely filed in electronic format.

Reference Exhibit A

Ms. Barnes did not provide a comment.

# **EXHIBIT A – CAMPAIGN STATEMENTS NOT TIMELY FILED WITH THE SECRETARY OF STATE**

<u>Statement Period</u>	<u>Receipts*</u>	<u>Disbursements</u>	<u>Due Date</u>	<u>Date Filed</u>	<u>Days Late</u>	
07/01/11 – 12/31/11	\$ 4,750	\$ 2,442	01/31/12	02/08/12	8	
01/01/12 – 05/19/12	\$ 15,482	\$ 8,400	05/24/12	10/30/12	159	(1) (2)
05/20/12 – 06/30/12	\$ 2,898	\$ 0	07/31/12	10/30/12	91	(1)
07/01/12 – 09/30/12	\$ 850	\$ 12,984	10/05/12	10/30/12	25	(1)
10/01/12 – 10/20/12	\$ 11,760	\$ 24,200	10/25/12	10/30/12	5	(1)
10/01/12 – 12/31/12	\$ 2,048	\$ 1,650	01/31/13	02/14/13	14	

\* Amounts for receipts are deposits into the Committee bank accounts during the period; the actual receipts, by period, could not be determined due to the lack of records.

(1) Timely filed in electronic format.

(2) Filed after the Presidential Primary Election.



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## **AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:**

Yes on 34, A Coalition of Justice Orgs. (1341187)  
(currently SAFE CA Campaign, A Coalition of Justice Organizations)  
c/o PowerPAC.org

January 1, 2011 through December 31, 2012

### **AUDIT AUTHORITY AND SCOPE**

This audit is authorized under Section 90001 of the California Government Code. Committees that supported or opposed one or more state measures and spent more than \$10,000 were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on November 30, 2015.

### **ABOUT THE COMMITTEE**

#### **BACKGROUND INFORMATION**

The Committee was formed on September 8, 2011, as a primarily formed ballot measure committee supporting Proposition 34 in the 2012 General Election. The Committee is sponsored by ACLU of Northern California, Inc.; ACLU of San Diego & Imperial Counties, Inc.; ACLU of Southern California; Amnesty International; Death Penalty Focus; and PowerPAC.org.

**TREASURER:** Lisa Le  
PowerPAC.org

## **FINANCIAL ACTIVITY**

Total Contributions Received: \$ 8,018,917

Total Expenditures: \$ 7,935,143

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## **FINDINGS AND RESPONSES**

### **OPINION**

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### **REPORTS AND STATEMENTS**

Late Contribution Reports (LCRs) were not filed with the Secretary of State (SOS) for two nonmonetary contributions received from ACLU of Northern California, Inc. totaling \$18,427. Of this amount, \$13,631 was received on October 31, 2012, and \$4,796 was received on November 5, 2012. In addition, an LCR was filed on January 26, 2013, after the election, for a \$5,000 contribution received from Pat Stryker on October 26, 2012. LCRs were filed for 46 other contributions received totaling \$788,775.

Election Cycle Reports (ECRs) were not filed with the SOS for seven contributions received totaling \$92,770. In addition, for \$37,758 of nonmonetary contributions received in October 2012, records of the specific dates of receipt were not maintained. The lack of these records precluded the determination of when these contributions were required to be disclosed on ECRs. These contributions were disclosed on the Semi-annual Statement filed for the period ending December 31, 2012, as being received between November 6 and December 21, 2012. ECRs were filed for 137 other contributions received totaling \$2,027,034.

Reference Exhibit A and Exhibit B

\$5,000 Reports were not filed with the SOS for five nonmonetary contributions received totaling \$568,496. In addition, \$5,000 Reports for 16 contributions received totaling \$1,060,000 were filed between 13 and 48 days late. \$5,000 Reports were filed for 50 other contributions received totaling \$2,209,370.

Reference Exhibit C and Exhibit D

## **CONTRIBUTIONS RECEIVED**

Occupation and/or employer information was not disclosed on the initial Preelection Statement filed for the period ending September 30, 2012, for contributions totaling \$42,985 received from 108 individual contributors. The information was disclosed on an amendment filed on December 4, 2013.

## **TREASURER'S COMMENT**

Ms. Le stated the errors in reporting were due to changes in the Sponsors' staff during the audit period.

## **LIST OF EXHIBITS**

EXHIBIT A – ELECTION CYCLE REPORTS NOT FILED WITH THE SECRETARY OF STATE

EXHIBIT B – RECORDS OF SPECIFIC DATES RECEIVED NOT MAINTAINED FOR NONMONETARY  
CONTRIBUTIONS RECEIVED IN OCTOBER 2012

EXHIBIT C – \$5,000 REPORTS NOT FILED WITH THE SECRETARY OF STATE

EXHIBIT D – \$5,000 REPORTS NOT TIMELY FILED WITH THE SECRETARY OF STATE

# **EXHIBIT A – ELECTION CYCLE REPORTS NOT FILED WITH THE SECRETARY OF STATE**

	<u>Date</u> <u>Received</u>	<u>Contributor</u>		<u>Amount</u>	
1.	08/15/12	ACLU of Northern California, Inc.	\$	13,631	*
2.	08/31/12	ACLU of Northern California, Inc.		13,631	*
3.	09/15/12	ACLU of Northern California, Inc.		13,631	*
4.	09/30/12	ACLU of Northern California, Inc.		13,631	*
5.	10/05/12	Steven K. Moreen		10,000	
6.	10/05/12	Public Defender Association Justice Through Education Committee		14,615	
7.	10/15/12	ACLU of Northern California, Inc.		<u>13,631</u>	*
			Total	\$ 92,770	

\* Disclosed on the Semi-annual Statement filed for the period ending December 31, 2012, as received on November 5th or 6th.

# **EXHIBIT B – RECORDS OF SPECIFIC DATES RECEIVED NOT MAINTAINED FOR NONMONETARY CONTRIBUTIONS RECEIVED IN OCTOBER 2012**

	<u>Contributor</u>	<u>Amount</u>
1.	ACLU of Northern California, Inc.	\$ 1,149
2.	ACLU of Northern California, Inc.	3,783
3.	ACLU of San Diego and Imperial Counties, Inc.	8,442
4.	ACLU of Southern California	13,645
5.	Amnesty International	<u>10,739</u>
		Total \$ 37,758



# **EXHIBIT C - \$5,000 REPORTS NOT FILED WITH THE SECRETARY OF STATE**

	<u>Date</u> <u>Received</u>	<u>Contributor</u>	<u>Amount</u>
1.	02/09/12	American Civil Liberties Union, Inc.	\$ 24,000
2.	02/16/12	Denise A. Foderaro and Affiliated Entities	11,475
3.	06/30/12	PowerPAC.org	24,886
4.	07/28/12	William Lerach	8,135
5.	11/15/12	Open Society Policy Center, Inc.	<u>500,000</u>
Total			\$ 568,496

# **EXHIBIT D - \$5,000 REPORTS NOT TIMELY FILED WITH THE SECRETARY OF STATE**

	<u>Date</u> <u>Received</u>	<u>Contributor</u>	<u>Amount</u>	<u>Date</u> <u>Due</u>	<u>Date</u> <u>Filed</u>	<u>Days</u> <u>Late</u>	
1.	09/27/11	ACLU of San Diego & Imperial Counties, Inc.	\$ 10,000	10/11/11	11/28/11	48	(1)
2.	09/30/11	Farfalla Trust	125,000	10/14/11	11/28/11	45	(1)
3.	10/05/11	ACLU of Southern California	10,000	10/19/11	11/28/11	40	(1)
4.	10/06/11	Robert Alan Eustace	125,000	10/20/11	11/28/11	39	(1)
5.	10/10/11	Edward Redlich	50,000	10/24/11	11/28/11	35	(1)
6.	10/10/11	Sarah Timberman	50,000	10/24/11	11/28/11	35	(1)
7.	10/12/11	Leo Frumkin	5,000	10/26/11	11/28/11	33	(2)
8.	10/12/11	Sherry Frumkin	5,000	10/26/11	11/28/11	33	(2)
9.	10/19/11	Nicholas Pritzker	500,000	11/02/11	11/28/11	26	
10.	10/21/11	Nicholas W. McKeown	125,000	11/04/11	11/28/11	24	
11.	10/27/11	Jerry Hurtubise	10,000	11/10/11	11/28/11	18	(1)
12.	10/28/11	Michael Bien	5,000	11/14/11	11/28/11	14	
13.	07/31/12	Patrick Coughlin	20,000	08/14/12	08/27/12	13	
14.	07/31/12	Patrick W. Daniels	5,000	08/14/12	08/27/12	13	
15.	07/31/12	Brian J. Robbins	10,000	08/14/12	08/27/12	13	
16.	07/31/12	John Stoia Living Trust	<u>5,000</u>	08/14/12	08/27/12	13	
Total			\$ 1,060,000				

(1) The date received is the date reported on the campaign statements.

(2) An ECR was filed reporting \$10,000 received from Sherry Frumkin.



**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR  
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS**

**AUDIT AUTHORITY, SCOPE, AND RESULTS**

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on November 30, 2015.

<b><u>LOBBYING ENTITIES</u></b>	<b><u>PERIOD COVERED</u></b>
1. California Chapter American College of Emergency Physicians (C00833) Elena Lopez-Gusman	01/01/13 - 12/31/14
2. East Bay Municipal Utility District (E00420) Marlaigne K. Dumaine Jennifer B. Franklin	01/01/13 - 12/31/14
3. Edgar & Associates, Inc. (F24806) Neil S. R. Edgar	01/01/13 - 12/31/14
4. Energy Strategies (1328081) Scott A. Gutting	01/01/13 - 12/31/14
5. Gephardt Group Government Affairs LLC (1357338)	01/01/13 - 12/31/14

<b><u>LOBBYING ENTITIES</u></b>	<b><u>PERIOD COVERED</u></b>
6. Nuveen Investments Holdings, Inc (1335818) Clint Doroff Scott A. Draper Lindsay B. Gruhl Lynne M. Harrington Christopher M. Harrison Cheryl B. King Robert B. Kuppenheimer Sarah B. Lewis John A. Maschoff Angela M. McKillen Anne B. Popkin Melissa B. Roe Patrick M. Schloss Scott A. Stanley Ranjit S. Sufi	01/01/13 - 12/31/14
7. Parametric Portfolio Associates, LLC (1353958) Benjamin S. Lazarus	01/01/13 - 12/31/14
8. Pfizer Inc. (E24732) Donna M. Kaylor	01/01/13 - 12/31/14
9. Pharos Capital Group, LLC (1350749) Tina Y. Hahn	01/01/13 - 12/31/14
10. Matthew Siverling (1276827) Matthew J. Siverling	01/01/13 - 12/31/14
11. Stuart & Associates (F24151) Susan L. Stuart	01/01/13 - 12/31/14

<b><u>CAMPAIGNS</u></b>	<b><u>PERIOD COVERED</u></b>
1. Lorena S. Gonzalez Lorena Gonzalez for Assembly 2013 (1356416)	01/01/13 - 06/30/13
2. Lorena Gonzalez for Assembly 2016 (1353844)	01/01/12 - 04/06/13

## POLITICAL REFORM AUDIT REPORTS

### State Campaigns

1. Daniel C. Schnur  
Dan Schnur for Secretary of State 2014 (1362690)



State of California  
Franchise Tax Board

POLITICAL REFORM AUDIT PROGRAM MS F387  
PO BOX 651  
SACRAMENTO CA 95812-0651

**FILED**  
in the office of the Secretary of State  
of the State of California

DEC 31 2015

## AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Daniel C. Schnur  
Dan Schnur for Secretary of State 2014 (1362690)

January 1, 2014 through June 30, 2014

### AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Statewide candidates who raised or spent \$25,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on December 31, 2015.

### ABOUT THE COMMITTEE

#### BACKGROUND INFORMATION

The Committee was formed on January 4, 2014, and was controlled by Daniel C. Schnur, a candidate for Secretary of State in the 2014 Statewide Direct Primary Election. The Committee terminated effective December 30, 2014.

**TREASURER:** Kelly Lawler  
The KAL Group

## FINANCIAL ACTIVITY

Total Contributions Received: \$ 683,313

Total Expenditures: \$ 792,236

The totals for contributions received and expenditures were taken from the unaudited statements as filed with the Secretary of State for the period indicated above.

## FINDINGS AND RESPONSES

### OPINION

Except as indicated in this audit report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

### REPORTS AND STATEMENTS

Form 497 Contribution Reports were not filed with the Secretary of State for 12 contributions received totaling \$139,529. Reports were filed for 128 other contributions received totaling \$387,659.

Reference Exhibit A

Contributions received from four contributors were understated by \$2,000 on reports filed.

Reference Exhibit B

Ms. Lawler stated that she is examining her firm's internal procedures for future elections to ensure that all contributors whose contributions aggregate to the \$1,000 threshold are reported. She also stated that some of the contributions not disclosed on Form 497 Contribution Reports were disclosed on campaign statements filed prior to the election. In addition, Ms. Lawler stated that not filing the Form 497 Contribution Report for the \$125,000 contribution from the candidate was an oversight.

### CAMPAIGN BANK ACCOUNT

Campaign expenditures totaling \$12,658 were not processed through the Committee's designated campaign bank account. Instead, these expenditures were paid from the candidate's personal credit cards. The expenditures were disclosed as accrued expenses on the Semi-annual Statement filed for the period ending June 30, 2014. Of these expenditures, \$10,880 was incurred prior to May 17, 2014, but was not disclosed on Preelection Statements filed for the periods ending March 17 and May 17, 2014.

Ms. Lawler stated that she was notified by the candidate of the travel expenses made after the fact. She stated that she informed the candidate that these expenses should have been paid from the campaign bank account.

**LIST OF EXHIBITS**

**EXHIBIT A – FORM 497 CONTRIBUTION REPORTS NOT FILED WITH THE SECRETARY OF STATE**

**EXHIBIT B – UNDERSTATEMENT OF CONTRIBUTIONS RECEIVED ON FORM 497 CONTRIBUTION  
REPORTS FILED WITH THE SECRETARY OF STATE**

EXHIBIT A – FORM 497 CONTRIBUTION REPORTS NOT FILED WITH THE SECRETARY OF STATE

	<u>Date</u> <u>Received</u>		<u>Contributor</u>		<u>Amount</u>	
1.	03/12/14	*	Daniel C. Schnur	\$	1,884	(1)
2.	03/21/14	*	Daniel C. Schnur		1,208	(1)
3.	03/27/14	*	Daniel C. Schnur		1,144	(1)
4.	04/09/14	*	Daniel C. Schnur		1,378	(1)
5.	04/15/14	*	Daniel C. Schnur		1,360	(1)
6.	04/17/14	*	Donna Lucas		1,000	
7.	04/21/14	*	Daniel C. Schnur		1,228	(1)
8.	05/13/14	*	Christian Wrede		1,000	
9.	05/17/14	*	Philip D. Pfirrmann		1,000	
10.	05/25/14	*	Lesley Maness		1,000	
11.	05/26/14	*	Daniel C. Schnur		2,327	(1)
12.	06/27/14		Daniel C. Schnur		<u>125,000</u>	(2)

Total \$ 139,529

\* Date cumulative contributions met or exceeded the \$1,000 reporting threshold.

(1) Campaign expenses paid-with candidate's personal funds.

(2) Monetary contribution from candidate.

EXHIBIT B – UNDERSTATEMENT OF CONTRIBUTIONS RECEIVED ON FORM 497 CONTRIBUTION REPORTS FILED WITH THE SECRETARY OF STATE

	<u>Date</u> <u>Received</u>		<u>Contributor</u>		<u>Understatement</u>
1.	05/16/14	*	Adam Milstein	\$	500
2.	05/19/14	*	Jury Impact, Inc.		500
3.	05/29/14	*	Irwin Field		500
4.	06/02/14	*	Adam Mendelsohn		<u>500</u>

Total \$ 2,000

\* Date cumulative contributions met or exceeded the \$1,000 reporting threshold.





DEC 31 2015

AB

**AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR  
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS**

**AUDIT AUTHORITY, SCOPE, AND RESULTS**

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The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on December 31, 2015.

**LOBBYING ENTITIES**

**PERIOD COVERED**

- |  |                     |
|--|---------------------|
| 1. Greenberg Traurig, LLP (1276988)<br>Maria C. Anguiano Garcia<br>Daniel A. Leacox<br>Jeffrey Leacox<br>Gene Livingston<br>James Mattesich<br>Thomas L. Sheehy<br>Parke Terry<br>Loretta A. Tuell | 01/01/13 - 12/31/14 |
| 2. Lazard Asset Management LLC (1335989)<br>Anthony J. Dote, Jr.<br>Thomas E. Franzese<br>Jeffrey L. Gould<br>Sue Kim<br>Shaka Rasheed   | 01/01/13 - 12/31/14 |

LOBBYING ENTITIESPERIOD COVERED

- |   |                     |
|---|---------------------|
| 3. Permira Advisors LLP (1338972)<br>Philip Bassett<br>Christopher J. Davison<br>Rebecca V. Zimmerman | 01/01/13 - 12/31/14 |
| 4. Society for Human Resource Management (1366123)<br>Jason O. Gabhart                                | 04/01/14 - 12/31/14 |

CAMPAIGNSPERIOD COVERED

- |   |                     |
|---|---------------------|
| 1. Edwin Chau<br>Ed Chau for Assembly 2014 (1353687)              | 01/01/13 - 12/31/14 |
| 2. Chau Legal Defense Fund (1355448)                              | 01/01/13 - 04/11/14 |
| 3. Jack M. Mobley, Jr.<br>Jack Mobley for Assembly 2014 (1367260) | 01/01/14 - 12/31/14 |
| 4. Henry T. Perea<br>Perea for Assembly 2014 (1353601)            | 01/01/12 - 12/31/14 |
| 5. Perea for Assembly 2012 (1334118)                              | 01/01/13 - 06/29/13 |