POLITICAL REFORM AUDIT REPORTS

State Campaigns

1. Michael Francis Eng
   Mike Eng for State Senate 2018 (1355121)

2. David Vela
   David Vela for Assembly 2017 (1397986)
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Michael Francis Eng
Mike Eng for State Senate 2018 (1355121)
c/o Leiderman & Associates

January 1, 2012 through December 31, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. State Senate districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State and the Attorney General on January 31, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by Michael Francis Eng, a candidate for State Senate, District 22, in the 2018 General Election. The Committee terminated effective January 31, 2019.

TREASURER: Jane Leiderman
Leiderman & Associates
FINANCIAL ACTIVITY

Total Contributions Received: $2,899,866
Total Expenditures: $2,951,019

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper format with the Secretary of State for the period indicated above.

FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

REPORTS AND STATEMENTS

Form 497 Contribution Reports were not filed with the Secretary of State (SOS) for 7 contributions received totaling $38,700.

Reference Exhibit A

Form 497 Contribution Reports were filed with the SOS between 12 and 51 days late for 3 contributions received totaling $155,000. Of this amount, $150,000 was received as loans from the candidate.

Contributions received were understated by $9,450 and overstated by $39,600 on Form 497 Contribution Reports filed.

Form 497 Contribution Reports were timely filed for 343 contributions received totaling $1,427,106.

Ms. Leiderman stated that the reports were not filed due to clerical errors made during busy filing periods and noted the required contributor information was disclosed to the public prior to the pertinent election for all contributors except Elinor Leary.

MONETARY CONTRIBUTIONS RECEIVED

Contributions received from 8 contributors exceeded the contribution limits by $18,600. The funds were refunded between 3 and 80 days after the contributions in excess of the limits were received.

Reference Exhibit B

Ms. Leiderman stated that the committee did not benefit from the excess contributions received by the Committee because they were all refunded to contributors upon discovery of the excess. She further stated that both excess contributions and refunds were reported on Forms 460 and available to the public.
### EXHIBIT A - FORM 497 CONTRIBUTION REPORTS NOT FILED FOR CONTRIBUTIONS RECEIVED

<table>
<thead>
<tr>
<th>Date Received</th>
<th>Contributor</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>12/09/16</td>
<td>Allied Pacific of California IPA APP</td>
<td>$5,000</td>
</tr>
<tr>
<td>12/09/16</td>
<td>Network Medical Management Inc.</td>
<td>5,000</td>
</tr>
<tr>
<td>06/12/17</td>
<td>JHEC, Inc.</td>
<td>5,700</td>
</tr>
<tr>
<td>05/04/18</td>
<td>E.K. Grove</td>
<td>1,000</td>
</tr>
<tr>
<td>06/01/18</td>
<td>Elinor Leary</td>
<td>4,400</td>
</tr>
<tr>
<td>06/27/18</td>
<td>UFCW Western States Council</td>
<td>8,800</td>
</tr>
<tr>
<td>07/31/18</td>
<td>California Federation of Teachers Cope Small Contributor Committee</td>
<td>8,800</td>
</tr>
</tbody>
</table>

Total $ 38,700

* Date cumulative contributions met or exceeded the $1,000 reporting threshold.

### EXHIBIT B - CONTRIBUTIONS RECEIVED IN EXCESS OF THE LIMITS

<table>
<thead>
<tr>
<th>Contributor</th>
<th>Date Exceeded</th>
<th>Excess</th>
<th>Date Refunded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lams USA Group, LLC</td>
<td>10/12/16</td>
<td>$900</td>
<td>12/31/16</td>
</tr>
<tr>
<td></td>
<td>05/26/17</td>
<td>4,400</td>
<td>08/10/17</td>
</tr>
<tr>
<td>Advanced Diagnostic &amp; Surgical Center, Inc.</td>
<td>12/09/16</td>
<td>2,700</td>
<td>12/13/16 (1)</td>
</tr>
<tr>
<td>San Gabriel Valley Water Company</td>
<td>06/30/17</td>
<td>800</td>
<td>07/19/17</td>
</tr>
<tr>
<td></td>
<td>06/04/18</td>
<td>200</td>
<td>07/17/18</td>
</tr>
<tr>
<td>Southern California Pipe Trades District Council #16 Political Action Committee</td>
<td>12/01/17</td>
<td>3,350</td>
<td>01/11/18</td>
</tr>
<tr>
<td>Political Action for Classified Employees of California School Employees Small Contributor Committee</td>
<td>07/27/18</td>
<td>700</td>
<td>07/30/18</td>
</tr>
<tr>
<td>California Federation of Teachers Cope Small Contributor Committee</td>
<td>07/31/18</td>
<td>1,000</td>
<td>08/06/18</td>
</tr>
<tr>
<td>Consumer Attorneys Association of Los Angeles PAC</td>
<td>08/08/18</td>
<td>2,550</td>
<td>09/22/18</td>
</tr>
<tr>
<td>Professional Engineers in California Government PECG-PAC</td>
<td>09/25/18</td>
<td>2,000</td>
<td>10/20/18</td>
</tr>
</tbody>
</table>

Totals $ 18,600

(1) A check for $3,100 was issued to the contributor on 12/13/16 but has not been cashed.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

David Vela
David Vela for Assembly 2017 (1397986)
c/o Gould & Orellana, LLC

January 1, 2017 through December 31, 2017

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Legislative candidates in a special primary or special runoff election who raised or spent $15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 31, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by David Vela, a candidate for State Assembly, District 51, in the October 3, 2017 Special Primary Election. The Committee terminated effective December 31, 2018.

TREASURER: David L. Gould
Gould & Orellana, LLC

FINANCIAL ACTIVITY

Total Contributions Received: $ 139,563
Total Expenditures: $ 155,977

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.
FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

MONETARY CONTRIBUTIONS RECEIVED

Funds totaling $76,346 received from David Vela for Assembly 2018 (1395866) on August 14, 2017, were not reported on Schedule A as monetary contributions attributed to specific contributors. Instead, the funds were reported on Schedule A as a lump sum received from the transferring committee. After notification of the audit finding, a list of 65 specific contributions was provided, including 62 contributions required to be itemized. These contributions were itemized on the Form 460 Campaign Disclosure Statements filed for the period January 1 through August 19, 2017, by the transferring committee.

Mr. Gould stated that the funds were not disclosed as attributed since the transfer came from a committee for the same office.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on January 31, 2020.

CAMPAIGNS

<table>
<thead>
<tr>
<th>CAMPAIGNS</th>
<th>PERIOD COVERED</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Andreas Borgeas</td>
<td>01/01/17 – 12/31/18</td>
</tr>
<tr>
<td>Borgeas for Senate 2018 (1394470)</td>
<td></td>
</tr>
<tr>
<td>2. Clinton Olivier</td>
<td>01/01/17 – 06/30/18</td>
</tr>
<tr>
<td>Clint Olivier for Senate 2018 (1394450)</td>
<td></td>
</tr>
<tr>
<td>3. Californians Building a Healthy Future – Dr. Pan Ballot Measure Committee (1347510)</td>
<td>12/12/14 – 12/31/18</td>
</tr>
<tr>
<td>4. Dr. Richard Pan</td>
<td>01/01/14 – 12/31/18</td>
</tr>
<tr>
<td>Dr. Richard Pan for Senate 2018 (1374058)</td>
<td></td>
</tr>
<tr>
<td>5. Dr. Richard Pan</td>
<td>01/01/18 – 12/31/18</td>
</tr>
<tr>
<td>Dr. Richard Pan Senate 2018 Officeholder Account (1414230)</td>
<td></td>
</tr>
<tr>
<td>6. Thomas V. Pratt</td>
<td>01/01/17- 06/30/18</td>
</tr>
<tr>
<td>Tom Pratt for State Senate 2018 (1401066)</td>
<td></td>
</tr>
<tr>
<td>7. Susan Rubio</td>
<td>01/01/16 – 12/31/18</td>
</tr>
<tr>
<td>Susan Rubio for Senate 2018 (1392890)</td>
<td></td>
</tr>
<tr>
<td>8. David Vela</td>
<td>01/01/17 – 08/19/17</td>
</tr>
<tr>
<td>David Vela for Assembly 2018 (1395866)</td>
<td></td>
</tr>
</tbody>
</table>
9. Bruce W. Whitaker  
Bruce Whitaker for Senate 2018 (1398495)  
01/01/17 – 06/30/18
POLITICAL REFORM AUDIT REPORTS

State Campaigns

1. Roger Hernandez
   Hernandez for Assembly 2014 (1354454)

2. Roger Hernandez
   San Gabriel Valley Leadership Roger Hernandez Ballot Measure
   (1366674)

3. Dr. Asif Mahmood
   Dr Asif Mahmood for Lieutenant Governor 2018 (1394556)

4. Kristin M. Olsen
   Olsen for Senate 2018 (1373374)
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Roger Hernandez
Hernandez for Assembly 2014 (1354454)

January 1, 2015 through December 31, 2017

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90003 of the California Government Code.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State and the Attorney General on March 30, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by former Assembly Member Roger Hernandez, District 48. Mr. Hernandez was a candidate for State Senate, District 22, in the 2018 Statewide Direct Primary Election. However, he withdrew from the election and was not on the ballot. The Committee terminated effective December 31, 2017.

TREASURER: David L. Gould
Gould & Orellana, LLC

FINANCIAL ACTIVITY

Total Contributions Received: ($8,350)
Total Expenditures: $241,061

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.
FINDINGS AND RESPONSES

OPINION

The filers, in our opinion, have not substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

RECORDKEEPING

Records, such as invoices, receipts or vendor billing statements, were not maintained for all expenses of $25 or more charged on the Committee’s credit cards totaling $71,639. The lack of records precluded the determination of whether the expenses were made for political, legislative, or governmental purposes. Included in the amount were $3,877 of meals and overnight stays at casinos, $2,832 of travel out of state and to Mexico, and a single $4,070 purchase at a grocery store. Copies of monthly credit card billing statements were provided for these expenses.

The monthly credit card billing statement for the period August 20 through September 19, 2015, was not maintained. Net purchases for this period totaled $3,038. The lack of records precluded the determination of whether the expenses were made for political, legislative, or governmental purposes and/or if additional information for gifts, meals, and travel was required to be reported.

For $41,500 of gifts, meals, and travel of $100 or more charged on the Committee’s credit cards, the additional records required for these types of expenses, such as memorandums and/or lists of attendees, were not maintained. The additional information required for gifts, meals, and travel was not reported on the campaign statements for $31,461 of these expenses and only partially reported for the remaining $10,039.

TREASURER’S COMMENT

Comments were not provided.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Roger Hernandez
San Gabriel Valley Leadership Roger Hernandez
Ballot Measure (1366674)

January 1, 2015 through December 31, 2016

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. State Senate districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit. In addition, each controlled committee of the candidate was subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State and the Attorney General on March 30, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by former Assembly Member Roger Hernandez, District 48. Mr. Hernandez was a candidate for State Senate, District 22, in the 2018 Statewide Direct Primary Election. However, he withdrew from the election and was not on the ballot. The Committee terminated effective December 31, 2016.

TREASURER: David L. Gould
Gould & Orellana, LLC
FINANCIAL ACTIVITY

Total Contributions Received: $11,700

Total Expenditures: $14,403

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.

FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

EXPENDITURES

Civic donations totaling $11,000 were made before the permissible 60-day period prior to the effective date of termination of a candidate-controlled ballot measure committee. Of this amount, $10,000 was reported as scholarships paid to ten individuals.

<table>
<thead>
<tr>
<th>Date Made</th>
<th>Payee</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. 10/08/15</td>
<td>Spirit Family Services</td>
<td>$500</td>
</tr>
<tr>
<td>2. 06/09/16</td>
<td>Mark Reyes</td>
<td>1,000*</td>
</tr>
<tr>
<td>3. 06/09/16</td>
<td>Santiago Sanchez</td>
<td>1,000*</td>
</tr>
<tr>
<td>4. 06/09/16</td>
<td>Jasmine Soukhaseum</td>
<td>1,000*</td>
</tr>
<tr>
<td>5. 06/09/16</td>
<td>Jason Terrazas</td>
<td>1,000*</td>
</tr>
<tr>
<td>6. 06/09/16</td>
<td>Jessica Trujillo</td>
<td>1,000*</td>
</tr>
<tr>
<td>7. 06/14/16</td>
<td>Guadalupe Avila</td>
<td>1,000*</td>
</tr>
<tr>
<td>8. 06/14/16</td>
<td>Evon Du</td>
<td>1,000*</td>
</tr>
<tr>
<td>9. 06/14/16</td>
<td>Jennifer Garcia</td>
<td>1,000*</td>
</tr>
<tr>
<td>10. 06/14/16</td>
<td>Kimberly Caal Garcia</td>
<td>1,000*</td>
</tr>
<tr>
<td>11. 06/14/16</td>
<td>Anthony Guan</td>
<td>1,000*</td>
</tr>
<tr>
<td>12. 10/04/16</td>
<td>Rudy Chavarria Scholarship</td>
<td>500</td>
</tr>
<tr>
<td></td>
<td>Foundation</td>
<td></td>
</tr>
</tbody>
</table>

Total $11,000

* Disclosed as scholarship.

Mr. Gould stated that the candidate wanted to give money to charity and found individuals worthy of scholarships. Mr. Gould further stated that, while he intended to terminate the Committee within days of issuing the checks, it didn’t happen because he was waiting for the candidate’s signature.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Kristin M. Olsen
Olsen for Senate 2018 (1373374)

January 1, 2014 through June 30, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. State Senate districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on March 30, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by former Assembly Member and current Stanislaus County Supervisor Kristin M. Olsen. Ms. Olsen was a candidate for State Senate, District 8, in the 2018 Statewide Direct Primary Election. Ms. Olsen filed a Form 501 Candidate Intention Statement for the office of State Senate; however, she withdrew from the election and was not on the ballot. Ms. Olsen was elected to the Stanislaus County Board of Supervisors in the Presidential Primary Election held on June 7, 2016. The committee terminated effective December 31, 2018.

TREASURERS: Bryan Burch
Political Finance Solutions, Inc.

Sally Olsen (10/31/14 – 01/31/17)
FINANCIAL ACTIVITY

Total Contributions Received: $762,687
Total Expenditures: $764,962

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.

FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

RECORDKEEPING

Copies of contributors’ checks or credit card authorization forms were not maintained for contributions received totaling $62,847. Bank statements, images of deposit tickets, and a listing of contributions received were provided.

Ms. Sally Olsen stated she maintained binders containing computer logs and detailed paper records of income and disbursements, including copies of contributors’ checks, original receipts for expenses, filings and reconciled bank statements. Ms. Olsen stated she delivered the binders to Bryan Burch’s office when Mr. Burch became the Committee’s treasurer in early 2017.

Mr. Burch stated the records delivered to his office by Ms. Sally Olsen were thorough and complete, but were lost during an office move in January 2019. Mr. Burch stated he was able to retrieve some of the expenditure information for purposes of this audit, but was unable to retrieve the contributor information.

REPORTS AND STATEMENTS

Three Form 460 Campaign Disclosure Statements were not timely filed with the Secretary of State (SOS), including two preelection statements that were required in connection with the election for the Stanislaus County Board of Supervisors in the Presidential Primary Election held on June 7, 2016.

Reference Exhibit A

Ms. Sally Olsen stated that she mailed the filings when she received the notice to file from the SOS.
### EXHIBIT A - FORM 460 CAMPAIGN DISCLOSURE STATEMENTS NOT TIMELY FILED

<table>
<thead>
<tr>
<th>Statement Period</th>
<th>Receipts</th>
<th>Expenditures</th>
<th>Date Filed in Paper Format</th>
<th>Paper Days</th>
</tr>
</thead>
<tbody>
<tr>
<td>01/01/16 to 04/23/16</td>
<td>$24,760</td>
<td>$11,455</td>
<td>10/24/16</td>
<td>179 (1)</td>
</tr>
<tr>
<td>04/24/16 to 05/21/16</td>
<td>7,200</td>
<td>1,063</td>
<td>10/24/16</td>
<td>151 (1)</td>
</tr>
<tr>
<td>05/22/16 to 06/30/16</td>
<td>16,687</td>
<td>4,503</td>
<td>10/22/16</td>
<td>82 (2)</td>
</tr>
<tr>
<td><strong>Totals</strong></td>
<td><strong>$48,647</strong></td>
<td><strong>$17,021</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(1) Campaign Disclosure Statement was filed electronically on June 17, 2016.

(2) Campaign Disclosure Statement was timely filed electronically.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Dr. Asif Mahmood
Dr. Asif Mahmood for Lieutenant Governor 2018 (1394556)

January 1, 2017 through April 21, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Statewide candidates who raised or spent $25,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State and the Attorney General on March 30, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by Asif Mahmood. Dr. Mahmood was a candidate for Lieutenant Governor in the 2018 Statewide Direct Primary Election. However, he withdrew as a candidate for this office to run for Insurance Commissioner in the same election. The Committee terminated effective April 21, 2018.

TREASURER:

Jane Leiderman
Leiderman & Associates

FINANCIAL ACTIVITY

Total Contributions Received: $1,360,121
Total Expenditures: $1,360,121

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper format with the Secretary of State for the period indicated above.
FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with
the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section
81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

MONETARY CONTRIBUTIONS RECEIVED

Contributions received from 2 contributors exceeded the contribution limits by $10,000. Of this
amount, $9,600 was returned 25 days after the contribution in excess of the limits was received.

<table>
<thead>
<tr>
<th>Contributor</th>
<th>Date</th>
<th>Exceeded</th>
<th>Excess</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rashida M. Ahmad</td>
<td>06/30/17</td>
<td>9,600</td>
<td>(1)</td>
</tr>
<tr>
<td>Edwin Haratonians</td>
<td>12/26/17</td>
<td>400</td>
<td></td>
</tr>
</tbody>
</table>

Total Received in Excess of the Limits $ 10,000

(1) Excess of $9,600 returned on 07/25/17.

Ms. Leiderman explained that Ms. Ahmad’s contribution was received via ActBlue, and the
Committee had no control of the receipt. She stated once the excess was discovered, and after
further research regarding possible reattribution, the contribution was returned. She added that
accepting Mr. Haratonians’ excess contribution was an inadvertent oversight.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on March 30, 2020.

CAMPAIGNS PERIOD COVERED

1. Joel C. Anderson
   Anderson for Assembly 2018 (1374227) 01/01/14 – 06/30/18

2. Marggie Castellano
   Marggie Castellano for Senate 2018 (1399445) 01/01/17 – 12/20/18

3. Janine L. DeRose
   Janine DeRose for California State Senate District 6 2018 (1396490) 01/01/17 - 07/20/18

4. Roger Hernandez
   Hernandez for Senate 2018 (1374662) 01/01/15 – 06/30/18

5. Dr. Asif Mahmood
   Dr Asif Mahmood for Insurance Commissioner 2018 (1401431) 01/01/18 – 06/30/18

6. Miguel Santiago
   Miguel Santiago for Assembly 2016 (1373492) 01/01/17 – 12/31/17

7. Miguel Santiago
   Miguel Santiago for Assembly 2018 (1392439) 01/01/16 – 12/31/18

8. Miguel Santiago
   Uplifting California Families a Controlled Committee by Assembly member Miguel Santiago (1395895) 01/01/17 – 12/31/18
POLITICAL REFORM AUDIT REPORTS

State Campaigns

1. Jack J. Lavers
   Lavers for Assembly 2018 (1399835)
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Jack J. Lavers
Lavers for Assembly 2018 (1399835)

July 1, 2017 through June 14, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Assembly districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on May 29, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by Jack J. Lavers, a candidate for Assembly, District 26, in the 2018 Statewide Direct Primary Election. The Committee terminated effective June 14, 2018.

TREASURER: Thomas E. Montgomery III
Political Communications, Inc.

FINANCIAL ACTIVITY

Total Contributions Received: $ 123,976
Total Expenditures: $ 128,248

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.
FINDINGS AND RESPONSES

OPINION

The filers, in our opinion, have not substantially complied with the disclosure and/or recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

RECORDKEEPING

Bank statements or other documents were not provided to verify the source(s) of a $20,000 loan reported as received from the candidate on October 17, 2017, and $9,452 in campaign expenses paid from the candidate’s personal checking account. Copies of the checks were provided.

For expenditures examined, receipts and/or invoices were not maintained for 61 expenditures made totaling $57,106. Canceled checks, bank statements, and a check register were maintained to verify the names of the payees and the amounts paid.

CAMPAIGN BANK ACCOUNT

Two campaign expenditures totaling $9,452 were not processed through the Committee’s designated campaign bank account. Instead, these expenditures were paid from the candidate’s personal checking account and were not reported on the campaign statements.

FILERS’ COMMENTS

No comments were provided.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on May 29, 2020.

<table>
<thead>
<tr>
<th>CAMPAIGNS</th>
<th>PERIOD COVERED</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Marc E. Berman</td>
<td>01/01/16 – 12/27/18</td>
</tr>
<tr>
<td>Marc Berman for Assembly 2018 (1392758)</td>
<td></td>
</tr>
<tr>
<td>2. Tyler Diep</td>
<td>01/01/17 – 12/31/18</td>
</tr>
<tr>
<td>Tyler Diep for Assembly 2018 (1397523)</td>
<td></td>
</tr>
<tr>
<td>3. James Gallagher</td>
<td>01/01/16 - 12/31/18</td>
</tr>
<tr>
<td>Gallagher for Assembly 2018 (1392567)</td>
<td></td>
</tr>
<tr>
<td>4. E. Warren Gubler</td>
<td>01/01/17 – 06/30/18</td>
</tr>
<tr>
<td>Warren Gubler for Assembly 2018 (1399219)</td>
<td></td>
</tr>
<tr>
<td>5. Kevin H. Jang</td>
<td>01/01/18 – 12/05/18</td>
</tr>
<tr>
<td>Jang for Assembly 2018; Kevin (1402049)</td>
<td></td>
</tr>
<tr>
<td>6. Luis Lopez</td>
<td>01/01/17 – 12/31/17</td>
</tr>
<tr>
<td>Luis Lopez for Assembly 2017 (1397810)</td>
<td></td>
</tr>
<tr>
<td>7. Luis Lopez</td>
<td>01/01/16 – 12/31/18</td>
</tr>
<tr>
<td>Luis Lopez for Assembly 2018 (1395613)</td>
<td></td>
</tr>
<tr>
<td>8. Alex Padilla</td>
<td>01/01/15 – 12/31/18</td>
</tr>
<tr>
<td>Padilla for Secretary of State 2018 (1373719)</td>
<td></td>
</tr>
</tbody>
</table>
9. Vivian Romero
Vivian Romero for State Senate 2018 (1402414) 01/01/18 – 06/30/18
POLITICAL REFORM AUDIT REPORTS

State Campaigns

1. Eric Stephan Timothy Frame
   Eric Frame for State Senate 2018 (1396954)

2. Travis Allen
   Travis Allen for Governor 2018 (1397257)

3. Ana Victoria (Vicky) Santana
   Santana for Senate 2018 (1402189)

4. Neil G. Kitchens
   Committee to elect Neil Kitchens for Assembly (1396941)
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Neil G. Kitchens
Committee to elect Neil Kitchens for Assembly (1396941)

January 1, 2017 through December 31, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Assembly districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit.

However, the audit could not be performed since Mr. Kitchens and Ms. Wilkins have not responded to numerous attempts to contact them. Therefore, we are unable to express an opinion regarding compliance with the Political Reform Act.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on July 31, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee is controlled by Neil G. Kitchens, a candidate for Assembly, District 30, in the 2018 General Election.

TREASURER: Tara A. Wilkins

FINANCIAL ACTIVITY

Total Contributions Received: $14,445

Total Expenditures: $14,614

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper format with the Secretary of State for the period indicated above.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Eric Stephan Timothy Frame
Eric Frame for State Senate 2018  (1396954)

January 1, 2017 through December 31, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. State Senate districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on July 31, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee is controlled by Eric Stephan Timothy Frame, a candidate for State Senate, District 6, in the 2018 General Election.

TREASURER: Gary N. Blenner

FINANCIAL ACTIVITY

Total Contributions Received: $18,718
Total Expenditures: $18,039

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.

FINDINGS AND RESPONSES
OPINION

The filers, in our opinion, have not substantially complied with the disclosure and/or recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

RECORDKEEPING

Receipts and/or invoices were not maintained for expenditures made totaling $44,698. Bank statements and canceled checks were maintained.

REPORTS AND STATEMENTS

The Form 460 Preelection Statement for the period ending September 22, 2018, filed with the Secretary of State (SOS) on September 27, 2018, did not include Schedule A Monetary Contributions Received and Schedule E Payments Made. Receipts and expenditures totaled $6,643 and $8,274, respectively; however receipts and expenditures reported on the Summary Page were understated by $607 and $479, respectively.

The Form 460 Preelection Statement for the period ending October 20, 2018, was not filed with the SOS in paper format. Receipts and expenditures totaled $5,683 and $2,802, respectively. The Form 460 Preelection Statement was timely filed electronically; however reported receipts were understated by $1,051 and reported expenditures were overstated by $1,335.

The Form 460 Semi-annual Statement for the period ending December 31, 2018, was not filed with the SOS in paper format. Receipts and expenditures totaled $121,981 and $125,079, respectively. The Form 460 Semi-annual Statement was filed electronically on January 31, 2019; however, no activity was reported. Contributions totaling $76,000 were reported as received during this period on Form 497 Contribution Reports.

MONETARY CONTRIBUTIONS RECEIVED

Monetary contributions received totaling $106,272 were not itemized on the Form 460 Campaign Disclosure Statements filed with the Secretary of State (SOS). Of this amount, $69,000 was reported on Form 497 Contribution Reports filed prior to the 2018 General Election.

   Reference Exhibit A

EXPENDITURES

Cash totaling $27,152 was withdrawn from the designated campaign bank account. An invoice notates that $1,500 of this amount was a partial payment for printed materials. Spreadsheets notated $25,864 in cash was paid to campaign workers.

   Reference Exhibit B
Expenditures totaling $94,692 were not itemized on the Form 460 Campaign Disclosure Statements filed with the SOS.

Reference Exhibit C

TREASURER'S COMMENT

Mr. Blenner stated that his responsibilities were limited to filing the required reports and statements. He further stated that he filed the reports and statements based on the information he received from the candidate, who retained all the documents. Mr. Blenner explained that the Committee received an incredible amount of contributions late in the campaign, which was overwhelming. He added that he and the candidate, always had the best of intentions, and the mistakes were due to inexperience. Mr. Blenner also stated that his mother became seriously ill and passed away during this time.

LIST OF EXHIBITS

EXHIBIT A – CONTRIBUTIONS RECEIVED NOT ITEMIZED ON FORM 460 CAMPAIGN DISCLOSURE STATEMENTS

EXHIBIT B – CASH WITHDRAWALS OF MORE THAN $100 FROM CAMPAIGN BANK ACCOUNT

EXHIBIT C – EXPENDITURES NOT ITEMIZED ON FORM 460 CAMPAIGN DISCLOSURE STATEMENTS
## EXHIBIT A - CONTRIBUTIONS RECEIVED NOT ITEMIZED ON FORM 460 CAMPAIGN DISCLOSURE STATEMENTS

<table>
<thead>
<tr>
<th>Date Received</th>
<th>Contributor</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>10/09/18</td>
<td>Todd Reiners Hope, Inc.</td>
<td>$500</td>
</tr>
<tr>
<td>10/20/18</td>
<td>Casey Glimstad</td>
<td>1,800 (1)(5)</td>
</tr>
<tr>
<td>10/22/18</td>
<td>JB Handley</td>
<td>8,800 (1)(2)</td>
</tr>
<tr>
<td>10/25/18</td>
<td>Esai Morales</td>
<td>2,002 (3)</td>
</tr>
<tr>
<td>10/25/18</td>
<td>Michelle Walrath</td>
<td>4,400 (1)</td>
</tr>
<tr>
<td>10/26/18</td>
<td>Dan Carney</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>10/26/18</td>
<td>Joyce Gaines</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>10/26/18</td>
<td>Aaron Siri &amp; Casey Glimstad</td>
<td>7,000 (1)</td>
</tr>
<tr>
<td>10/26/18</td>
<td>Douglas Mackenzie</td>
<td>500</td>
</tr>
<tr>
<td>10/26/18</td>
<td>Abby Rockefeller</td>
<td>500</td>
</tr>
<tr>
<td>10/26/18</td>
<td>MaryKelly Sutton</td>
<td>500</td>
</tr>
<tr>
<td>10/26/18</td>
<td>MaryKelly Sutton</td>
<td>500</td>
</tr>
<tr>
<td>10/27/18</td>
<td>Nadim Taleb</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>10/28/18</td>
<td>Alix Mayer</td>
<td>500</td>
</tr>
<tr>
<td>10/28/18</td>
<td>Mary Kelly Sutton MD</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>10/29/18</td>
<td>Karen Rogers</td>
<td>500</td>
</tr>
<tr>
<td>10/29/18</td>
<td>Andrea Stein</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>10/29/18</td>
<td>Roland Vandermeer</td>
<td>4,000 (1)</td>
</tr>
<tr>
<td>10/30/18</td>
<td>Jeff Boyajian</td>
<td>500</td>
</tr>
<tr>
<td>10/30/18</td>
<td>William Demoss</td>
<td>500</td>
</tr>
<tr>
<td>10/30/18</td>
<td>Lisa Claire Dwoskin</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>10/30/18</td>
<td>Renee Hunter</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>10/30/18</td>
<td>Jennifer Sarver</td>
<td>500</td>
</tr>
<tr>
<td>10/30/18</td>
<td>Stephen Wallack</td>
<td>500</td>
</tr>
<tr>
<td>10/31/18</td>
<td>Debbie Ewing</td>
<td>500</td>
</tr>
<tr>
<td>10/31/18</td>
<td>Britney Valas</td>
<td>1,000 (1)</td>
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<tr>
<td>11/01/18</td>
<td>Brian Hooker</td>
<td>980</td>
</tr>
<tr>
<td>11/01/18</td>
<td>Mary Louise Pierson</td>
<td>500</td>
</tr>
<tr>
<td>11/01/18</td>
<td>Ruth Westreich</td>
<td>4,400 (1)</td>
</tr>
<tr>
<td>11/02/18</td>
<td>Charlene Bollinger</td>
<td>4,400 (1)</td>
</tr>
<tr>
<td>11/02/18</td>
<td>Tracey Gatheral</td>
<td>500</td>
</tr>
<tr>
<td>11/02/18</td>
<td>Jennifer Mccarthy</td>
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</tr>
<tr>
<td>11/02/18</td>
<td>William D. Moses Jr.</td>
<td>4,400 (1)</td>
</tr>
<tr>
<td>11/02/18</td>
<td>MaryKelly Sutton MD</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>11/02/18</td>
<td>Donnie Wahlberg</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>11/02/18</td>
<td>Spencer Wilcox</td>
<td>3,000 (1)</td>
</tr>
<tr>
<td>11/03/18</td>
<td>Nadim Taleb</td>
<td>1,500 (1)</td>
</tr>
<tr>
<td>11/04/18</td>
<td>John Bergman</td>
<td>1,000 (1)</td>
</tr>
<tr>
<td>11/04/18</td>
<td>Anne Chan</td>
<td>500</td>
</tr>
<tr>
<td>11/04/18</td>
<td>Anna Getty</td>
<td>8,808 (4)</td>
</tr>
<tr>
<td>11/04/18</td>
<td>Ava Goedhart</td>
<td>2,500 (1)</td>
</tr>
</tbody>
</table>

211 other contributions of $100 to $400  

Total $106,272

(1) A Form 497 was filed prior to the election for this contribution.
(2) The Form 497 reported $4,400 from JB Handley and $4,400 from Lisa Handley.
(3) A Form 497 filed prior to the election reported $2,000 of this contribution.
(4) A Form 497 filed prior to the election reported $8,800 of this contribution.
(5) Disclosed on amended Form 460 filed only in electronic format on 10/09/19.
**EXHIBIT B - CASH WITHDRAWALS OF MORE THAN $100 FROM CAMPAIGN BANK ACCOUNT**

<table>
<thead>
<tr>
<th>Date of Withdrawal</th>
<th>Amount</th>
</tr>
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<tbody>
<tr>
<td>10/26/18</td>
<td>$3,250</td>
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<tr>
<td>11/02/18</td>
<td>5,000</td>
</tr>
<tr>
<td>11/06/18</td>
<td>12,602</td>
</tr>
<tr>
<td>11/06/18</td>
<td>2,000</td>
</tr>
<tr>
<td>11/07/18</td>
<td>300</td>
</tr>
<tr>
<td>11/07/18</td>
<td>2,000</td>
</tr>
<tr>
<td>11/07/18</td>
<td>2,000</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$27,152</strong></td>
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</tbody>
</table>
### EXHIBIT C - EXPENDITURES NOT ITEMIZED ON FORM 460
CAMPAIGN DISCLOSEMENT STATEMENTS

<table>
<thead>
<tr>
<th>Date Made</th>
<th>Payee</th>
<th>Amount</th>
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<tbody>
<tr>
<td>05/21/18</td>
<td>J's Quality Printing</td>
<td>$254</td>
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<tr>
<td>07/02/18</td>
<td>Office Max</td>
<td>117</td>
</tr>
<tr>
<td>07/18/18</td>
<td>J's Quality Printing</td>
<td>405</td>
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<tr>
<td>07/19/18</td>
<td>Voter Reach</td>
<td>269</td>
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<tr>
<td>07/27/18</td>
<td>J's Quality Printing</td>
<td>361</td>
</tr>
<tr>
<td>07/31/18</td>
<td>Squarespace</td>
<td>144</td>
</tr>
<tr>
<td>08/03/18</td>
<td>Wal-Mart Store</td>
<td>106</td>
</tr>
<tr>
<td>08/10/18</td>
<td>Yolo County</td>
<td>665</td>
</tr>
<tr>
<td>08/13/18</td>
<td>J's Quality Printing</td>
<td>726</td>
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<tr>
<td>08/18/18</td>
<td>Wav Humor Times</td>
<td>137</td>
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<tr>
<td>08/22/18</td>
<td>J's Quality Printing</td>
<td>529</td>
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<tr>
<td>08/28/18</td>
<td>J's Quality Printing</td>
<td>335</td>
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<tr>
<td>09/06/18</td>
<td>GotPrint.Com</td>
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<tr>
<td>09/10/18</td>
<td>Information in the Public Interest</td>
<td>1,500</td>
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<tr>
<td>09/14/18</td>
<td>J's Quality Printing</td>
<td>397</td>
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<td>09/18/18</td>
<td>Lab Rat Productions</td>
<td>350</td>
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<tr>
<td>10/24/18</td>
<td>Information in the Public Interest</td>
<td>14,000</td>
</tr>
<tr>
<td>10/24/18</td>
<td>Wav Humor Times</td>
<td>250</td>
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<tr>
<td>10/26/18</td>
<td>J's Quality Printing</td>
<td>743</td>
</tr>
<tr>
<td>10/26/18</td>
<td>Office Max</td>
<td>184</td>
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<td>10/28/18</td>
<td>J's Quality Printing</td>
<td>519</td>
</tr>
<tr>
<td>10/28/18</td>
<td>Tomas Frame</td>
<td>154</td>
</tr>
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<td>10/29/18</td>
<td>Information in the Public Interest</td>
<td>6,500</td>
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<td>Facebook</td>
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<td>Information in the Public Interest</td>
<td>9,500</td>
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<td>10/30/18</td>
<td>Lab Rat Pictures</td>
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<td>10/31/18</td>
<td>Information in the Public Interest</td>
<td>12,000</td>
</tr>
<tr>
<td>10/31/18</td>
<td>J's Quality Printing</td>
<td>6,842</td>
</tr>
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<td>11/01/18</td>
<td>Office Max</td>
<td>261</td>
</tr>
<tr>
<td>11/02/18</td>
<td>Facebook</td>
<td>500</td>
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<tr>
<td>11/02/18</td>
<td>Robodial.org, LLC</td>
<td>806</td>
</tr>
<tr>
<td>11/03/18</td>
<td>Facebook</td>
<td>1,500</td>
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<tr>
<td>11/03/18</td>
<td>Twitter Online Ads</td>
<td>137</td>
</tr>
<tr>
<td>11/04/18</td>
<td>Robodial.org, LLC</td>
<td>1,117</td>
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<tr>
<td>11/05/18</td>
<td>Information in the Public Interest</td>
<td>10,000</td>
</tr>
<tr>
<td>11/05/18</td>
<td>Robodial.org, LLC</td>
<td>800</td>
</tr>
<tr>
<td>11/05/18</td>
<td>T Shirt Pro</td>
<td>581</td>
</tr>
<tr>
<td>11/05/18</td>
<td>Terrence Long</td>
<td>150</td>
</tr>
<tr>
<td>11/06/18</td>
<td>Facebook</td>
<td>3,750</td>
</tr>
<tr>
<td>11/06/18</td>
<td>Information in the Public Interest</td>
<td>5,000</td>
</tr>
<tr>
<td>11/06/18</td>
<td>Nikki Jones</td>
<td>215</td>
</tr>
<tr>
<td>11/08/18</td>
<td>Hawthorne Suites</td>
<td>768</td>
</tr>
<tr>
<td>11/08/18</td>
<td>Twitter Online Ads</td>
<td>1,027</td>
</tr>
<tr>
<td>11/09/18</td>
<td>Consumer Evaluation &amp; Insight</td>
<td>8,576</td>
</tr>
<tr>
<td>11/11/18</td>
<td>Jrmar Jefferson</td>
<td>1,700</td>
</tr>
</tbody>
</table>

Total $ 94,692

(1) Multiple transactions on the same day.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Travis Allen
Travis Allen for Governor 2018 (1397257)

January 1, 2017 through June 30, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Statewide candidates who raised or spent $25,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on July 31, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee is controlled by former Assembly Member Travis Allen, District 72. Mr. Allen was a candidate for Governor in the 2018 Statewide Direct Primary Election.

TREASURER: Lysa Ray
Lysa Ray Campaign Services

FINANCIAL ACTIVITY

Total Contributions Received: $1,721,623
Total Expenditures: $1,746,790

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.
FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

MONETARY CONTRIBUTIONS RECEIVED

Occupation and/or employer information was not disclosed for contributions totaling $6,458 received from 39 individuals. A record of this information was not maintained and the contributions were not returned to the donors.

EXPENDITURES

For expenditures examined, the additional information required for gifts, meals, and travel was not disclosed for 49 expenditures made totaling $16,435. The information disclosed for gifts, meals, and travel was incomplete for 12 other expenditures made totaling $5,249. In addition, records were not maintained for $16,598 of these expenditures.

FILERS' COMMENT

Ms. Ray stated that they did the best they could considering the volume of transactions and the size of the Committee.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Ana Victoria (Vicky) Santana
Santana for Senate 2018 (1402189)
c/o Gould & Orellana, LLC

January 1, 2018 through June 30, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. State Senate districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on July 31, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee is controlled by Ana Victoria (Vicky) Santana, a candidate for State Senate, District 32, in the 2018 Special Primary Election and the 2018 Statewide Direct Primary Election.

TREASURER: David L. Gould
Gould & Orellana, LLC

FINANCIAL ACTIVITY

Total Contributions Received: $161,071
Total Expenditures: $189,210

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.
FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

RECORDKEEPING

The source of funds could not be verified for $60,000 of a $64,000 loan reported as received from the candidate on March 31, 2018. While copies of the candidate’s personal bank statements were provided, documentation was not provided to substantiate the origin of $60,000 deposited into the candidate’s personal account on April 2, 2018, prior to the $64,000 check from the candidate being deposited into the Committee’s bank account on April 9, 2018.

Ms. Santana stated that the $60,000 was from her father, Miguel Santana Salas.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on July 31, 2020.

CAMPAIGNS

<table>
<thead>
<tr>
<th>CAMPAIGN</th>
<th>PERIOD COVERED</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Travis Allen</td>
<td></td>
</tr>
<tr>
<td>Join Travis Allen to Repeal the Gas Tax Ballot Measure Committee, major funding by PISF, Inc (1396427)</td>
<td>01/25/17 – 06/30/18</td>
</tr>
<tr>
<td>2. Travis Allen</td>
<td></td>
</tr>
<tr>
<td>Travis Allen for Assembly 2016 (1373831)</td>
<td>01/01/17 – 06/30/18</td>
</tr>
<tr>
<td>3. Travis Allen</td>
<td></td>
</tr>
<tr>
<td>Travis Allen for Assembly 2018 (1394138)</td>
<td>01/01/17 – 06/30/18</td>
</tr>
<tr>
<td>4. Steven S. Choi</td>
<td></td>
</tr>
<tr>
<td>Steven Choi for Assembly 2018 (1392840)</td>
<td>01/01/16 - 12/31/18</td>
</tr>
<tr>
<td>5. Vanessa Delgado</td>
<td></td>
</tr>
<tr>
<td>Senator Delgado 2018 Officeholder Committee (1413492)</td>
<td>01/01/18 – 12/13/18</td>
</tr>
<tr>
<td>6. Vanessa Delgado</td>
<td></td>
</tr>
<tr>
<td>Delgado for Senate 2018 (1401999)</td>
<td>01/01/18 – 11/15/18</td>
</tr>
<tr>
<td>7. Vanessa Delgado</td>
<td></td>
</tr>
<tr>
<td>Delgado for Senate Special Election 2018 (1404311)</td>
<td>01/01/18 – 12/13/18</td>
</tr>
<tr>
<td>No.</td>
<td>Name</td>
</tr>
<tr>
<td>-----</td>
<td>-----------------------------------------</td>
</tr>
<tr>
<td>8.</td>
<td>Devon J. Mathis</td>
</tr>
<tr>
<td>9.</td>
<td>Antonio “Tony” Mendoza</td>
</tr>
<tr>
<td>10.</td>
<td>Antonio “Tony” Mendoza</td>
</tr>
<tr>
<td>11.</td>
<td>Antonio “Tony” Mendoza</td>
</tr>
<tr>
<td>12.</td>
<td>Antonio “Tony” Mendoza</td>
</tr>
<tr>
<td>13.</td>
<td>Ali Sajjad Taj</td>
</tr>
<tr>
<td>14.</td>
<td>Ali Sajjad Taj</td>
</tr>
<tr>
<td>15.</td>
<td>Randy G. Voepel</td>
</tr>
</tbody>
</table>
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on September 30, 2020.

<table>
<thead>
<tr>
<th>CAMPAIGNS</th>
<th>PERIOD COVERED</th>
</tr>
</thead>
</table>
| 1. Catharine Baker  
Catharine Baker for Assembly 2018 (1392518) | 01/01/16 – 12/31/18 |
| 2. Patricia C. Bates  
Pat Bates for Senate 2018 (1374577) | 01/01/15 – 12/31/18 |
| 3. Cheryl R. Brown  
Cheryl R. Brown for Assembly 2018 (1395340) | 01/01/17 – 06/30/18 |
| 4. Alexandria Coronado  
Coronado for Assembly 2018 (1400293) | 01/01/17 – 12/31/18 |
| 5. Michelle Duman  
Michelle Duman for Assembly 2018 (1401354) | 01/01/18 - 12/31/18 |
| 6. Jean Fuller  
Jean Fuller for Assembly 2018 (1376380) | 01/01/15 – 06/30/18 |
| 7. Martin W. Garrick  
Garrick for Senate 2018 (1367952) | 01/01/14 – 06/28/17 |
| 8. Trina Coffman-Gomez  
Trina Coffman-Gomez for Assembly 2018 (1399343) | 01/01/17 – 06/30/18 |
<table>
<thead>
<tr>
<th></th>
<th>Name</th>
<th>Position</th>
<th>Phone Number</th>
<th>Start Date</th>
<th>End Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>Greg Haskin</td>
<td>Haskin for Assembly 2018 (1400291)</td>
<td>(1400291)</td>
<td>01/01/17</td>
<td>06/30/18</td>
</tr>
<tr>
<td>10</td>
<td>Paulina M. Miranda</td>
<td>Paulina Miranda for State Senator 8 District 2018 Candidate (1396903)</td>
<td>(1396903)</td>
<td>01/01/17</td>
<td>12/31/18</td>
</tr>
<tr>
<td>11</td>
<td>Jose Sigala</td>
<td>Sigala for Assembly 2018 (1398487)</td>
<td>(1398487)</td>
<td>01/01/17</td>
<td>12/31/18</td>
</tr>
<tr>
<td>12</td>
<td>Tom Tarantino</td>
<td>Tom Tarantino for Assembly 2018 (1398063)</td>
<td>(1398063)</td>
<td>01/01/17</td>
<td>06/30/18</td>
</tr>
</tbody>
</table>
1. Long K. Pham
   Pham for Assembly 2018 (1403605)

2. Edward P. Hernandez, O.D.
   Ed Hernandez for Lieutenant Governor 2018 (1374488)

3. Hrepsima Rita Topalian
   Rita Topalian for Senate 32 Special Election 2018 (1405928)

4. Hrepsima Rita Topalian
   Rita Topalian for Senate 2018 (1404439)

5. Eloise G. Reyes
   Eloise Reyes for Assembly 2016 (1381092)
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Edward P. Hernandez, O.D.
Ed Hernandez for Lieutenant Governor 2018 (1374488)

January 1, 2015 through December 31, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Statewide candidates who raised or spent $25,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on November 30, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee is controlled by former State Senator Edward P. Hernandez, O.D., District 22. Dr. Hernandez was a candidate for Lieutenant Governor in the 2018 General Election.

TREASURER: Edward P. Hernandez, O.D.

ASSISTANT TREASURER: Nancy L. Warren
                        Warren Enterprises
FINANCIAL ACTIVITY

Total Contributions Received: $4,187,472
Total Expenditures: $4,173,468

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.

FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

EXPENDITURES

For expenditures examined, subvendor information was not disclosed for payments totaling $991,878. Of this amount, $931,379 was for payments made by Buying Time, LLC for television advertisements and $60,499 was for payments to Audience Partners for social media advertisements. Subvendor information was disclosed for other payments totaling $913,714.

Ms. Warren stated that it is always her intention to report timely or amend when additional information is later received. She explained that despite timely requests, the majority of the subvendor information was not received until May and June of this year. She added that information timely requested from Audience Partners for reporting on the filing due October 25, 2018, was not received until October 29, 2018, and she inadvertently did not file an amendment due to extreme staffing issues.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Eloise G. Reyes
Eloise Reyes for Assembly 2016  (1381092)

January 1, 2017 through December 31, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Assembly districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit. In addition, each controlled committee of the candidate was subject to audit.

However, the audit could not be performed since the records were not provided. Therefore, we are unable to express an opinion regarding compliance with the Political Reform Act.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on November 30, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by Assembly Member Eloise Reyes, District 47. Ms. Reyes was re-elected in the 2018 General Election. The Committee terminated effective June 30, 2019.

TREASURER: William P. Smith

FINANCIAL ACTIVITY

Total Contributions Received: $ 29,500
Total Expenditures: $ 40,063

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Long K. Pham
Pham for Assembly 2018 (1403605)

January 1, 2018 through June 30, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Assembly districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on November 30, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by Long K. Pham, a candidate for Assembly, District 72, in the 2018 Statewide Direct Primary Election. The Committee terminated effective September 19, 2018.

TREASURER: Mary Van Pham

FINANCIAL ACTIVITY

Total Contributions Received: $21,000
Total Expenditures: $22,529

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.
FINDINGS AND RESPONSES

OPINION

The filers, in our opinion, have not substantially complied with the disclosure and/or recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

RECORDKEEPING

Copies of contributors' checks, duplicate deposit slips, valuation letters and/or invoices, and contributor lists were not maintained for reported contributions received totaling $21,000. Of this amount, $10,500 was reported as received from Mary Van Pham. The lack of records precluded the determination of whether contributions totaling $6,100 reported as received from Mary Pham on April 4, 2018, exceeded the limit by $1,700 and whether Form 497 Contribution Reports were required to be filed for 6 contributions received totaling $15,600 reported on the Form 460 Campaign Preelection Statement filed on May 14, 2018, for the period ending April 21, 2018. In addition, source documents were not provided to verify reported contributions received totaling $7,951 that were not deposited into the Committee’s designated campaign bank account. But deposited some checks into his personal bank account, rather than the Committee’s bank account.

Reference Exhibit A

Mr. Pham stated that he misplaced the records while re-locating after the election. He added that he didn't have the resources to hire a professional treasurer and forgot to file the Form 497 Contribution Reports. He added that all contributions received were disclosed on the Form 460 Campaign Preelection Statement filed prior to the election. He further stated that the $1,700 nonmonetary contribution reported as received from Mary Pham on April 4, 2018, should have been disclosed as an expense instead of a contribution. Mr. Pham also stated that he was aware of the Political Reform Act requirements but deposited the checks into his personal bank account to protect his contributors' identity as the checks were written to him rather than the Committee.

Records, such as copies of invoices and/or receipts were not provided for 16 reported expenditures totaling $17,379, including expenditures totaling $7,117 that were not processed through the Committee’s designated campaign bank account. Copies of canceled checks and bank statements were provided for expenditures totaling $2,549 processed through the campaign bank account.

Mr. Pham stated that he misplaced the records while re-locating after the election. He added that since the Committee bank account lacked sufficient funds, campaign related expenses were paid using contributions deposited into his personal account.
REPORTS AND STATEMENTS

Two Form 460 Campaign Disclosure Statements were not timely filed with the Secretary of State.

<table>
<thead>
<tr>
<th>Statement Period</th>
<th>Receipts</th>
<th>Expenditures</th>
<th>Date Filed</th>
<th>Days Late</th>
</tr>
</thead>
<tbody>
<tr>
<td>01/01/18 – 04/21/18</td>
<td>$21,000</td>
<td>$18,129</td>
<td>05/14/18</td>
<td>18</td>
</tr>
<tr>
<td>05/20/18 – 06/30/18</td>
<td>0</td>
<td>$4,400</td>
<td>09/20/18</td>
<td>51</td>
</tr>
</tbody>
</table>

Mr. Pham stated that the statements were signed and mailed one day prior to their respective due dates.

EXPENDITURES

Three expenditures totaling $560 were not disclosed on the Form 460 Campaign Disclosure Statements filed for the period ending June 30, 2018.

<table>
<thead>
<tr>
<th>Date Made</th>
<th>Payee</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>06/04/18</td>
<td>L.S. TV</td>
<td>$300</td>
</tr>
<tr>
<td>06/04/18</td>
<td>Trong Doan</td>
<td>100</td>
</tr>
<tr>
<td>06/06/18</td>
<td>Asian World Media</td>
<td>160</td>
</tr>
<tr>
<td></td>
<td>Total</td>
<td>$560</td>
</tr>
</tbody>
</table>

Mr. Pham did not provide a comment.

Cash totaling $1,090 was withdrawn from the Committee’s designated campaign bank account. Of this amount, $500 was two ATM withdrawals and $590 was the remaining bank balance withdrawn by the candidate to close the Committee bank account.

Mr. Pham stated that the $590 was used to repay the loan from Mary Pham.
### EXHIBIT A - CONTRIBUTIONS REPORTED RECEIVED ON FORM 460 FOR WHICH FORM 497 CONTRIBUTION REPORTS WERE NOT FILED

<table>
<thead>
<tr>
<th>Date Reported Received</th>
<th>Contributor</th>
<th>Amount Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td>03/08/18</td>
<td>Mary Pham</td>
<td>$4,400</td>
</tr>
<tr>
<td>04/04/18</td>
<td>Mary Pham</td>
<td>4,400</td>
</tr>
<tr>
<td>04/04/18</td>
<td>Mary Pham</td>
<td>1,700</td>
</tr>
<tr>
<td>04/10/18</td>
<td>Long Pham</td>
<td>2,400</td>
</tr>
<tr>
<td>04/10/18</td>
<td>Long Pham</td>
<td>1,000</td>
</tr>
<tr>
<td>04/10/18</td>
<td>Tuc Nguyen</td>
<td>1,700</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td><strong>$15,600</strong></td>
</tr>
</tbody>
</table>
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Hrepsima Rita Topalian
Rita Topalian for Senate 2018  (1404439)
Chancery Building Law Offices

January 1, 2018 through December 31, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. State Senate districts were randomly selected by the Fair Political Practices Commission. Candidates in selected districts who raised or spent $15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on November 30, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by Rita Topalian, a candidate for State Senate, District 32, in the August 7, 2018 Special General Election and the November 6, 2018 General Election. The Committee terminated effective June 30, 2019.

TREASURER: Lillian Moloian
Chancery Building Law Offices
FINANCIAL ACTIVITY

Total Contributions Received: $ 94,978
Total Expenditures: $ 93,293

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.

FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

LOANS RECEIVED

The Hyla Marrow Trust was not disclosed as the lender for loans totaling $30,000 reported on the campaign disclosure statements filed with the Secretary of State (SOS) for the periods ending May 19 and October 20, 2018, and was also not disclosed as the lender on Form 497 Contribution Reports filed with the SOS on May 15, May 16, May 19, and October 9, 2018. Instead, the candidate was disclosed as the lender. The funds from the Trust were initially deposited in the candidate's personal bank account on April 30, May 17, and October 9, 2018. The candidate deposited a total of $30,000 into the designated campaign bank account on May 10, May 15, May 21, and October 9, 2018. Further the contributions received limit was exceeded by $21,200.

CANDIDATE’S COMMENT

Ms. Topalian stated she borrowed the money from the Trust because it was convenient and time was of the essence. She further stated that she borrowed the funds at 10% interest as a means of demonstrating she was not taking advantage of the situation. Finally, she stated that the way she structured the loan and its repayment made her actions open and transparent, and it gave her the obligation of paying it back since she incurred the debt rather than the campaign.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR:

Hrepsima Rita Topalian  
Rita Topalian for Senate 32 Special Election 2018  (1405928)  
Chancery Building Law Offices

January 1, 2018 through September 24, 2018

AUDIT AUTHORITY AND SCOPE

This audit is authorized under Section 90001 of the California Government Code. Legislative candidates in a special primary or special runoff election who raised or spent $15,000 or more were subject to audit.

The audit was performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on November 30, 2020.

ABOUT THE COMMITTEE

BACKGROUND INFORMATION

The Committee was controlled by Rita Topalian, a candidate for State Senate, District 32, in the August 7, 2018 Special General Election and the November 6, 2018 General Election. The Committee terminated effective September 24, 2018.

TREASURER:  
Lillian Moloian  
Chancery Building Law Offices
FINANCIAL ACTIVITY

Total Contributions Received: $141,724
Total Expenditures: $141,724

The totals for contributions received and expenditures were taken from the unaudited statements as filed in paper and/or electronic format with the Secretary of State for the period indicated above.

FINDINGS AND RESPONSES

OPINION

Except as indicated in this Audit Report, the filers have, in our opinion, substantially complied with the disclosure and recordkeeping provisions of the Political Reform Act (Government Code Section 81000 et seq.) and related rules and regulations of the Fair Political Practices Commission.

LOANS RECEIVED

The Hyla Marrow Trust was not disclosed as the lender for a $15,000 loan reported on the Form 460 Preelection Statement filed for the period ending July 21, 2018, and was also not disclosed as the lender on a Form 497 Contribution Report filed on July 5, 2018. Instead, the candidate was disclosed as the lender. The funds from the Trust were initially deposited into the candidate’s personal bank account on July 2, 2018. The candidate deposited $15,000 into the designated campaign bank account on July 6, 2018. Further, the contributions received limit was exceeded by $6,200.

CANDIDATE’S COMMENT

Ms. Topalian stated she borrowed the money from the Trust because it was convenient and time was of the essence. She further stated that she borrowed the funds at 10% interest as a means of demonstrating she was not taking advantage of the situation. Finally, she stated that the way she structured the loan and its repayment made her actions open and transparent, and it gave her the obligation of paying it back since she incurred the debt rather than the campaign.
AUDIT REPORT OF THE POLITICAL REFORM AUDIT PROGRAM FOR
SUBSTANTIALLY ACCURATE AND COMPLETE FILINGS

AUDIT AUTHORITY, SCOPE, AND RESULTS

The filings, records, and documentation of the filers listed below were audited in accordance with Section 90001 of the California Government Code.

The audits were performed by the Political Reform Audit Program of the Franchise Tax Board using generally accepted auditing standards and the auditing standards set by the Fair Political Practices Commission. This included tests of disclosure, accounting records, and other auditing procedures considered necessary.

The examinations indicate that the statements and/or reports filed, for the periods covered, were substantially accurate and complete.

This Audit Report was submitted to the Fair Political Practices Commission, the Secretary of State, and the Attorney General on November 30, 2020.

CAMPAIGNS

<table>
<thead>
<tr>
<th>Campaign Description</th>
<th>Period Covered</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Rebecca Bauer-Kahan Rebecca Bauer-Kahan for Assembly 2018 (1398674)</td>
<td>01/01/17 – 12/31/18</td>
</tr>
<tr>
<td>2. Xavier Becerra Becerra for Attorney General 2018 (1394091)</td>
<td>01/01/17 – 12/31/18</td>
</tr>
<tr>
<td>3. Jordan Cunningham Jordan Cunningham for Assembly 2018 (1393016)</td>
<td>01/01/16 – 12/31/18</td>
</tr>
<tr>
<td>4. Vincent K. Fong Vince Fong for Assembly 2018 (1393014)</td>
<td>01/01/17 – 12/31/18</td>
</tr>
<tr>
<td>5. Vincent K. Fong Assemblyman Vince Fong’s Ballot Measure Committee for Central Valley Prosperity (1405939)</td>
<td>01/01/18 - 12/31/18</td>
</tr>
<tr>
<td>6. Delphine Jessica Martinez Committee to Elect Jessica Martinez (1400759)</td>
<td>01/01/18 – 12/31/18</td>
</tr>
<tr>
<td>7. Douglas A. Ose Doug Ose for Governor 2018 (1401145)</td>
<td>01/01/18 – 03/08/18</td>
</tr>
<tr>
<td>8. Sharon Quirk-Silva Sharon Quirk-Silva for Assembly 2018 (1392962)</td>
<td>01/01/16 – 12/31/18</td>
</tr>
</tbody>
</table>
9. Eloise G. Reyes  
   Eloise Reyes for Assembly 2018 (1393341)  
   01/01/17 – 12/31/18

10. Philip Y. Ting  
    Phil Ting for Assembly 2018 (1393484)  
    01/01/17 – 12/31/18

11. Philip Y. Ting  
    Assemblymember Phil Ting's Ballot Measure Committee; State of Opportunity (1391310)  
    01/01/17 – 12/31/18

12. Marshall Tuck  
    Marshall Tuck for State Superintendent of Public Instruction 2018 (1395234)  
    01/01/17 – 12/31/18