CAL-ACCESS Replacement System Project

Project Kick-Off
July 24, 2018
## Project Objectives

<table>
<thead>
<tr>
<th>Gov. Code 84602(c) (SB1349)</th>
<th>Legacy System</th>
<th>New CAL-ACCESS</th>
<th>Potential Future Enhancements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Features:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Data driven</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>On-line payments</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Tracking Amendments</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Electronic Filing</td>
<td>Some Limited</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Visual display</td>
<td></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Electronic Signature</td>
<td></td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Unique Identifier</td>
<td>√ Major Donor</td>
<td>√ Major Donor</td>
<td>√ All</td>
</tr>
<tr>
<td>Local Filing</td>
<td></td>
<td></td>
<td>√</td>
</tr>
<tr>
<td>Current</td>
<td>Future</td>
<td></td>
<td></td>
</tr>
<tr>
<td>-----------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legacy CAL-ACCESS has unsupported, outdated technology</td>
<td>New CAL-ACCESS will use latest cutting edge and cloud based technologies</td>
<td></td>
<td></td>
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<tr>
<td>Campaign finance and lobbying activity process is paper based</td>
<td>All electronic filing model</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PRD and stakeholder operations are hindered by technological limitations</td>
<td>Modern, supportable architecture will allow PRD to adapt, change, and support the changing regulatory environment</td>
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## Project Current and Future

<table>
<thead>
<tr>
<th>Current</th>
<th>Future</th>
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</thead>
<tbody>
<tr>
<td>• Registration data is entered manually from filer-submitted paper forms</td>
<td>• Registration data will be entered electronically one time by the filer or the filer’s representative</td>
</tr>
<tr>
<td>• The form-driven process</td>
<td>• Data driven solution</td>
</tr>
<tr>
<td>• Limited ability to query, aggregate and report data</td>
<td>• Data driven system with enhance ability to query, aggregate and report data</td>
</tr>
</tbody>
</table>
Executive Steering Committee
Alex Padilla, Secretary of State
Bill Mabie, Chief Deputy SOS
Kim Gauthier, Special Counsel
Christine McKenzie, Director, Project Management Office
Julie Waddell, Chief, Political Reforms Division
Rita Gass, Chief Information Officer
Cindy Halverstadt, Chief, Management Services Division

Independent Project Oversight Consultant
TBD

Change Control Board

Contract Manager
Karey Hart
SOS PMO

Independent Verification and Validation Consultant
VIP

Project Sponsor
Kim Gauthier

Business Leads
Bruce Maffia, PRD
Gail Kurimoto-Jackson, PRD
Sean Jensen, PRD

Project Director
Christine McKenzie

IT Leads
Jackie Xiong, ITD
Sajal Pradhan, ITD
Michael Araset, ITD

Project Managers
Anand Deshmukh, PM, ATS
Ralph Petty, PM, ATS
Keith Norris, PM, SOS PMO

System Integration
Perspecta

Hosting Services
Microsoft

Contract Services
Enterprise Architect (A-RPM)
Testing Services (INC)

Other Contract Services
OCM Lead (Informatix)
Data Migration (A-RPM)
Security Auditor (TBD)
Business Rules (Quanatum)
System Integrator: Perspecta

- Civilian State and Local Group (CSL)
  - More than 50 years of experience in the public sector.
  - Partner with municipal, county and state government clients across the country.
  - Deliver services in more than 34 states.

Project Partner: PCC Technologies

- Specialists providing services to 33 Secretary of State offices nationwide
- Ethics and Compliance (Campaign Finance, Lobbyist Reporting, Statement of Financial Interest), Business Registration and Voter Registration
- California Experience: LA County – successfully deployed Campaign Finance System
Current Activities

* **System Integrator Team** is on board and has begun project activities

* **Data Migration Team** is evaluating existing information, legacy data and planning approach for migration

* **Legislative Clean-up (SB 1239)** - Senator Hertzberg has introduced Senate Bill 1239 that includes Legislative Changes for the new system. The bill has passed in the Senate and the Assembly committee on Elections and Constitutional Amendments. It has now been referred to the Assembly Appropriations Committee and is on track
Current Activities

* **Project Planning and Initiation Team** - One of the key activities for the team at this time is to develop an integrated project schedule that details each activity, their dependencies, timing and sequencing.

* **Organizational Change Management Team** – is developing a Change Management Plan for our internal and external stakeholders

* **Functional Integration Team** – has begun working with the System Integrator team to develop our overall approach of robust functional and user acceptance testing.
# Current Timeline

<table>
<thead>
<tr>
<th>Area</th>
<th>Current Schedule for December 2019</th>
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</thead>
<tbody>
<tr>
<td>Requirements and Design</td>
<td>July 2018 – October 2018</td>
</tr>
<tr>
<td>CARS Solution Development</td>
<td>September 2018 – June 2019</td>
</tr>
<tr>
<td>End-to-End Testing</td>
<td>October 2018 – July 2019</td>
</tr>
<tr>
<td>User Acceptance Testing</td>
<td>February 2019 – October 2019</td>
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<tr>
<td>New System and Business Process Training</td>
<td>June 2019 – November 2019</td>
</tr>
<tr>
<td>CARS Public Access Go Live</td>
<td>December 2019</td>
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</table>
Communication

Right Message + Right Messenger + Right Audience + Right Time = Communication Strategy
Channels and Tools

Website
- FAQs
- Announcements
- Project status and milestones

Email
- Announcements throughout the project
- Project status as milestones are met
- ConstantContact delivery to the subscribers

Reports
- Project Status'
- Weekly, bi-weekly, monthly and on-demand based on audience
- Email and presentation

Surveys, Public Meetings, Workshops
- Project Status
- As needed
- By Invitation to select stakeholders

Branding and Logo
- Promotes recognition and familiarity
- Consistent across all communication
Thank You

Questions? Contact: prdcars@sos.ca.gov